109th Congress, 2d Session - - - - - - - - - - - - House Document 109–128

Y 1.1/7:109-128/V.2
Financial Disclosure Reports of

FINANCIAL DISCLOSURE REPORTS

OF MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES

FOR THE PERIOD BETWEEN

January 1, 2005 and December 31, 2005

COMMUNICATION FROM THE CLERK OF THE HOUSE

TRANSMITTING

THE ANNUAL COMPILATION OF PERSONAL FINANCIAL DISCLOSURE STATEMENTS AND AMENDMENTS THERETO FILED WITH THE CLERK OF THE HOUSE OF REPRESENTATIVES, PURSUANT TO RULE XXVI, CLAUSE 1, OF THE HOUSE RULES

(Volume 2 of 4)

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JULY 24, 2006.—Referred to the Committee on Standards of Official Conduct and ordered to be printed

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FINANCIAL DISCLOSURE
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U.S. GOVERNMENT PRINTING OFFICE

28–812 WASHINGTON : 2006

LETTER OF SUBMITTAL

OFFICE OF THE CLERK,
HOUSE OF REPRESENTATIVES,
Washington, DC, June 29, 2006.

Hon. J. DENNIS HASTERT,
The Speaker, The Capitol,
House of Representatives, Washington, DC.

DEAR MR. SPEAKER: I hereby submit the annual compilation of personal financial disclosure statements filed with the Clerk of the House of Representatives pursuant to the Ethics in Government Act of 1978, as amended, 5 U.S.C. app. § 101–111 (1994), and the House Rule XXVI.

This compilation contains the personal financial disclosure statements and amendments thereto required to be filed by Members of the House pursuant to the Act and House Rule XXVI by May 15, 2006. The compilation includes reported financial transactions which occurred between January 1, 2005 and December 31, 2005.

House Rule XXVI provides that the annual compilation of reports filed with the Clerk be printed as a House document by August 1 and contain all such reports filed by Members within the period beginning January 1 and ending June 15 of each year. This compilation is submitted accordingly.

With best wishes, I am

Sincerely,

KAREN L. HAAS,
Clerk, House of Representatives.

Enclosures.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

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U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Thomas C. Feeney, III
(Full Name)

3994 Carnaby Street
(Mailing Address)

Oviedo, FL 32765

Daytime Telephone: (202)225-2706

Filer Status	[X] Member of the U.S. House of Representatives — State: Florida District: 24	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		May 11, 2006

Name	Thomas C. Feeney, III	Page 2 of 10

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Space Gateway Support	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
XYZ Magazine	Article	Aug. 13, 2005	$500
NOT APPLICABLE			

This page may be copied if more space is required.

Name Thomas C. Feeney, III

Page 4 of 10

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B: None	A: $1 – $1,000	B: $1,001 – $15,000	C: $15,001 – $50,000	D: $50,001 – $100,000	E: $100,001 – $250,000	F: $250,001 – $500,000	G: $500,001 – $1,000,000	H: $1,000,001 – $5,000,000	I: $5,000,001 – $25,000,000	J: $25,000,001 – $50,000,000	K: Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples:* SP Mega Corp. Stock						X							X										X								P
	DC Simon & Schuster		Indefinite																	Royalties									X			
	JT 1st Bank of Paducah, KY accounts							X								X										X						
JT	12063 Gray Birch Circle Orlando, FL								X						X											X						
JT	8600 Ridgewood Ave #2308 Cape Canaveral, FL							X							X																	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Thomas C. Feeney, III

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	FIDELITY IRA:																															
	Fed Exp Corp				X									X								X										
	General Dynamics				X									X								X										
	HCA, Inc.				X									X								X										
	Lifepoint Hospital		X											X							X											
	Northrop Gruman				X									X									X									
	Triad Hosp Inc.		X											X							X											
	Triquint Semiconductor			X										X							X											
	Fidelity China Region			X												X						X										
	Fidelity Cash Reserves			X												X							X									
	FIDELITY IRA MAGELLAN:																															
	Fidelity Worldwide				X									X										X								
	Fidelity Contrafund				X									X									X									
	Fidelity Growth Company				X									X										X								
	Fidelity Select Food/Ag		X											X							X											
	EE BONDS			X																	X											

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Thomas C. Feeney, III

Page 6 of 10

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENTS	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	401(k) PLAN:																															
	Euro Pacific Growth					X								X												X						
	ING Baron Small Growth				X									X										X								
	ING Int'l Val Fund				X									X										X								
	ING TRP Mid Cap Growth						X							X												X						
	ING T Rowe Price			X										X													X					
	Loan Fund				X															Loan	X											
	Growth Fund of America				X									X										X								

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Name Thomas C. Feeney, III

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
JT	Royal Mansions Condo 8600 Ridgewood Avenue #2308 Cape Canaveral, FL	X			1/15/05	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Countrywide Home Loans, CA	Mortgage Gray Birch Circle Rental				X						
JT	AmSouth Bank, FL	Line of Credit		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NOT APPLICABLE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Business Roundtable Inter-Am Econ Counsel	Jan 12-16	Orlando-Punta Cana-Repub Dominican-Antigue-Orlando	Y	Y	N	None
US/French Congressional Roundtable Int'l Management & Dev Institute	Feb 20-25	DC-France-Germany-DC	Y	Y	N	None
Speakers Engagement & Parlimentary Lunch Int'l Assoc of Amusement Parks	Feb 25-Mar 1	DC-Germany-England-DC	Y	Y	N	None
Federal Affairs Workshop ALEC	Aug 2-5	Orlando-Dallas-Orlando	N	Y	N	None
Entitlement Reform The Heritage Foundation	Aug 15-19	Orlando-Los Angeles-San Diego-Orlando	Y	Y	N	None

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Central Florida Children's Home

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NOT APPLICABLE	

GPO: 2006 25-628 (mac)

Use additional sheets if more space is required.

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A For use by Members, officers, and employees

MICHAEL A. FERGUSON
(Full Name)

13 THE ABORS AT MURRAY HILL NEW PROVIDENCE, NJ 07974
(Mailing Address)

202 225-5361
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: NJ District: 07	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Michael Ferguson	5/11/06

1373

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MICHAEL A. FERGUSON

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	MERRILL LYNCH CMA CONSULTS	None	CAPITAL GAINS AND DIVIDENDS	$50,001 - $100,000	S
JT	AMERICAN CAPITAL WORLD GROWTH AND INCOME	$15,001 - $50,000	CAPITAL GAINS AND DIVIDENDS	$201 - $1,000	P
JT	AMERICAN INC FD OF AMER	$50,001 - $100,000	CAPITAL GAINS AND DIVIDENDS	$1,001 - $2,500	P
JT	CALAMOS GROWTH AND INCOME FUND	$50,001 - $100,000	CAPITAL GAINS AND DIVIDENDS	$201 - $1,000	P
JT	COLUMBIA MARSICO FOCUSED EQUITY	$15,001 - $50,000	CAPITAL GAINS AND DIVIDENDS	$201 - $1,000	P
JT	JP MORGAN MID CAP VALUE	$15,001 - $50,000	CAPITAL GAINS AND DIVIDENDS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MICHAEL A. FERGUSON

JT	PIMCO TOTAL RETURN	$50,001 - $100,000	CAPITAL GAINS AND DIVIDENDS	$1,001 - $2,500	P
JT	MERRILL LYNCH CMA MONEY MARKET	$1 - $1,000	INTEREST	$1 - $200	
JT	MERRILL LYNCH CMA MONEY MARKET	$1,001 - $15,000	INTEREST	$201 - $1,000	
	MERRILL LYNCH IRA (Massachusetts Investor Growth Stock Fund Class B)	None	CAPTIAL GAINS AND DIVIDENDS	$201 - $1,000	S
	MERRILL LYNCH ROTH IRA (Merrill Lynch Basic Value Fund Class C; Merrill Lynch Fundamental Growth Fund Class C; Merrill Lynch Global Allocation Fund Class C0	None	CAPITAL GAINS AND DIVIDENDS	$5,001 - $15,000	S
SP	MERRILL LYNCH IRA (Merrill Lynch Base Value Funda Class C; Merrill Lynch Fundamental Growth Fund Class C)	None	CAPITAL GAINS AND DIVIDENDS	$1,001 - $2,500	S
SP	MERRILL LYNCH ROTH IRA (Merrill Lynch Basic Value Fund Class C; Merrill Lynch Fundamental Growth Fund Class C; Merrill Lynch Global Allocation Fund Class C)	None	CAPITAL GAINS AND DIVIDENDS	$201 - $1,000	S
	MERRILL LYNCH ROTH IRA (American Inc Fund of America; American New Perspective Fund; Calamos Gewoth Fund; Columbia Marsico Focused Equity Fund; JP Morgan Mid Cap Value Fund)	$1,001 - $15,000	CAPITAL GAINS AND DIVIDENDS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MICHAEL A. FERGUSON

SP	MERRILL LYNCH ROTH IRA (American Inc Fund of America; American New Perspective Fund; Calamos Growth Fund; Columbia Marsico Focused Equity Fund; JP Morgan Mid Cap Value Fund)	$1,001 - $15,000	CAPITAL GAINS AND DIVIDENDS	$201 - $1,000	P
SP	MERRILL LYNCH IRA (American Inc Fund of America; American New Perspective Fund; Calamos Growth Fund; Coumbia Marsico Focused Equity Fund; JP Morgan Mid Cap Value Fund)	$15,001 - $50,000	CAPITAL GAINS AND DIVIDENDS	$201 - $1,000	P
JT	WACHOVIA MONEY MARKET	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P
JT	WACHOVIA CHECKING ACCOUNT	$1,001 - $15,000	INTEREST	$201 - $1,000	Other

SCHEDULE IV - TRANSACTIONS

Name MICHAEL A. FERGUSON

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	AMERICAN CAPITAL WORLD GROWTH AND INCOME	P	11/10/2005	$15,001 - $50,000
JT	AMERICAN INC FD OF AMER	P	11/10/2005	$50,001 - $100,000
JT	CALAMOS GROWTH AND INCOME FUND	P	11/10/2005	$50,001 - $100,000
JT	COLUMBIA MARSICO FOCUSED EQUITY	P	11/10/2005	$15,001 - $50,000
JT	JP MORGAN MID CAP VALUE	P	11/10/2005	$15,001 - $50,000
JT	PIMCO TOTAL RETURN	P	11/10/2005	$50,001 - $100,000
	MERRILL LYNCH IRA (Massachusetts Investor Growth Stock Fund Class B)	S	7/27/2005	$1,001 - $15,000
	MERRILL LYNCH ROTH IRA (Merrill Lynch Basic Value Fund Class C; Merrill Lynch Fundamental Growth Fund Class C; Merrill Lynch Global Allocation Fund Class C0	S	8/23/2005	$1,001 - $15,000
SP	MERRILL LYNCH IRA (Merrill Lynch Base Value Funda Class C; Merrill Lynch Fundamental Growth Fund Class C)	S	8/23/2005	$1,001 - $15,000
SP	MERRILL LYNCH ROTH IRA (Merrill Lynch Basic Value Fund Class C; Merrill Lynch Fundamental Growth Fund Class C; Merrill Lynch Global Allocation Fund Class C)	S	8/23/2005	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name MICHAEL A. FERGUSON

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	MERRILL LYNCH ROTH IRA (American Inc Fund of America; American New Perspective Fund; Calamos Gewoth Fund; Columbia Marsico Focused Equity Fund; JP Morgan Mid Cap Value Fund)	P	8/23/2005	$1,001 - $15,000
SP	MERRILL LYNCH ROTH IRA (American Inc Fund of America; American New Perspective Fund; Calamos Growth Fund; Columbia Marsico Focused Equity Fund; JP Morgan Mid Cap Value Fund)	P	8/23/2005	$1,001 - $15,000
SP	MERRILL LYNCH IRA (American Inc Fund of America; American New Perspective Fund; Calamos Growth Fund; Coumbia Marsico Focused Equity Fund; JP Morgan Mid Cap Value Fund)	P	8/26/2005	$15,001 - $50,000
JT	WACHOVIA MONEY MARKET	P	10/19/2005	$15,001 - $50,000
JT	MERRILL LYNCH CMA CONSULTS	S	11/3/2005	$250,001 - $500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name MICHAEL A. FERGUSON

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Ripon Society	1/12/2005-1/16/2005	NEW YORK, N.Y.-MIAMI, FLA; MIAMI, FLA-WASHINGTON, D.C.	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name MICHAEL A. FERGUSON

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD MEMBER	ROBERTA AND THOMAS FERGUSON FAMILY FOUNDATION

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

MAY 15 2006
LEGISLATIVE RESOURCE CENTER
2006 MAY 23 PM 4:00
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

(Full Name) BOB FILNER

(Mailing Address) 2428 RAYBURN HOUSE OFFICE BLDG.
WASHINGTON, DC 20515

Daytime Telephone: 225-7933

Filer Status	[X] Member of the U.S. House of Representatives — State: ____ District: ____	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Bob Filner	5/14/06

1381

Name BOB FILNER | Page 2 of 8

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	SELF-EMPLOYED CONSULTANT	SPOUSE SALARY	NA
	PUBLIC EMPLOYEES RETIREMENT SYSTEM	PENSION	$12,193

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name BOB FILNER Page 3 of 8

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples: Mega Corp. Stock					X								X										X								P
DC	Examples: Simon & Schuster		Indefinite																	Royalties									X			
JT	Examples: 1st Bank of Paducah, KY accounts						X									X										X						
	GUARDIAN MUTUAL FUND (NEUBERGER + BERMAN)				X									X			X								X							

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name BOB FILNER

Page 4 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	GREAT AMER. INS. TSA #1 (BOUGHT NAT'L HLTH INSUR)			X												X						X									S (partial)
	OCTUS, INC.			X										X							X										

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name BOB FILNER

Page 5 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None, B $1 – $1,000, C $1,001 – $15,000, D $15,001 – $50,000, E $50,001 – $100,000, F $100,001 – $250,000, G $250,001 – $500,000, H $500,001 – $1,000,000, I $1,000,001 – $5,000,000, J $5,000,001 – $25,000,000, K $25,000,001 – $50,000,000, L Over $50,000,000	DIVIDENDS, RENT, INTEREST, CAPITAL GAINS, EXCEPTED TRUST, QUALIFIED BLIND TRUST, Other Type of Income (Specify)	I None, II $1 – $200, III $201 – $1,000, IV $1,001 – $2,500, V $2,501 – $5,000, VI $5,001 – $15,000, VII $15,001 – $50,000, VIII $50,001 – $100,000, IX $100,001 – $1,000,000, X $1,000,001 – $5,000,000, XI Over $5,000,000	P, S, E
	BANK of AMERICA Checking	B	INTEREST	I	
	CONGRESSIONAL FCU	C	INTEREST	II	
	GREAT AMER. INS TSA #2	C	INTEREST	II	S (Partial)
	FRESNO SCHOOL DIST. BOND	D	INTEREST	IV	
	RESERVE MONEY FUND (Checking)	C	DIVIDENDS	I	
	GE LIFE COMMONWEALTH AND	F	DIVIDENDS	I	
	ROBLA, CA. SCHOOL DIST. BOND	D	INTEREST	IV	
	FRESNO, CA. SWM BOND	D	INTEREST	IV	
	LONG BEACH, CA BOND	D	INTEREST	III	
	CALIF. HFFA BOND	D	INTEREST	IV	
	ABBOTT LABS STOCK	A	DIVIDENDS	II	S
	CHASE MANHATTAN STOCK	A	DIVIDENDS	III	S

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (If needed)

Name BOB FILNER

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	KULICKE & SOFFA STOCK	X												X							X											S
	MIPS TECH. INC. STOCK	X												X							X											S
	NOVELL INC. STOCK	X												X							X											S
	FRANKLIN FLEX CAP GWTH				X									X							X											P (partial)
	FRANKLIN FED TAX FREE				X									X										X								
	EATON VANCE INVT. TR				X									X										X								
	FRANKLIN TAX FREE TR				X									X									X									
	HOSPIRA, INC.	X												X							X											S
IRA - MESKAOU	COLUMBIA FUNDS SER TR (change of name)				X												X				X											
	PIMCO FDS PAC INVT				X									X			X							X								
	ALLIANCE BNSTEIN MON FD	X												X								X										
IRA - AIG	SUN AMERICA CASH TRUST ACCT				X											X	X					X										
	AMERICAN NATL INS TSA						X										X							X								P

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SCHEDULE IV — TRANSACTIONS

Name BOB FILNER

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
	GREAT AMERICAN INSUR. TSA #1		X (partial)		4-10-05		X								
	GREAT AMERICAN INSUR. TSA #2		X (partial)		4-10-05				X						
	ABBOTT LABS STOCK		X		5-25-05	X									
	CHASE MANHATTAN STOCK		X		5-25-05	X									
	KULICKE & SOFFA STOCK		X		9-08-05	X									
	MIPS TECH. INC. STOCK		X		5-31-05	X									
	NOVELL, INC. STOCK		X		9-15-05	X									
	HOSPIRA, INC. STOCK		X		5-25-05	X									
	FRANKLIN FLEX. CAP GROTH	X			11-03-05		X								
	AMERICAN NAT'L INSUR. TSA	X			4-10-05				X						

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Name BOB FILNER | Page 8 of 8

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NATIONAL EDUC. ASSOC	July 3-4	WASH, DC—Los Angeles—DC	Y	Y	N	None

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2006 MAY 15 AM 11:47
9 OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

MICHAEL G. FITZPATRICK
(Full Name)

81 Silverspruce Rd
(Mailing Address)

202-225-4276
Daytime Telephone

Levittown PA 19056

Filer Status	☒ Member of the U.S. House of Representatives — State: PA District: 08	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒ No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☒ No ☐	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☐ No ☒	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒ No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	5/11/06

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	COUNTY OF BUCKS	Salary	$3076.10

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
XYZ Magazine	Article	Aug. 13, 2005	$500
Diageo, North America	Appearance	March 16, 2005	$500

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name MICHAEL G. FITZPATRICK Page 3 of 7

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples: SP Mega Corp. Stock					X								X										X							P
	Examples: Simon & Schuster		Indefinite																	Royalties									X		
	Examples: 1st Bank of Paducah, KY accounts						X									X									X						
JT	Knights of Columbus Federal Credit Union			X												X							X								
JT	Gartmore Money Stable Value Fund				X									X										X							
JT	Bucks County Retirement System					X																									

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name MICHAEL G. FITZPATRICK

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E

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Name MICHAEL G. FITZPATRICK Page 6 of 9

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								

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Name MICHAEL G. FITZPATRICK Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors (unpaid)	Conwell-Egan Catholic High School
Board of Directors (unpaid)	Bucks County Council Boy Scouts
Board of Directors (unpaid)	Self Help, Inc.
Board of Directors (unpaid)	Lower Bucks Hospital
Board of Directors (unpaid)	Evangelical Services for the Aging

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2006 25-626 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

6

LEGISLATIVE RESOURCE CENTER

2006 MAY 15 AM 9:21

MC OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(House Use Only)

JEFFRY L. FLAKE (Full Name)

4222 E. McLELLAN, #19
MESA, AZ 85205 (Mailing Address)

(202) 225-2635 Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: AZ District: 06	[ ] Officer or Employee	Employing Office.
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jeff Flake	5/11/06

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	JEFF FLAKE FOR CONGRESS	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:* SP Mega Corp. Stock					X								X										X								P
	Simon & Schuster		Indefinite																	Royalties									X			
	1st Bank of Paducah, KY accounts						X									X									X							
JT	APOLLO GROUP			X																NA	X											
JT	RESEARCH IN MOTION					X														NA	X											(P) PARTIAL (S) PARTIAL
JT	EQUITABLE MUTUAL FUND																			RETIREMENT												
	• ALLIANCE COMMON STOCK				X																X											
	• AXA PREMIER			X																	X											
	YIP																															

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JEFFRY L. FLAKE

Page 4 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	INTEL				X									X								X										(P) PARTIAL (S) PARTIAL
JT	APPLE COMPUTER					X														NA	X											(P) PARTIAL

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Name JEFFRY L. FLAKE

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example.* Mega Corporation Common Stock	X			10-12-05		X								
JT	INTEL	X			8-11-05		X								
JT	INTEL	X			9-9-05		X								
JT	INTEL		X		9-29-05		X								
JT	INTEL		X		11-23-05		X								
JT	RESEARCH IN MOTION	X			8-12-05	X									
JT	RESEARCH IN MOTION	X			8-12-05	X									
JT	RESEARCH IN MOTION	X			9-29-05	X									
JT	RESEARCH IN MOTION	X			9-29-05	X									
JT	RESEARCH IN MOTION	X			9-29-05		X								
JT	RESEARCH IN MOTION		X		11-28-05			X							
JT	APPLE	X			11-23-05		X								
JT	APPLE	X			11-28-05	X									
JT	APPLE	X			11-28-05			X							

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SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	THE HERITAGE FOUNDATION	FEB. 3-4	WASH, D.C.-BALTIMORE-WASH, DC	Y	Y	N	N
	THE CLUB FOR GROWTH	MAR. 11-12	WASH, D.C.-FORT MEYERS, FL-AZ	Y	Y	N	N
	THE LEXINGTON INSTITUTE	MAR. 20-24	PHOENIX, AZ-CUBA, PHOENIX, AZ	Y	Y	N	N
	THE ARIZONA ECONOMIC FORUM	JUNE 24-25	PHOENIX-FLAGSTAFF-PHOENIX	Y	Y	Y	N

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LEGISLATIVE RESOURCE CENTER

2006 MAY 15 PM 2:51

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

12

HAND DELIVERED MC

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A

For use by Members, officers, and employees

Mark A. Foley
(Full Name)

104 Cannon Washington, DC 20515
(Mailing Address)

202-225-5792
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: FL, District: 16	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions-	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mark A. Foley	5/12/06

1404

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark A. Foley

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Agere	$1 - $1,000	CAPITAL GAINS	NONE	
	AT&T	None	DIVIDENDS	$1 - $200	S
	Avaya	$1 - $1,000	CAPITAL GAINS	NONE	
JT	Bank America Corp (1)	$1,001 - $15,000	INTEREST	$201 - $1,000	P
	Bank Atlantic Bancorp	$1,001 - $15,000	CAPITAL GAINS	NONE	P
	Banyan Hotel	$1 - $1,000	CAPITAL GAINS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark A. Foley

	BE Aerospace	$15,001 - $50,000	CAPITAL GAINS	NONE	
	Bell South	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Boston Scientific Corp	$1,001 - $15,000	CAPITAL GAINS	NONE	P
JT	Cedant (1)	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
	Cicso Systems Inc	$1,001 - $15,000	CAPITAL GAINS	None	
JT	Cisco (1)	None	CAPITAL GAINS	NONE	S
	Coca Cola	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Columbia Labs	$1,001 - $15,000	CAPITAL GAINS	NONE	
	ComCast Corp	$1,001 - $15,000	CAPITAL GAINS	NONE	
	Corning	None	CAPITAL GAINS	NONE	S
	CVS Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Dodge & Cox Balanced Fund	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
	Duke Energy Corp	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	P
	DyComm Industries	$1,001 - $15,000	CAPITAL GAINS/None	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark A. Foley

JT	Dycomm Industries (1)	$1,001 - $15,000	CAPITAL GAINS	NONE	P
	E M C Corp	$1,001 - $15,000	CAPITAL GAINS	NONE	
	ENERI	$1 - $1,000	DIVIDENDS	NONE	
	ENERI	$1 - $1,000	DIVIDENDS	NONE	
	Family Dollar Stores	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
	Fidelity Advisors BioTech Fund	$1,001 - $15,000	CAPITAL GAINS	NONE	
	Fidelity Advisors Service VII	None	CAPITAL GAINS	NONE	S
	First Union Checking	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Ford Motor (1)	None	CAPITAL GAINS	NONE	S
	Ford Motor Co Del	None	CAPITAL GAINS	NONE	S
	General Electric	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Gillette (Merged 10/1/05 - now is Proctor and Gambel	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Hartmarx Corp	$1,001 - $15,000	CAPITAL GAINS	NONE	
JT	Hartmarx Corp (1)	$1,001 - $15,000	CAPITAL GAINS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark A. Foley

	Health Care Reit	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Health Trac Inc	$1 - $1,000	CAPITAL GAINS	NONE	
JT	HealthTrack Inc (1)	None	CAPITAL GAINS	NONE	S
	Hewlett Packard	None	CAPITAL GAINS	NONE	S
	Home Depot	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	HoneyWell Inc (1)	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
	Ice Web Inc	$1 - $1,000	DIVIDENDS	NONE	
	Internet Capital	$1 - $1,000	CAPITAL GAINS	NONE	
JT	JP Morgan Chase & Co (1)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Kemet Corp	None	CAPITAL GAINS	NONE	S
	Krispy Kreme Doughnuts Inc	$1,001 - $15,000	CAPITAL GAINS	NONE	
JT	Kroger Co (1)	None	CAPITAL GAINS	NONE	S
	Liberty Media Corp	None	DIVIDENDS	NONE	S
	Liberty Media Intl (Dividend Spinoff from Liberty Media)	None	CAPITAL GAINS	NONE	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark A. Foley

	Life Insurance	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
	Looksmart Ltd	$1,001 - $15,000	CAPITAL GAINS	NONE	
	McDonalds	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Merck & Co Inc	None	CAPITAL GAINS	NONE	S
	Microsoft Corp	$1,001 - $15,000	CAPITAL GAINS	NONE	P
	Motorola Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Motorola Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Nations Cash Reserve (Money Market)(1)	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Nations Cash Reserves (Money Market)	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Nations Cash Reserves (Money Market)	$1,001 - $15,000	INTEREST	$201 - $1,000	
	New Visual Corp (Merged is now RIM Semiconductor)	$1 - $1,000	CAPITAL GAINS	NONE	
	Northern Trust (Money Market)	$50,001 - $100,000	INTEREST	$201 - $1,000	
	Oklahoma Energy	$1 - $1,000	CAPITAL GAINS	NONE	
	Oracle Corp	$1,001 - $15,000	CAPITAL GAINS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark A. Foley

	Oracle Corp (additional)	$1,001 - $15,000	CAPITAL GAINS	NONE	P
	Pfizer Inc	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	PHH Corporation (1)	$1 - $1,000	CAPITAL GAINS	NONE	P
	PIMCO PEA Renassiance	$1,001 - $15,000	CAPITAL GAINS	NONE	
	Redback Networks Inc	$1,001 - $15,000	CAPITAL GAINS	NONE	
	Royce Fund	$1,001 - $15,000	CAPITAL GAINS	NONE	
	Safeway Inc	None	CAPITAL GAINS	NONE	S
	Schwab Charles Corp	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
	Steak N Shake Corp	$1,001 - $15,000	CAPITAL GAINS	NONE	P
JT	Steak N Shake Corp (1)	$1,001 - $15,000	CAPITAL GAINS	NONE	P
	TECO	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
	Televideo	$1 - $1,000	CAPITAL GAINS	NONE	
	Time Warner	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
	Vanguard Wellington Fund	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark A. Foley

Verizon	$1 - $1,000	DIVIDENDS	$1 - $200	
Verizon (additional)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Wachovia	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
Walt Disney	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Wright Patman	$1,001 - $15,000	INTEREST	$1 - $200	
Wright Patman - Money Market	$15,001 - $50,000	INTEREST	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Mark A. Foley

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Oracle Corp (additional)	P	03-17-05	$1,001 - $15,000
	Verizon (additional)	P	0-25-05	$1,001 - $15,000
JT	Dycomm Industries (1)	P	09-07-05	$1,001 - $15,000
JT	PHH Corporation (1)	P	01-18-05	Under $ 1000
JT	Bank America Corp (1)	P	06-29-05	$1,001 - $15,000
	Boston Scientific Corp	P	07-25-05	$1,001 - $15,000
	DyComm Industries	P	09-07-05	$1,001 - $15,000
	Motorola Inc	P	12-19-05	$1,001 - $15,000
	Duke Energy Corp	P	04-29-05	$1,001 - $15,000
	Microsoft Corp	P	06-08-05	$1,001 - $15,000
	Steak N Shake Copr	P	07-12-05	$1,001 - $15,000
	Motorola Inc	P	12-22-05	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Mark A. Foley

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Bell South	P	12-22-05	$1,001 - $15,000
	Bank Atlantic Bancorp	P	12-22-05	$1,001 - $15,000
	AT&T	S	04-29-05	$1,001 - $15,000
JT	Cisco (1)	S	11-17-05	$1,001 - $15,000
	Corning	S	12-19-05	$1,001 - $15,000
	Ford Motor	S	06-23-05	$1,001 - $15,000
	Ford Motor Co Del	S	04-29-05	$1,001 - $15,000
JT	HealthTrack Inc (1)	S	12-08-05	Under $ 1000
	Hewlett Packard	S	07-22-05	$15,001 - $50,000
	Kemet Corp	S	12-19-05	Under $ 1000
	Liberty Media Corp	S	04-29-05	$1,001 - $15,000
	Liberty Media Intl	S	12-19-05	Under $ 1000

SCHEDULE IV - TRANSACTIONS

Name Mark A. Foley

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Merck & Co Inc	S	12-09-05	$1,001 - $15,000
JT	Steak N Shake Corp (1)	P	06-29-05	$1,001 - $15,000
	Safeway	S	01-18-05	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Mark A. Foley

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Congressional Institute	Jan. 7-9	Wash., DC - Scottsdale, AZ - Wash., D.C.	Y	Y	N	N/A
The RIPON Society	Jan. 12-16	West Palm Beach, FL	Y	Y	N	N/A

FOOTNOTES

Name Mark A. Foley

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Joint Account held with Frances Foley (mother)	All JT Accounts
1	Schedule IV	Joint Account held with Frances Foley (mother)	All JT Accounts

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

James Randy Forbes Sr.
(Full Name)

408 Parker Road
(Mailing Address)

202-225-6365
Daytime Telephone

Chesapeake Virginia

Filer Status	[X] Member of the U.S. House of Representatives — State: Virginia District: 04	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	James Randy Forbes	5-15-06

1417

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Kaufman & Canales, P.C.	Spouse Salary	NA
	Virginia Consultants, LLC	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
	XYZ Magazine	Article	Aug. 13, 2005	$500
None				

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP/DC/JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock						X							X										X								P
DC	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
JT	524 Johnstown Rd. Ches., Va. (office bldg.) (Assessed Value $940,500)								X						X														X			
JT	1391 Duck Rd. Dare Co., N.C.								X						X											X						

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name James Randy Forbes

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	(Residential Home) (Assessed Value 620,000)				
	Bank of America (Accts)	C	INTEREST	IV	
	Kaufman & Canoles, P.C. (Retirement Acct.)	C	INTEREST	III	
SP	Kaufman & Canoles, P.C. (Retirement Acct.)	C	INTEREST	III	
	Towne Bank (Acct)	C	INTEREST	III	
SP	Bank of America (Accts)	D	INTEREST	III	
SP	Stock ownership Virginia Consultants, LLC (Consulting Business, Chesapeake, Va.)	C	Other Type of Income: NA	I	
	Virginia Retirement System	D	Other Type of Income: NA	I	

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Name James Randy Forbes

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-05		X								
	Metropolitan Life Insurance Co. (Cancellation of Policy)		X		2005			X							
	U.S. Savings Bonds		X		6-10-05	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Bank of America	Mortgage on 524 Johnstown Rd, Ches. Va.						X				
	Suntrust Bank	Boat loan				X						
	Bank of America	Mortgage on 1391 Duck Rd., Dare Co., N.C.				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
None							

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
None	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

GPO: 2006 25-628 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2006 MAY 15 AM 9:58
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
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(Office Use Only)

Harold Ford, Jr.
(Full Name)

415 S. Front St., Suite 112
(Mailing Address)

202/225-3265
Daytime Telephone

Memphis, TN 38117

Filer Status: [X] Member of the U.S. House of Representatives — State: TN District: 09 — [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ] No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X] No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Harold Ford	5-11-06

1426

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honoraium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
Examples XYZ Magazine	Article	Aug. 13, 2005	$500
NAACP	Speech	2/26/05	$500
Howard University	Speech	9/23/05	$2,000

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Name Harold Ford, Jr.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X									X									P
DC	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X									X							
	First Funds Core Equity Portfolio				X									X		X								X								E*
	Russell Multistrat CL C			X										X			X					X										P
	Russell Emergin g Mkt CL C			X										X			X					X										P
	Russell Real Estate CL C			X										X			X						X									P
	Russell Intl Secs CL C			X										X			X						X									P
	Russell Quantit Eqt. CL C			X										X			X						X									P

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Harold Ford, Jr.

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Russell Spl Growth CL C			X										X			X															
	Russell Divrsfd Eqt CL C			X										X			X							X								
	*Reported for 2004 as First Funds Growth Income Fund																															
	**The following funds were disclosed in 2004 report and were sold during 2005: Franklin Income Fund (reported as Franklin Custodian Funds, Inc.), Mutual Series Fund, Inc. and Templeton Growth Fund, Inc. Each fund produced income of less than $200 during the disclosure period.																															

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Name Harold Ford, Jr. Page 5 of 6

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: SALE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001–$50,000	E $100,001–$250,000	G $500,001–$1,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		10–12–05	X				
	First Funds Core Equity Portfolio		monthly	X				
	Franklin Income Fd CL A	X	7/14/05					
	Mutual Ser Fd Sha CL A	X	7/14/05					
	Russell Multistrat CL C		7/14/05 7/15/05					
	Russell Real Estate CL C		7/14/05 7/15/05					
	Russell Emerging Mkt CL C		7/14/05 7/15/05					
	Russell Intl Secs CL C	X	7/14/05 7/15/05					
	Russell Intl Secs CL C	X	7/14/05 7/15/05					
	Russell Quantit Eqt CL C	X	7/14/05 7/15/05	X				
	Russell Spl Growth CL C	X	7/14/05 7/15/05	X				
	Russell Divrsfd Eqt CL C	X	7/14/05 7/15/05	X				
	Templeton Growth Fd CL A	X	7/15/05					

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Tampa Organization of Black Affairs Speaking Event	1/16-1/17	Miami-Tampa-Nashville	Y	N	N	N
AKA SE Regional Conf Luncheon Speaking Event	9-Apr	NYC-Knoxville-Memphis	Y	N	N	N
University of TN Black Law Student Assoc Speaker	9-Apr	NYC-Knoxville-Memphis	N	N	N	N
Wilson County Civic League Banquet Speaker	4/16-4/17	Baltimore-Nashville-NYC	Y	N	N	N
100 Black Men of Middle TN Speaker	23-Apr	Chattanooga-Nashville-Memphis	Y	N	N	N
Memphis Bar Association	4/28-4/29	Memphis-Destin-New Orleans	Y	N	N	N
Knoxville NAACP Freedom Fund Dinner Speaker	6/10-6/11	DC-Knoxville-DC	Y	N	N	N
Alliance for School Choice Speaker	4-Aug	Memphis-Vail-Los Angeles	N	Y	N	N
Wal-Mart Business Leadership Series Speaker	23-Aug	Nashville-Bentonville, AR-Chattanooga	N	N	N	N
TN Dept of Health Annual Summitt of Minority Communities Speaker	26-Aug	Memphis-Nashville-Memphis	N	N	N	N
Financial Services Sector Breakfast Speaker	9/18 & 9/20	Nashville-NYC-Las Vegas	N	N	N	Y
Peoples Baptist Church 200 Anniversary Speaker	10/7-10/8	DC-Boston-Memphis	Y	N	N	N
TX Civil Rights Project's Anniversity Celebration Speaker	10/23-10/24	Memphis-Austin-Los Angeles	Y	Y	N	N

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HAROLD E. FORD
9TH DISTRICT, TENNESSEE

COMMITTEES:
BUDGET

FINANCIAL SERVICES
SUBCOMMITTEES:
CAPITAL MARKETS, INSURANCE, AND GOVERNMENT-SPONSORED ENTERPRISES
FINANCIAL INSTITUTIONS AND CONSUMER CREDIT

Congress of the United States
House of Representatives
Washington, DC 20515–4209

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325 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
TEL.: (202) 225–3265
FAX: (202) 225–5663

167 NORTH MAIN, SUITE 389
MEMPHIS, TN 38103
TEL.: (901) 544–4131
FAX: (901) 544–4329

WEBSITE:
www.house.gov/ford

May 15, 2006

The Clerk
United States House of Representatives
Legislative Resource Center
B106 Cannon House Office Building
Washington, DC 20515-6612

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2006 MAY 18 AM 9:27
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U.S. HOUSE OF REPRESENTATIVES

RE: Amendment to Financial Disclosure Statement for 2005

Dear Sir/ Madam:

In the process of completing my Government Act Financial Disclosure Statement for 2005, I discovered that two charities who received payments in lieu of honoraria, received payments in excess of the $2,000 limit permitted by House Rule 25.

On May 15, 2006, after I had filed my Government Act Financial Disclosure Statement for 2005 (the "2005 FDS"), I became aware that Tampa Organization of Black Affairs ("TOBA") made a contribution in lieu of honoraria of $2,000 to Olivet Baptist Church , 740 Martin Luther King Boulevard, Chattanooga, TN 37403 on January 19, 2005. While the travel related to the speech, which is associated with this contribution, was disclosed on Schedule VII of my 2005 FDS, I was not aware until today, when I received a copy of TOBA's letter to Olivet Baptist Church, that TOBA made a contribution to Olivet Baptist Church. Unfortunately, the copy of the letter from TOBA to Olivet Baptist Church arrived in my office after I had filed my 2005 FDS.

Please accept this letter as an amendment to my 2005 FDS, adding TOBA's contribution to Olivet Baptist Church to Schedule II as attached hereto and made a part hereof.

Should you require any further information regarding the matters set forth above, please do not hesitate to contact me or Jay Lindy (901/ 524-5147), my attorney assisting me in preparing my financial disclosure statement.

Sincerely,

Harold Ford, Jr., Congressman

PRINTED ON RECYCLED PAPER

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Name Harold Ford, Jr.

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2006 MAY 18 AM 8:27

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U.S. HOUSE OF REPRESENTATIVES

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the Member or spouse in lieu of an honorarium to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
XYZ Magazine	Article	Aug. 13, 2005	$500
Tampa Organization of Black Affairs	Speech	1/17/05	$2,000
NAACP	Speech	2/26/05	$500
Howard University	Speech	9/23/05	$2,000

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

MAY 1 5 2006

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U.S. HOUSE OF REPRESENTATIVES
(Office Use Only) MC

Jeffrey L. Fortenberry
(Full Name)

301 S. 13th St. Lincoln, NE
(Mailing Address)

402/438-1598
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: NE District: 1	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ] No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/15/06

1434

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
	XYZ Magazine	Article	Aug. 13, 2005	$500

This page may be copied if more space is required.

Name Jeff Fortenberry

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

		Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP, DC, JT	Examples:	SP Mega Corp Stock	E	DIVIDENDS X	V	P
		Simon & Schuster	Indefinite	Royalties		
		1st Bank of Paducah, KY accounts	F	INTEREST X	VI	
* JT		St. Claire Communications, L.L.C. Lincoln, NE	C	INTEREST X	III	
		Business Consultation, Marketing & Investments				
* JT		Gloria Deo, Inc Lincoln, NE Retail Book + Gift Stores	E	Other: S-Corporate Income	VI	
		Smith Barney IRA All Capgrowth Fund	A		I	S (Proceeds - rollover to 401K) **
		Smith Barney IRA Dividend + Income Fund	A	DIVIDENDS X; CAPITAL GAINS X	III	S (Proceeds - rollover to 401K) **
		Smith Barney IRA Citibank Deposit Program	A	INTEREST X	II	E (Proceeds - rollover to 401K) **

* Book Value Basis

For additional assets and unearned income, use next page.

** Roll-over to Smith Barney Super Simple 401K

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jeff Fortenberry

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	401K Retirement Plan, Sandhills Publishing; American Funds	A X	INTEREST X	II X	E (Rollover to IRA)
	TIAA-CREF Retirement Fund CREF Stock Fund	A X		I X	E (Rollover to IRA)
SP	TIAA CREF Retirement Fund CREF Global Equities		INTEREST X	I X	
SP	TIAA CREF Retirement Fund CREF Stock Fund		INTEREST X	I X	
JT	Union Bank Lincoln, NE Checking Acct		INTEREST X	II X	
JT	Pepex Biomedical, LLC		INTEREST X	I X	
	Smith Barney Citigroup Supe-Simple 401K Plan Assets				
	-Citigroup Stock		DIVIDENDS X	II X	P
	-Costco Wholesale Corp Stock		DIVIDENDS X	II X	P
	-Dany Corp Stock		DIVIDENDS X	II X	P
	-Duke Energy Stock		DIVIDENDS X	II X	P
	-EFJ Inc Stock				P
	-Exxon Mobile Corp Stock		DIVIDENDS X	II X	P
	-Ford Motor Co Stock		DIVIDENDS X	II X	P
	-Intel Corp Stock		DIVIDENDS X	II X	P
	-Plains All Amer Pipeline Stock		DIVIDENDS X	II X	P
	-Sara Lee Corp Stock		DIVIDENDS X	II X	P
	-Thornburg Mtg Inc Stock		DIVIDENDS X	II X	P
	-Ventas Inc Stock		DIVIDENDS X		P
	-Govt Trust CTF-Zero CPN Bond		INTEREST X	II X	P
	-INDYMAC Bank CD		INTEREST X	II X	P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jeff Fortenberry

Page 6 of 11

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Super-Simple 401K Assets Gift				
	INDYMAC Bank CD	B	INTEREST	II	P
	Citibank Bank Deposit Program	D	INTEREST	III	P

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Name Jeff Fortenberry | Page 7 of 11

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: Purchase	Type: Sale	Type: Exchange	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10–12–05		X								
	Smith Barney IRA All Cap Growth Fund		X		4-4-05	X									
	Smith Barney IRA Dividend + Income Fund		X		4-4-05	X									
	Smith Barney IRA Citibank Deposit Program to Super Simple 401K			X	4-20-05			X							
	401K Retirement Plan, Sandhills Publishing to IRA Citibank Deposit Program			X	3-23-05			X							
	TIAA CREF Retirement Fund CREF Stock Fund to Super Simple 401K		X		6-15-05	X									
	Smith Barney Citigroup Super Simple 401K Plan Assets Below:														
	-Citigroup Stock	X			4-22-05	X									
	-Costco Wholesale Corp Stock	X			4-22-05	X									
	-Dana Corp Stock	X			4-22-05	X									
	-Duke Energy Stock	X			4-22-05	X									
	-EFJ Inc. Stock	X			4-22-05	X									
	-Exxon Mobile Stock	X			4-22-05	X									
	-Ford Motor Co. Stock	X			4-22-05	X									
	-Intel Corp Stock	X			4-22-05	X									
	-Plains All American Pipeline Stock	X			4-22-05	X									
	-Sara Lee Corp Stock	X			4-22-05	X									

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SCHEDULE IV — TRANSACTIONS

Name Jeff Fortenberry

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	- Thornburg Mtg Inc Stock	X			4-22-05	X									
	- Ventas Inc. Stock	X			4-22-05	X									
	- Gov't Trust CTF - Zero CPN Bond	X			4-22-05	X									
	- Financing Corp CPN FICO STRIPS Series 13 Gov't Bond	X			4-22-05	X									
	- Financing Corp CPN FICO STRIPS Series 13 Gov't Bond		X (Matured)		12-27-05	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Heritage Foundation	1-17 thru 1-18	Wash DC. - Baltimore, MD - Wash DC.	Y	Y	Y	None

This page may be copied if more space is required.

Name Jeff Fortenberry	Page 11 of 11

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2006 25-628 (mec)

Use additional sheets if more space is required.

LUIS G. FORTUÑO
PUERTO RICO

WASHINGTON OFFICE
126 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-2615 FAX: (202) 225-2154

SAN JUAN OFFICE
P.O. BOX 9023958
SAN JUAN, PR 00902-3958
(787) 723-6333 FAX (787) 729-7738

Congress of the United States
House of Representatives
Washington, DC

COMMITTEES:
TRANSPORTATION AND INFRASTRUCTURE
RESOURCES
EDUCATION AND THE WORKFORCE

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LEGISLATIVE RESOURCE CENTER
2006 MAY 15 PM 2:24
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U.S. HOUSE OF REPRESENTATIVES

6/

MC

May 15, 2006

BYHAND

The Clerk
U.S. House of Representatives
Legislative Resources Center
B-106 Cannon House Office Building
Washington, D.C. 20515

Dear Sir:

I am enclosing an original and two copies of my Financial Disclosure Statement for 2005. My wife and I liquidated two IRA accounts and my Keogh Plan (three accounts) to purchase a home in the Washington area in 2005. Thus, I am also enclosing a copy of a letter from Smith Barney confirming that all three accounts were closed and the three 2005 Year End Summaries.

Additionally, the Keogh Plan was also the holder of half of my interest (stock and loan) in Eastern Builders, Inc. Such interest was transferred to me on March 2005, as shown in the enclosed chart. The company does not segregate the value of the shares from the value of the loan (principal and interest).

Should you have any question with respect to the foregoing, please do not hesitate to contact me.

Sincerely,

Luis G. Fortuño
Member of Congress

Enclosures

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2006 MAY 15 PM 2:24
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Luis G. Fortuño
(Full Name)

126 Cannon HOB
(Mailing Address)

(202) 225-2615
Daytime Telephone:

Washington, D.C. 20515

Filer Status	[X] Member of the U.S. House of Representatives	State: Puerto Rico District: At Large	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		05/15/06

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Correa, Collazo, Herrero, Jiménez & Fortuño	Deferred payment owed after resignation	$50,000
Doral Financial Corporation	Spouse Prof. Service Fee	N/A
Partners Legal Services, PSC	Spouse Prof. Service Fee	N/A
1st Equity Mortgage Co.	Spouse Prof. Service Fee	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name Luis G. Fortuno | Page 3 of 9

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
	XYZ Magazine	Article	Aug. 13, 2005	$500
	N/A			

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP	SP Mega Corp. Stock					X								X										X								P
DC, JT	*Examples:* Simon & Schuster		Indefinite																	Royalties									X			
	1st Bank of Paducah, KY accounts						X									X									X							
JT	Doral Bank Money Market Account		X													X								X								
DC	Doral Bank Savings Account			X												X						X										
DC	Doral Bank Savings Account			X												X						X										
DC	Doral Bank Savings Account			X												X						X										
SP	Western Bank IRA Account (CD)				X											X							X									P (partially)
	Western Bank IRA (CD)				X											X							X									P (partially)

For additional assets and unearned income, use next page.

1449

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Cash Value - Equitable Life Insurance			X																Capital Appreac.			X									
JT	Eastern Builders, Inc. (Stock)									X				X							X											Both partially transferred from my Keogh Plan.
JT	Eastern Builders, Inc. (Note Receivable)															X					X											
SP	Martinez, Odell & Calabria Retirement Plan				X									X							X											
	- Cordero, Collazo, Herrero & Fortuño (Liquidation pending)				X															Capital Appreciation							X					
	- Lawyer Closing and Title Services, Inc. (Liquidation pending)			X										X							X											
	- Lease of Farmed home W-3 Loma Alta St. Garden Hills Guaynabo, PR 00966									+					+					Rental Income								+				
DC	UBS Financial Services (investment acct)			X										X						Capital Appreac		X										P, S
DC	UBS Financial Services (investment acct)			X										X						Capital Appreac		X										P, S
DC	UBS Financial Services (investment acct)			X										X						Capital Appreac		X										P, S
JT	San Juan West Residential Properties, Inc. (Stock)		+											X							X											
JT	BB&T (Money Market Acct)			X												X							X									

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SCHEDULE IV — TRANSACTIONS

Name Luis G. Fortuño

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	- Transfer of Eastern Builders, Inc. Stock and Note from Keogh Plan		X		3/31/05					X					
	- Liquidation of Keogh Plan - Salomon Smith Barney Accounts (see attached evidence of sale) - Accounts numbers 837-18773, 837-20187 and 837-81589		X		2005				X						

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Banco Popular de Puerto Rico San Juan, Puerto Rico	Mortgage on W-3, Loma Alta St., Garden Hills, Guaynabo, Puerto Rico					X					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
None		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Orlando Regional Chamber of Commerce	March 4	Wash, D.C. - Orlando - Sanford	N	Y*	N	None
			* Spoke at lunch during their Hispanic Summit and ate lunch served to all attendees (approx. 500 people)				

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
None	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
12/31/2004	Correa, Collazo, Herrero, Jiménez & Fortuño and Luis G. Fortuño	Differences in accounting and valuation, in addition to completion of litigation case that was handled while at law firm, have prevented determination of amount of final payment.

'06 25-628 (mec)

Use additional sheets if more space is required.

SMITH BARNEY
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Paul G. Brennan
Senior Vice President
Senior Investment Consultant
Bus 787-625-6407
Bus 787-759-8335/787-625-6400
Email paul.g.brennan@smithbarney.com

March 24, 2006

Mr. Luis G. Fortuño
10102 Nedra Drive
Great Falls, VA 22066

Re: Accounts 837-18773, 837-20187, 837-81589

Dear Mr. Fortuño:

We hereby confirm that the above-referenced accounts for the Luis Fortuño Retirement Plan have been closed and hold no assets.

Please let us know if we can be of further assistance.

Cordially yours,

Paul G. Brennan

THE INFORMATION SET FORTH WAS OBTAINED FROM SOURCES WHICH WE BELIEVE RELIABLE BUT WE DO NOT GUARANTEE ITS ACCURACY OR COMPLETENESS. NEITHER THE INFORMATION NOR ANY OPINION EXPRESSED CONSTITUTES A SOLICITATION BY US OF THE PURCHASE OR SALE OF ANY SECURITIES.

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SMITH BARNEY

Ref: 00026384 00164261

Financial Management Account
Forms 1099 and 2005 Year End Summary

837M051912246000026384 305381AJ01 WEB00158A
MR LUIS G. FORTUNO
837 CALLE 1 #14-D
191 URB. PARQUE DE SAN IGNACIO
SAN JUAN PR 00921

Account Number 837-22466-14 191

Important income tax return document enclosed. For tax advice, please consult your tax advisor.

If you have questions about your Forms 1099 or Year End Summary, call our toll-free number, Monday through Friday, 9:00 a.m. to 5:00 p.m. EST., 1(800) 727-1099

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Ref: 00026384 00164262

Financial Management Account Forms 1099 for 2005

Account Number 837-22466-14 191 **SS # 582-37-9608**

Payer	*Your Financial Advisor*	
CITIGROUP GLOBAL MKTS INC.	PAUL G. BRENNAN	MR LUIS G. FORTUNO
388 GREENWICH STREET	CITIGROUP GLOBAL MKTS INC.	CALLE 1 #14-D
NEW YORK, NEW YORK 10013	1 CITIBANK DR PL NO	URB. PARQUE DE SAN IGNACIO
Attn: Tax Reporting Service Center	SAN JUAN PR 00926	SAN JUAN PR
Tax ID Number 11-2418191	Branch Office : 787-759-8333	00921

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-INT Interest Income 2005 [redacted]

Interest income not included in box 3	Early withdrawal penalty	Interest on US Savings Bonds and Treas. obligations	Federal income tax withheld	Investment expenses	Foreign tax paid	Foreign country or US possession
$ 108.97						
Box 1	Box 2	Box 3	Box 4	Box 5	Box 6	Box 7

1099-DIV Dividends and Distributions 2005 [redacted]

Total ordinary dividends	Qualified dividends	Total capital gain distributions	Unrecaptured Sec. 1250 gain	Section 1202 gain	Collectibles (28%) gain
$ 252.35	$ 250.42				
Box 1a	Box 1b	Box 2a	Box 2b	Box 2c	Box 2d

Nondividend distributions	Federal income tax withheld	Investment expenses	Foreign tax paid	Foreign country or US possession	Cash liquidation distributions	Non cash liquidation distributions
			$ 8.24	Various		
Box 3	Box 4	Box 5	Box 6	Box 7	Box 8	Box 9

1457

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Ref: 00026384 00154263

Financial Management Account Forms 1099 for 2005

MR LUIS G. FORTUNO
Account Number 837-22466-14 191 *SS # 582-37-8608*

Payer
CITIGROUP GLOBAL MKTS INC.
388 GREENWICH STREET
NEW YORK, NEW YORK 10013
Attn: Tax Reporting Service Center
Tax ID Number 11-2418191

Your Financial Advisor
PAUL G. BRENNAN
CITIGROUP GLOBAL MKTS INC.
1 CITIBANK DR PL NO
SAN JUAN PR 00926
Branch Office : 787-759-8333

MR LUIS G. FORTUNO
CALLE 1 #14-D
URB. PARQUE DE SAN IGNACIO
SAN JUAN PR
00921

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B Proceeds from Broker and Barter Exchange Transactions 2005

(OMB #1545-0715)

Gross proceeds from each transaction are individually reported to the IRS after deducting commissions. For bond transactions, accrued income is also deducted. Taxable accrued interest is reported on Form 1099-INT and included in your "Details of 1099 Reported Interest Income".

Reference number	Date of sale or exchange (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 7)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
120000100	04/04/05	G6359F103001	5	NABORS INDUSTRIES LTD-USD NEW	$ 59.66	$ 293.28	
120000200	04/04/05	G65422100001	10	NOBLE CORP-NEW (CAYMAN ISLANDS)	56.95	564.47	
120000300	04/04/05	Y2573F102001	20	FLEXTRONICS INTL LTD USD	12.04	236.78	
120000600	04/04/05	00142C631000	214.844	AIM HIGH YIELD FUND INVESTOR CLASS	4.43	950.87	
120000700	04/04/05	001957505000	25	AT&T CORP-NEW	18.82	465.48	
120001000	07/07/05	00724F101000	40	ADOBE SYSTEMS INC (DE)	27.73	1,104.15	
120001100	07/08/05	00724F101000	.093	ADOBE SYSTEMS INC (DE)	27.61	2.45	
120001200	04/04/05	02209S103000	25	ALTRIA GROUP INC	64.80	1,609.93	
120001300	04/04/05	025816109000	15	AMERICAN EXPRESS CO	51.33	764.91	
120001400	04/01/05	026874107000	45	AMERICAN INTL GROUP INC	51.04	2,291.70	
120001500	05/24/05	031162100000	60	AMGEN INC	62.13	3,722.64	
120001600	04/04/05	053499109000	4	AVAYA INC	11.58	31.77	
120001700	04/04/05	053611109000	10	AVERY DENNISON CORP	61.46	609.47	
120001800	04/01/05	055622104001	10	BP PLC SPONS ADR	62.75	622.47	

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Ref: 00026384 00184264

Financial Management Account Forms 1099 for 2005

MR LUIS G. FORTUNO
Account Number 837-22466-14 191 *SS # 582-37-8608*

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B Proceeds From Broker and Barter Exchange Transactions 2005 – *continued* (Cont'd on next page)

Reference number	Date of sale or exchange (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 7)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
120001900	04/04/05	060505104000	55	BANK OF AMERICA CORP	$ 43.98	$ 2,413.79	
120002000	04/05/05	060505104000	.1334	BANK OF AMERICA CORP	44.16	5.65	
120002100	04/01/05	064057102000	20	BANK NEW YORK INC	28.87	572.37	
120002200	04/04/05	068278100000	71.647	BARON ASSET FUND	52.07	3,730.68	
120002300	04/01/05	075896100000	20	BED BATH & BEYOND	36.41	723.18	
120002400	04/01/05	09062X103000	20	BIOGEN IDEC INC	34.63	687.57	
120002500	04/04/05	097023105000	15	BOEING CO	58.44	871.56	
120002600	04/04/05	14040H105000	15	CAPITAL ONE FINL CORP	75.12	1,121.75	
120002700	07/07/05	17275R102000	180	CISCO SYS INC	18.78	2,999.67	
120002800	05/24/05	191216100000	45	COCA-COLA CO	44.86	2,013.61	
120002900	04/01/05	20030N101000	25	COMCAST CORP CL A	33.48	831.96	
120003000	04/01/05	200340107000	10	COMERICA INC	54.63	541.27	
120003100	06/24/05	205862402000	25	COMVERSE TECHNOLOGY INC-NEW	23.99	594.72	
120003200	04/01/05	24702R101000	75	DELL INC	38.19	2,859.13	
120003300	04/01/05	252787106000	10	DIAMONDS TRUST SER 1	104.14	1,036.35	
120003400	04/04/05	252787106000	.7142	DIAMONDS TRUST SER 1	104.05	71.34	
120003500	04/01/05	254687106000	70	WALT DISNEY CO	28.61	1,997.61	
120003600	04/04/05	261970107000	188.376	DREYFUS APPRECIATION FD INC	38.45	7,243.06	
120003700	04/01/05	268648102000	20	EMC CORP-MASS	12.38	242.68	
120003800	07/07/05	30231G102000	16	EXXON MOBIL CORP	58.72	934.48	
120003900	09/09/05	30231G102000	16	EXXON MOBIL CORP	62.32	992.07	
120004000	04/04/05	35687M208000	8	FREESCALE SEMICONDUCTOR INC CLASS B	16.86	129.87	
120004100	04/01/05	368710406000	30	GENENTECH INC	56.37	1,686.02	
120004200	04/01/05	369604103000	102	GENERAL ELECTRIC CO	35.52	3,617.88	

1459

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Ref: 00026384 00164265

Financial Management Account Forms 1099 for 2005

MR LUIS G. FORTUNO
Account Number 837-22466-14 191 *SS # 582-37-8608*

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B Proceeds from Broker and Barter Exchange Transactions 2005 – *continued* (08/02/2006 8:21)

Reference number	Date of sale or exchange (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 7)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
120004300	04/04/05	369604103000	.2287	GENERAL ELECTRIC CO	$ 35.47	$ 7.79	
120004400	04/01/05	375766102000	80	GILLETTE CO	50.12	3,002.07	
120004500	04/04/05	37733W105001	15	GLAXOSMITHKLINE PLC SP ADR	45.20	672.97	
120004600	04/01/05	38141G104000	10	GOLDMAN SACHS GROUP INC	109.43	1,089.25	
120004700	04/01/05	428236103000	46	HEWLETT PACKARD CO	21.70	993.15	
120004800	04/04/05	428236103000	.4372	HEWLETT PACKARD CO	21.71	9.11	
120004900	04/01/05	437076102000	80	HOME DEPOT INC	37.66	3,007.67	
120005000	04/04/05	437076102000	.6784	HOME DEPOT INC	37.60	24.48	
120005100	04/01/05	438516106000	30	HONEYWELL INTL INC	37.00	1,104.96	
120005200	04/01/05	44919P102000	70	IAC INTERACTIVECORP	22.18	1,547.53	
120005600	05/27/05	458140100000	75	INTEL CORP	26.12	1,953.91	
120005700	08/09/05	458140100000	100	INTEL CORP	26.91	2,685.89	
120005800	09/09/05	458140100000	68	INTEL CORP	25.24	1,711.24	
120006100	09/12/05	458140100000	.5944	INTEL CORP	25.25	16.83	
120006400	07/07/05	459200101000	26	INTL BUSINESS MACHINES CORP	76.51	1,984.17	
120006500	08/09/05	459200101000	25	INTL BUSINESS MACHINES CORP	83.47	2,081.66	
120006600	08/10/05	459200101000	.4164	INTL BUSINESS MACHINES CORP	83.50	33.37	
120006700	04/04/05	460146103000	20	INTERNATIONAL PAPER CO	36.28	720.56	
120006800	04/04/05	464287614000	30	ISHARES RUSSELL 1000 GROWTH INDEX FUND	46.71	1,396.24	
120006900	04/05/05	464287614000	.8797	ISHARES RUSSELL 1000 GROWTH INDEX FUND	46.64	39.38	
120007300	06/27/05	46625H100000	50	JPMORGAN CHASE & CO	35.62	1,775.92	
120007400	07/07/05	46625H100000	37	JPMORGAN CHASE & CO	34.49	1,271.07	
120007500	07/08/05	46625H100000	.2402	JPMORGAN CHASE & CO	34.46	7.94	

1460

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SMITH BARNEY

Ref: 00028384 00164266

Financial Management Account Forms 1099 for 2005

MR LUIS Q. FORTUNO
Account Number 837-22466-14 191 *SS # 582-37-8608*

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B Proceeds From Broker and Barter Exchange Transactions 2005 - continued

Reference number	Date of sale or exchange (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 7)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
120007600	04/01/05	478160104000	40	JOHNSON & JOHNSON	$ 66.94	$ 2,672.48	
120007700	04/04/05	4812A0623000	84.546	JPMORGAN EMERGING MARKETS EQUITY FUND SELECT	11.04	933.39	
120007800	04/04/05	48337W100000	15	KEYSPAN CORP	39.15	582.22	
120007900	04/04/05	494368103000	10	KIMBERLY CLARK CORP	65.43	649.27	
120008000	04/04/05	501044101000	45	KROGER CO	15.77	704.62	
120008100	04/04/05	52106N400000	334.517	LAZARD INTL EQUITY PORTFOLIO FUND	12.66	4,234.99	
120008200	04/04/05	52106N600000	521.814	LAZARD EQUITY PORTFOLIO FUND	15.83	8,260.32	
120008300	04/04/05	529771107000	10	LEXMARK INTL GROUP INC CL A	79.78	792.76	
120008400	04/04/05	530718105000	60	LIBERTY MEDIA CORP A	10.36	616.57	
120008500	04/04/05	549463107000	55	LUCENT TECHNOLOGIES INC	2.63	139.64	
120008600	04/05/05	549463107000	.3678	LUCENT TECHNOLOGIES INC	2.62	.92	
120008700	04/04/05	585055106000	10	MEDTRONIC INC	51.04	505.37	
120008800	04/05/05	585055106000	.1804	MEDTRONIC INC	51.01	8.83	
120008900	06/10/05	590188108000	50	MERRILL LYNCH & CO INC	56.97	2,793.38	
120008200	07/07/05	594918104000	100	MICROSOFT CORP	24.59	2,453.89	
120009400	09/08/05	594918104000	64	MICROSOFT CORP	26.76	1,707.56	
120009600	09/12/05	594918104000	.6145	MICROSOFT CORP	26.58	15.68	
120008700	04/04/05	617446448000	10	MORGAN STANLEY	58.41	579.07	
120009800	07/07/05	620076109000	33	MOTOROLA INC DE	18.50	605.47	
120010100	09/09/05	620076109000	41	MOTOROLA INC DE	22.97	936.73	
120010200	09/12/05	620076109000	.0913	MOTOROLA INC DE	22.88	2.00	
120010300	05/24/05	631100104000	25	NASDAQ 100 TRUST SER 1	37.83	940.71	
120010400	05/25/05	631100104000	.2455	NASDAQ 100 TRUST SER 1	37.97	8.95	

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Ref: 00026384 00164267

Financial Management Account Forms 1099 for 2005

MR LUIS G. FORTUNO
Account Number 837-22466-14 191 SS # 582-37-8608

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B Proceeds from Broker and Barter Exchange Transactions 2005 - continued

Reference number	Date of sale or exchange (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 7)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
120010500	04/04/05	640917100000	178.564	NEUBERGER BERMAN GENESIS TRUST FD	$ 44.22	$ 7,895.66	
120010600	04/04/05	651229106000	25	NEWELL RUBBERMAID INC	21.97	544.22	
120010700	06/24/06	65248E203000	60	NEWS CORP CLASS B NEW	16.54	987.36	
120010800	04/04/05	65332V103000	30	NEXTEL COMMUNICATIONS INC CL A	28.77	858.06	
120011200	06/27/05	654902204001	78	NOKIA CORP SPONSORED ADR	17.01	1,321.72	
120011300	06/28/05	654902204001	.2551	NOKIA CORP SPONSORED ADR	16.94	4.15	
120011400	04/04/05	693391716000	41.01	PIMCO HIGH YIELD FUND CLASS D	9.69	397.39	
120011500	04/01/05	708160106000	15	J C PENNEY CO INC	50.00	744.96	
120011600	06/10/05	713448108000	25	PEPSICO INC	55.41	1,380.19	
120011700	05/24/05	717081103000	100	PFIZER INC	28.80	2,874.87	
120012000	06/10/05	717081103000	39	PFIZER INC	27.65	1,073.30	
120012100	06/13/05	717081103000	.6307	PFIZER INC	27.68	16.75	
120012400	07/07/05	747525103000	40	QUALCOMM INC	33.31	1,327.34	
120012500	07/08/05	747525103000	.4935	QUALCOMM INC	33.47	15.85	
120012600	06/27/05	755111507000	15	RAYTHEON COMPANY NEW	38.66	574.87	
120012700	06/10/05	780257804001	15	ROYAL DUTCH PETROLEUM CO NY REG SH PAR N GLDR 1.25	59.47	887.01	
120013100	06/10/05	78387G103000	52	SBC COMMUNICATIONS INC	23.60	1,216.94	
120013200	06/13/06	78387G103000	.7881	SBC COMMUNICATIONS INC	23.63	17.42	
120013300	04/04/05	792860108000	10	ST PAUL TRAVELERS COS INC	35.72	352.18	
120013400	04/04/05	806605101000	40	SCHERING PLOUGH CORP	17.96	714.16	
120013500	04/04/05	816851109000	15	SEMPRA ENERGY	39.78	591.67	
120013600	04/04/05	834182107000	80	SOLECTRON CORP	3.56	279.78	

1462

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SMITH BARNEY

Ref: 00026384 00164268

Financial Management Account Forms 1099 for 2005

MR LUIS G. FORTUNO
Account Number 837-22466-14 191 *SS # 582-37-8608*

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B Proceeds From Broker and Barter Exchange Transactions 2005 – continued (SAMPLE 3645 CONT)

Reference number	Date of sale or exchange (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 7)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
120013700	04/04/05	863344107000	432.548	STRONG GOVERNMENT SECURITIES	$ 10.63	$ 4,597.96	
120013800	04/04/05	87612E106000	10	TARGET CORP	49.48	489.77	
120014100	05/24/05	882508104000	130	TEXAS INSTRUMENTS INC	27.33	3,547.75	
120014300	05/25/05	882508104000	.5761	TEXAS INSTRUMENTS INC	27.53	15.23	
120014400	06/10/05	887317105000	195	TIME WARNER INC	16.78	3,266.96	
120014500	04/04/05	89151E109001	10	TOTAL S.A SPONS ADR	117.02	1,166.15	
120015100	09/09/05	902124106001	25	TYCO INTL LTD NEW	28.51	707.72	
120015200	09/12/05	902124106001	.4599	TYCO INTL LTD NEW	28.73	12.68	
120015300	04/04/05	92343V104000	20	VERIZON COMMUNICATIONS	35.64	707.77	
120015400	04/04/05	929903102000	20	WACHOVIA CORP 2ND NEW	50.75	1,009.95	
120015500	04/04/05	936772102000	27.188	WASATCH SMALL CAP GROWTH FUND	38.23	1,039.40	
120015600	04/04/05	939322103000	15	WASHINGTON MUTUAL INC	38.98	579.67	
120015700	04/04/05	982526105000	20	WM WRIGLEY JR CO	65.09	1,296.74	
120015800	04/04/05	984121103000	21	XEROX CORP	15.15	313.13	
120015900	04/05/05	984121103000	.2457	XEROX CORP	15.25	3.59	
Totals						$ 151,298.77	

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Ref: 00028384 00164269

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO
Account Number 837-22466-14 191

We are pleased to provide you with this overview of activity in your account this year.
This information will help you understand the performance of your investments and manage your account with the assistance of your Financial Advisor. This information is not provided to the IRS.

Value of your portfolio

Description	Amount
Combined account balance	$ .48
Value of your accounts at 12/31/05	$ .48

Interest you paid 2005

Description	Amount
Margin loan	$ 14.78

Earnings summary 2005

Due to IRS reporting requirements, these figures may not be the same as totals reported on your monthly statement.

Description	1099 Reported	1099 Exempt	Total
Interest *	$ 108.97		$ 108.97
Other dividends **	1.93		1.93
Qualified dividends	250.42		250.42
Totals	$ 361.32		$ 361.32

**If you received accrued interest, it is included in this amount.*
***If you received money funds earnings, capital gain distributions or non-taxable distributions, they are included in this amount.*

Summary of foreign tax withheld 2005

The following taxes have been withheld in compliance with U.S. federal or foreign regulations.

Description	Amount
Foreign tax paid	$ 6.24
Total	$ 6.24

2005 YEAR END SUMMARY

1464

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO

Account Number 837-22466-14 191

Details of 1099-Reported Interest Income 2005

The following details show how we derived your total interest reported on Form 1099-INT.
Amounts in Box 1 and Box 3 include any taxable accrued interest received.

Reference number	Description	Interest income not included in box 3 (Box 1)	Early withdrawal penalty (Box 2)	Interest on US Savings Bonds and Treas. obligations (Box 3)	Federal income tax withheld (Box 4)	Investment expenses (Box 5)	Foreign tax paid (Box 6)
130000100	CITIBANK NEVADA BANK DEPOSIT PROGRAM	$ 2.09					
130000200	CITIBANK NA BANK DEPOSIT PROGRAM	106.88					
Totals in Boxes 1-6		$ 108.97					

Details of 1099-Reported Dividends and Distributions 2005, Boxes 1a - 2d

The following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received.

Reference number	Description	Total Ordinary Dividends: Dividends* (Box 1a)	Total Ordinary Dividends: Short term capital gain* (Box 1a)	Qualified dividends (Box 1b)	Total capital gain distributions (Box 2a)	Unrecaptured Sec. 1250 gain (Box 2b)	Section 1202 gain (Box 2c)	Collectibles (28%) gain (Box 2d)
150000100	AIM HIGH YIELD FUND INVESTOR CLASS	$ 1.10						
150000200	AT&T CORP-NEW	5.94		5.94				
150000300	AMERICAN EXPRESS CO	1.80		1.80				
150000400	EXXON MOBIL CORP	13.92		13.92				
150000500	INTEL CORP	32.85		32.85				
150000600	INTL BUSINESS MACHINES CORP	15.26		15.26				
150000700	JPMORGAN CHASE & CO	42.06		42.06				
150000800	MEDTRONIC INC	.85		.85				
150000900	MERRILL LYNCH & CO INC	10.00		10.00				
150001000	MICROSOFT CORP	18.26		18.26				
150001100	MOTOROLA INC DE	2.96		2.96				
150001200	*** NOKIA CORP SPONSORED ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	32.75		32.75				

2005 YEAR END SUMMARY

1465

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Ref: 00026384 00164271

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO

Account Number 837-22466-14 191

Details of 1099 Reported Dividends and Distributions 2005, Boxes 1a, 2d *- continued*

Reference number	Description	Total Ordinary Dividends: Dividends* (Box 1a)	Total Ordinary Dividends: Short term capital gain*	Qualified dividends (Box 1b)	Total capital gain distributions (Box 2a)	Unrecaptured Sec. 1250 gain (Box 2b)	Section 1202 gain (Box 2c)	Collectibles (28%) gain (Box 2d)
150001300	PIMCO HIGH YIELD FUND CLASS D	$ .35						
150001400	PEPSICO INC	6.50		6.50				
150001500	PFIZER INC	26.35		26.35				
150001600	QUALCOMM INC	3.63		3.63				
150001700	RAYTHEON COMPANY NEW	3.30		3.30				
150001800	*** ROYAL DUTCH PETROLEUM CO NY REG SH PAR N GLDR 1.25 THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT	8.86		8.86				
150001900	SBC COMMUNICATIONS INC	16.80		16.80				
150002000	STRONG GOVERNMENT SECURITIES	.48						
150002100	TEXAS INSTRUMENTS INC	3.26		3.26				
150002200	*** TYCO INTL LTD NEW	5.07		5.07				
Totals		$ 252.35		$ 250.42				

** The total of these two categories equals the amount reported in Form 1099-DIV, Box 1a.*

Please note:

- *"Dividends" includes both Qualified and non-qualified dividends received.*
- *"Total capital gain distributions" is a total of long term capital gain distributions and the amounts reported in Boxes 2b through 2d.*

2005 YEAR END SUMMARY

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Ref: 00026384 00164272

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO
Account Number 837-22466-14 191

Details of Dividends and Distributions 2005, Box 1a - 9

The following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received.

Reference number	Description	Nondividend distributions (Box 3)	Federal income tax withheld (Box 4)	Investment expenses (Box 5)	Foreign tax paid (Box 6)	Cash liquidation distributions (Box 8)	Non cash liquidation distributions (Box 9)
150001200	*** NOKIA CORP SPONSORED ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT				$ 4.91		
180001800	*** ROYAL DUTCH PETROLEUM CO NY REG SH PAR N GLDR 1.25 THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT				1.33		
Totals					$ 6.24		

Details of Short Term Gain/Loss 2005

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000100	5	NABORS INDUSTRIES LTD-USD NEW	11/29/04	04/04/05	$ 293.28	$ 260.35		$ 32.93
125000200	10	NOBLE CORP- NEW (CAYMAN ISLANDS)	11/30/04	04/04/05	564.47	483.73		80.74
125000400	1.281	AIM HIGH YIELD FUND INVESTOR	05/03/04	04/04/05	5.59	5.54		.05
	1.309	CLASS	06/01/04		5.80	5.58		.22
	1.304		07/01/04		5.78	5.62		.16
	1.311		08/02/04		5.81	5.65		.16
	1.31		09/01/04		5.80	5.71		.09
	1.245		10/01/04		5.52	5.47		.05
	1.197		11/01/04		5.30	5.33	(.03)	
	1.096		12/01/04		4.86	4.93	(.07)	
	.934		01/03/05		4.14	4.25	(.11)	
	.943		02/01/05		4.18	4.27	(.09)	

2005 YEAR END SUMMARY

1467

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Ref: 00025384 00164273

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO
Account Number 837-22466-14 191

2005 YEAR END SUMMARY

Details of Short Term Gain/(Loss) 2005 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	.967		03/01/05		$ 4.58	$ 4.43		$ .15
	.074		04/01/05			.32	(.32)	
125000500	25	AT&T CORP-NEW	08/06/04	04/04/05	465.48	363.75		101.73
125000600	.0003	ADOBE SYSTEMS INC (DE) CASH IN LIEU OF .09300 RECORD 06/02/05 PAY 06/23/05	01/12/05	06/22/05	.01	.01		
125000800	.0115	ADOBE SYSTEMS INC (DE)	07/14/04	07/08/05		.25	(.25)	
	.0096	LIQUIDATION OF FRACTIONAL SHS	10/13/04			.24	(.24)	
	.0094		01/12/05			.24	(.24)	
125001100	16	AMERICAN INTL GROUP INC	10/06/04	04/01/05	814.83	1,058.16	(243.33)	
125001400	10	AVERY DENNISON CORP	06/04/04	04/04/05	609.47	594.63		14.84
125001600	.3014	BANK OF AMERICA CORP	06/28/04	04/04/05	13.23	12.75		.48
	.328		09/27/04		14.39	14.46	(.07)	
	.3119		12/23/04		13.69	14.61	(.92)	
	.2		03/29/05		8.79	8.85	(.06)	
125001700	.1334	BANK OF AMERICA CORP LIQUIDATION OF FRACTIONAL SHS	03/23/05	04/05/05	5.66	5.91	(.26)	
125001900	4.114	BARON ASSET FUND	11/29/04	04/04/05	214.22	202.12		12.10
125002000	20	BED BATH & BEYOND	01/14/05	04/01/05	723.16	819.33	(96.17)	
125002300	5	CAPITAL ONE FINL CORP	05/24/04	04/04/05	373.92	335.87		38.05
	5		07/12/04		373.92	333.75		40.17
	5		02/15/05		373.91	392.75	(18.84)	
125002600	5	COMCAST CORP CL A	02/03/05	04/01/05	166.39	159.54		6.85
125002700	10	COMERICA INC	02/14/05	04/01/05	541.27	586.18	(44.91)	
125003100	.0117	DIAMONDS TRUST SER 1	04/14/04	04/04/05	1.17	1.23	(.06)	
	.0062	LIQUIDATION OF FRACTIONAL SHS	05/19/04		.62	.61		.01
	.0302		06/16/04		3.02	3.12	(.10)	
	.0097		07/14/04		.97	.99	(.02)	
	.0089		08/18/04		.89	.88		.01
	.0302		09/15/04		3.02	3.12	(.10)	
	.0115		10/14/04		1.15	1.16	(.01)	
	.0086		11/17/04		.86	.90	(.04)	
	.0222		12/06/04		2.22	2.34	(.12)	
	.0331		12/15/04		3.31	3.52	(.21)	
	.0166		01/20/05		1.66	1.76	(.10)	
	.0097		02/16/05		.97	1.04	(.07)	
	.0235		03/16/05		2.27	2.54	(.27)	

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO

Account Number 837-22456-14 191

Details of Short Term Capital Gains/Losses - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003300	2.469	DREYFUS APPRECIATION FD INC	12/29/04	04/04/05	$ 94.54	$ 95.08	($ .54)	
125003700	.0188	FREESCALE SEMICONDUCTOR INC	04/16/04	04/04/05		.31	(.31)	
	.0193	CLASS B	07/16/04			.31	(.31)	
	.0171		10/18/04			.31	(.31)	
125003900	.2034	GENERAL ELECTRIC CO	04/27/04	04/01/05	7.21	6.28		.93
	.1949		07/27/04		6.91	6.33		.58
	.1598		10/28/04		5.68	5.28		.40
125004000	.0325	GENERAL ELECTRIC CO	10/28/04	04/04/05	1.11	1.08		.03
	.1961	LIQUIDATION OF FRACTIONAL SHS	01/26/05		6.68	7.04	(.36)	
125004500	.0724	HEWLETT PACKARD CO	04/08/04	04/04/05	1.51	1.68	(.17)	
	.0841	LIQUIDATION OF FRACTIONAL SHS	07/08/04		1.75	1.69		.06
	.0866		10/07/04		1.86	1.70		.16
	.0813		01/06/05		1.70	1.71	(.01)	
125004700	.0608	HOME DEPOT INC	06/25/04	04/04/05	2.19	2.16		.03
	.056	LIQUIDATION OF FRACTIONAL SHS	09/17/04		2.02	2.17	(.15)	
	.0511		12/17/04		1.84	2.17	(.33)	
	.0668		03/28/05		2.43	2.55	(.12)	
125004800	15	IAC INTERACTIVECORP	12/06/04	04/01/05	331.61	369.93	(38.32)	
125006200	.2278	INTEL CORP	12/02/04	09/09/05	5.73	5.26		.47
	25		12/22/04		629.13	586.82		42.31
	.433		03/02/05		10.90	10.56		.34
	.5114		06/02/05		12.86	13.91	(1.05)	
125006300	.164	INTEL CORP	06/02/05	09/12/05	3.97	4.46	(.49)	
	.5304	LIQUIDATION OF FRACTIONAL SHS	09/02/05		12.88	13.45	(.59)	
125006500	.0649	INTL BUSINESS MACHINES CORP	09/13/04	08/09/05	7.07	7.38	(.31)	
	.0764		12/13/04		6.38	7.38	(1.03)	
	4.8017		02/03/05		399.83	448.60	(48.77)	
125006600	.1983	INTL BUSINESS MACHINES CORP	02/03/05	08/10/05	15.89	18.53	(2.64)	
	.0804	LIQUIDATION OF FRACTIONAL SHS	03/11/05		8.44	7.41	(.97)	
	.1377		06/13/05		11.04	10.25		.79
125006800	.0462	ISHARES RUSSELL 1000 GROWTH	07/02/04	04/05/05	2.07	2.19	(.12)	
	.0681	INDEX FUND	10/01/04		3.05	3.10	(.05)	
	.1589	LIQUIDATION OF FRACTIONAL SHS	12/31/04		7.11	7.84	(.73)	
125006100	.5874	JPMORGAN CHASE & CO	08/03/04	07/07/05	20.18	21.99	(1.81)	
	5		10/20/04		171.77	185.80	(14.03)	
	.5729		11/02/04		19.68	22.19	(2.51)	
	.5971		02/01/05		20.51	22.39	(1.88)	
	.5429		05/03/05		18.66	19.29	(.63)	

2005 YEAR END SUMMARY

1469

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Ref: 00026384 00164275

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO
Account Number 837-22466-14 191

2005 YEAR END SUMMARY

Realized Short Term Gains/Losses 2005 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125006200	.2402	JPMORGAN CHASE & CO LIQUIDATION OF FRACTIONAL SHS	06/03/05	07/08/05	$ 7.94	$ 8.54	($ .60)	
125006400	.49	JPMORGAN EMERGING MARKETS EQUITY FUND SELECT	12/15/04	04/04/05	5.42	4.95		.47
125006700	10	KROGER CO	08/04/04	04/04/05	156.58	158.00	(1.42)	
125006800	5.825	LAZARD INTL EQUITY PORTFOLIO	08/16/04	04/04/05	73.74	63.02		10.72
	1.275	FUND	12/23/04		16.15	16.12		.03
125006900	.374	LAZARD EQUITY PORTFOLIO FUND	08/16/04	04/04/05	5.92	5.35		.57
	4.732		12/23/04		74.91	75.33	(.42)	
125007000	5	LEXMARK INTL GROUP INC CL A	10/28/04	04/04/05	396.38	392.90		3.48
	5		10/27/04		396.38	399.93	(3.55)	
125007500	.0144	MEDTRONIC INC	06/03/04	04/05/05	.70	.72	(.02)	
	.017	LIQUIDATION OF FRACTIONAL SHS	08/02/04		.83	.84	(.01)	
	.0167		11/01/04		1.82	.85		.77
	.0162		01/31/05			.85	(.85)	
125007600	20	MERRILL LYNCH & CO INC	11/04/04	06/10/05	1,117.35	1,121.31	(3.96)	
125007800	.0884	MICROSOFT CORP	09/15/04	09/09/05	2.36	2.42	(.06)	
	3.4247		12/03/04		91.37	93.55	(2.18)	
	.1061		03/11/05		2.83	2.70		.13
	30		03/21/05		800.42	728.39		72.03
	.0896		06/10/05		2.50	2.38		.12
125007900	.4211	MICROSOFT CORP	06/10/05	09/12/05	10.75	10.72		.03
	.1834	LIQUIDATION OF FRACTIONAL SHS	09/09/05		4.93	5.15	(.22)	
125008200	.1593	MOTOROLA INC DE	10/18/04	09/09/05	3.64	2.62		1.02
	.1724		01/18/05		3.94	2.94		1.00
	.0617		07/18/05		1.43	1.19		.24
125008300	.0913	MOTOROLA INC DE LIQUIDATION OF FRACTIONAL SHS	07/18/05	09/12/05	2.00	1.77		.23
125008500	.2362	NASDAQ 100 TRUST SER 1 LIQUIDATION OF FRACTIONAL SHS	01/03/05	05/25/05	8.61	8.46	(.85)	
125008600	4.848	NEUBERGER BERMAN GENESIS	12/23/04	04/04/05	214.29	204.30		9.99
	.34	TRUST FD	12/23/04		15.04	14.33		.71
125008800	44	NEWS CORP CLASS B NEW	03/07/05	05/24/05	724.08	792.51	(68.45)	
	15		03/09/05		263.29	283.69	(20.40)	
125008900	30	NEXTEL COMMUNICATIONS INC CL A	01/07/05	04/04/05	858.06	899.79	(41.73)	
125009000	1.3899	NOKIA CORP SPONSORED ADR	05/02/05	06/27/05	23.54	22.26		1.30

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO

Account Number 837-22466-14 191

Details of Short Term Gains/Losses 2005 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125009100	.2551	NOKIA CORP SPONSORED ADR LIQUIDATION OF FRACTIONAL SHS	06/02/05	06/28/05	$ 4.15	$ 4.09		$ .06
125009200	.227	PIMCO HIGH YIELD FUND	05/03/04	04/04/05	2.20	2.16		.04
	.227	CLASS D	08/01/04		2.20	2.12		.08
	.21		07/01/04		2.03	1.97		.06
	.229		08/02/04		2.22	2.17		.05
	.22		09/01/04		2.13	2.12		.01
	.212		11/01/04		2.05	2.09	(.04)	
	.208		12/01/04		2.00	2.03	(.03)	
	.21		12/15/04		2.03	2.04	(.01)	
	.224		01/03/05		2.17	2.23	(.06)	
	.207		02/03/05		2.01	2.05	(.04)	
	.203		03/10/05		2.00	2.03	(.03)	
125009300	15	J C PENNEY CO INC	11/18/04	04/01/05	744.96	606.37		138.59
125009600	.192	PFIZER INC	09/07/04	06/10/05	5.28	6.30	(1.02)	
	20		12/01/04		550.41	568.29	(17.88)	
	.2329		12/06/04		6.41	6.33		.08
	.1667		03/09/05		4.59	4.46		.13
	.307		06/08/05		8.45	8.62	(.17)	
125009700	.6307	PFIZER INC LIQUIDATION OF FRACTIONAL SHS	06/08/05	06/13/05	18.75	17.72	(.97)	
125009900	.074	QUALCOMM INC	09/27/04	07/06/05	2.38	2.80	(.42)	
	.0664	LIQUIDATION OF FRACTIONAL SHS	01/06/05		2.13	2.82	(.69)	
	.0782		03/29/05		2.51	2.81	(.30)	
	.1084		06/27/05		3.47	3.63	(.16)	
125010000	15	RAYTHEON COMPANY NEW	11/02/04	06/27/05	574.87	553.15		21.72
125010200	.1416	SBC COMMUNICATIONS INC	08/03/04	06/10/05	3.31	3.63	(.32)	
	.1426		11/02/04		3.34	3.68	(.34)	
	.0567		02/02/05		1.33	1.38	(.05)	
125010300	.1019	SBC COMMUNICATIONS INC	02/02/05	06/13/05	2.31	2.47	(.16)	
	.6662	LIQUIDATION OF FRACTIONAL SHS	06/03/05		15.11	15.90	(.79)	
125010500	10	SCHERING PLOUGH CORP	11/29/04	04/04/05	178.54	180.62	(2.08)	
125010600	15	SEMPRA ENERGY	02/23/05	04/04/05	591.67	595.10	(3.43)	
125010800	1.285	STRONG GOVERNMENT SECURITIES	05/04/04	04/04/05	13.45	13.58	(.13)	
	1.063		06/01/04		11.30	11.34	(.04)	
	1.186		07/01/04		12.61	12.67	(.06)	
	1.252		08/02/04		13.42	13.55	(.13)	
	1.113		09/01/04		11.83	12.13	(.30)	

2005 YEAR END SUMMARY

citigroup
SMITH BARNEY

Ref: 00026384 00164277

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO

Account Number 837-22466-14 191

Details of Short Term Gain/(Loss) 2005 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	1.19		10/01/04		$ 12.65	$ 12.98	($ .33)	
	1.368		11/01/04		14.44	14.85	(.41)	
	1.274		12/01/04		13.54	13.78	(.24)	
	4.333		12/13/04		46.06	46.75	(.69)	
	1.372		01/06/05		14.58	14.73	(.15)	
	.406		01/10/05		4.32	4.35	(.03)	
	1.211		02/03/05		12.87	13.04	(.17)	
	1.386		03/10/06		14.50	14.58	(.08)	
125011100	.0342	TEXAS INSTRUMENTS INC	08/17/04	05/25/05	.90	.64		.26
	.031	LIQUIDATION OF FRACTIONAL SHS	11/23/04		.82	.76		.06
	.0293		02/15/05		.77	.76		.01
	.114		05/24/05		3.03	3.09	(.06)	
125011500	.0087	TYCO INTL LTD NEW	11/02/04	09/12/05	.27	.31	(.04)	
	.073	LIQUIDATION OF FRACTIONAL SHS	02/02/05		2.01	2.51	(.50)	
	.0879		05/03/05		2.42	2.39		.03
	.0907		08/02/05		2.49	2.53	(.04)	
125011800	.61	WASATCH SMALL CAP GROWTH FUND	10/20/04	04/04/05	23.32	20.55		2.77
Total					**$ 15,767.33**	**$ 16,828.51**	**($ 702.66)**	**$ 641.48**

Details of Long Term Gain/(Loss) 2005

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000300	20	FLEXTRONICS INTL LTD USD	03/12/02	04/04/05	$ 235.78	$ 367.80	($ 132.02)	
125000400	74.9538	AIM HIGH YIELD FUND INVESTOR	05/25/99	04/04/05	332.04	550.99	(218.95)	
	.0922	CLASS	06/28/99		.41	.68	(.27)	
	1.1815		06/30/99		5.23	8.61	(3.38)	
	20.3743		07/13/99		90.26	150.00	(59.74)	
	1.2961		08/02/99		5.74	9.39	(3.65)	
	1.3874		09/01/99		6.15	9.92	(3.77)	
	.6543		10/11/99		2.90	4.64	(1.74)	
	.7188		10/14/99		3.18	5.09	(1.91)	
	1.502		11/02/99		6.65	10.62	(3.97)	

MR LUIS G. FORTUNO

Account Number 837-22466-14 191

Details of Long-Term Gain (Loss) 2005 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	1.6828		12/01/99		$ 7.46	$ 12.03	($ 4.58)	
	1.5969		01/03/00		7.07	11.47	(4.40)	
	1.57		02/01/00		6.96	11.10	(4.14)	
	1.57		03/01/00		6.96	10.98	(4.02)	
	1.6148		04/03/00		7.15	10.96	(3.81)	
	1.3131		05/01/00		5.82	8.89	(3.07)	
	.2788		05/09/00		1.23	1.87	(.64)	
	2.0516		06/01/00		9.09	13.56	(4.47)	
	1.7177		07/03/00		7.61	11.59	(3.98)	
	1.382		08/01/00		6.12	9.24	(3.12)	
	1.8887		09/01/00		8.37	12.61	(4.24)	
	1.8117		10/02/00		8.03	11.84	(3.81)	
	1.8385		11/01/00		8.14	11.48	(3.34)	
	2.3684		12/06/00		10.49	13.15	(2.66)	
	2.0954		01/02/01		9.28	11.93	(2.65)	
	1.6192		02/01/01		7.17	10.13	(2.96)	
	2.0901		03/01/01		9.25	12.91	(3.66)	
	2.0444		04/02/01		9.06	11.83	(2.77)	
	2.039		05/01/01		9.03	11.23	(2.20)	
	2.3756		06/01/01		10.52	12.81	(2.29)	
	2.4839		07/02/01		11.00	12.34	(1.34)	
	2.3407		08/01/01		10.37	11.55	(1.18)	
	2.6728		09/04/01		11.84	12.72	(.88)	
	2.2048		10/01/01		9.77	9.03		.74
	2.867		11/01/01		12.70	11.49		1.21
	3.4927		12/13/01		15.47	14.39		1.08
	2.3935		01/02/02		10.60	9.76		.84
	1.5369		02/01/02		6.81	5.94		.87
	1.6076		03/01/02		7.12	5.90		1.22
	1.8646		04/01/02		8.26	7.06		1.20
	2.2825		05/01/02		10.11	8.61		1.50
	2.2709		06/03/02		10.06	8.32		1.74
	1.9639		07/01/02		8.70	6.66		2.04
	1.6443		08/01/02		7.28	5.73		1.55
	2.3828		09/03/02		10.56	8.35		2.21
	1.9934		10/01/02		8.83	6.88		1.95
	2.2888		11/01/02		10.14	7.84		2.30
	2.4016		12/02/02		10.64	8.71		1.93
	2.2789		01/02/03		10.10	8.35		1.75
	1.8842		02/03/03		8.35	7.03		1.32
	2.0784		03/03/03		9.21	7.87		1.34

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO
Account Number 837-22466-14 191

Detailed Long Term Gain/Loss - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	1.8663		04/01/03		$ 8.27	$ 7.10		$ 1.17
	1.6416		05/01/03		7.27	6.51		.76
	.973		06/02/03		4.31	3.89		.42
	1.0608		07/01/03		4.68	4.30		.38
	1.1645		08/01/03		5.16	4.74		.42
	1.2021		09/02/03		5.33	4.96		.37
	1.1529		10/07/03		5.11	4.83		.28
	1.084		11/03/03		4.80	4.65		.15
	.612		11/03/03		2.71	2.35		.36
	1.333		12/01/03		5.91	5.77		.14
	1.704		01/02/04		7.55	7.53		.02
	1.3		03/01/04		5.76	5.77	(.01)	
	1.316		03/24/04		5.83	5.90	(.07)	
	1.252		04/01/04		5.55	5.52		.03
125000600	.0928	ADOBE SYSTEMS INC (DE)	11/16/01	06/22/05	2.86	1.49		1.37
	.0001	CASH IN LIEU OF .09300	10/09/02			.01	(.01)	
		RECORD 05/02/05 PAY 06/23/05						
125000700	39.9074	ADOBE SYSTEMS INC (DE)	11/16/01	07/07/05	1,101.59	640.31		461.28
	.0141		01/18/02		.39	.24		.15
	.0127		04/09/02		.35	.25		.10
	.0181		07/09/02		.50	.25		.25
	.0253		10/09/02		1.32	.23		1.09
	.0185		01/24/03			.24	(.24)	
	.0039		04/08/03			.08	(.08)	
125000800	.0112	ADOBE SYSTEMS INC (DE)	04/08/03	07/08/05	.30	.19		.11
	.0145	LIQUIDATION OF FRACTIONAL SHS	07/08/03		.38	.25		.13
	.0119		10/08/03		.31	.24		.07
	.0131		01/28/04		.35	.24		.11
	.0119		04/14/04		1.11	.24		.87
125000900	10	ALTRIA GROUP INC	04/12/02	04/04/05	643.97	530.91		113.06
	10		07/16/02		643.97	424.42		219.55
	5		03/31/03		321.99	145.78		176.21
125001000	15	AMERICAN EXPRESS CO	12/04/01	04/04/05	764.91	499.14		265.77
125001100	9	AMERICAN INTL GROUP INC	07/21/99	04/01/05	458.34	586.30	(127.96)	
	15		08/14/99		763.90	912.74	(148.84)	
	5		04/03/02		254.63	358.18	(104.55)	
125001200	20	AMGEN INC	07/12/99	05/24/05	1,240.88	677.50		563.38
	40		10/22/99		2,481.76	1,645.80		835.96

2005 YEAR END SUMMARY

2005 YEAR END SUMMARY

citigroup
SMITH BARNEY

Financial Management Account
2005 Year End Summary

Ref: 00026384 00164280

MR LUIS G. FORTUNO
Account Number 837-22466-14 191

Details of Long Term Gain (Loss) 2005 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001300	.7264	AVAYA INC	07/30/99	04/04/05	$ 5.77	$ 31.41	($ 25.64)	
	.7264		08/12/99		5.77	30.46	(24.69)	
	.7264		08/26/99		5.77	32.22	(26.45)	
	1.0896		10/25/99		8.65	42.24	(33.59)	
	.0009		12/02/99		.01	.04	(.03)	
	.7264		01/27/00		5.80	27.18	(21.38)	
	.001		03/02/00			.04	(.04)	
	.0013		06/02/00			.05	(.05)	
	.0016		09/05/00			.05	(.05)	
125001500	5	BP PLC SPONS ADR	01/22/04	04/01/05	311.24	250.19		61.05
	5		02/18/04		311.23	240.70		70.53
125001800	.3068	BANK OF AMERICA CORP	09/27/99	04/04/05	13.45	8.96		4.50
	.9032		12/27/99		35.25	18.97		16.28
	19.9009		01/27/00		873.39	472.03		401.36
	.9232		03/27/00		40.52	25.15		15.37
	1.0507		06/26/00		46.11	25.37		20.74
	.9233		09/25/00		40.78	25.64		15.14
	1.3365		12/21/00		58.66	28.99		29.67
	1.1049		03/26/01		48.49	29.35		19.14
	.958		06/25/01		42.04	29.66		12.38
	1.0244		10/01/01		44.96	29.94		15.02
	.6412		12/31/01		28.14	20.44		7.70
	.5968		03/25/02		26.19	20.63		5.56
	.2523		07/01/02		11.07	8.88		2.19
	.2792		09/30/02		12.25	8.94		3.31
	.2778		12/30/02		12.19	9.63		2.56
	11		02/21/03		482.76	248.24		234.52
	5.5		03/10/03		241.38	108.67		132.71
	.2855		03/31/03		12.53	9.72		2.81
	.2457		06/30/03		10.78	9.80		.98
	5.5		07/01/03		241.38	151.05		90.33
	.3174		09/29/03		13.93	12.36		1.57
	.3128		12/29/03		13.73	12.50		1.23
	.3122		03/29/04		13.70	12.61		1.09
125001800	10	BANK NEW YORK INC	02/06/03	04/01/05	286.19	244.37		41.82
	10		03/10/03		286.18	205.84		80.34
125001900	8.837	BARON ASSET FUND	06/25/99	04/04/05	460.14	510.51	(50.37)	
	5.006		07/13/99		260.66	300.00	(39.34)	
	17.188		02/08/00		894.98	1,000.00	(105.02)	
	8.873		02/15/00		462.02	500.00	(37.98)	

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO
Account Number 837-22466-14 191

Details of Long Term Gains/(Loss) 2005 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	6.272		11/22/00		$ 326.58	$ 343.01	($ 16.43)	
	9.115		07/25/01		474.82	450.00		24.82
	8.933		11/20/01		465.14	364.91		100.23
	3.309		11/28/02		172.30	116.11		56.19
125002100	20	BIOGEN IDEC INC	01/09/04	04/01/05	687.57	841.56	(153.99)	
125002200	10	BOEING CO	12/12/03	04/04/05	581.04	389.90		191.14
	5		01/23/04		290.52	211.30		79.22
125002400	10	CISCO SYS INC	10/25/99	07/07/05	187.48	328.75	(141.27)	
	10		04/13/00		187.48	653.63	(466.15)	
	10		04/14/00		187.48	597.50	(410.02)	
	10		05/09/00		187.48	637.50	(450.02)	
	35		11/07/00		656.18	1,994.27	(1,338.09)	
	70		06/02/01		1,312.36	1,390.92	(78.56)	
	15		11/16/01		281.21	298.80	(17.59)	
125002500	10	COCA-COLA CO	07/19/99	05/24/05	447.47	631.88	(184.41)	
	10		06/12/99		447.47	607.21	(159.74)	
	5		05/15/01		223.73	227.73	(4.00)	
	10		12/16/02		447.47	455.70	(8.23)	
	10		03/01/04		447.47	495.49	(48.02)	
125002600	20	COMCAST CORP CL A	02/14/03	04/01/05	665.57	501.66		163.91
125002800	25	COMVERSE TECHNOLOGY INC-NEW	02/19/03	05/24/05	594.72	229.87		364.85
125002900	20	DELL INC	01/27/00	04/01/05	762.43	773.75	(11.32)	
	10		02/29/00		381.22	405.63	(24.41)	
	25		01/21/03		953.04	620.24		332.80
	20		06/30/03		762.44	639.19		123.25
125003000	10	DIAMONDS TRUST SER 1	10/11/01	04/01/05	1,036.35	938.50		97.85
125003100	.0102	DIAMONDS TRUST SER 1	11/13/01	04/04/05	1.02	.98		.04
	.0137	LIQUIDATION OF FRACTIONAL SHS	12/18/01		1.37	1.36		.01
	.0215		01/16/02		2.15	2.13		.02
	.009		02/12/02		.90	.88		.02
	.0129		03/12/02		1.29	1.35	(.06)	
	.0156		04/16/02		1.56	1.59	(.03)	
	.0087		05/14/02		.87	.89	(.02)	
	.0143		06/18/02		1.43	1.38		.05
	.0203		07/16/02		2.03	1.73		.30
	.0188		08/13/02		1.88	1.45		.23
	.013		09/17/02		1.30	1.10		.20
	.0233		10/18/02		2.33	1.90		.43
	.0128		11/13/02		1.28	1.07		.21

2005 YEAR END SUMMARY

1476

Detail of Long Term Gain (Loss) 2005 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	.0243		12/17/02		$ 2.43	$ 2.09		$ .34
	.0266		02/03/03		2.66	2.14		.51
	.0075		02/19/03		.75	.60		.15
	.0311		03/18/03		3.11	2.54		.57
	.0128		04/15/03		1.28	1.06		.22
	.0129		05/13/03		1.29	1.11		.17
	.0146		06/17/03		1.46	1.37		.09
	.0272		07/15/03		2.72	2.51		.21
	.0143		08/12/03		1.43	1.32		.11
	.0136		09/16/03		1.36	1.29		.07
	.0209		10/14/03		2.09	2.04		.05
	.0132		11/18/03		1.32	1.29		.03
	.0321		12/16/03		3.21	3.23	(.02)	
	.0146		01/14/04		1.46	1.53	(.07)	
	.0067		02/19/04		.67	.71	(.04)	
	.0278		03/18/04		2.78	2.81	(.03)	
125003200	70	WALT DISNEY CO	07/27/99	04/01/05	1,997.61	1,974.07		23.54
125003300	23.117	DREYFUS APPRECIATION FD INC	06/25/99	04/04/05	888.85	980.62	(91.77)	
	13.254		07/13/99		509.62	600.00	(90.38)	
	.858		12/27/99		25.30	30.30	(5.00)	
	.863		12/27/99		33.18	39.74	(6.56)	
	43.879		02/08/00		1,687.15	2,000.00	(312.85)	
	22.584		02/15/00		868.36	1,000.00	(131.64)	
	.028		04/04/00		1.00	1.17	(.17)	
	1.401		04/07/00		53.87	63.50	(9.63)	
	.444		04/07/00		17.07	20.12	(3.05)	
	1.362		12/21/00		51.98	56.27	(4.29)	
	11.57		12/21/00		444.87	481.54	(36.67)	
	1.965		12/21/00		75.55	81.78	(6.23)	
	.168		04/02/01		6.38	6.25		.13
	57.856		07/25/01		2,224.63	2,250.00	(25.47)	
	1.981		12/20/01		76.17	75.59		.58
	.109		04/09/02		4.19	4.24	(.05)	
	.011		04/09/02		.42	.42		
	2.551		12/20/02		98.09	81.14		16.95
	.047		04/02/03		1.81	1.43		.38
	2.051		12/22/03		78.86	74.61		4.25
	.033		04/01/04		1.27	1.23		.04
125003400	20	EMC CORP-MASS	01/27/00	04/01/05	242.58	1,043.13	(800.55)	
125003500	16	EXXON MOBIL CORP	07/21/99	07/07/05	934.48	622.46		312.02

2005 YEAR END SUMMARY

1477

2005 YEAR END SUMMARY

citigroup
SMITH BARNEY

Ref: 00028384 00164283

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO

Account Number 837-22466-14 191

Details of Long Term Gains & Losses - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003600	1	EXXON MOBIL CORP	07/21/99	09/09/05	$ 62.00	$ 38.90		$ 23.10
	15		07/31/02		930.07	540.51		389.56
125003700	1.6268	FREESCALE SEMICONDUCTOR INC	04/13/00	04/04/05	26.41	61.72	(35.31)	
	1.6268	CLASS B	04/14/00		26.41	59.82	(33.41)	
	1.6268		04/20/00		26.41	56.79	(30.38)	
	1.6268		05/09/00		26.41	54.11	(27.70)	
	1.0845		05/22/00		17.61	35.13	(17.52)	
	.0083		07/17/00		.13	.30	(.17)	
	.0163		10/17/00		.26	.35	(.09)	
	.0168		01/17/01		.27	.35	(.08)	
	.0278		04/17/01		.46	.35		.10
	.0216		07/17/01		.35	.35		
	.0178		10/18/01		.29	.29		
	.0227		01/16/02		.37	.29		.08
	.0213		04/16/02		.35	.29		.06
	.0228		07/16/02		.37	.29		.08
	.0293		10/15/02		.48	.29		.19
	.0338		01/16/03		.55	.29		.26
	.0389		04/16/03		.63	.29		.34
	.0335		07/16/03		.54	.29		.25
	.0231		10/16/03		.37	.30		.07
	.0194		01/16/04		1.21	.31		.90
125003800	20	GENENTECH INC	11/21/02	04/01/05	1,124.01	359.27		764.74
	10		01/24/03		562.01	190.12		371.89
125003900	15	GENERAL ELECTRIC CO	07/22/99	04/01/05	532.04	576.14	(44.10)	
	30		03/14/00		1,064.08	1,276.75	(211.67)	
	30		03/01/02		1,064.08	1,179.90	(115.82)	
	25		07/26/02		886.74	678.67		208.07
	.1999		07/28/02		7.09	5.39		1.70
	.2027		10/28/02		7.19	5.43		1.76
	.2452		01/28/03		8.70	5.78		2.92
	.2008		04/28/03		7.12	5.82		1.30
	.2035		07/28/03		7.22	5.86		1.36
	.2073		10/28/03		7.35	5.89		1.46
	.1826		01/27/04		6.47	6.25		.22
125004100	30	GILLETTE CO	07/20/99	04/01/05	1,501.04	1,391.92		109.12
	20		06/25/00		1,000.69	698.83		301.86
	10		08/14/01		500.34	278.22		222.12
125004200	15	GLAXOSMITHKLINE PLC SP ADR	02/17/04	04/04/05	672.97	640.41		32.56

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO
Account Number 837-22466-14 191

Details of Long Term Gain (Loss) 2005 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004300	10	GOLDMAN SACHS GROUP INC	02/14/03	04/01/05	$ 1,089.25	$ 652.71		$ 436.54
125004400	19.9819	HEWLETT PACKARD CO	09/11/00	04/01/05	431.41	1,139.60	(708.19)	
	.0362		10/12/00		.78	1.60	(.82)	
	.0504		01/11/01		1.09	1.60	(.51)	
	.0537		04/12/01		1.16	1.61	(.45)	
	.0612		07/12/01		1.32	1.60	(.28)	
	.0923		10/11/01		1.99	1.61		.38
	.0691		01/10/02		1.49	1.62	(.13)	
	.0926		04/11/02		2.00	1.62		.38
	.1094		07/11/02		2.36	1.63		.73
	.147		10/10/02		3.17	1.64		1.53
	.0842		01/09/03		1.82	1.65		.17
	25		04/09/03		539.76	386.14		153.62
	.1081		04/10/03		2.33	1.66		.67
	.0741		07/10/03		2.47	1.67		.80
	.0398		10/09/03			.85	(.85)	
125004500	.0383	HEWLETT PACKARD CO	10/09/03	04/04/05	.80	.82	(.02)	
	.0716	LIQUIDATION OF FRACTIONAL SHS	01/08/04		1.49	1.68	(.19)	
125004600	5	HOME DEPOT INC	02/01/00	04/01/05	187.98	297.56	(109.58)	
	20		11/14/00		751.92	799.17	(47.25)	
	25		11/16/01		939.90	1,145.25	(205.35)	
	.028		12/14/01		.96	1.24	(.28)	
	.028		04/01/02		.98	1.25	(.27)	
	.0337		06/28/02		1.27	1.25		.02
	.0386		09/20/02		1.45	1.24		.21
	.0604		12/20/02		2.27	1.50		.77
	29.8153		02/24/03		1,120.92	663.53		457.39
125004700	.1847	HOME DEPOT INC	02/24/03	04/04/05	6.66	4.11		2.55
	.0605	LIQUIDATION OF FRACTIONAL SHS	03/28/03		2.18	1.50		.68
	.046		06/27/03		1.66	1.51		.15
	.0533		09/19/03		1.92	1.76		.16
	.05		12/18/03		1.80	1.76		.04
	.0492		03/23/04		1.78	1.78		
125004800	30	HONEYWELL INTL INC	02/24/03	04/01/05	1,104.85	701.19		403.76
125004900	15	IAC INTERACTIVECORP	02/12/03	04/01/05	331.61	339.19	(7.58)	
	10		03/19/03		221.08	247.13	(26.05)	
	20		09/19/03		442.15	726.76	(284.61)	
	10		02/03/04		221.08	308.95	(87.87)	

2005 YEAR END SUMMARY

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SMITH BARNEY

Ref 00026384 00164285

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO

Account Number 837-22466-14 191

Detailed Long Term Gain/(Loss) [illegible] - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125005000	15	INTEL CORP	06/16/99	08/27/05	$ 390.78	$ 589.03	($ 198.25)	
	19.9982		01/27/00		521.00	983.66	(462.66)	
	.0061		03/02/00		.13	.30	(.17)	
	19.9982		04/20/00		521.00	1,158.65	(637.65)	
	19.9965		05/03/00		521.00	1,191.16	(670.16)	
125005100	9.9968	INTEL CORP	05/03/00	08/09/05	268.56	595.56	(327.00)	
	9.9991		05/09/00		268.56	591.20	(322.64)	
	.0082		05/02/00		.25	.59	(.34)	
	.0225		09/05/00		.60	1.59	(.99)	
	15		11/17/00		402.88	614.93	(212.05)	
	.0454		12/04/00		1.22	1.60	(.38)	
	.0561		03/02/01		1.51	1.59	(.08)	
	15		04/26/01		402.88	444.21	(41.33)	
	.0549		06/04/01		1.47	1.59	(.12)	
	.0594		09/05/01		1.60	1.60		
	25		11/16/01		671.47	763.98	(92.51)	
	24.7546		11/16/01		664.88	755.99	(91.11)	
125005200	.2464	INTEL CORP	11/16/01	09/09/05	6.18	7.49	(1.31)	
	.0497		12/04/01		1.25	1.60	(.35)	
	.0843		03/04/02		2.12	2.60	(.48)	
	20		04/09/02		503.31	577.68	(74.37)	
	.0891		06/04/02		2.49	2.61	(.12)	
	20		08/06/02		503.31	349.16		154.15
	.1648		09/04/02		4.15	2.61		1.54
	.125		12/03/02		3.15	2.61		.54
	.1565		03/04/03		3.94	2.61		1.33
	.1284		06/03/03		3.23	2.61		.62
	.0904		09/03/03		2.27	2.61	(.34)	
	.0769		12/02/03		1.93	2.61	(.68)	
	.1766		03/02/04		4.44	5.25	(.81)	
	.1664		06/02/04		4.67	5.25	(.58)	
	.2456		09/02/04		6.18	5.26		.92
125005400	10	INTL BUSINESS MACHINES CORP	02/29/00	07/07/05	753.14	1,027.50	(264.36)	
	8		04/14/00		381.57	553.44	(171.87)	
	5		04/20/00		381.57	516.56	(134.99)	
	8		05/03/00		487.89	651.38	(163.49)	
125005500	4	INTL BUSINESS MACHINES CORP	05/03/00	08/09/05	333.07	434.25	(101.18)	
	5		05/08/00		416.33	550.63	(134.30)	
	.0328		06/13/00		2.73	3.89	(1.16)	
	.0365		09/12/00		3.04	4.55	(1.51)	

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO

Account Number 837-22466-14 191

Details of Long Term Gains/(Losses) 2005 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	5		10/27/00		$ 416.33	$ 465.36	($ 49.03)	
	.0479		12/12/00		3.99	4.56	(.57)	
	.0481		03/13/01		4.01	4.57	(.56)	
	.0421		06/12/01		3.51	4.91	(1.40)	
	.0525		09/17/01		4.37	4.92	(.55)	
	.041		12/11/01		3.41	4.93	(1.52)	
	5		03/01/02		416.33	511.15	(94.82)	
	.0464		03/12/02		3.86	4.93	(1.07)	
	.0777		06/11/02		6.47	6.04		.43
	.0788		09/11/02		6.56	6.06		.50
	.0752		12/11/02		6.26	6.07		.19
	.08		03/11/03		6.66	6.09		.57
	.0787		06/11/03		6.55	6.51		.04
	.0754		09/11/03		6.28	6.52	(.24)	
	.071		12/11/03		5.91	6.52	(.61)	
	.0711		03/11/04		5.92	6.54	(.62)	
	.0818		06/14/04		6.81	7.36	(.55)	
125006700	10	INTERNATIONAL PAPER CO	03/10/03	04/04/05	360.28	339.55		20.73
	10		06/27/03		360.28	363.26	(2.98)	
125005800	16	ISHARES RUSSELL 1000 GROWTH	07/25/01	04/04/05	698.12	782.25	(84.13)	
	15	INDEX FUND	10/11/01		698.12	719.25	(21.13)	
125006900	.0365	ISHARES RUSSELL 1000 GROWTH	12/27/01	04/05/05	1.63	1.87	(.24)	
	.0371	INDEX FUND	03/20/02		1.66	1.87	(.21)	
	.0248	LIQUIDATION OF FRACTIONAL SHS	05/24/02		1.11	1.17	(.06)	
	.0448		06/26/02		2.01	1.72		.29
	.0686		09/25/02		2.62	2.03		.59
	.086		12/24/02		3.85	3.21		.64
	.06		03/19/03		2.69	2.21		.48
	.0635		06/25/03		2.84	2.62		.22
	.0517		09/24/03		2.31	2.29		.02
	.0897		12/24/03		4.02	4.11	(.09)	
	.0538		04/02/04		2.41	2.57	(.16)	
125006000	7.5	JPMORGAN CHASE & CO	07/20/99	06/27/05	266.39	406.25	(139.86)	
	29.8705		08/12/99		1,060.95	1,517.18	(456.23)	
	.1448		11/02/99		5.14	8.15	(3.01)	
	12.4847		01/11/00		443.44	573.79	(130.35)	
125006100	2.4505	JPMORGAN CHASE & CO	01/11/00	07/07/06	84.18	112.62	(28.44)	
	.1493		02/01/00		5.13	8.20	(3.07)	
	.2935		05/02/00		10.08	14.42	(4.34)	
	7.5		05/23/00		257.65	366.22	(108.57)	

2005 YEAR END SUMMARY

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO

Account Number 837-22466-14 191

Details of Closing Items Gain (Loss) 2005 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	10		06/22/00		$ 343.53	$ 466.25	($ 122.72)	
	.3555		08/01/00		12.21	17.73	(5.52)	
	.3921		11/01/00		13.47	17.84	(4.37)	
	.3288		02/01/01		11.23	17.97	(6.74)	
	.396		05/01/01		13.60	19.20	(5.60)	
	.4475		08/01/01		15.37	19.33	(3.96)	
	.54		11/01/01		18.55	19.48	(.93)	
	.5777		02/01/02		19.85	19.67		.18
	.5607		05/01/02		19.26	19.87	(.61)	
	.8035		08/01/02		27.60	20.05		7.55
	.9798		11/01/02		33.66	20.33		13.33
	.8791		02/03/03		30.20	20.65		9.55
	.7203		05/01/03		24.74	20.96		3.78
	.6046		08/01/03		20.77	21.20	(.43)	
	.5911		11/03/03		20.31	21.40	(1.09)	
	.5527		02/03/04		18.99	21.61	(2.62)	
	.579		05/03/04		19.89	21.79	(1.90)	
125006300	10	JOHNSON & JOHNSON	07/19/99	04/01/05	668.12	478.38		189.74
	20		03/24/00		1,336.24	718.56		617.68
	10		12/12/03		668.12	496.90		171.22
125006400	68.4521	JPMORGAN EMERGING MARKETS	05/25/99	04/04/05	755.71	473.38		282.33
	11.6369	EQUITY FUND SELECT	07/13/99		128.47	90.00		38.47
	.4848		12/23/99		5.35	4.17		1.18
	.8184		09/07/01		9.04	4.65		4.39
	.955		12/19/01		10.54	5.56		4.98
	.735		01/23/03		8.11	4.04		4.07
	.974		12/19/03		10.75	7.46		3.29
125006500	15	KEYSPAN CORP	09/19/03	04/04/05	582.22	523.72		58.50
125006600	5	KIMBERLY CLARK CORP	02/24/03	04/04/05	324.64	225.43		99.21
	5		11/12/03		324.63	262.58		62.05
125006700	35	KROGER CO	03/18/04	04/04/05	548.04	590.09	(42.05)	
125006800	11.627	LAZARD INTL EQUITY PORTFOLIO	05/25/99	04/04/05	147.20	181.26	(34.06)	
	21.924	FUND	07/13/99		277.56	360.00	(82.44)	
	.778		08/18/99		9.85	12.66	(2.81)	
	.374		08/19/99		4.73	6.08	(1.35)	
	6.602		12/20/99		83.58	109.39	(25.81)	
	10.075		12/20/99		127.55	166.94	(39.39)	
	2.068		12/20/99		26.43	34.59	(8.16)	
	91.631		02/08/00		1,160.06	1,500.00	(339.95)	

2005 YEAR END SUMMARY

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO

Account Number 837-22466-14 191

Details of Long-Term Gain/(Loss) 2005 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	47.71		02/15/00		$ 604.01	$ 750.00	($ 145.99)	
	5.025		08/10/00		63.62	77.78	(14.16)	
	2.852		08/10/00		36.11	44.14	(8.03)	
	4.162		08/14/00		52.69	64.42	(11.73)	
	38.467		12/18/00		486.99	501.60	(14.61)	
	5.189		12/18/00		65.44	67.40	(1.96)	
	66.055		07/25/01		836.26	720.00		116.26
	.009		08/16/01		.11	.09		.02
	3.87		08/21/01		48.99	42.33		6.66
	1.302		08/21/01		16.48	14.24		2.24
	2.525		09/13/02		31.97	23.53		8.44
	3.492		06/29/03		44.21	34.39		9.82
	1.428		01/02/04		18.08	15.60		2.48
	.252		01/06/04		3.19	2.86		.33
125006900	27.99	LAZARD EQUITY PORTFOLIO FUND	06/25/99	04/04/05	443.08	644.33	(201.25)	
	24.752		07/13/99		391.82	600.00	(208.18)	
	6.892		08/18/99		109.10	156.27	(48.17)	
	.079		08/19/99		1.25	1.77	(.52)	
	3.004		12/20/99		47.55	61.82	(14.27)	
	8.269		12/20/99		130.90	170.17	(39.27)	
	97.609		02/08/00		1,545.15	2,000.00	(454.85)	
	50.075		02/15/00		792.69	1,000.00	(207.31)	
	9.179		08/10/00		145.30	185.41	(40.11)	
	.173		08/10/00		2.74	3.49	(.75)	
	5.655		12/18/00		89.52	92.79	(3.27)	
	60.806		12/18/00		962.54	997.81	(35.27)	
	16.962		12/18/00		268.51	278.34	(9.83)	
	120.585		07/25/01		1,908.86	1,980.00	(71.14)	
	39.586		08/15/01		626.65	608.83		17.82
	7.788		08/15/01		123.28	119.77		3.51
	9.641		12/12/01		152.62	133.72		18.90
	8.66		12/17/01		137.09	120.11		16.98
	8.282		12/17/01		99.44	87.13		12.31
	.567		06/21/02		8.98	6.92		2.06
	.215		09/13/02		3.40	2.62		.78
	7.303		12/18/02		115.61	86.10		29.51
	.085		06/04/03		1.35	1.11		.24
	4.552		01/02/04		72.06	63.54		8.52
125007100	60	LIBERTY MEDIA CORP A	06/09/03	04/04/05	616.57	584.18		32.39

2005 YEAR END SUMMARY

1483

2005 YEAR END SUMMARY

citigroup
SMITH BARNEY

Ref: 00026384 00164289

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO
Account Number 837-22466-14 191

Detailed Long Term Gain/(Loss) 2005 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125007200	10	LUCENT TECHNOLOGIES INC	07/30/99	04/04/05	$ 25.39	$ 512.97	($ 487.58)	
	10		08/12/99		25.39	497.38	(471.99)	
	10		08/26/99		25.39	526.12	(500.73)	
	15		10/25/99		38.08	689.80	(651.72)	
	.0118		12/02/99		.03	.70	(.67)	
	9.9682		01/27/00		25.36	443.27	(417.91)	
125007300	.0118	LUCENT TECHNOLOGIES INC	01/27/00	04/05/05	.92	.52		.40
	.0132	LIQUIDATION OF FRACTIONAL SHS	03/02/00			.69	(.69)	
	.0178		06/02/00			.86	(.86)	
	.0255		09/06/00			.85	(.85)	
	.0698		12/04/00			.90	(.90)	
	.0932		03/02/01			.90	(.90)	
	.1365		06/04/01			.91	(.91)	
125007400	10	MEDTRONIC INC	03/12/02	04/04/05	505.37	451.00		54.37
125007500	.0132	MEDTRONIC INC	04/29/02	04/05/05	.65	.57		.08
	.0166	LIQUIDATION OF FRACTIONAL SHS	07/31/02		.81	.62		.19
	.014		10/28/02		.69	.63		.06
	.0143		01/27/03		.70	.63		.07
	.0131		04/28/03		.64	.62		.02
	.0144		07/28/03		.70	.72	(.02)	
	.0156		10/27/03		.76	.72		.04
	.015		01/28/04		.73	.72		.01
125007600	30	MERRILL LYNCH & CO INC	12/22/99	06/10/05	1,676.03	1,177.70		498.33
125007700	20	MICROSOFT CORP	07/20/99	07/07/05	490.78	927.76	(436.98)	
	30		08/12/99		736.17	1,238.44	(502.27)	
	20		11/16/99		490.78	869.29	(378.51)	
	20		09/20/00		490.78	639.42	(148.64)	
	10		01/18/01		245.38	274.35	(28.97)	
125007800	10	MICROSOFT CORP	01/18/01	09/09/05	266.81	274.35	(7.54)	
	.1028		03/10/03		2.74	2.40		.34
	.1844		11/10/03		4.92	4.82		.10
	20		02/25/04		533.61	534.72	(1.11)	
125008000	10	MORGAN STANLEY	06/02/02	04/04/05	579.07	487.63		91.44
125008100	15	MOTOROLA INC DE	04/13/00	07/07/05	275.21	535.60	(260.39)	
	15		04/14/00		275.21	519.10	(243.89)	
	3		04/20/00		55.06	98.56	(43.51)	
125008200	12	MOTOROLA INC DE	04/20/00	09/09/05	274.16	394.25	(120.09)	
	15		05/09/00		342.71	469.60	(126.89)	
	10		06/22/00		228.47	304.86	(76.39)	

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO

Account Number 837-22466-14 191

Details of Long Term Gain/Loss (2005) - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	.0769		07/17/00		$ 1.76	$ 2.68	($ .92)	
	.1503		10/17/00		3.43	3.04		.39
	.155		01/17/01		3.54	3.05		.49
	.2562		04/17/01		5.85	3.06		2.79
	.1988		07/17/01		4.54	3.06		1.48
	.1641		10/18/01		3.75	2.53		1.22
	.2096		01/16/02		4.79	2.54		2.25
	.1968		04/16/02		4.49	2.55		1.94
	.2072		07/16/02		4.73	2.55		2.18
	.2688		10/15/02		6.16	2.55		3.61
	.3114		01/16/03		7.11	2.58		4.53
	.359		04/16/03		8.20	2.58		5.62
	.3065		07/16/03		7.05	2.59		4.46
	.2132		10/16/03		4.87	2.60		2.27
	.179		01/16/04		4.09	2.60		1.49
	.1734		04/16/04		3.96	2.62		1.34
	.1776		07/16/04		4.06	2.62		1.44
125008400	15	NASDAQ 100 TRUST SER 1	07/25/01	05/24/05	564.43	595.35	(30.92)	
	10		11/16/01		376.28	393.40	(17.12)	
125008500	.0093	NASDAQ 100 TRUST SER 1 LIQUIDATION OF FRACTIONAL SHS	01/02/04	05/25/05	.34	.34		
125008600	34.15	NEUBERGER BERMAN GENESIS	05/25/99	04/04/05	1,510.11	685.05		825.06
	14.111	TRUST FD	07/13/99		623.99	300.00		323.99
	.765		12/22/99		33.83	15.26		18.57
	47.801		02/08/00		2,113.76	1,000.00		1,113.76
	23.889		02/15/00		1,056.37	500.00		556.37
	2.222		12/21/00		98.26	54.90		43.36
	6.667		12/21/00		294.81	164.74		130.07
	35.119		07/25/01		1,552.96	990.00		562.96
	3.275		12/17/01		144.82	91.86		52.96
	1.183		12/17/01		52.31	33.18		19.13
	2.275		12/20/01		100.60	63.81		36.79
	.91		12/20/01		40.24	25.52		14.72
	.921		12/18/02		40.73	26.13		14.60
	.08		12/22/03		3.54	2.89		.65
125008700	25	NEWELL RUBBERMAID INC	12/11/03	04/04/05	544.22	543.08		1.14
125009000	20	NOKIA CORP SPONSORED ADR	04/13/00	06/27/05	338.90	985.00	(646.10)	
	10		08/18/00		168.45	391.88	(222.43)	
	.2363		04/11/01		4.00	6.32	(2.32)	
	.2907		04/17/02		4.93	6.08	(1.15)	

2005 YEAR END SUMMARY

1485

2005 YEAR END SUMMARY

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SMITH BARNEY

Ref: 00026384 00164291

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO
Account Number 837-22466-14 191

Details of Long Term Gain (Loss) 2005 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	25		02/04/03		$ 423.63	$ 356.66		$ 66.97
	.4688		04/24/03		7.94	7.87		.07
	.6143		04/28/04		10.41	9.42		.99
	10		05/04/04		169.45	141.00		28.45
	10		06/01/04		169.45	135.25		34.20
125008200	29.128	PIMCO HIGH YIELD FUND	07/25/01	04/04/05	282.23	278.74		3.49
	.05	CLASS D	08/02/01		.48	.48		
	.342		09/24/01		3.31	3.30		.01
	.308		10/03/01		2.98	2.83		.15
	.313		11/02/01		3.03	2.92		.11
	.326		12/11/01		3.16	3.07		.09
	.322		01/02/02		3.12	3.01		.11
	.298		02/01/02		2.89	2.77		.12
	.303		03/01/02		2.94	2.77		.17
	.308		04/01/02		2.98	2.83		.15
	.327		05/01/02		3.17	3.01		.16
	.344		06/03/02		3.33	3.12		.21
	.316		07/02/02		3.06	2.69		.36
	.356		08/01/02		3.45	2.85		.60
	.394		09/03/02		3.82	3.25		.57
	.351		10/01/02		3.40	2.81		.59
	.388		11/01/02		3.76	3.10		.66
	.38		12/02/02		3.68	3.20		.48
	.384		01/06/03		3.72	3.27		.45
	.371		02/03/03		3.60	3.21		.39
	.35		03/03/03		3.39	3.05		.34
	.313		04/01/03		3.03	2.78		.25
	.319		05/01/03		3.09	2.96		.13
	.237		06/02/03		2.30	2.20		.10
	.198		07/01/03		1.92	1.86		.06
	.218		08/01/03		2.11	2.00		.11
	.219		09/02/03		2.12	2.03		.09
	.21		10/01/03		2.03	1.97		.06
	.228		11/03/03		2.19	2.15		.04
	.191		12/01/03		1.85	1.82		.03
	.223		01/02/04		2.16	2.17	(.01)	
	.197		02/02/04		1.91	1.93	(.02)	
	.2		03/01/04		1.94	1.94		
	.228		04/01/04		2.21	2.20		.01

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Ref: 00026384 00164292

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO

Account Number 837-22466-14 191

Detail of Long Term Gain/(Loss) 2005 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125009400	20	PEPSICO INC	06/10/99	06/10/05	$ 1,104.15	$ 775.99		$ 328.16
	5		12/04/00		278.04	214.03		62.01
125009500	20.612	PFIZER INC	08/12/99	06/24/05	592.57	485.94		106.63
	.1311		12/13/99		3.77	3.99	(.22)	
	.145		03/13/00		4.17	4.80	(.63)	
	.1119		06/15/00		3.22	4.81	(1.59)	
	.0783		09/08/00		2.25	3.15	(.90)	
	.0722		12/08/00		2.08	3.15	(1.07)	
	.0913		03/09/01		2.62	3.86	(1.24)	
	.0888		06/08/01		2.56	3.88	(1.32)	
	.1017		09/07/01		2.92	3.89	(.97)	
	.0901		12/07/01		2.59	3.90	(1.31)	
	.1149		03/08/02		3.30	4.61	(1.31)	
	.1356		06/07/02		3.90	4.63	(.73)	
	20		07/02/02		574.97	660.04	(85.07)	
	15		07/16/02		431.23	432.00	(.77)	
	.1468		09/06/02		4.22	4.64	(.42)	
	.1521		12/06/02		4.37	4.66	(.29)	
	21		02/03/03		603.72	625.33	(21.61)	
	15		02/05/03		431.23	448.10	(16.87)	
	.185		02/18/03		5.32	5.41	(.09)	
	.1649		06/06/03		4.74	5.44	(.70)	
	.1784		09/05/03		5.13	5.45	(.32)	
	.1597		12/05/03		4.59	5.49	(.90)	
	6.2401		01/14/04		179.40	218.19	(38.79)	
125009600	17.7599	PFIZER INC	01/14/04	06/10/05	488.76	620.99	(132.23)	
	.1671		03/08/04		4.60	6.24	(1.64)	
	.1744		06/07/04		4.80	6.27	(1.47)	
125009800	9.9587	QUALCOMM INC	02/14/00	07/07/05	330.46	631.44	(300.98)	
	9.9587		04/14/00		330.46	602.19	(271.73)	
	19.9174		04/20/00		660.93	1,083.64	(422.71)	
	.0545		04/01/03		1.81	.98		.83
	.0553		06/30/03		1.84	.99		.85
	.0554		09/29/03		1.84	1.16		.68
125009900	.0109	QUALCOMM INC	09/29/03	07/08/05	.36	.23		.12
	.0531	LIQUIDATION OF FRACTIONAL SHS	12/29/03		1.71	1.39		.32
	.0444		03/29/04		1.43	1.39		.04
	.0581		06/28/04		1.87	2.01	(.14)	

2005 YEAR END SUMMARY

2005 YEAR END SUMMARY

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SMITH BARNEY

Ref: 00026384 00164293

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO

Account Number 837-22466-14 191

Details of Long Term Gain/(Loss) 2005 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125010100	15	ROYAL DUTCH PETROLEUM CO NY REG SH PAR N GLDR 1.25	03/24/04	06/10/06	$ 887.01	$ 696.07		$ 190.94
125010200	19	SBC COMMUNICATIONS INC	07/27/99	06/10/06	444.65	1,110.00	(665.35)	
	10		03/02/00		234.03	445.00	(210.97)	
	.0571		05/02/00		1.34	2.54	(1.20)	
	.068		08/02/00		1.36	2.55	(1.19)	
	.0469		11/02/00		1.07	2.56	(1.49)	
	10		12/27/00		234.03	473.13	(239.10)	
	.0508		02/02/01		1.18	2.58	(1.40)	
	.0613		05/02/01		1.43	2.61	(1.18)	
	.0582		08/02/01		1.36	2.63	(1.27)	
	.0677		11/02/01		1.58	2.64	(1.06)	
	.0715		02/04/02		1.67	2.66	(.99)	
	.0867		05/02/02		2.03	2.83	(.80)	
	.1018		08/02/02		2.38	2.85	(.47)	
	.1021		11/04/02		2.39	2.87	(.48)	
	.1135		02/04/03		2.66	2.91	(.25)	
	.154		05/02/03		3.60	3.61	(.01)	
	.1773		08/04/03		4.15	4.21	(.06)	
	11		10/16/03		257.43	241.63		15.80
	.1778		11/04/03		4.16	4.28	(.12)	
	.1345		02/03/04		3.15	3.56	(.41)	
	.1413		05/04/04		3.31	3.60	(.29)	
125010400	10	ST PAUL TRAVELERS COS INC	02/08/03	04/04/06	352.18	304.74		47.44
125010500	20	SCHERING PLOUGH CORP	02/06/03	04/04/06	357.08	371.60	(14.52)	
	10		02/24/03		178.54	177.88		.66
125010700	80	SOLECTRON CORP	02/24/03	04/04/06	279.78	243.94		35.84
125010800	137.648	STRONG GOVERNMENT SECURITIES	02/08/00	04/04/06	1,463.20	1,381.99		81.21
	74.776		02/15/00		794.87	750.00		44.87
	.074		03/01/00		.79	.74		.05
	2.172		03/01/00		23.09	21.89		1.20
	2.656		04/03/00		27.17	25.94		1.23
	.141		04/10/00		1.50	1.43		.07
	2.318		05/01/00		24.64	23.36		1.28
	2.612		06/01/00		27.77	26.14		1.63
	2.418		07/06/00		25.70	24.59		1.11
	2.3		08/01/00		24.45	23.43		1.02
	2.529		09/01/00		26.88	25.97		.91
	2.403		10/02/00		25.54	24.72		.82
	2.288		11/01/00		24.32	23.63		.69

MR LUIS G. FORTUNO

Account Number 837-22466-14 191

Details of Long Term Gains (Losses) 2005 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	2.679		12/06/00		$ 27.41	$ 26.95		$ .46
	2.369		01/02/01		25.18	25.08		.10
	2.332		02/01/01		24.79	24.96	(.16)	
	2.292		03/01/01		24.36	24.63	(.27)	
	2.406		04/02/01		25.57	25.87	(.30)	
	2.324		05/01/01		24.70	24.75	(.05)	
	2.33		06/01/01		24.77	24.83	(.06)	
	2.392		07/09/01		25.43	25.57	(.14)	
	66.79		07/25/01		709.98	720.00	(10.02)	
	2.235		08/01/01		23.78	24.22	(.46)	
	2.495		09/04/01		26.52	27.24	(.72)	
	1.964		10/01/01		20.88	21.70	(.82)	
	2.487		11/28/01		26.44	28.00	(1.56)	
	2.704		12/03/01		28.74	29.87	(1.13)	
	4.333		12/10/01		46.06	48.53	(.47)	
	4.728		12/10/01		50.26	50.77	(.51)	
	2.354		01/02/02		25.02	25.39	(.37)	
	2.415		02/01/02		25.67	26.10	(.43)	
	2.335		03/01/02		24.82	25.38	(.56)	
	2.465		04/01/02		28.20	26.17		.03
	2.425		05/01/02		25.78	26.23	(.45)	
	2.726		06/03/02		28.98	29.60	(.62)	
	2.311		07/01/02		24.57	25.28	(.71)	
	2.282		08/01/02		24.26	25.37	(1.11)	
	2.323		09/03/02		24.69	26.15	(1.46)	
	1.934		10/07/02		20.56	22.12	(1.56)	
	1.977		11/20/02		21.02	22.45	(1.43)	
	1.909		12/02/02		20.29	21.47	(1.18)	
	12.987		12/16/02		138.05	143.50	(5.45)	
	2.254		12/16/02		23.96	24.90	(.94)	
	2.01		01/06/03		21.37	22.39	(1.02)	
	1.845		01/10/03		19.61	20.57	(.96)	
	1.934		02/03/03		20.56	21.48	(.92)	
	1.589		03/03/03		18.89	17.84	(.95)	
	1.656		04/01/03		17.60	18.53	(.93)	
	1.676		05/01/03		17.81	18.79	(.98)	
	1.067		06/02/03		11.34	12.17	(.83)	
	.947		07/01/03		10.07	10.72	(.65)	
	1.009		08/01/03		10.73	11.01	(.28)	
	1.088		09/02/03		11.57	11.91	(.34)	
	.963		10/01/03		10.24	10.79	(.55)	

2005 YEAR END SUMMARY

1489

2005 YEAR END SUMMARY

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Ref: 00026384 00184295

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO

Account Number 837-22466-14 191

Detail Section (Long Term Gain/Loss) 2005 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	1.046		11/03/03		$ 11.12	$ 11.56	($ .43)	
	2.081		12/18/03		22.12	22.64	(.52)	
	6.256		12/18/03		66.60	68.06	(1.56)	
	1.093		01/02/04		11.62	11.89	(.27)	
	1.005		01/15/04		10.68	11.08	(.40)	
	.991		02/03/04		10.53	10.84	(.31)	
	1.019		03/01/04		10.83	11.24	(.41)	
	1.182		04/01/04		12.56	13.10	(.54)	
125010900	10	TARGET CORP	02/24/03	04/04/05	489.77	282.04		207.73
125011000	40	TEXAS INSTRUMENTS INC	07/20/99	05/24/05	1,091.62	1,420.00	(328.38)	
	15		08/16/00		409.36	1,018.25	(608.89)	
	15		09/11/00		409.36	863.44	(454.08)	
	.0148		11/21/00		.41	.63	(.22)	
	10		02/02/01		272.90	437.61	(164.71)	
	.017		02/13/01		.46	.64	(.18)	
	.0151		05/22/01		.41	.64	(.23)	
	.0191		08/21/01		.52	.63	(.11)	
	.0197		11/20/01		.54	.64	(.10)	
	.0202		02/12/02		.56	.64	(.09)	
	20		05/07/02		545.81	557.08	(11.27)	
	.0201		05/21/02		.55	.64	(.09)	
	.0282		08/20/02		.77	.64		.13
	.0386		11/19/02		1.05	.64		.41
	.0421		02/11/03		1.15	.63		.52
	.034		05/20/03		.93	.63		.30
	29.731		06/24/03		811.36	527.71		283.65
125011100	.269	TEXAS INSTRUMENTS INC	06/24/03	05/25/05	7.11	4.77		2.34
	.0307	LIQUIDATION OF FRACTIONAL SHS	08/19/03		.81	.64		.17
	.0221		11/18/03		.58	.63	(.05)	
	.0205		02/10/04		.54	.64	(.10)	
	.0253		05/18/04		.67	.63		.04
125011200	10	TIME WARNER INC	07/12/99	06/10/05	167.54	628.13	(460.59)	
	40		07/23/99		670.15	2,151.25	(1,481.10)	
	10		07/30/99		167.54	495.00	(327.46)	
	20		08/05/99		335.07	808.79	(473.72)	
	10		08/12/99		167.54	468.75	(301.21)	
	10		07/25/01		167.54	429.20	(261.66)	
	15		11/16/01		251.30	554.85	(303.55)	
	15		03/01/02		251.30	384.75	(133.45)	

citigroup
SMITH BARNEY

Ref: 00026384 00164296

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO
Account Number 837-22466-14 191

2005 YEAR END SUMMARY

Details of Long-Term Gain/(Loss) 2005 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	20		07/03/02		$ 335.07	$ 268.37		$ 66.70
	45		08/07/02		753.91	469.99		283.92
125011300	5	TOTAL S.A SPONS ADR	03/25/03	04/04/05	582.58	324.35		258.23
	5		03/29/04		582.57	451.77		130.80
125011400	15	TYCO INTL LTD NEW	01/11/00	09/09/05	424.63	518.44	(93.81)	
	.004		05/26/00		.11	.19	(.08)	
	.0035		08/02/00		.10	.18	(.08)	
	.0033		11/02/00		.09	.18	(.09)	
	.0031		02/06/01		.09	.18	(.09)	
	.0036		05/03/01		.10	.18	(.08)	
	9.9625		07/25/01		282.60	512.00	(229.40)	
125011500	.0175	TYCO INTL LTD NEW	07/25/01	09/12/05	.48	.90	(.42)	
	.0035	LIQUIDATION OF FRACTIONAL SHS	08/08/01		.10	.18	(.08)	
	.0061		11/02/01		.17	.30	(.13)	
	.0098		02/04/02		.27	.30	(.03)	
	.0155		05/02/02		.43	.31		.12
	.0238		08/02/02		.66	.30		.36
	.0208		11/04/02		.57	.30		.27
	.0196		02/04/03		.54	.30		.24
	.0192		05/02/03		.53	.31		.22
	.0168		08/04/03		.46	.31		.15
	.0144		11/04/03		.40	.31		.09
	.0111		02/03/04		.31	.31		
	.0107		05/04/04		.30	.31	(.01)	
	.0098		08/03/04		.27	.30	(.03)	
125011600	3	VERIZON COMMUNICATIONS	07/28/99	04/04/05	106.17	188.44	(82.27)	
	12		07/28/00		424.66	575.41	(150.75)	
	5		10/16/03		176.94	161.14		15.80
125011700	20	WACHOVIA CORP 2ND NEW	03/29/04	04/04/05	1,009.95	931.30		78.65
125011800	25.809	WASATCH SMALL CAP GROWTH FUND	07/25/01	04/04/05	986.68	774.53		212.15
	.592		10/11/01		22.63	16.09		6.54
	.044		12/18/01		1.68	1.42		.26
	.002		12/18/02		.08	.05		.03
	.131		10/15/03		5.01	4.45		.56
125011900	16	WASHINGTON MUTUAL INC	03/07/02	04/04/05	579.57	508.78		70.89
125012000	20	WM WRIGLEY JR CO	07/29/99	04/04/05	1,296.74	808.62		488.12
125012100	20	XEROX CORP	11/12/99	04/04/05	298.22	501.25	(203.03)	
	.1654		01/04/00		2.47	4.00	(1.53)	
	.1584		04/04/00		2.38	4.02	(1.66)	

2005 YEAR END SUMMARY

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SMITH BARNEY

Ref: 00026384 00164297

Financial Management Account
2005 Year End Summary

MR LUIS G. FORTUNO
Account Number 837-22466-14 191

Details of Long-Term Gain (Loss) 2005 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	.1993		07/05/00		$ 2.97	$ 4.06	($ 1.09)	
	.328		10/03/00		4.89	4.10		.79
	.1489		01/03/01		2.22	.75		1.47
125012200	.0666	XEROX CORP	01/03/01	04/05/05	.83	.28		.55
	.1892	LIQUIDATION OF FRACTIONAL SHS	04/03/01		2.76	1.05		1.71
Total					$ 135,534.31	$ 146,377.21	($ 30,218.46)	$ 19,375.56

Details of Deposits and Withdrawals 2005

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000100	03/30/05	FROM 837-18773-01 TO 837-22466-01	$ 924.18
210000300	03/31/05	FROM 837-18773-01 TO 837-22466-01	1.16
210000500	09/13/05	FROM 837-18773-01 TO 837-22466-01	100.64
210000700	09/13/05	FROM 837-81589-01 TO 837-22466-01	24.87
Total			$ 4,342.80

Reference number	Date	Description	Amount
210000200	03/30/05	FROM 837-20187-01 TO 837-22466-01	$ 3,268.41
210000400	03/31/05	FROM 837-20187-01 TO 837-22466-01	5.23
210000600	09/13/05	FROM 837-20187-01 TO 837-22466-01	18.11

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	03/31/05	FROM 837-22466-01 TO 837-81589-01		$ 268.26
220000300	06/29/05	CHECK 0837034210 BY SAN JUAN P.R.		31,154.17
220000500	07/27/05	FMA ANNUAL FEE AS OF 06/05		100.00
Total				$ 155,807.05

Reference number	Date	Description	Referral number	Amount
220000200	04/19/05	CHECK 0837030539 BY SAN JUAN P.R.		$ 100,600.00
220000400	07/07/05	CHECK 0837034693 BY SAN JUAN P.R.		12,000.00
220000600	09/16/05	CHECK 0837037467 BY SAN JUAN P.R.		11,684.62

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Ref: 00025537 00159385

Client Statement
2005 Year End Summary

837M051911877300002553 7 305381AJ01 WEB00158A
LUIS G.FORTUNO AS TTE. FOR
837 LUIS FORTUNO RETIREMENT PLAN
191 UAD 12/17/1996
SPECIAL ACCOUNT II
W-3 LOMA ALTA ST. GARDEN HILLS
GUAYNABO PR 00966-2116

Account Number 837-18773-10 191

We are pleased to provide you with this overview of the year's activity in your account. This information will help you understand the performance of your investments and manage your account with the assistance of your Financial Advisor.

If you have questions about your Year End Summary, call our toll-free number, Monday through Friday, 9:00 a.m. to 5:00 p.m. EST., 1(800) 727-1099

Your Financial Advisor
PAUL G. BRENNAN
CITIGROUP GLOBAL MKTS INC.
1 CITIBANK DR PL NO
SAN JUAN PR 00926
Branch Office : 787-759-8333

1493

2005 YEAR END SUMMARY

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SMITH BARNEY

Ref: 00025537 00159386

Client Statement
2005 Year End Summary

Account Number 837-18773-10 191

Value of your portfolio

Description	Amount
Value of your account on 12/31/05	$ 0.00
Value of your account on 12/31/04	$ 84,364.84

Earnings summary 2005

Description	Amount
Dividends **	$ 6.44
Qualified Dividends	391.74
Total	$ 398.18

***If you received money funds earnings, capital gain distributions or dividends exempt from Federal income tax, they are included in this amount.*

Client Statement
2005 Year End Summary

LUIS G.FORTUNO AS TTE. FOR
Account Number 837-18773-10 191

Details of Earnings-2005

Dividends and Distributions, Section 1

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax.
"Total dividends" includes both Qualified and non-qualified dividends received.
"Total capital gain distributions" is a total of long term capital gain distributions, Unrecaptured Sec. 1250 gain and Section 1202 gain.

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec. 1250 gain	Section 1202 gain	Alternative minimum tax
160000100	*** NOBLE CORP-NEW (CAYMAN ISLANDS)	$ .20	$ .20					
160000200	AT&T CORP-NEW	5.94	5.94					
160000300	ALCOA INC	2.25	2.25					
160000400	ALTRIA GROUP INC	36.50	36.50					
160000500	AMERICAN EXPRESS CO	1.80	1.80					
160000600	AMERICAN INTL GROUP INC	5.63	5.63					
160000700	AVERY DENNISON CORP	3.80	3.80					
160000800	*** BP PLC SPONS ADR	5.10	5.10					
160000900	BANK OF AMERICA CORP	9.90	9.90					
160001000	BANK NEW YORK INC	6.00	6.00					
160001100	BOEING CO	3.75	3.75					
160001200	CAPITAL ONE FINL CORP	.27	.27					
160001300	COCA-COLA CO	12.60	12.60					
160001400	COMERICA INC	5.50	5.50					
160001500	WALT DISNEY CO	16.80	16.80					
160001600	DREYFUS LIQUID ASSETS INC CLASS 2	6.44						
160001700	EXXON MOBIL CORP	8.64	8.64					
160001800	GENERAL ELECTRIC CO	30.80	30.80					
160001900	GILLETTE CO	9.75	9.75					
160002000	*** GLAXOSMITHKLINE PLC SP ADR	12.15	12.15					
160002100	GOLDMAN SACHS GROUP INC	2.50	2.50					
160002200	HEWLETT PACKARD CO	4.40	4.40					
160002300	HOME DEPOT INC	5.50	5.50					
160002400	HONEYWELL INTL INC	6.19	6.19					
160002500	INTEL CORP	8.80	8.80					

2005 YEAR END SUMMARY

1495

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Ref: 00025537 00159388

Client Statement
2005 Year End Summary

LUIS G.FORTUNO AS TTE. FOR
Account Number 837-18773-10 191

2005 YEAR END SUMMARY

Details of Earnings 2005 - *continued*

Dividends and Distributions, Section 1

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec. 1250 gain	Section 1202 gain	Alternative minimum tax
160002600	INTL BUSINESS MACHINES CORP	$ 1.80	$ 1.80					
160002700	INTERNATIONAL PAPER CO	5.00	5.00					
160002800	JPMORGAN CHASE & CO	6.80	6.80					
160002900	JOHNSON & JOHNSON	11.40	11.40					
160003000	KEYSPAN CORP	6.83	6.83					
160003100	KIMBERLY CLARK CORP	8.50	8.50					
160003300	MERRILL LYNCH & CO INC	8.00	8.00					
160003400	MICROSOFT CORP	8.00	8.00					
160003500	MORGAN STANLEY	4.05	4.05					
160003600	NEWELL RUBBERMAID INC	5.25	5.25					
160003700	NEWS CORP CLASS B NEW	1.20	1.20					
160003800	J C PENNEY CO INC	1.88	1.88					
160003900	PEPSICO INC	11.50	11.50					
160004000	PFIZER INC	21.85	21.85					
160004100	RAYTHEON COMPANY NEW	3.00	3.00					
160004200	*** ROYAL DUTCH PETROLEUM CO NY REG SH PAR N GLDR 1.25	26.53	26.53					
160004300	SBC COMMUNICATIONS INC	12.90	12.90					
160004400	ST PAUL TRAVELERS COS INC	2.20	2.20					
160004500	SCHERING PLOUGH CORP	2.20	2.20					
160004600	SEMPRA ENERGY	4.35	4.35					
160004700	TARGET CORP	.80	.80					
160004800	TEXAS INSTRUMENTS INC	2.50	2.50					
160004900	VERIZON COMMUNICATIONS	9.63	9.63					
160005000	WACHOVIA CORP 2ND NEW	9.20	9.20					
160005100	WASHINGTON MUTUAL INC	6.90	6.90					
160005200	WM WRIGLEY JR CO	4.70	4.70					
Totals		$ 398.18	$ 391.74					

Client Statement
2005 Year End Summary

LUIS G.FORTUNO AS TTE. FOR
Account Number 837-18773-10 191

Details of Earnings 2005 - continued

Dividends and Distributions, Section 2

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax.

Reference number	Description	Nontaxable distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
160003200	MCI INC	$ 10.00					
Totals		$ 10.00					

Details of Short Term Gain (Loss) 2005

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000100	5	NABORS INDUSTRIES LTD-USD NEW	11/29/04	01/04/05	$ 245.49	$ 260.35	($ 14.86)	
125000200	5	ALCOA INC	12/08/04	02/16/05	153.09	160.40	(7.31)	
125000600	5	MCI INC	01/12/05	02/10/05	102.40	98.62		3.78
125000700	10	MCI INC	01/12/05	02/15/05	204.72	197.24		7.48
125000800	25	MCI INC	01/12/05	03/18/05	584.55	493.11		91.44
125001000	30	NEWS CORP CLASS A NEW	08/06/04	03/07/05	525.40	459.05		66.35
125001100	18	NEWS CORP CLASS A NEW	08/06/04	03/09/05	275.71	244.83		30.88
125001200	4	NEWS CORP CLASS A NEW	08/06/04	03/10/05	68.91	61.21		7.70
125001300	5	ROYAL DUTCH PETROLEUM CO NY REG SH PAR N GLDR 1.25	03/24/04	02/08/05	292.51	232.03		60.48
Total					$ 2,452.78	$ 2,206.84	($ 22.17)	$ 268.11

2005 YEAR END SUMMARY

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SMITH BARNEY

Ref: 00025537 00159390

Client Statement
2005 Year End Summary

LUIS G.FORTUNO AS TTE. FOR
Account Number 837-18773-10 191

2005 YEAR END SUMMARY

Details of Long Term Gain (Loss) 2005

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000200	10	ALCOA INC	03/10/03	02/16/05	$ 306.19	$ 188.82		$ 117.37
125000300	5	BANK NEW YORK INC	02/05/03	01/27/05	147.82	122.18		25.64
125000400	5	HEWLETT PACKARD CO	04/09/03	02/09/05	107.67	77.23		30.44
125000500	5	INTERNATIONAL PAPER CO	03/10/03	02/09/05	186.19	169.78		16.41
125000600	5	MORGAN STANLEY	05/02/02	03/22/05	285.45	243.81		41.64
125001400	5	VERIZON COMMUNICATIONS	07/28/99	01/25/05	183.57	314.06	(130.49)	
125001500	15	WELLS FARGO & CO NEW	07/20/99	01/11/05	929.74	630.64		299.10
125001600	15	WYETH	07/23/99	02/02/05	587.51	785.63	(198.12)	
Total					$ 2,734.14	$ 2,532.15	($ 328.61)	$ 530.60

Details of Deposits and Withdrawals 2005

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000100	03/31/05	INVESTMENT & ADVISORY SERVICES FROM 03/29/05 TO 03/31/05	$ 20.94
Total			$ 20.94

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	01/21/05	INVESTMENT & ADVISORY SERVICES FROM 01/01/05 TO 03/31/05		$ 628.50
220000300	03/31/05	FROM 837-18773-01 TO 837-22466-01		1.16
220000200	03/30/05	FROM 837-18773-01 TO 837-22466-01		$ 924.18
220000400	09/13/05	FROM 837-18773-01 TO 837-22466-01		100.84

2005 YEAR END SUMMARY

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Ref: 00025537 00159391

Client Statement
2005 Year End Summary

LUIS G.FORTUNO AS TTE. FOR
Account Number 837-18773-10 191

Details of Deposits and Withdrawals 2005 - *continued*

Withdrawals - *continued*

Reference number	Date	Description	Referral number	Amount
220000600	12/01/05	TO ADJUST BALANCE		$ .02
Total				$ 1,664.50

Reference number	Date	Description	Referral number	Amount

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Ref 00025816 00160939

Client Statement
2005 Year End Summary

837M051912018700002581 6 305381AJ01 WEB00158A
LUIS G. FORTUNO
837 LUIS FORTUNO RETIREMENT PLAN
191 UAD 12/17/98
SPECIAL ACCOUNT I
W-3 LOMA ALTA ST. GARDEN HILLS
GUAYNABO PR 00966-2116

Account Number 837-20187-16 191

We are pleased to provide you with this overview of the year's activity in your account. This information will help you understand the performance of your investments and manage your account with the assistance of your Financial Advisor.

If you have questions about your Year End Summary, call our toll-free number, Monday through Friday, 9:00 a.m. to 5:00 p.m. EST., 1(800) 727-1099

Your Financial Advisor
PAUL G. BRENNAN
CITIGROUP GLOBAL MKTS INC.
1 CITIBANK DR PL NO
SAN JUAN PR 00926
Branch Office : 787-759-8333

2005 YEAR END SUMMARY

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SMITH BARNEY

Ref: 00025816 00160940

Client Statement
2005 Year End Summary

Account Number 837-20187-16 191

Value of yourportfolio

Description	Amount
Value of this account as of 12/31/05	$ 0.00
Value of your account as of 12/31/04	$ 38,251.35

Earnings summary 2005

Description	Amount
Dividends **	$ 9.86
Qualified Dividends	119.38
Total	$ 129.24

***If you received money funds earnings, capital gain distributions or dividends exempt from Federal income tax, they are included in this amount.*

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Ref: 00025816 00160941

Client Statement
2005 Year End Summary

LUIS G. FORTUNO
Account Number 837-20187-18 191

Details of Earnings 2005

2005 YEAR END SUMMARY

1502

Dividends and Distributions, Section 1

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax.
"Total dividends" includes both Qualified and non-qualified dividends received.
"Total capital gain distributions" is a total of long term capital gain distributions, Unrecaptured Sec. 1250 gain and Section 1202 gain.

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec 1250 gain	Section 1202 gain	Alternative minimum tax
160000100	ABBOTT LABORATORIES	$ 7.56	$ 7.56					
160000200	ADOBE SYSTEMS INC (DE)	.50	.50					
160000300	BANK OF AMERICA CORP	14.76	14.76					
160000400	DIAMONDS TRUST SER 1 A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	5.39	5.39					
160000500	DREYFUS LIQUID ASSETS INC CLASS 2	9.80						
160000600	GENERAL ELECTRIC CO	14.14	14.14					
160000700	HEWLETT PACKARD CO	3.42	3.42					
160000800	HOME DEPOT INC	2.56	2.56					
160000900	INTEL CORP	10.56	10.56					
160001000	INTL BUSINESS MACHINES CORP	7.42	7.42					
160001100	ISHARES RUSSELL 1000 GROWTH INDEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	4.04	3.98					
160001200	JPMORGAN CHASE & CO	22.39	22.39					
160001300	MEDTRONIC INC	.85	.85					
160001400	MICROSOFT CORP	2.70	2.70					
160001500	MOTOROLA INC DE	5.91	5.91					
160001600	PFIZER INC	4.47	4.47					
160001700	QUALCOMM INC	5.64	5.64					
160001800	SBC COMMUNICATIONS INC	3.85	3.85					
160001900	TEXAS INSTRUMENTS INC	.76	.76					
160002000	*** TYCO INTL LTD NEW	2.52	2.52					
Totals		$ 129.24	$ 119.38					

Client Statement
2005 Year End Summary

LUIS G. FORTUNO

Account Number 837-20187-16 191

Detail for Earnings 2005 - continued

Dividends and Distributions, Section 2

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax.

Reference number	Description	Nontaxable distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
160001100	ISHARES RUSSELL 1000 GROWTH INDEX FUND A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	$ .23					
Totals		$ .23					

Details of Investment Activity 2005

This section shows your purchases, sales, dividend reinvestments and certain other activity of securities during the year. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Transaction Description	Transaction	Trade Date	Quantity	Price	Amount
120000100	TOMMY HILFIGER CORP	Sold	02/18/05	-20	$ 11.07	$ 221.39
120000200	ABBOTT LABORATORIES	Sold	02/15/05	-29	46.77	1,356.28
120000300	ABBOTT LABORATORIES	Reinvest	02/15/05			-7.58
120000400	ABBOTT LABORATORIES	Redemption	02/16/05	-.0826	46.87	3.87
120000500	ABBOTT LABORATORIES	Reinvest	02/16/05	.1613	46.87	
120000600	ABBOTT LABORATORIES	Redemption	02/17/05	-.1613	46.83	7.55
120000700	ADOBE SYSTEMS INC (DE)	Reinvest	01/11/05			-.25
120000800	ADOBE SYSTEMS INC (DE)	Reinvest	01/12/05	.0043	58.48	
120000900	AGERE SYS INC CLASS B	Sold	02/15/05	-14	1.54	21.55
120001000	APPLIED MICROCIRCUITS CORP	Sold	02/15/05	-20	3.74	74.79
120001100	BANK OF AMERICA CORP	Reinvest	03/28/05			-14.76
120001200	BANK OF AMERICA CORP	Reinvest	03/29/05	.3334	44.275	
120001300	DIAMONDS TRUST SER 1	Reinvest	01/07/05			-1.76
120001400	DIAMONDS TRUST SER 1	Reinvest	01/20/05	.0166	106.28	
120001500	DIAMONDS TRUST SER 1	Reinvest	02/14/05			-1.05

2005 YEAR END SUMMARY

1503

2005 YEAR END SUMMARY

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SMITH BARNEY

Ref: 00025818 00180943

Client Statement
2005 Year End Summary

LUIS G. FORTUNO
Account Number 837-20187-16 191

Details of Investment Activity 2005 *continued*

Reference number	Transaction Description	Transaction	Trade Date	Quantity	Price	Amount
120001800	DIAMONDS TRUST SER 1	Reinvest	02/16/05	.0097	$ 108.01	
120001700	DIAMONDS TRUST SER 1	Reinvest	03/14/05			-2.54
120001800	DIAMONDS TRUST SER 1	Reinvest	03/16/05	.0235	108.11	
120001900	GENERAL ELECTRIC CO	Reinvest	01/25/05			-7.05
120002000	GENERAL ELECTRIC CO	Reinvest	01/26/05	.1981	35.942	
120002100	HEWLETT PACKARD CO	Reinvest	01/05/05			-1.71
120002200	HEWLETT PACKARD CO	Reinvest	01/06/05	.0813	21.04	
120002300	HOME DEPOT INC	Reinvest	03/24/05			-2.56
120002400	HOME DEPOT INC	Reinvest	03/28/05	.0668	38.30	
120002500	HOSPIRA INC	Sold	02/15/05	-2	30.01	60.01
120002600	INTEL CORP	Reinvest	03/01/05			-10.56
120002700	INTEL CORP	Reinvest	03/02/05	.433	24.39	
120002800	INTL BUSINESS MACHINES CORP	Reinvest	03/10/05			-7.42
120002900	INTL BUSINESS MACHINES CORP	Reinvest	03/11/05	.0804	92.25	
120003000	JPMORGAN CHASE & CO	Reinvest	01/31/05			-22.39
120003100	JPMORGAN CHASE & CO	Reinvest	02/01/05	.5971	37.50	
120003200	MEDTRONIC INC	Reinvest	01/28/05			-.88
120003300	MEDTRONIC INC	Reinvest	01/31/05	.0162	52.50	
120003400	MICROSOFT CORP	Reinvest	03/10/05			-2.70
120003500	MICROSOFT CORP	Reinvest	03/11/05	.1061	25.45	
120003600	MOTOROLA INC DE	Reinvest	01/14/05			-2.95
120003700	MOTOROLA INC DE	Reinvest	01/18/05	.1724	17.11	
120003800	NASDAQ 100 TRUST SER 1	Reinvest	01/03/05	.2362	40.09	
120003900	PFIZER INC	Reinvest	03/08/05			-4.47
120004000	PFIZER INC	Reinvest	03/09/05	.1667	26.81	
120004100	QUALCOMM INC	Reinvest	01/05/05			-2.82
120004200	QUALCOMM INC	Reinvest	01/06/05	.0664	42.50	
120004300	QUALCOMM INC	Reinvest	03/28/05			-2.82
120004400	QUALCOMM INC	Reinvest	03/29/05	.0782	38.08	
120004500	SBC COMMUNICATIONS INC	Reinvest	02/01/05			-3.85
120004600	SBC COMMUNICATIONS INC	Reinvest	02/02/05	.1586	24.28	
120004700	TEXAS INSTRUMENTS INC	Reinvest	02/14/05			-.78

2005 YEAR END SUMMARY

citigroup
SMITH BARNEY

Ref: 00025816 00160944

Client Statement
2005 Year End Summary

LUIS G. FORTUNO

Account Number 837-20187-16 191

Details of Investment Activity 2005 – continued

Reference number	Transaction Description	Transaction	Trade Date	Quantity	Price	Amount
120004800	TEXAS INSTRUMENTS INC	Reinvest	02/15/05	.0293	$ 25.95	
120004900	TYCO INTL LTD NEW	Reinvest	02/01/05			-2.52
120005000	TYCO INTL LTD NEW	Reinvest	02/02/05	.073	34.51	
120005100	VITESSE SEMICONDUCTOR CORP	Sold	02/16/05	-40	3.33	133.19
Total securities bought and other subtractions						$ -103.35
Total securities sold and other additions						$ 1,878.63

Details of Deposits and Withdrawals 2005

This section reflects any deposits or withdrawals made to your account during the year.

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	01/21/05	INVESTMENT AND MGMT SERVICES FROM 01/01/05 TO 03/31/05		$ 268.09
220000300	03/31/05	FROM 837-20187-01 TO 837-22466-01		5.23
Total				$ 3,559.84

Reference number	Date	Description	Referral number	Amount
220000200	03/30/05	FROM 837-20187-01 TO 837-22466-01		$ 3,268.41
220000400	09/13/05	FROM 837-20187-01 TO 837-22466-01		18.11

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

Vito John Fossella
(Full Name)

15 Grandview Terrace
Staten Island, N.Y. 10308
(Mailing Address)

Daytime Telephone: 718-356-8400

Filer Status	☑ Member of the U.S. House of Representatives — State: New York, District: 13		☐ Officer or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☑	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☑
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/11/06

1506

Name Vito John Fossella

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Transaction (P, S, E)
SP	Mega Corp. Stock					X								X										X								P
DC	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
JT	Chase Manhattan Bank Acct.			X												X						X										
	NYC Deferred Compensation				X									X									X									
SP	Chase Manhattan IRA					X										X							X									
	Merrill Lynch Global Value Fund Class B			X										X								X										
DC	Chase Manhattan NY 529 College Savings Program Advisor			X												X							X									
DC	Chase Manhattan NY Plan 529 College Savings Program Market Growth			X												X							X									

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Vito John Fossella

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
DC	Chase Manhattan NY 529 College Savings Program Aggressive Growth			X												X							X								

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2005 Fossella Attachment

Chase Manhattan IRA breakdown

IRA holdings	Value	Income
Fidelity Cash Reserves	$1-$1,000	
Columbia Conservative High Yield	$1,001-$15,000	
DWS Dreman Small Cap Value	$1-$15,000	$201-$1,000
Dreyfus Premier Emerging Markets	$1-$1,000	
Eaton Vance Large Cap Value	$1,001-$15,000	$1,001-$2,500
Fidelity Advisor Short Fixed Income	$15,001-$50,000	$5,001-$15,000
MFS Investor Growth	$1,001-$15,000	$201-$1,000
Pimco Total Return	$1,001-$15,000	
Templeton Foreign	$1,001-$15,000	
JP Morgan Chase Stock	$15,001-$50,000	$1,001-$2,500

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

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VIRGINIA ANN FOXX
(Full Name)

503 CANNON HOUSE OFFICE BUILDING
(Mailing Address)

202-225-2071
Daytime Telephone

WASHINGTON, DC 20515

Filer Status	[X] Member of the U.S. House of Representatives — State: NC District: 05	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Virginia Foxx	6/13/06

1510

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E
SP	Examples: SP Mega Corp. Stock					X								X										X								P
DC	Simon & Schuster		Indefinite																	Royalties								X				
JT	1st Bank of Paducah, KY accounts						X									X										X						
JT	Rental Bldg 129 Crystal St Spruce Pine, NC						X								X												X					
JT	Wachovia Bank Boone, NC Accounts								X							X									X							
JT	Lot 1 Rosebay Sub. Banner Elk, NC					X															X											
JT	Rental 11353 Hwy 105 Banner Elk, NC						X								X										X							
JT	Rental 169 Crystal Dew Banner Elk, NC						X								X										X							
JT	Rental 166 Crystal Dew Banner Elk, NC					X									X										X							

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name VIRGINIA FOXX

Page 3 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, PS
JT	Rental 175 Crystal Dew Banner Elk, NC					X									X										X							
JT	Rental 180 Crystal Dew Banner Elk, NC						X																			X						
JT	Rental 11468 Hwy 105 Banner Elk, NC					X									X											X						
JT	Rental 11470 Hwy 105 Banner Elk, NC					X									X											X						
JT	Farm 11468 Hwy 105 Banner Elk, NC									X					X		X								X							
JT	Foxx Family Inc (garden shop, mini-storage) 11468 Hwy 105 Banner Elk, NC							X								X				Mort.						X						
JT	Rick Carpenter, Hickory, NC			X												X				Mort.						X						
JT	3 acres 11468 Hwy 105 Banner Elk, NC				X															NA	X											P
JT	House Lot 2 Rosebay Sub. Banner Elk, NC	X															X												X			S
JT	House Lot 6 Rosebay Sub. Banner Elk, NC	X															X												X			S
JT	US Bonds	X															X									X						S
JT	Bank of Ameriea				X									X			X															
JT	Bristol Myers Squibb			X										X			X									X						Pt.S
JT	Chevron				X									X									X									
JT	CMS	X															X						X									S
JT	GE				X									X			X										X					Pt.S
JT	Hartford				X									X									X									
JT	Home Depot			X										X									X									
	Amendment to 2004:																															
JT	Atmos	X												X			X									X						S(2004)

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name VIRGINIA FOXX

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
JT	McDonalds				X									X									X								
JT	Proctor & Gamble				X									X									X								
JT	Smucker			X										X									X								
JT	Starwood			X										X								X									
JT	Verizon			X										X									X								
JT	Wal Mart		X											X								X									
JT	Wash REIT			X										X									X								
JT	First Charter Bank Acct.			X														NA			X										
	Growth Fd Am			X													X						X								
SP	Growth Fd Am			X													X						X								
	Fidelity Equity Income					X											X							X							
	Evergreen/New Solutions						X										X								X						
SP	Lifestyles NY Life (Roth IRA)				X												X								X						
	Lifestyles NY Life (Roth IRA)				X												X								X						
JT	Transamerica Annuity						X										X									X					
JT	Tax Ex. Bond Fund					X										X								X							
JT	Van Kempen High Yield MF					X										X									X						
	Loan Foxx for Congress				X															NONE	X										

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Name VIRGINIA FOXX | Page 5 of 6

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
JT	3 Acres of Land 11468 Hwy 105 Banner Elk, NC	X			12-31-05		X								
JT	House Lot 2 Rosebay Subdivisions Banner Elk, NC		X		7-1-05					X					
JT	House Lot 6 Rosebay Subdivisions Banner Elk, NC		X		11-1-05					X					
JT	GE Stock		X		3-22-05		X								
JT	CMS Stock		X		3-22-05	X									
JT	Bristol Myer Squib Stock		X		3-21-05	X									
JT	US Bonds		X		3-21-05	X									
	Amendment to 2004:														
JT	Atmos		X		4-13-04	X									

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Heritage Foundation	Jan. 17-18	Wash, DC — Baltimore — Wash, DC	Y	Y	Y	None
	Heritage Foundation	Feb. 3-5	Wash, DC — Baltimore — Wash, DC	Y	Y	N	None
	American Israel Education Fdn	Aug. 21-28	Greensboro High Point, NC — Tel Aviv, Israel — Greensboro High Point, NC	Y	Y	Y	None

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2006 MAY 11 AM 9:50
MC OFFICE OF THE CLERK
(Office of Clerk) HOUSE OF REPRESENTATIVES

U.S. Rep. Barney Frank
(Full Name)

2252 Rayburn Building Washington, DC 20515
(Mailing Address)

202-225-5931
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: MA District: 4	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions-	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Barney Frank	5-11-2006

1516

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name U.S. Rep. Barney Frank

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	TIAA-CREF Retirement Account	$15,001 - $50,000	None	NONE	S
	Citizens Bank Savings & Checking Accounts	$15,001 - $50,000	INTEREST	$1 - $200	NA
	4 Percent Interest in Breadline Restaurant (Washington, DC)	$15,001 - $50,000	None	NONE	NA
	1401 17th Street, N.W. Washington, DC (personal residence)	$250,001 - $500,000	None	NONE	NA
	Salomon Smith Barney Brokerage Account - Money Fund	$1 - $1,000	DIVIDENDS	$1 - $200	S
	Centennial Money Market Trust (IRA)	$1,001 - $15,000	INTEREST	$1 - $200	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name U.S. Rep. Barney Frank

	UTS Nuveen Dow Portfolio	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S
	Mass. Investors Growth Stock Fund	$15,001 - $50,000	INTEREST	$1,001 - $2,500	S
	Mass. Housing Finance Agency (bonds)	$1,001 - $15,000	INTEREST	$201 - $1,000	NA
	Mass. Industrial Finance Agency (bonds)	$1,001 - $15,000	INTEREST	$201 - $1,000	NA
	Mass. State bonds (consolidated loan)	$15,001 - $50,000	INTEREST	$201 - $1,000	NA
	Smith Barney Social Awareness Fund	$50,001 - $100,000	CAPITAL GAINS/DIVIDENDS	$2,501 - $5,000	S
	Calvert Social Investment Fund	$50,001 - $100,000	CAPITAL GAINSDIVIDENDS	$2,501 - $5,000	NA
	Univ. Of Mass. Building Authority	$15,001 - $50,000	INTEREST	$201 - $1,000	S
	Mass. Turnpike Authority	$15,001 - $50,000	INTEREST	$201 - $1,000	NA
	Citibank Bank Deposit Program	$1,001 - $15,000	INTEREST	$1 - $200	NA
	Nuveen Mass. Dividend Advantage Municipal Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	NA
	Marshfield, MA FGIC Insured bonds	$1,001 - $15,000	INTEREST	$201 - $1,000	P
	Univ. Of Mass. Building Auth.	$15,001 - $50,000	INTEREST	$201 - $1,000	P
	Mass. Water Resources Authority bonds	$15,001 - $50,000	INTEREST	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name U.S. Rep. Barney Frank

	Mass. State bonds (consolidated loan)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Mass. State Special Obligation bonds	$15,001 - $50,000	INTEREST	$201 - $1,000	P

SCHEDULE IV - TRANSACTIONS

Name U.S. Rep. Barney Frank

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	TIAA-CREF Retirement Account	S	12-2005	$15,001 - $50,000
	Salomon Smith Barney Brokerage Account - Money Fund	S	12-2005	$1,001 - $15,000
	Centennial Money Market Trust (IRA)	S	12-2005	$1,001 - $15,000
	Smith Barney Social Awareness Fund	S	12-15-05	$50,001 - $100,000
	Univ. Of Mass. Building Authority	S	8-19-05	$15,001 - $50,000
	Marshfield, MA FGIC Insured bonds	P	2-15-05	$1,001 - $15,000
	Univ. Of Mass. Building Auth.	P	2-10-05	$15,001 - $50,000
	Mass. Water Resources Authority bonds	P	8-19-05	$15,001 - $50,000
	Mass. State bonds (consolidated loan)	P	8-26-05	$1,001 - $15,000
	Mass. State Special Obligation bonds	P	8-26-05	$15,001 - $50,000
	UTS Nuveen Dow Portfolio	S	2-22-05	$1,001 - $15,000
	Mass. Investors Growth Stock Fund	S	2-02-05	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name U.S. Rep. Barney Frank

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Flagstar Bank (Bingham Farms, MI)	Mortgage on 1401 17th Street, N.W. Washington, DC (personal residence)	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name U.S. Rep. Barney Frank

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
UCLA School of Law [Speaking engagement]	Feb. 24 - 27	New York - Los Angeles - Boston	Y	N	N	N/A
Liberty City Democratic Club [fundraiser]	April 1 - 2	Philadelphia (lodging only; transp. paid by Frank for Congress)	Y	N	N	N/A
Johns Hopkins Univ. [speaking engagement]	April 11	Wash., DC - Baltimore -- Wash., DC	N	N	N	N/A
Berkshire Stonewall [speaking engagement]	April 30	Lenox, MA (lodging only; transp. paid by Frank for Congress)	Y	N	N	N/A
Progressive Democrats of Philadelphia [speaking engagement]	May 1 - 3	Philadelphia (lodging only; transp. Paid by Frank for Congress)	Y	N	N	N/A
Legal Aid Society - Employment Law Center [speaking engagement]	July 6 - 9	Boston - San Francisco	Y	N	N	N/A
Garden State Equality [speaking engagement]	July 9 - 11	San Francisco - New York - Providence	Y	N	N	N/A
Fool Proof's American Voices [speaking engagement]	August 3 - 4	Boston - Seattle - San Francisco	Y	N	N	N/A
Young Democrats of America [speaking engagement]	August 4 - 6	San Francisco - Boston	Y	N	N	N/A

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name U.S. Rep. Barney Frank

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Cleveland City Club [speaking engagement]	August 11 - 13	Boston - Cleveland - Boston	Y	N	N	N/A
Florida ACLU [speaking engagement]	Sept. 10 - 11	Wash., DC - Orlando - New York	Y	N	N	N/A
New York Stock Exchange [tour of exchange]	Sept. 12	New York (lodging only; transp. to Wash., DC paid by official Congressional funds}	Y	Y	N	N/A
Maine Won't Discriminate [speaking engagement]	Oct. 1 - 2	Wash., DC - Portland, ME - New York {transp. to Wash., DC paid by Frank for Congress}	Y	N	N	N/A
Vermont Democrats [speaking engagement]	Oct. 21 - 22	Wash., DC - Burlington, VT - Boston	Y	N	N	N/A
Stonewall Democrats [speaking engagement]	Dec. 2 - 4	Boston - Ft. Lauderdale - Wash., DC	Y	N	N	N/A
Florida Democratic State Committee [speaking engagement]	Dec. 9 - 11	Wash., DC - Orlando - Boston	Y	N	N	N/A

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2006 MAY 11 PM 5:46
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC

TRENT FRANKS
(Full Name)
12416 N. 52th Drive
(Mailing Address)
Glendale AZ 85304
Daytime Telephone 202-365-3011

Filer Status	[X] Member of the U.S. House of Representatives	State: AZ District: 2	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Trent Franks	5-11-06

Name Brent Franks

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock						X							X										X								P
DC	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X									X							
	Note Payable from Providence Petroleum for Liberty Petroleum Stock									X							X												X			
	Providence Petroleum Stock									X							X				X											

For additional assets and unearned income, use next page.

1525

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name TRENT FRANKS Page 8 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	US. Patent & T.P 1000 Life Pager	F X			
	House / Rental Property	G X	DIVIDENDS X; CAPITAL GAINS X	IX X	S

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name TRENT FRANKS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	House / Rental Property 7726 N. 30th Drive Phoenix, AZ		X		9-09-05					X					

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Name TRENT FRANKS | Page 5 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Fairbanks Capital Corp	Mortgage on				X						
	Jacksonville, Florida	7726 N. 30th Dr.										
		Phx AZ										

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Strategic Consulting and Administration Inc
Director	Liberty Petroleum Corporation
Director	Providence Petroleum Corporation
Board Member	Americans for Military Readiness
Board Member	Heartline Ministries
Board Member	Children's Hope Scholarship Foundation

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 2006 25-828 (mec)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2005 MAY 15 PM 12:01
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U.S. HOUSE OF REPRESENTATIVES
46

HAND DELIVERED MC
(Office Use Only)

Rodney P. Frelinghuysen
(Full Name)

559 James Street
Morristown, New Jersey 07960
(Mailing Address)

(908)439-3499 (Tina)
Daytime Telephone:
(202)225-5034 (Rodney)

Filer Status	[x] Member of the U.S. House of Representatives — State: New Jersey District: 11	[ ] Officer or Employee	Employing Office:
Report Type	[x] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [x]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [x]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [x]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [x]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [x]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [x]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [x]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [x]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [x]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [x]	No [ ]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [x]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Rodney P. Frelinghuysen	11 May 06

1530

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NONE		

For payments to charity in lieu of honoraria, use Schedule II.

Name Rodney P. Frelinghuysen	Page 3 of 46

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
XYZ Magazine	Article	Aug. 13, 2005	$500
NONE			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	Examples	SP	Mega Corp Stock					X							X										X								P
			Simon & Schuster		Indefinite																Royalties									X			
			1st Bank of Paducah, KY accounts					X									X									X							
	See Continuation Sheets Pages 5 thru 30																																

For additional assets and unearned income, use next page.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

ASSETS	YEAR-END VALUE	INCOME TYPE	2005 INCOME	2005 TRANSACTION
Johnson & Johnson	H	DIV	VII	
Pall Corp.	F	DIV	V	
Peapack Gladstone Financial Corp.	F	DIV	V	
Bank of America (Formerly,Fleet Boston Financial)	F	DIV	VI	
Valley National Bancorp - NJ	A	DIV	II	S
Procter & Gamble Co.	I	DIV	VIII	DIV REINVEST/S
International Business Machines	H	DIV	VI	DIV REINVEST/S
Eli Lilly & Co.	H	DIV	VII	DIV REINVEST/S
McData Corp. CL 'A" (IRA) (Formerly, Computer Technology)	C	NONE	I	
Peapack Gladstone Bank (CKG)	G	INT	III	P
JP Morgan Chase Bank (SVGS)	E	INT	II	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

ASSETS	YEAR-END VALUE	INCOME TYPE	2005 INCOME	2005 TRANSACTION
Congressional Federal Credit Union	C	INT	II	
Aetna, Inc. NEW	F	DIV	II	
Merck & Co., Inc.	G	DIV	VI	S
Pfizer, Inc.	G	DIV	VI	S
Federated U.S. Government	A	INT	IV	S/P
Motorola, Inc.	A	DIV	II	S
Intel Corp.	D	DIV	III	
American Express	E	DIV	III	S
Schering Plough Corp.	A	DIV	I	S
Microsoft Corp.	A	DIV	I	S
Bank of America - Svgs (Formerly Fleet Boston Bank)	C	INT	II	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

ASSETS	YEAR-END VALUE	INCOME TYPE	2005 INCOME	2005 TRANSACTION
JP Morgan Funds	C	DIV	II	
J.M. Smucker Co.	D	DIV	III	
Tamarack Investment Fund (IRA) (Formerly Great Hall Fund (IRA)	C	DIV	II	
Medco Health Solutions, Inc.	E	NONE	I	
Abbott Labs (Inherited 2004)	F	DIV	V	
Amgen, Inc (Inherited 2004)	A	NONE	I	S
Applied Materials, Inc. (Inherited 2004)	A	NONE	I	S
Cisco Systems, Inc (Inherited 2004)	A	NONE	I	S
Comcast Corp. Class A Special (NEW) (Inherited 2004)	A	NONE	I	S
Costco Wholesale Corp. (Inherited 2004)	A	DIV	II	S

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

ASSETS	YEAR-END VALUE	INCOME TYPE	2005 INCOME	2005 TRANSACTION
E.I. DuPont de Nemours & Co. (Inherited 2004)	A	DIV	I	S
Emerson Electric Co.(Inherited 2004)	E	DIV	IV	
Exxon Mobil Corp.(Inherited 2004)	F	DIV	V	
General Dynamics Corp. (Inherited 2004)	D	DIV	III	
Hospira, Inc. (Inherited 2004)	E	NONE	I	P
Lehman Brothers Hldgs, Inc (Inherited 2004)	A	DIV	II	S
May Department Stores Co. (Inherited 2004)	A	DIV	I	S
McGraw Hill Cos., Inc. (Inherited 2004)	E	DIV	IV	
Medtronic, Inc. (Inherited 2004)	E	DIV	III	P
Monsanto Co. (Inherited 2004)	A	DIV	II	S

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

ASSETS	YEAR-END VALUE	INCOME TYPE	2005 INCOME	2005 TRANSACTION
Pepsico, Inc. (Inherited 2004)	A	DIV	I	S
SLM Corp. (Inherited 2004)	F	DIV	V	S
Schlumberger LTD (Inherited 2004)	E	DIV	III	
Service Master Co. (Inherited 2004)	E	DIV	V	
Verizon Communications (Inherited 2004)	A	DIV	III	S
Wells Fargo & Co. (NEW) (Inherited 2004)	E	DIV	IV	
B G Group PLC (Inherited 2004)	E	DIV	III	P
Nestle SA Sponsered ADR (Inherited 2004)	E	DIV	IV	
Noble Corp. (Inherited 2004)	D	DIV	II	
FreeScale Semiconductor "B"	A	NONE	I	S

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

ASSETS	YEAR-END VALUE	INCOME TYPE	2005 INCOME	2005 TRANSACTION
Peapack Gladstone Money Market	A	DIV	IV	S/P
General Electric Co.	F	DIV	IV	P
Manpower, Inc.	E	DIV	III	P
EnCana Corp.	F	DIV	III	P
Pogo Producing Co.	F	DIV	III	P
Fidelity Nat'l Financial Corp.	E	DIV	V	P/S
Fidelity Nat'l Title Group	C	DIV	II	Spin-off
White Mountain Insurance Group	F	DIV	IV	P
Fedex Corp.	F	DIV	II	P
Chicago Bridge & Iron Co.	F	DIV	III	P
Exelon Corp.	E	DIV	III	P
Aqua America, Inc.	E	NONE	I	P

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

ASSETS	YEAR-END VALUE	INCOME TYPE	2005 INCOME	2005 TRANSACTION
Zenon Environmental, Inc.	E	NONE	I	P
RMA US Gov't Money Fund	F	DIV	VI	P/S
Comcast Corp. CL 'A' new	D	NONE	I	P/S
Ameriprise Financial Inc.	A	NONE	I	Spin-off/S
17.923 Acres unimproved land, Frelinghuysen Twp., NJ (Commercial Value 2005)	G			
1/5th undivided interest in 235.8 acres of unimproved land, Stockbridge, Ma. (Est.Value)	F			

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : Marital Trust U/W Rodney Procter, Peter H. B. Frelinghuysen, Trustee (1/10th income interest)*

* All Assets are held in trust, and all transactions are initiated by the Trustee

The trust was not created directly by Rodney, his spouse or any dependents.

They are neither consulted nor give advice regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly, quarterly, yearly reports of any type regarding this trust, excepting the Yearly K-1. Therefore, it qualifies as an Excepted Trust.

2005 Income received VI

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : Non-Marital Trust U/W Rodney Procter, Peter H. B. Frelinghuysen, Trustee (1/10th income interest)*

* All Assets are held in trust, and all transactions are initiated by the Trustee

The trust was not created directly by Rodney, his spouse or any dependents.

They are neither consulted nor give advice regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly, quarterly, yearly reports of any type regarding this trust, excepting the Yearly K-1. Therefore, it qualifies as an Excepted Trust.

2005 Income received IX

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : A.H. Frelinghuysen 1950 Trust,
Peter H. B. Frelinghuysen, Trustee
(1/5 income interest)*

* All Assets are held in trust, and all transactions are initiated by the Trustee

The trust was not created directly by Rodney, his spouse or any dependents.

They are neither consulted nor give advice regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly, quarterly, yearly reports of any type regarding this trust, excepting the Yearly K-1. Therefore, it qualifies as an Excepted Trust.

2005 Income received VII

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : Peter H.B. Frelinghuysen Trust U/D dated 1/17/57, Peter H.B. Frelinghuysen and George L. K. Frelinghuysen, Trustees (1/8th income interest)*

* All Assets are held in trust, and all transactions are initiated by the Trustee

The trust was not created directly by Rodney, his spouse or any dependents.

They are neither consulted nor give advice regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly, quarterly, yearly reports of any type regarding this trust, excepting the Yearly K-1. Therefore, it qualifies as an Excepted Trust.

2005 Income Received VII

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : B. P. Frelinghuysen Trust U/A 4/3/73 Deutsche Bank, New York, NY Trustee (discretionary income interest)*

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2005 TRANSACTION
Knight Ridder, Inc.	E	DIV	
Merck & Co., Inc.	F	DIV	
Procter & Gamble Co.	I	DIV	
Deutsche Bank Trust Co. Tax Free Income Fund	F	DIV	
Deutsche Bank Trust Money Market Account	E	INT	S
American International Group	D	DIV	
Cisco Systems, Inc.	C	NONE	

* All Assets listed are held in trust, and all transactions are initiated by the Trustee. Neither Rodney, his spouse or any dependents is consulted nor gives advice regarding these investments. However, Rodney does receive quarterly statements regarding this trust.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2005 TRANSACTION
Intel Corp.	C	DIV	
American Express Co.	D	DIV	
Microsoft Corp.	C	DIV	
Pfizer	E	DIV	
Home Depot	C	DIV	
Comcast Corp. CL 'A'	C	NONE	
Eli Lilly & Co.	C	DIV	
CitiGroup, Inc.	C	DIV	
Bank of America (Formerly Fleet Boston Financial Corp)	C	DIV	
Texas Instruments, Inc.	C	DIV	
General Electric Co.	C	DIV	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2005 TRANSACTION
Noble Drilling Corp.	D	DIV	
J.M. Smucker Co.	C	DIV	
Medco Health Solutions	D	NONE	
Ameriprise Financial, Inc.	C	NONE	Spin-off
2005 Income Received		VIII	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : B. S. Procter Trust U/A
5/31/60 F/B/O Rodney P. Frelinghuysen
Peter Frelinghuysen & Rodney P. Frelinghuysen, Trustees

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2005 TRANSACTION
Abbott Laboratories	F	DIV	
Bristol Myers Squibb Co.	E	DIV	
IMS Health, Inc.	D	DIV	
Moody's Corp.	D	DIV	
R.H. Donnelley Corp.	C	NONE	
Gannett Co., Inc.	D	DIV	
H. J. Heinz Company	D	DIV	
Pepsico, Inc.	F	DIV	
Procter & Gamble Co.	J	DIV	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2005 TRANSACTION
Electronic Data System Corp.	C	DIV	
Loews Corp.	F	DIV	
Motorola, Inc.	E	DIV	
CSX Corporation	E	DIV	
A T & T, Inc. new (Formerly A T & T Corporation)	D	DIV	Merger/Exchange
Lucent Technologies, Inc.	C	NONE	
SBC Communications	A	DIV	Merger/Exchange
Money Market Fund	I	INT	P
American Express Co.	E	DIV	
Rohm & Haas Co.	D	DIV	
McGraw-Hill Cos., Inc.	F	DIV	
Sherwin Williams Co.	F	DIV	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2005 TRANSACTION
Neiman-Marcus Group CL 'B'	A	NONE	S
Citigroup, Inc.	D	DIV	
Dun & Bradstreet Corp., NEW	C	NONE	
Zimmer Holdings, Inc.	D	NONE	
Smucker, J.M. Co.	E	DIV	
DelMonte Foods Co.	C	NONE	
Comcast Corp. New CL'A'	C	NONE	
Hospira, Inc.	C	NONE	
FreeScale Semiconductor CL'B'	C	NONE	
Ameriprise Financial, Inc.	C	DIV	Spin-off
2005 Income Received		IX	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : V. T. Robinson Trust U/A dated 4/10/80, Fiduciary Trust Company, Boston, Ma. Trustee (spouse discretionary income interest)*

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2005 TRANSACTION
Passaic Cty NJ Ref - Gen Imp	E	INT	
New Jersey St. Trans. Tr. Fd Auth. Bond	F	INT	
Newark, NJ Sch. Qualified Bond	F	INT	
Port Auth. NY & NJ Cons.	F	INT	
Deptford Twp.NJ School District	F	INT	
Home Depot, Inc.	G	DIV	

* All Assets listed are held in trust, and all transactions are initiated by the Trustee

Rodney P. Frelinghuysen is neither consulted nor gives advise regarding these investments.

Spouse does receive quarterly statements regarding this trust.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2005 TRANSACTION
Coca Cola Co.	E	DIV	
General Mills	E	DIV	
Pepsico, Inc.	F	DIV	
Altria Group, Inc.	F	DIV	
Procter & Gamble Co.	D	DIV	
Bristol Myers Squibb Co.	D	DIV	
Pfizer, Inc.	A	DIV	S
Aetna, Inc., NEW	F	DIV	
American Int'l Group	E	DIV	
General Electric Co.	G	DIV	S
Emerson Electric Co.	E	DIV	
Parker - Hannifin Corp.	F	DIV	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2005 TRANSACTION
Hewlett - Packard	F	DIV	S
Intel Corp.	D	DIV	
International Business Machines	D	DIV	
Microsoft Corp.	G	DIV	S
BP PLC Sponsored ADR	F	DIV	
Exxon Mobil Corp	F	DIV	
Royal Dutch Petroleum Co.	E	DIV	
Union Pacific Corp.	E	DIV	
Anadarko Petroleum Corp.	D	DIV	
J P Morgan Chase & Co. (Formerly Bank One Corp.)	D	DIV	
Federal Nat'l Mortgage Assn.	A	DIV	S
Dreyfus Tax Exempt Int'l Shares (Formerly, Scudder Tax Free Money Market)	H	INT	S

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2005 TRANSACTION
Bergen Cty NJ Ref -Gen. Imp	F	INT	
Zimmer Holdings, Inc.	C	NONE	
Oakmark Int'l Fund CL'I'	G	DIV	P
Yum Brands, Inc.	D	DIV	
Equity Residential SH Ben Int.	D	DIV	
Public Storage, Inc.	E	DIV	
Regency Centers Corp.	D	DIV	
Simon Property Group, Inc. (new)	E	DIV	
Wells Fargo & Co. (new)	F	DIV	
Johnson & Johnson	E	DIV	
Medco Health Solutions, Inc.	A	NONE	S
Alcoa, Inc.	A	DIV	S

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2005 TRANSACTION
3M Company	E	DIV	P
Stericycle, Inc.	E	NONE	P
2005 Income Received		IX	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : H. S. Robinson Trust U/A dated
12/16/82, Fiduciary Trust Company, Boston, Ma.
Trustee (spouse discretionary income beneficiary)*

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2005 TRANSACTION
J P Morgan Chase & Co. (Formerly Bank One Corp)	E	DIV	
Coca Cola Co.	E	DIV	
General Mills	E	DIV	
Altria Group, Inc.	F	DIV	S
Abbott Labs	E	DIV	

* All Assets listed are held in trust, and all transactions are initiated by the Trustee

Rodney P. Frelinghuysen is neither consulted nor gives advise regarding these investments.

Spouse does receive quarterly statements regarding this trust.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2005 TRANSACTION
Johnson & Johnson	F	DIV	
Bell South Corp.	E	DIV	S
American Int'l Group	F	DIV	
Electronic Data Systems Corp.	A	DIV	S
Emerson Electric	E	DIV	
General Electric Co.	F	DIV	S
Parker - Hannifin Corp.	F	DIV	
Hewlett - Packard	D	DIV	S
Intel Corp.	D	DIV	
Microsoft Corp.	F	DIV	
Exxon Mobil Corp.	F	DIV	S
Royal Dutch Petroleum	F	DIV	S

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2005 TRANSACTION
Federal Nat'l Mortgage Assn.	A	DIV	S
Pfizer, Inc.	A	DIV	S
First Data Corp.	E	DIV	
Montclair NJ Gen. Oblig.	E	INT	
Newark NJ Sch. Qualified Bond	E	INT	
Dreyfus Tax Exempt Int'l Shares (Formerly, Scudder Tax Free Money Market)	G	INT	P
Burlington Cty NJ OID	F	INT	
NJ St. EDL FACS ATH REV Princeton Univ.	F	INT	
Gannett Co.	A	DIV	S
Anadarko Petroleum Corp.	E	DIV	
Wells Fargo & Co. (new)	E	DIV	
Medco Health Solutions, Inc.	A	NONE	S

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2005 TRANSACTION
Avery Dennison Corp.	E	DIV	
Alcoa, Inc.	A	DIV	S
A T & T, Inc. new (Formerly SBC Communications, Inc.)	E	DIV	
Federal Nat'l Mtg. Bond	D	INT	
Petsmart, Inc.	D	DIV	P
Staples, Inc.	E	DIV	P
3M Company	E	DIV	P
Entergy Corp. new	E	DIV	P
Oakmark Int'l Fund CL 'I'	E	DIV	P
Plum Creek Timber Co., Inc	E	DIV	P
2005 Income Received		VIII	

Name Rodney P. Frelinghuysen | Page 31 of 46

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	See Continuation sheets														
	Pages 32 thru 43														

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ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
Qtrly. Div. Re-Invest.	Procter & Gamble	P	C
Qtrly. Div. Re-Invest.	International Business Machines	P	B
Qtrly. Div. Re-Invest.	Eli Lilly & Co.	P	B
Various	Federated U.S. Government	P	B
Various	Federated U.S. Government	S	F
Various	Peapack Gladstone Bank (ckg)	P	E
Various	Peapack Gladstone Bank-Money Mkt	P	D
Various	Peapack Gladstone Bank-Money Mkt	S	E
Various	RMA U.S. Gov't Money Fund	P	G
Various	RMA U.S. Gov't Money Fund	S	F
01/10/05	Valley National Bancorp	S	B
01/10/05	Procter & Gamble Co.	S	E

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
01/10/05	Applied Materials, Inc.	S	C
01/10/05	Cisco Systems, Inc.	S	B
01/10/05	E. I. Dupont De Nemours	S	C
01/10/05	May Department Stores	S	C
01/10/05	Monsanto Co.	S	B
01/10/05	Pepsico, Inc.	S	B
01/10/05	Pfizer, Inc.	S	B
01/10/05	Verizon Corp.	S	C
01/10/05	American Express Co.	S	B
01/10/05	Motorola, Inc.	S	D
01/10/05	Merck & Co., Inc.	S	B
01/10/05	Schering Plough Corp.	S	B

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
01/18/05	White Mtns Insurance Group	P	D
01/18/05	Comcast Corp. new CL 'A'	P	E
01/18/05	Fidelity Nat'l Financial	P	E
01/18/05	General Electric Co.	P	E
01/18/05	Hospira, Inc.	P	D
01/21/05	Chicago Bridge & Iron	P	E
01/21/05	Pogo Producing Co.	P	E
01/21/05	SLM Corp.	S	D
02/02/05	Amgen, Inc.	S	B
02/02/05	Microsoft Corp.	S	C
02/02/05	White Mtns Insurance Group	P	D
03/01/05	Int'l Business Machines	S	D

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
03/01/05	Eli Lilly & Co.	S	E
03/03/05	Costco Wholesale Corp.	S	B
03/03/05	BG Group PLC	P	C
03/08/05	Manpower Inc. Wisc	P	D
03/18/05	Lehman Bros. Hldgs.	S	C
03/18/05	Zenon Environmental	P	D
04/01/05	Medtronic, Inc.	P	C
04/14/05	Procter & Gamble Co.	S	D
05/02/05	Fidelity Nat'l Financial	P	D
05/19/05	Freescale Semiconductor	S	B
05/19/05	Procter & Gamble Co.	S	C
05/20/05	Int'l Business Machines	S	B

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
05/20/05	Eli Lilly & Co.	S	B
05/31/05	Eli Lilly & Co.	S	B
05/31/05	Int'l Business Machines	S	B
06/06/05	Fidelity Nat'l Financial	S	D
07/08/05	General Electric Co.	P	D
07/26/05	Exelon Corp.	P	D
07/27/05	Fedex Corp.	P	E
07/27/05	Procter & Gamble Co.	S	E
07/28/05	Procter & Gamble Co.	S	D
08/10/05	Zenon Environmental	P	C
08/18/05	Merck & Co., Inc.	S	B
09/08/05	Encana Corp.	P	E

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
10/03/05	Ameriprise Financial, Inc. (Spin-off from American Express)	Spin-off	B
10/18/05	Fidelity Nat'l Title Group (Spin-off from Fidelity Nat'l Financial)	Spin-off	B
10/24/05	Ameriprise Financial, Inc.	S	B
11/09/05	Comcast Corp. new Spl CL'A'	S	B
11/09/05	Comcast Corp. new CL'A'	S	C
11/17/05	Encana Corp.	P	C
11/23/05	Comcast Corp. new CL'A'	P	C
12/08/05	Aqua America, Inc.	P	D
12/27/05	Comcast Corp. new CL'A'	S	C

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : B. P. Frelinghuysen Trust U/A
4/3/73 Deutsche Bank, New York, NY
Trustee (discretionary income interest)*

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
Various	Deutsche Bank Trust Money Market Acct	S	C
10/3/05	Ameriprise Financial, Inc. (Spin-off from American Express)	Spin-off	B

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : B. S. Procter Trust U/A
5/31/60 F/B/O Rodney P. Frelinghuysen
Peter Frelinghuysen & Rodney P. Frelinghuysen, Trustees

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
Various	Money Market Fund	P	D
10/06/05	Neiman-Marcus Group CL'B"	S	C
10/06/05	Ameriprise Financial, Inc. (Spin-off from American Express)	Spin-off	B
11/22/05	SBC Communications (Merged with A T & T, Inc. new)	Merger/Exchange	Same Value
11/22/05	A T & T, Inc. new (formerly A T & T Corp.)	Merger/Exchange	Same Value

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : V. T. Robinson Trust U/A dated 4/10/80, Fiduciary Trust Company, Boston, Ma. Trustee (spouse discretionary income interest)

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
Various	Dreyfus Tax Exempt Int'l Shares (Formerly, Scudder Tax Free Money Market)	S	E
Various	Oakmark Int'l Fund CL 'I'	P	C
Various	Alcoa, Inc.	S	D
Various	Pfizer, Inc.	S	E
05/12/05	General Electric Co.	S	C
05/12/05	Hewlett Packard	S	C
05/12/05	Microsoft Corp.	S	C
05/12/05	Federal Nat'l Mortgage Assn.	S	C
05/12/05	Medco Health Solutions, Inc.	S	C

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : V. T. Robinson Trust U/A dated 4/10/80, Fiduciary Trust Company, Boston, Ma. Trustee (spouse discretionary income interest)

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
05/12/05	3M Company	P	D
05/12/05	Stericycle, Inc.	P	D

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : H. S. Robinson Trust U/A dated 12/16/82, Fiduciary Trust Company, Boston, Ma. Trustee (Spouse discretionary income beneficiary)

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
Various	Dreyfus Tax Exempt Int'l Shares (Formerly, Scudder Tax Free Money Market)	P	E
Various	Oakmark Int'l Fund CL'I'	P	D
Various	Pfizer, Inc.	S	E
06/03/05	Altria Goup, Inc.	S	C
06/03/05	Bell South Corp.	S	C
06/03/05	Electronic Data Systems Corp.	S	C
06/03/05	General Electric Co.	S	C
06/03/05	Hewlett Packard	S	C
06/03/05	Exxon Mobil Corp.	S	D
06/03/05	Royal Dutch Petroleum	S	C

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : H. S. Robinson Trust U/A dated
12/16/82, Fiduciary Trust Company, Boston, Ma.
Trustee (Spouse discretionary income beneficiary)

DATE	ASSET	TYPE OF TRANSACTION	AMOUNT OF TRANSACTION
06/03/05	Federal Nat'l Mortgage Assn.	S	C
06/03/05	Gannett Co.	S	C
06/03/05	Medco Health Solutions	S	B
06/03/05	Alcoa, Inc.	S	D
06/03/05	Petsmart, Inc.	P	D
06/03/05	Staples, Inc.	P	D
06/03/05	3M Company	P	D
06/03/05	Entergy Corp. new	P	D
06/03/05	Plum Creek Timber Co., Inc.	P	D

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	NONE											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6-11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NONE						

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Advisory Board	El Primer, LTD Morristown, NJ

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO 2006 25-628 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

MAY 05 2006
LEGISLATIVE RESOURCE CENTER
2006 MAY 12 PM 12:33
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

ELTON GALLEGLY
(Full Name)

P.O. BOX 940001
Simi Valley CA 93094
(Mailing Address)

Daytime Telephone: 805-522-4487

Filer Status	[X] Member of the U.S. House of Representatives — State: CA District: 24	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Elton Gallegly	4-23-06

1576

Name	ELTON GALLEGLY	Page ___ of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	DYNAMIC REALTY	SPOUSE SALARY	N/A
	GALLEGLY for CONGRESS	SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name ELTON GALLEGLY | Page ___ of ___

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP	Examples	SP	Mega Corp Stock				X								X										X								P
DC			Simon & Schuster	Indefinite																	Royalties									X			
JT			1st Bank of Paducah, KY accounts					X									X										X						
JT	1791 ERRINGER ROAD SIMI VALLEY CA									X						X												X					
SP	DYNAMIC REALTY				X																	N/A											
JT	UNION BANK					X											X							X									
JT	WASHINGTON MUTUAL									X							X									X							
SP	IRA-PERSHING LLC AMGEN						X															X											
SP	IRA-PERSHING LLC GENERAL				X																	X											

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name ELTON GALLEGLY | Page ___ of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	WORLD SAVINGS	X														X									X							
JT	FREMONT INV & LOAN	X														X									X							
JT	DOWNEY SAVINGS	X														X										X						
	MEDICA - WELLS FARGO			X												X							X									
	IRA - MERRILL LYNCH																															
	ALLIANCE GROWTH				X									X									X									
	CALAMOS GROWTH FUND				X									X								X										
	ML FUNDAMENTAL GROWTH				X									X									X									
	ML SMALL CAP VALUE				X									X								X										
	JOHN HANCOCK/MS GLOBAL				X									X								X										
	COCA COLA			X										X								X										
JT	MIDLAND PROPERTY LTD				X																X											
	PTR XXIII WHO OWNS AFFORDABLE HOUSING AT CENTRAL AVE & CENTURY COURT IN CENTER, COLORADO AND WHO OWNS SENIOR HOUSING AT 1615 "O" ST., SACRAMENTO, CA 95814																															

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1579

Name	Page ___ of ___

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
SP	IRA Pershing LLC - Amgen	X			4-14-05	X									

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Name ELTON GALLEGLY | Page ___ of ___

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	CARUANA FAMILY TRUST 10920 MELVIN AVE., NORTHRIDGE CA	MORTGAGE-1791 ERRINGER SIMI VALLEY CA				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

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LEGISLATIVE RESOURCE CENTER

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U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

Scott Garrett
(Full Name)

100 Pond School Road
(Mailing Address)

202-225-4465
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: N.J. District: 05	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☑	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☐	No ☑	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Scott Garrett	May 15, 2006

1582

Name Scott Garrett | Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	None		

For payments to charity in lieu of honoraria, use Schedule II.

Name Scott Garrett | Page 1 of 1

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
	XYZ Magazine	Article	Aug. 13, 2005	$500
	None			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Scott Garrett | Page 1 of 1

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block B — Value of Asset (A: None; B: $1 – $1,000; C: $1,001 – $15,000; D: $15,001 – $50,000; E: $50,001 – $100,000; F: $100,001 – $250,000; G: $250,001 – $500,000; H: $500,001 – $1,000,000; I: $1,000,001 – $5,000,000; J: $5,000,001 – $25,000,000; K: $25,000,001 – $50,000,000; L: Over $50,000,000)

SP, DC, JT	Asset and/or Income Source	A	B	C	D	E	F	G	H	I	J	K	L
Examples: SP	Mega Corp. Stock					X							
	Simon & Schuster		Indefinite										
	1st Bank of Paducah, KY accounts						X						
	Dreyfus Appreciation Fund				X								
	Dreyfus Basic S & P				X								
	Dreyfus Discipline Stock Fund				X								
	Lakeland Bank			X									

Block C — Type of Income

Asset and/or Income Source	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)
Mega Corp. Stock	X						
Simon & Schuster							Royalties
1st Bank of Paducah, KY accounts			X				
Dreyfus Appreciation Fund	X						
Dreyfus Basic S & P	X						
Dreyfus Discipline Stock Fund	X						
Lakeland Bank	X						

Block D — Amount of Income (I: None; II: $1 – $200; III: $201 – $1,000; IV: $1,001 – $2,500; V: $2,501 – $5,000; VI: $5,001 – $15,000; VII: $15,001 – $50,000; VIII: $50,001 – $100,000; IX: $100,001 – $1,000,000; X: $1,000,001 – $5,000,000; XI: Over $5,000,000) and **Block E — Transaction** (P, S, E)

Asset and/or Income Source	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	Transaction
Mega Corp. Stock				X								P
Simon & Schuster									X			
1st Bank of Paducah, KY accounts						X						
Dreyfus Appreciation Fund		X										
Dreyfus Basic S & P		X										
Dreyfus Discipline Stock Fund		X										
Lakeland Bank		X										

For additional assets and unearned income, use next page.

SCHEDULE IV — TRANSACTIONS

Name Scott Garrett

Page 1 of 1

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–05		X								
	NONE														

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Name Scott Garrett | Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	None											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
None		

Use additional sheets if more space is required.

Name	Page 1 of 1
Scott Garrett	

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	HERITAGE FOUNDATION	FEB. 3-5	WASH DC — MARYLAND, DC	Y	Y	N	NONE
	NYC FINANCIAL SERVICES COMMITTEE	APRIL 29 ~~MAR 3-4~~	WASH DC - NYC - ~~DC~~ NJ	N	Y	N	NONE

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Name Scott Gramm	Page 1 of 1

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Verizon

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment, a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

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Use additional sheets if more space is required.

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U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

James William Gerlach
(Full Name)

649 Deep Hollow Road Chester Springs, PA 19426
(Mailing Address)

610-594-1415
Daytime Telephone:

(Office Use Only)

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Filer Status	☑ Member of the U.S. House of Representatives	State: PA District: 06	Officer Or Employee — Employing Office.
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/11/06

1590

SCHEDULE I - EARNED INCOME

Name James William Gerlach

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Pennsylvania State Employees Retirement System	Legislative Pension	$15,250

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James William Gonach

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Vanguard Group - Balanced Index Fund, Life Strategy Mod. Growth, & 500 Index Fund	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
State Deferred Compensation Program - State Index Fund, Extended Market Fund, Enhanced Tactical Fund	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
Congressional Federal Credit Union Accounts	$15,001 - $50,000	INTEREST	$1 - $200	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name James William Gerlach

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Congressional Study Group on Germany/US Association of Former Members of Congress	March 20-24, 2005	Washington, DC-Berlin-Brussels-Frankfurt-Washington, DC	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name James William Gerlach

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Mission for Education of Children with Autism
Director	Brandywine Health and Wellness Foundation
Director	Brandywine Transitional Corporation
President	Gerlach Corporation

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U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

James Arthur Gibbons
(Full Name)

100 Cannon House Office Building Washington, DC 20515
(Mailing Address)

202-225-6155
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: NV District: 02	☐ Officer Or Employee	Employing Office
Report Type	☑ Annual (May 15)	Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		

1595

SCHEDULE I - EARNED INCOME

Name James Arthur Gibbons

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Delta Pilots Retirement Trust	Pension	$6,043
The Travelers Insurance Company	Travelers Pension/Insurance Annuity	$2,543.16
State Farm	Life Insurance Company	$226.96
Defense Finance and Accounting Service (DFAS-Cleveland)	US Military Retirement Pay (federal retirement program)	n/a

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Arthur Gibbons

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Morgan Stanley IRA Standard Listed Below				
SP	Cisco Systems	$0	Capital Gain	$1,001 - $2,500	S
SP	Dell Inc.	$0	Capital Gain	$1,001 - $2,500	S
SP	General Electric Co.	$1,001 - $15,000	Dividends	NONE	
SP	Intel Corp	$1 - $1,000	Dividends	NONE	
SP	Microsoft Corp	$1 - $1,000	Dividends	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Arthur Gibbons

SP	Staples Inc.	$1,001 - $15,000	Dividends	NONE	
SP	Morgan Stanley Preferred Stocks - Cadbury Schweppes	$0	Capital Gain	$5,001 - $15,000	S
SP	Morgan Stanley Variable Rate Annuities - Income and Death Benefit Combo	$1,001 - $15,000	Dividends	NONE	
SP	Morgan Stanley Equity Trust-Van Kampen Morgan Stanley	$1,001 - $15,000	Dividends	NONE	
SP	Morgan stanley Variable Rate Annuities - Income/Death Benefists with EEB	$15,001 - $50,000	Dividends	NONE	
SP	Morgan Stanley Corporate Bonds - General Motors Acceptance Corp. Debenture	$1,001 - $15,000	Dividneds	NONE	P
SP	Morgan Stanley Mutual Funds - Van Kampen Amer Franchise B	$1,001 - $15,000	Dividends	NONE	P
DC	U.S. Bank of Nevada	15001-50000	Interest	$1 - $200	
DC	Morgan Stanley Stock Intel Corp.	1001-15000	dividends	$1 - $200	
DC	Morgan Stanley US Treasury Coupon	50001-100000	Interest	$201 - $1,000	
DC	Morgan Stanley European Equity Fund B	1001-15000	Dividends	$1 - $200	
DC	Morgan Stanley American Opportunities Fund B	1001-15000	Dividends	NONE	
DC	Morgan Stanley Dividend Growth Securities B	1001-15000	Dividends & Capital Gain	$1 - $200	

SCHEDULE.III - ASSETS AND "UNEARNED" INCOME

Name James Arthur Gibbons

DC	Morgan Stanley Global Dividends GRWTH SEC B	1001-15000	Dividends	$1 - $200	
DC	State Farm Life Policy Universal	15001-50000		NONE	
DC	Morgan Stanley Van Kampen Amer Franchise B	$1,001 - $15,000	Dividends	$1 - $200	P
DC	Morgan Stanley American Opportunities Fund A	$1,001 - $15,000	Dividends	NONE	P
DC	Morgan Stanley Dividend GRWTH Securities A	$15,001 - $50,000	Dividends & Capital Gain	$5,001 - $15,000	P
DC	Morgan Stanley European Equity Fund A	$1,001 - $15,000	Dividends	$201 - $1,000	P
DC	Morgan Stanley Global Dividend GRWTH sec A	$1,001 - $15,000	Dividends	$201 - $1,000	P
	Vacant Lot 1 North Washoe County, 520 Acres	$100,001 - $250,000	NONE	NONE	
	Vacant Lot 2 North Washoe County, 40 Acres	$15,001 - $50,000	NONE	NONE	
	USAA money Market Account (Includes USAA Small Capital and USAA Short Term Bond Fund	15001-50000	DIVIDENDS & Capital Gain	$2,501 - $5,000	
	US Bancorp	1001-15000	Interest	$1 - $200	
JT	Morgan Stanley Annuities-Contains an Insurance Policy Only	15001-50000		NONE	
	Morgan Stanley IRA Standard - Listed Below				

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Arthur Gibbons

	Morgan Stanley Money Market Fund	1-1000	Interest	$1 - $200	
	Morgan Stanley Common Stocks - Cisco Systems Inc.	$0	Capital Gain	$1,001 - $2,500	S
	Morgan Stanley Common Stocks - Dell Inc.	$0	Capital Gain	$1,001 - $2,500	S
	Morgan Stanley Common Stocks- General Electric Co	1001-15000	Dividends	NONE	
	Morgan Stanley Common Stocks- Intel Corp	1-1000	Dividends	NONE	
	Morgan Stanley Common Stocks- Microsoft Corp.	1001-15000	Dividends	NONE	
	Morgan Stanley Common Stocks - Staples Inc.	1001-15000	Dividends	NONE	
	Morgan Stanley Common Stocks - MS/STRS (DBY)	$1,001 - $15,000	Dividends	NONE	P
	Morgan Stanley Common Stocks - MS/STRS (MBJ)	$1,001 - $15,000	Dividneds	NONE	P
	Morgan Stanley Preferred Stocks - Cadbury Schwepps	$1,001 - $15,000	Capital Gain	$5,001 - $15,000	S
	Morgan Stanley Corporate Bonds - General Motors Acceptance Corp. Debenture	$1,001 - $15,000	Dividends	NONE	P
	Morgan Stanley Mutual Funds - Van Kampen Amer Franchise B	$1,001 - $15,000	Dividends	NONE	P
	Morgan Stanley Equity Trusts - Van Kampen Morgan Stanley	1001-15000	Dividneds	NONE	
	Morgan Stanley Variable Rate Annuites - Death and Benefit Combination/Allstate	15001-50000	Dividends	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Arthur Gibbons

	Morgan Stanley Variable Rate Annuities Income/Death Benefit with EEB	50001-100000	Dividends	NONE	
	Nevada State Bank		Interest	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name James Arthur Gibbons

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Cisco Systems	S	11-22-05	$1,001 - $15,000
SP	Dell Inc	S	11-22-05	$1,001 - $15,000
SP	MORGAN STANLEY PREFERED STOCKS-CADBURY SCHWEPPES	S	04-18-05	$1,001 - $15,000
SP	Morgan Stanley Corporate Bonds - General Motors Acceptance Corp. Debenture	P	5-16-05	$1,001 - $15,000
SP	Morgan Stanley Mutual Funds - Van Kampen Amer Franchise B	P	12-16-05	$1,001 - $15,000
DC	Morgan Stanley Dividend GRWTH Securities A	P	12-15-05	$15,001 - $50,000
	Morgan Stanley Common Stocks - Cisco Systems Inc.	S	11-22-05	$1,001 - $15,000
	Morgan Stanley Common Stocks - Dell Inc.	S	11-22-05	$1,001 - $15,000
	Morgan Stanley Common Stocks - MS/STRS (DBY)	P	08-24-05	$1,001 - $15,000
	Morgan Stanley Common Stocks - MS/STRS (MBJ)	P	08-24-05	$1,001 - $15,000
	Morgan Stanley Preferred Stocks - Cadbury Schwepps	S	04-18-05	$1,001 - $15,000
	Morgan Stanley Corporate Bonds - General Motors Acceptance Corp. Debenture	P	05-16-05	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name James Arthur Gibbons

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Morgan Stanley Mutual Funds - Van Kampen Amer Franchise B	P	11-22-05	$1,001 - $15,000
DC	Morgan Stanley Van Kampen Amer Franchise B	P	12-16-05	$1,001 - $15,000
DC	Morgan Stanley American Opportunities Fund A	P	11-16-05	$1,001 - $15,000
DC	Morgan Stanley European Equity Fund A	P	12-22-05	$1,001 - $15,000
DC	Morgan Stanley Global Dividend GRWTH sec A	P	12-15-05	$15,001 - $50,000
	Morgan Stanley Mutual Funds - Van Kampen Amer Franchise B	P	11-22-05	$1,001 - $15,000

FOOTNOTES

Name James Arthur Gibbons

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule I	Amount represents a policy gain	State Farm
2	Schedule III	Preferred Stock Redemption	MORGAN STANLEY PREFERED STOCKS-CADBURY SCHWEPPES
3	Schedule VI	Personal Loan to Congressional Federal Credit Union was paid off	Liabilities

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

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U.S. HOUSE OF REPRESENTATIVES

MC

James Arthur Gibbons
(Full Name)

100 Cannon House Office Building Washington, DC 20515
(Mailing Address)

202-225-6155
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: NV District: 02	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jim Gibbons	5/15/06

1605

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

3

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U.S. HOUSE OF REPRESENTATIVES
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MC

Wayne T. Gilchrest
(Full Name)

13501 Turner's Creek Road, Kennedyville, Md., 21645 — 202-225-5311
(Mailing Address) — Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: Maryland District First	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	[ ]	[X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	[ ]	[X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	[X]	[ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	[ ]	[X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	[ ]	[X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	[ ]	[X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	[ ]	[X]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	[ ]	[X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	[ ]	[X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	[ ]	[X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	[ ]	[X]

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This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Wayne T. Gilchrest	May 9, 06

1606

Name Wayne T. Gilchrest

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E
SP	Mega Corp. Stock					X								X									X								P
DC, Examples:	Simon & Schuster																			Royalties								X			
JT	1st Bank of Paducah, KY accounts						X									X									X						
JT	128 shs A T & T, Inc.			X										X								X									
JT	39 shs Comcast Corp			X										X								X									
JT	42 shs Dominion Resour			X										X								X									
JT	141 shs General Motors			X										X									X								
JT	400 shs Intel Corp			X										X								X									
JT	233 units Nuveen MunFd			X												X						X									

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Wayne T. Gilchrest

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction P, S, E
JT	125 shs Pepco Holdings	C	DIVIDENDS	$1 – $200	
JT	725 shs Verizon Comm	D	DIVIDENDS	$2,501 – $5,000	
JT	100 WGL Hldgs Inc	C	DIVIDENDS	$1 – $200	
JT	4679 US Govt Money Mkt	C	INTEREST	$1 – $200	
JT	472 Allianceber Intl	C	DIVIDENDS	$1 – $200	
JT	228 American Gr Fd	C	DIVIDENDS	$1 – $200	
JT	380 American Inc Fd	C	DIVIDENDS	$1 – $200	
JT	197 American Wa Mutual	C	DIVIDENDS	$1 – $200	
JT	Time Share, Lewes, DE	D	INTEREST	$1,001 – $2,500	

All securities are held in street name with Morgan, Stanley, Dean Witter. All dividends and interest are paid directly to them. They in turn file annual federal information returns (forms 1099) for the Gilchrests in the $ 2,501-$ 5,000 range.

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

Paul E Gillmor
(Full Name)

7750 North County Road 51 Old Fort, OH 44861
(Mailing Address)

202-225-6405
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: OH District: 5	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g. salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Paul E. Gillmor	MAY 15 2006

SCHEDULE I - EARNED INCOME

Name Paul E Gillmor

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Ohio	Spouse salary	N/A
Public Employees Retirement System of Ohio	Pension for service prior to entering Congress	$48,165
Gillmor Financial Services	Pension for service prior to entering Congress	$50,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Paul E. Gillmor

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	AIG - stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	American Movil - stock	$50,001 - $100,000	DIVIDENDS	$1 - $200	
	Berkshire Hathaway	$15,001 - $50,000	DIVIDENDS	NONE	
	Charles Schwab & Co margin account	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Comfort Systems - stock	$15,001 - $50,000	DIVIDENDS	NONE	
	David Phillips note	$1,001 - $15,000	INTEREST	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Paul E. Gillmor

	DeNovo Corporation - stock	$1,001 - $15,000	DIVIDENDS	NONE	
	Dodge & Cox Stock Fund - mutual fund	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Emerald Bank - stock	$15,001 - $50,000	DIVIDENDS	NONE	
	EZ Color - note	$250,001 - $500,000	INTEREST	$15,001 - $50,000	
	Fidelity Spartan Money Market - mutual fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	First Federal account	$1,001 - $15,000	INTEREST	$1 - $200	
	First Service - stock	$15,001 - $50,000	DIVIDENDS	NONE	
	First Solar - stock	$1 - $1,000	DIVIDENDS	NONE	
	Gillmor Family Partnership	$50,001 - $100,000	Other: (Please specify)	$1,001 - $2,500	
	Gillmor Financial Services - stock	$5,000,001 - $25,000,000	DIVIDENDS	$100,001 - $1,000,000	
	Icon Energy Fund - mutual fund	$15,001 - $50,000	DIVIDENDS	NONE	S
	Inter-Tel - stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Max & Erma's Restaurants - stock	None	DIVIDENDS	NONE	S
	National Research Corporation - stock	$15,001 - $50,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Paul E. Gillmor

SP	Northwest Natural Gas - stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Oakmark Select - mutual fund	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	Ohio Legacy	$1,001 - $15,000	DIVIDENDS	NONE	
SP	Ohio Public Employees Comp Program	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
	Old Fort Bank account	$50,001 - $100,000	INTEREST	$201 - $1,000	
SP	Old Fort Bank account	$1 - $1,000	INTEREST	$1 - $200	
	Old Fort Bank IRA account	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
SP	Old Fort Bank IRA account	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Omnicare - stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
	Paul M. Gillmor Company - stock	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000	
	Popular, Inc - stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Southwest Natural Gas - stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Sprint-Nextel - stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	T. Rowe Price funds	$15,001 - $50,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Paul E. Gillmor

	Universal Electronics - stock	$15,001 - $50,000	DIVIDENDS	NONE	
SP	US Bank - savings account	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Vanguard Short Term Bond Fund - mutual fund	$15,001 - $50,000	DIVIDENDS	$5,001 - $15,000	S
	Vanguard Total Stock Market Fund - mutual fund	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
	Wright-Patman Congressional Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Paul E. Gillmor

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Max & Erma's Restaurants	S	02-07-2005	$15,001 - $50,000
	Icon Energy Fund	S	11-11-2005	$15,001 - $50,000
	Omnicare	P	05-18-2005	$15,001 - $50,000
	Vanguard Short Term Bond Fund	S	04-11-2005	$100,001 - $250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Paul E. Gillmor

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Consumer Electronics Association	Jan 6-9	Columbus OH - Las Vegas NV - Columbus OH	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name Paul E. Gillmor

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Gillmor Financial Services
Director	Old Fort Banking Company
Director	DeNovo Corporation
Director	Paul M. & Lucy J. Gillmor Foundation
Director	Paul M. Gillmor Company

FOOTNOTES

Name Paul E. Gillmor

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Stock increased in value	American Movil - stock
2	Schedule III	Decreased balance in account	Charles Schwab margin account
3	Schedule III	Reduction due to partial payment received	David Phillips note
4	Schedule III	Change due to increase in stock value	Vanguard Total Stock Market Fund - mutual fund

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

John Phillip Gingrey
(Full Name)

632 N. St. Mary's Lane
(Mailing Address)

(202) 225-2931
Daytime Telephone

Marietta, GA 30064

Filer Status	☑ Member of the U.S. House of Representatives — State: District	☐ Officer or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☑	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Phil Gingrey	5/15/06

1619

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B												Block C							Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock				X								X										X								P
			Simon & Schuster			Indefinite															Royalties									X			
			1st Bank of Paducah, KY accounts					X									X									X							
	Aim Premier Equity Fund						X											X							X								
	American Balanced Fund							X										X						X									
	Calamos Growth & Income Fund						X											X								X							
	Calamos Growth Fund							X										X								X							
	Columbia Strategic Value Fund							X										X								X							
	Davis New York Venture Fund								X									X									X						P

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John Phillip Gingrey

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Delaware Trend Fund				X												X							X								
	Eaton Vance Worldwide Hlth Fnd					X											X									X						
	Index Great Companies America Fund				X												X						X									
	Aim Diversified Dividend Fund					X											X							X								
	PIMCO Renaissance Fund						X														X											
	Scudder Flag Investors Fund				X												X								X							
	Scudder Global Fund					X											X									X						
	Franklin Income Fund				X																X											P
	Oppenheimer Global Fund				X												X								X							P
	SunAmerica Money Market				X											X								X								
	Highwoods Property Stock	X												X										X								
	MEPC International Stock	X												X										X								
	Enerl Stock		X																		X											
	Roche Holdings Stock					X											X					X										P
	Home Holdings Corp Stock				X																X											
	Biogen Stock			X																	X											
	Boeing Stock				X									X			X									X						
	Coca-Cola Stock				X									X									X									
	Zimmer Holdings Stock			X																	X											
	Citigroup Inc. Stock				X									X			X						X									
	Conseco Inc. Stock			X													X					X										
	Conseco Series A Stock		X														X					X										

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John Phillip Gingrey

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Dillards Stock				X									X			X							X								
	EMC Corp Stock			X													X						X									
	General Electric Stock				X									X								X										
	Matria Healthcare Stock				X												X									X						
	Sprint Stock			X										X			X						X									
	Time Warner Stock			X										X			X						X									
	Income Fund of America				X												X						X									
SP	Coca Cola Stock				X									X								X										
SP	Wal-Mart Stock			X													X							X								
JT	Community Bank of the South Stock					X											X						X									
	Schwab Money Market Fund			X										X								X										
	Coca Cola Enterprises Stock			X										X								X										
	Home Depot, Inc. Stock			X										X			X						X									
	Vanguard Healthcare Fund				X									X			X								X							
	Marietta Outpatient Surgery, LLC						X							X		X											X					

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John Phillip Gingrey

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	BG Properties Adrian Place, Atlanta, GA Old Hwy 5, Canton, GA						X								X																	
	Church Street Properties Church Street, Marietta, GA									X					X												X					
	Courtyard Properties Courtyard St., Auburn, AL								X						X													X				
	West Cobb Properties Dallas Hwy, Marietta, GA						X								X												X					
	GMB Properties Alexander Street, Marietta, GA							X							X												X					
SP	North Carolina Ave SE Washington, DC								X						X											X						

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Name John Phillip Gingrey | Page 6 of 9

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	Davis New York Venture Fund	X			3-7-05			X							
	Franklin Income Fund	X			7-28-05		X								
	Franklin Income Fund	X			9-26-05		X								
	Oppenheimer Global Opp. Fund	X			9-26-05		X								
	Highwoods Properties		X		8-22-05		X								
	MEPS International		X		9-21-05		X								
	Roche Holdings	X			11-1-05			X							

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Charter Bank, Marietta, GA	Mortgage on Office Building Church Street Marietta, GA				X						
	Community Bank of the South, Marietta, GA	Mortgage on Office Building Dallas Hwy Marietta, GA					X					
	Citibank Commercial Real Estate, San Fran, CA	Mortgage on Rental Apts, Alexander St., Marietta, GA			X							
	Acadia Federal Savings & Loan, Falls Church, VA	Mortgage on North Carolina Ave, Wash, DC						X				

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C —Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Georgia Textile Manufacturers Assn.	5/8-5/10	Atlanta-Amelia Island-Atlanta	Y	Y	Y	None
	Georgia Power Company	10/16-10/17	Marietta-Clayton, GA-Marietta	Y	Y	Y	None

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	GMB Properties
Partner	Church Street Properties
Partner	Courtyard Properties
Partner	West Cobb Properties
Organizer	Bank of Ellijay

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2006 25-628 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

9

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2006 MAY 12 AM 11:40
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

CHARLES A. GONZALEZ
(Full Name)

327 CANNON HOB
(Mailing Address)

WASHINGTON, DC 20515

Daytime Telephone: 202-225-3236

Filer Status	[X] Member of the U.S. House of Representatives	State: District:	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Charles A. Gonzalez	May 12, 2006

1628

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NONE		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples. Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
XYZ Magazine	Article	Aug. 13, 2005	$500
CAP-12, WASHINGTON, DC	SPEECH	NOV 1, 2005	$500

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples* SP Meija Corp Stock					X								X										X								P
	Simon & Schuster		Indefinite																	Hoyathes								X				
	1st Bank of Paducah, KY accounts						X									X										X						
	CONGRESSIONAL FEDERAL				X											X							X									
	CREDIT UNION																															
	EMPLOYEES RETIREMENT					X																N/A										
	SYSTEM OF TX																															

For additional assets and unearned income, use next page.

1631

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name CHARLES A. GONZALEZ

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P. S. E
	NONE																														

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
	NONE														

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Name CHARLES A. GONZALEZ | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	CONGRESSIONAL FEDERAL CREDIT UNION	CAR LOAN	X									
	MBNA AMERICA	CREDIT CARD			X							
	BANK OF AMERICA	CREDIT CARD		X								
	BECKY WHETSTONE OF SAN ANTONIO, TX	PERSONAL LOAN		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NONE		

Use additional sheets if more space is required

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	CONSUMER ELECTRONICS ASSOC.	JAN.5-9, 05	SAN ANTONIO, TX-LAS VEGAS,NV LAS VEGAS, NV-DETROIT, MI-	Y	Y	N	4 DAYS
	ALLIANCE OF AUTOMOBILE MANUFACTURERS	JAN.9-11,05	SAN ANTONIO WASHINGTON, DC-CAMBRIDGE,	Y	Y	N	1 DAY
	TELECOMMUNICATION INDUSTRY	APR.8-10,05	MD-WASHINGTON, DC	Y	Y	N	2 DAYS

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
	NONE

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO: 2006 25-628 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2006 MAY 11 AM 10: 34
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Virgil H. Goode Jr.
(Full Name)
90 East Church Street
Rocky Mount, VA 24151
(Mailing Address)
Daytime Telephone 202-225-4711

Filer Status	[X] Member of the U.S. House of Representatives	State: District:	[ ] Officer or Employee — Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Virgil H. Goode, Jr.	5-10-06

1637

Name Virgil H. Goode, JR.	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Onlario County Board of Education	Spouse Salary	NA
	Executor Fee on Aunt's Estate - Approved by Ethics Committee		[redacted] $17,650.00

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Virgil H. Goode, Jr.

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B												Block C						Block D											Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples: SP Mega Corp. Stock					X								X										X							P
	Simon & Schuster			Indefinite																Royalties								X			
	1st Bank of Paducah, KY accounts						X									X										X					
	2 Acres with office Hwy 220 Rocky Mount, VA					X														None											
	1/2 interest in 50 acres Rt 3, Ferrum, VA 24088					X														None											Sold
	lot and office, 235 S. main St, Rocky Mount, VA 24151					X														None											
	1/2 interest - 3 lots Keeler Rt 1 Fieldale, VA 24089			X																None											
	1/2 interest in house & land Rt 2, Rocky Mt, VA 200 A. with sister						X													None											
	1/2 interest with sister in 2 acres at Henry, VA			X										X									X								

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Virgil H. Goode, Jr.

SP or JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
	1/2 interest with sister in 7.75 A. in BRD in Franklin Co., Va	C (B $1,001 – $15,000)	None	I (None)	Sold
	combined checking/saving SunTrust Bank, Rec. no. 1/2	E ($50,001 – $100,000)	INTEREST	VI ($5,001 – $15,000)	
SP	Altria STOCK	D ($15,001 – $50,000)	DIVIDENDS	IV ($1,001 – $2,500)	
	SunTrust STOCK	G ($250,001 – $500,000)	DIVIDENDS	VII ($15,001 – $50,000)	
	Cardinal STOCK	D ($15,001 – $50,000)	DIVIDENDS	III ($201 – $1,000)	
	Capital One STOCK	F ($100,001 – $250,000)	DIVIDENDS	III ($201 – $1,000)	
	Peoples Bank STOCK	D ($15,001 – $50,000)	DIVIDENDS	III ($201 – $1,000)	Purchase Part
	Central Nat Bank STOCK	A (None)	Other Type of Income (Specify): NONE	I (None)	
	Shenandoah Nat. Bank STOCK	C ($1,001 – $15,000)	Other Type of Income (Specify): None	I (None)	
	Patriot Bank STOCK	D ($15,001 – $50,000)	DIVIDENDS	III ($201 – $1,000)	Purchase Part
	Vanguard Mun. Bd Fund	C ($1,001 – $15,000)	INTEREST	III ($201 – $1,000)	
SP	combined checking/saving SunTrust, Rockingham, Va.	C ($1,001 – $15,000)	INTEREST	III ($201 – $1,000)	
	Wachovia STOCK	F ($100,001 – $250,000)	DIVIDENDS	V ($2,501 – $5,000)	
SP	Vanguard Mun Bd Fund	D ($15,001 – $50,000)	INTEREST	III ($201 – $1,000)	
	J.P. Morgan-Chase STOCK	D ($15,001 – $50,000)	DIVIDENDS	III ($201 – $1,000)	
	A.T.T STOCK (former SBC)	D ($15,001 – $50,000)	DIVIDENDS	IV ($1,001 – $2,500)	Purchase Part
	Lucent STOCK	C ($1,001 – $15,000)	Other Type of Income (Specify): NONE	I (None)	
	B B T STOCK	D ($15,001 – $50,000)	DIVIDENDS	III ($201 – $1,000)	
	Wheat (Scott + String) IRA	C ($1,001 – $15,000)	INTEREST	II ($1 – $200)	
SP	SunTrust IRA	C ($1,001 – $15,000)	INTEREST	II ($1 – $200)	
	Congressional Fed Cred. Un.	C ($1,001 – $15,000)	INTEREST	II ($1 – $200)	
	Chesapeake Bay Bond	C ($1,001 – $15,000)	INTEREST	II ($1 – $200)	called

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Virgil H. Goode, JR. Page 3 of 4

SP DEP JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction P, S, E
	Federal Thrift Savings Plan	F $100,001 – $250,000	DIVIDENDS	V $2,501 – $5,000	
	American Elec. Power Stock	E $50,001 – $100,000	DIVIDENDS	VI $5,001 – $15,000	
	Occidental Stock	E $50,001 – $100,000	DIVIDENDS	IV $1,001 – $2,500	
	DCH Technology Stock	B $1 – $1,000	Other Type of Income (Specify): NONE	I None	
	Exxon Stock	E $50,001 – $100,000	DIVIDENDS	IV $1,001 – $2,500	
	Ballard Power Stock	C $1,001 – $15,000	Other Type of Income (Specify): NONE	I None	SOLD
	Coca Cola Stock	F $100,001 – $250,000	DIVIDENDS	V $2,501 – $5,000	
JT	Main St. Bank Stock	C $1,001 – $15,000	Other Type of Income (Specify): NONE	I None	
	Allegheny Energy Stock	E $50,001 – $100,000	Other Type of Income (Specify): NONE	I None	
	Agere Sys Stock	C $1,001 – $15,000	Other Type of Income (Specify): NONE	I None	
	Charter Comm Stock	C $1,001 – $15,000	Other Type of Income (Specify): NONE	I None	Purchase part
	Corning Stock	D $15,001 – $50,000	Other Type of Income (Specify): NONE		SOLD
	Reynolds Am. Stock	E $50,001 – $100,000	DIVIDENDS	V $2,501 – $5,000	
	VA Public Sch Auth Bond	C $1,001 – $15,000	INTEREST	III $701 – $1,000	
	Comcast Stock	C $1,001 – $15,000	Other Type of Income (Specify): NONE	I None	
	Powhatan Co. Bond	D $15,001 – $50,000	INTEREST	IV $1,001 – $2,500	
	Ford Motor Stock	C $1,001 – $15,000	DIVIDENDS	III $701 – $1,000	
	Franklin Com. Bank	D $15,001 – $50,000	INTEREST	III $701 – $1,000	deposit
	El Paso Stock	D $15,001 – $50,000	DIVIDENDS	IV $1,001 – $2,500	
	Altria Stock	E $50,001 – $100,000	DIVIDENDS	V $2,501 – $5,000	
	Dan River Stock	B $1 – $1,000	Other Type of Income (Specify): NONE	I None	SOLD
SP	Patrick Henry BK Stock	C $1,001 – $15,000	DIVIDENDS	II $1 – $200	Purchase

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Virgil H. Goode, JR. Page 4 of 4

SP DEP JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCERPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Main Street Bank				X															NONE	X											purchase
JT	AT T (former SBC)				X									X									X									purchase
	Teton Petroleum Stock			X																NONE	X											purchase sold
JT	ABB Ltd Stock			X																NONE	X											purchase
JT	First Nat Power Stock			X																NONE	X											purchase
	Bea Staples Estate			X																NONE	X											inherited
	Patrick Henry BK Stock				X									X									X									Purchase
	Mountain Nat. BK Stock				X									X									X									purchase
	First Nat BK Stock				X									X									X									Purchase
SP	First Nat BK Stock			X										X								X										purchase
JT	Hometown BK Stock			X																None	X											

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Name Virgil H. Goode Jr. Page 1 of 1

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	Patriot Bank Stock	X			6-11-05 11-22-05		X								
SP	Patrick Henry Bank Stock	X			9-14-05 12-1-05		X								
	Patrick Henry Bank Stock	X			9-14-05 12-1-05		X								
	Mountain Nat Bank Stock	X			9-19-05 12-1-05		X								
	First Nat Bank-Rocky Mount (ST)	X			6-6-05 12-22-05		X								
SP	First Nat Bank-Rocky Mount (ST)	X			6-6-05 12-28-05	X									
JT	Hometown Bank Stock	X			5-2-05	X									
	Main Street Bank Stock	X			1-18-05		X								
	Peoples Bank Stock	X			6-11-05	X									
JT	SBC (now ATT) Stock	X			2-15-05 4-18-05 5-26-05		X								
JT	First Nat Power Stock	X			3-15-05 3-18-05	X									
	Charter Communications (ST)	X			7-13-05	X									
	Chesapeake Bay Bridge Bond		X called		7-1-05	X									
	Teton Petroleum Stock	X			2-25-05	X									
	Teton Petroleum Stock		X		3-4-05	X									
	Corning Stock		X		5-5-05		X								

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Name Virgil H. Goode Jr. Page 1 of 2

CHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

DC, JT SP	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: Mega Corporation Common Stock	X			10–12–05		X								
	Ballard Power STOCK		X		5-11-05	X									
	1/2 interest 50A. Rt. 3 Ferrum, VA		X		11-14-05			X							
	1/2 interest 7.78 A in BRD Fr. Co. VA		X		8-16-05	X									
F	ABB LtD STOCK	X			9-7-05	X									
	Dan River		X		4-19-05	WORTHLESS less than 100									
	Bea Staples Estate	inheritance			Feb, 05	X									

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HAND DELIVERED

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

FORM A

For use by Members, officers, and employees

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

LEGISLATIVE RESOURCE CENTER

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LEGISLATIVE RESOURCE CENTER

2006 MAY 11 AM 10: 34

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Virgil H Goode Jr.
(Full Name)

90 East Church Street
(Mailing Address)

Rocky Mount, VA 24151

Daytime Telephone: 202-225-4711

Filer Status	[X] Member of the U.S. House of Representatives — State: ____ District: ____	[ ] Officer or Employee	Employing Office:
Report Type	[ ] Annual (May 15)	[X] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	~~Amended~~ Virgil H. Goode Jr. / Virgil H. Goode, Jr.	5-18-06 / 5-10-06

05/18/2006 10:48 FAX 202 225 7392 ©002

Name Virgil H. Goode, JR.	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Executor Fee on Aunt's Estate - Approved by Ethics Committee		[redacted] $17,650.00

10/10/2000 10:49 FAX 202 220 7392 003

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name *Virgil H. Goode, Jr.* Page *1* of *4*

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

Columns: None; A $1–$1,000; C $1,001–$15,000; D $15,001–$50,000; E $50,001–$100,000; F $100,001–$250,000; G $250,001–$500,000; H $500,001–$1,000,000; I $1,000,001–$5,000,000; J $5,000,001–$25,000,000; K $25,000,001–$50,000,000; L Over $50,000,000

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

Columns: DIVIDENDS; INTEREST; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

Columns: None; I $1–$200; III $201–$1,000; IV $1,001–$2,500; V $2,501–$5,000; VI $5,001–$15,000; VII $15,001–$50,000; IX $100,001–$1,000,000; X $1,000,001–$5,000,000; XI Over $5,000,000

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	Value of Asset	Type of Income	Amount of Income	Transaction (P, S, E)
SP	*Examples:* Mega Corp. Stock	X (E $50,001 – $100,000)	X (Dividends)	X (IV $1,001 – $2,500)	P
	Simon & Schuster	Indefinite	Royalties	X (IX $100,001 – $1,000,000)	
	1st Bank of Paducah, KY accounts		X (Interest)	X (VI $5,001 – $15,000)	—
	2 Acres with office Hwy 220 Rocky Mount, VA	X (E $50,001 – $100,000)	None		
	1/2 interest in 50 acres Rt 3, Ferrum, VA 24088	X (A)			Sold in full
	lot and office, 235 S. Main St, Rocky Mount, VA 24151	X (E $50,001 – $100,000)	None		
	1/2 interest - 3 lots Koehler Rt 1 Fieldale, VA 24089	X (C $1,001 – $15,000)	None		
	1/2 interest in house & land Rt 2, Rocky Mt, VA 200 A. with sister	X (F $100,001 – $250,000)	None		
	1/2 interest with sister in 2 acres at Henry, VA	X (C $1,001 – $15,000)		X (III $201 – $1,000)	

For additional assets and unearned income, use next page.

05/18/2006 10:49 FAX 202 225 7392 ☑004

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Virgil H. Goode, Jr.

SP, DC, JT	Block A: Asset and/or Income Source	Block B: Year-End Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction (P, S, E)
	½ interest with sister in 2.78 A. in BRD in Franklin Co.	A	Interest, Capital Gains	VI	sold in full
	Combined checking/saving SunTrust Bank Rec'd	C	Interest	III	
SP	Altria Stock	D	Dividends	IV	
	SunTrust Stock	F	Dividends	VII	
	Cardinal Stock	D	Dividends	III	
	Capital One Stock	F	Dividends	III	
	Peoples Bank Stock	D	Dividends	III	Purchase Part
	Central Nat Bank Stock	B	Other Type of Income: NONE	I	
	Shenandoah Nat. Bank Stock	C	Other Type of Income: None	I	
	Patriot Bank Stock	D	Dividends	III	purchase Part
	Vanguard Mun. Bd Fund	C	Interest	III	
SP	Combined checking/saving SunTrust, Rec'd Int.	C	Interest	III	
	Wachovia Stock	F	Dividends	V	
SP	Vanguard Mun Bd Fund	D	Interest	III	
	J.P. Morgan-Chase Stock	D	Dividends	III	
	AT T Stock (former SBC)	D	Dividends	IV	Purchase Part
	Lucent Stock	C	Other Type of Income: NONE	I, IV	
	B.B T Stock	D	Dividends	II, III	
	Wheat (Scott & String) IRA	C	Interest	I	
SP	SunTrust IRA	C	Interest	I	
	Congressional Fed Cred. Un.	C	Interest	I	
	Chesapeake Bay Bond	A	Interest	I	called - in full

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05/18/2006 10:50 FAX 202 225 7392 005

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Virgil H. Goode, JR. Page 3 of 4

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Federal Thrift Savings Plan	F	DIVIDENDS	V	
	American Elec. Power Stock	E	DIVIDENDS	VI	
	Occidental Stock	E	DIVIDENDS	IV	
	DCH Technology Stock	B	NONE	I	
	Exxon Stock	E	DIVIDENDS	IV	
	Ballard Power Stock	A	CAPITAL GAINS	VI	SOLD in full
	Coca Cola Stock	F	DIVIDENDS	V	
JT	Main St. Bank Stock	C	NONE	I	
	Allegheny Energy Stock	E	NONE	I	
	Agere Sys Stock	C	NONE	I	
	Charter Comm Stock	C	NONE	I	Purchase pare
	Corning Stock	A	CAPITAL GAINS	VII	SOLD in full
	Reynolds Am. Stock	E	DIVIDENDS	V	
	VA Public Sch Auth Bond	C	INTEREST	III	
	Comcast Stock	C	NONE	I	
	Powhatan Co. Bond	D	INTEREST	IV	
	Ford Motor Stock	C	DIVIDENDS	III	
	Franklin Com. Bank	D	INTEREST	III	deposit
	El Paso Stock	D	DIVIDENDS	IV	
	Altria Stock	E	DIVIDENDS	V	
	Dan River Stock	A	CAPITAL GAINS	II	SOLD in full
SP	Patrick Henry BK Stock	C	DIVIDENDS	II	Purchase

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Virgil H. Goode, JR.

Page 4 of 4

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST*	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Main Street Bank				X															NONE	X											purchase
JT	ATT (former SBC)				X									X									X									purchase
	Teton Petroleum Stock	X																								X						purchase sold in full
JT	ABB Ltd Stock			X																NONE	X											purchase
JT	First Nat Power Stock			X																NONE	X											purchase
	Bea Staples Estate			X																NONE	X											inherited
	Patrick Henry BK Stock				X									X									X									purchase
	Mountain Nat BK Stock				X									X									X									purchase
	First Nat BK Stock				X									X									X									purchase
SP	First Nat BK Stock			X										X								X										purchase
JT	Hometown BK Stock			X																None	X											purchased

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05/18/2006 10:50 FAX 202 225 7392 007

Name Virgil H. Goode Jr. Page 1 of 1

SCHEDULE IV — TRANSACTIONS

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10-12-05		X								
	Patriot Bank Stock	X			~~6-11-05~~ 11-22-05		X								
SP	Patrick Henry Bank Stock	X			9-14-05 12-1-05		X								
	Patrick Henry Bank Stock	X			9-14-05 12-1-05		X								
	Mountain Nat Bank Stock	X			~~9-19-05~~ 12-1-05		X								
	First Nat Bank-Rocky Mount(St)	X			6-6-05 ~~8-22-05~~ 12-22-05		X								
SP	First Nat Bank-Rocky Mount(St)	X			6-6-05 12-28-05	X									
JT	Hometown Bank Stock	X			5-2-05	X									
	Main Street Bank Stock	X			1-18-05		X								
	Peoples Bank Stock	X			6-11-05	X									
JT	SBC (now ATT) Stock	X			~~3-15-05~~ ~~4-18-05~~ ~~5-26-05~~ 3-15-05		X								
JT	First Nat Power Stock	X			3-18-05	X									
	Charter Communications(St)	X			7-13-05	X									
	Chesapeake Bay Bridge Bond		X called		7-1-05	X									
	Teton Petroleum Stock sold in full	X			2-25-05	X									
	Teton Petroleum Stock		X		3-4-05	X									
	Corning Stock sold in full		X		5-5-05		X								

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05/18/2006 10:51 FAX 202 225 7392 008

Name Virgil H. Goode Jr. Page 1 of 2

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

DC, JT, SP	Asset	Type: Purchase	Type: Sale	Type: Exchange	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: Mega Corporation Common Stock	X			10-12-05		X								
✓	Ballard Power STOCK - sold in full		X		5-11-05	X									
✓	1/2 interest 50A, Rt. 3 Ferrum, VA	"	X		11-14-05			X							
✓	1/2 interest 7.78 A in BRD Fr. Co. VA	"	X		8-16-05	X									
JT	ABB LtD STOCK	X			9-7-05	X									
	Dan River		X		4-19-05	WORTHLESS less than 100									
	Bea Staples Estate	inheritance			Feb, 05	X									

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2006 MAY 16 PM 6:00
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

Robert W. Goodlatte
(Full Name)

5341 Fox Ridge Road, SW Roanoke, VA 24018
(Mailing Address)

202.225.5431
Daytime Telephone:
(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representative — State: VA, District: 06	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☑ No ☐	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Bob Goodlatte	5-16-06

1653

SCHEDULE I - EARNED INCOME

Name Robert W. Goodlatte

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Glenn, Feldman, Darby and Goodlatte, P.C., Roanoke, VA	Spouse Salary	N/A
RGC Resources, Inc	Spouse Directors Compensation	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert W. Goodlatte

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	American Fund Tax Exempt Bonds	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	Glenn Feldman Darby and Goodlatte	$15,001 - $50,000	DIVIDENDS	NONE	
JT	Independent Natl Mortgage Corp CT	$1,001 - $15,000	INTEREST	$1,001 - $2,500	
JT	Black Rock Equity TR (Old name: State St. Res Equity TR)	$15,001 - $50,000	DIVIDENDS	$1 - $200	
JT	Centnl MM TR AG Edwards	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Centnl MM TR AG Edwards IRA	$1,001 - $15,000	INTEREST	$1 - $200	

1655

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert W. Goodlatte

	Templeton Foreign Fund IRA	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
	Investment Co Of America IRA	$15,001 - $50,000	DIVIDENDS	$201 - $1,000
	UTS Government Secs Inc IRA	$15,001 - $50,000	INTEREST	$1 - $200
JT	MFS Virginia Muni Bond Fund	$15,001 - $50,000	INTEREST	$1,001 - $2,500
SP	First Eagle Global Fund 401k	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500
SP	Eaton Vance Ltd Duration 401k	$15,001 - $50,000	DIVIDENDS	$201 - $1,000
SP	RGC Resources	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000
JT	T Rowe Price S&P Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000
JT	Sun Trust Bank Savings	$50,001 - $100,000	INTEREST	$201 - $1,000
DC	Sun Trust Bank Savings & Checking	$15,001 - $50,000	INTEREST	$201 - $1,000
	Valley Bank Roanoke CD	$15,001 - $50,000	INTEREST	$1,001 - $2,500
JT	Bank of America, Roanoke, VA - Savings	$1,001 - $15,000	INTEREST	$1 - $200
JT	T Rowe Price Int Stock Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000
JT	First Citizens Bank, Roanoke, VA - Savings/Checking	$15,001 - $50,000	INTEREST	$1,001 - $2,500

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert W. Goodlatte

SP	Citigroup Smith Barney Account 401K (transfer from Legg Mason)	$100,001 - $250,000	INTEREST	$201 - $1,000
DC	Wachovia Bank	$1,001 - $15,000	INTEREST	$201 - $1,000
SP	New Persp Fund 401K	$50,001 - $100,000	DIVIDENDS	$201 - $1,000
SP	Merrill Lynch Retr Res IRA	$1 - $1,000	INTEREST	$1 - $200
SP	Washington Mutual Investment Fund - IRA	$15,001 - $50,000	DIVIDENDS	$201 - $1,000
SP	Merrill Lynch Fund Growth - IRA	$15,001 - $50,000	DIVIDENDS	NONE
SP	GNMA Government Security IRA	$1 - $1,000	DIVIDENDS	$1 - $200
SP	Fifth Third Bancorp IRA	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
	Janus Fund IRA	$15,001 - $50,000	DIVIDENDS	NONE
DC	Valley Bank, Roanoke, VA CD	$15,001 - $50,000	INTEREST	$1 - $200
SP	Seligman Communications	$50,001 - $100,000	DIVIDENDS	NONE
JT	Wachovia Bank, Roanoke, VA-Savings	$1,001 - $15,000	INTEREST	$1 - $200
SP	New York Venture Fund 401K	$15,001 - $50,000	DIVIDENDS	$1 - $200
SP	Nicholas Fund IRA	$1,001 - $15,000	DIVIDENDS	NONE

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert W. Goodlatte

SP	Bond Fund of America 401k	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
JT	Valley Bank Roanoke, VA - Checking	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Guardian Park Ave, 401K	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	Duff Phelps Utilities 401K	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Mass Mutual Life - Life Insurance	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Greenwood Trust Co., DE	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Suntrust Bank, IRA, Roanoke, VA	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Suntrust Bank, Roanoke, VA IRA	$1,001 - $15,000	INTEREST	$201 - $1,000	
	First Citizens Bank, Roanoke, VA - Savings	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
SP	Bankers Trust Market Lnk 401k	$15,001 - $50,000	INTEREST	NONE	

SCHEDULE VI - GIFTS

Name Robert W. Goodlatte

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
John Doolittle	Bose CD Player/Radio	$499

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Robert W. Goodlatte

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
International Dairy Foods Assoc	Jan 8-10	Roanoke, VA-Orlando, FL-Roanoke, VA	Y	Y	Y	None
National Association of Conservation Districts	Feb 6-7	Roanoke, VA-Atlanta, GA-Lynchburg, VA	N	N	N	None
AgFirst Farm Credit	July 31-Aug 1	Hot Springs, VA	Y	Y	Y	None

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2006 JUN 12 PM 5:28
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

BARTON JENNINGS GORDON
(Full Name)

940 E. NORTHFIELD BLVD. (202) 225-4231
(Mailing Address) Daytime Telephone

MURFREESBORO, TN 37130

Office Use Only

Filer Status	[X] Member of the U.S. House of Representatives	State: TN District: 6	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Barton J. Gordon	6/12/06

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	KORN/ FERRY INTERNATIONAL	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name BARTON J. GORDON

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT *Examples* SP Mega Corp. Stock					X								X										X								P
SP Simon & Schuster			Indefinite																Royalties									X			
JT 1st Bank of Paducah, KY accounts						X									X										X						
WRIGHT PATMAN CONG, CU				X											X							X									
DC SMITH BARNEY IRREV. TRUST (A)																															
DC SMITH BARNEY UTMA (B)																															
SMITH BARNEY IRA (C)																															
JT SMITH BARNEY FMA (CASH)				X											X							X									
SP SMITH BARNEY FMA (D)																															

For additional assets and unearned income, use next page.

HEDULE III — ASSETS AND "UNEARNED" INCOME
ntinuation Sheet (if needed)

Name BARTON J. GORDON

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	KORN/FERRY- OPTIONS							X													X											P, S
SP	SMITH BARNEY ASSET MGT																															
	LARGE CAP GROWTH	X												X			X										X					S
SP	SMITH BARNEY ASSET MGT																															
	MULTI CAP GROWTH	X												X			X										X					S
SP	SMITH BARNEY ASSET MGT																															
	ALL CAP VALUE BALANCED	X												X		X	X										X					S
	SMITH BARNEY FMA		X											X		X	X											X				S
SP	FIDELITY 401K S&P INDEX							X													X											
SP	KORN/FERRY WEALTH ACCUM. PLAN				X																X											
SP	N.W. MUTUAL LIFE INS.				X																X											
SP	WELLS FARGO DEF. COMP.	(F)																														
	CREDIT SUISSE FIRST BOSTON IRA (E)																															
SP	CREDIT SUISSE FIRST BOSTON	X												X			X								X							S
	CREDIT SUISSE FIRST BOSTON									X				X			X									X						P, S
SP	UBS MANAGED I	X														X	X							X								S
SP	UBS MANAGED II							X						X		X	X						X									P
	FEINGOLD O'KEEFE BOND FUND									X				X		X	X											X				
	CENTRE CAPITAL INVESTORS					X								X		X	X										X					
JT	PARK CENTER PARTNERSHIP							X							X	X										X						
	MARINER PARTNERS								X					X		X	X											X				
DC	SMITH BARNEY ED. SAVINGS (G)																															P

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SCHEDULE IV — TRANSACTIONS

Name BARTON J. GORDON

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	NASDAQ 100 INDEX		X		08-01-05	X									
	CITIGROUP INC.		X		08-01-05		X								
	CREDIT SUISSE GROUP ADR		X		08-01-05		X								
	INTEL CORP.		X		08-01-05	X									
	KEY CORP- NEW		X		08-01-05	X									
	KORN/ FERRY INTL NEW		X		VARIOUS						X				
	KRAFT FOODS INC LLA		X		08-01-05	X									
	LIBERTY MEDIA CORP A		X		08-01-05	X									
	MERRILL LYNCH & CO INC		X		08-01-05	X									
	NASDAQ 100 TRUST		X		08-01-05	X									
	PROCTER & GAMBLE CO		X		08-01-05	X									
	ST PAUL TRAVELERS CO INC		X		08-01-05	X									
	WACHOVIA CORP 2ND NEW		X		08-01-05	X									
	MARINER PARTNERS	X			VARIOUS						X				
DC	UTS SPDR TRUST		X		08-08-05	X									
SP	CISCO SYS. INC		X		06-02-05	X									

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Name BARTON J. GORDON | Page 6 of 15

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out

SP DC JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO.DAY YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10-12-05		X								
SP	GOLDMAN SACHS GROUP INC		X		4-25-05	X									
SP	HOME DEPOT		X		6-2-05	X									
SP	INTL BUSINESS MACHINES CORP		X		6-2-05	X									
SP	MORGAN STANLEY		X		4-14-05		X								
SP	PFIZER INC		X		6-2-05	X									
SP	UTS SPDR TRUST		X		8-3-05		X								
SP	KORN/FERRY	X	X		VARIOUS							X			
	ALLIANCE GROWTH & INCOME		X		VARIOUS	X									
	ALLIANCE BERNSTEIN GLOBAL TECH		X		VARIOUS	X									
	UTS SPDR TRUST SERIES 1		X		VARIOUS	X									
	ALLIANCE BERNSTEIN GROWTH & INCOME FUND		X		VARIOUS	X									
	ALLIANCE BERNSTEIN GLOBAL TECH. FUND		X		VARIOUS	X									
	SMITH BARNEY AGGRESSIVE GROWTH FUND		X		VARIOUS	X									
	SMITH BARNEY SMALL CAP VALUE FUND		X		VARIOUS	X									
	SMITH BARNEY FUNDAMENTAL VALUE FUND		X		VARIOUS	X									
	SMITH BARNEY MID CAP CORE FUND		X		VARIOUS	X									

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SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out

SP DC JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	SMITH BARNEY LARGE CAP GROWTH FUND		X		VARIOUS	X									
SP	CITIBANK NEVADA (CASH)			X	VARIOUS			X							
SP	CITIBANK FSB (CASH)			X	VARIOUS			X							
SP	CITIBANK (WEST) FSB (CASH)			X	VARIOUS			X							
SP	CALIFORNIA COMMERCE BANK			X	VARIOUS	X									
SP	CITIBANK USA (CASH)			X	VARIOUS	X									
SP	CITIBANK DELAWARE			X	VARIOUS	X									
SP	CITICORP TRUST BANK			X	VARIOUS	X									

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ATTACHMENT A - SMITH BARNEY IRREV. TRUST

Name BARTON J. GORDON | Page 8 of 15

SP, DC, JT	ASSET	VALUE OF ASSET												TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		NONE	$1 - $1,000	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	OVER $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	OTHER	NONE	$1 - $200	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	$1,000,001 - $5,000,000	Over $5,000,000	P,S, E
DC	CITIBANK NA				X											X							X									
DC	SHERMAN TEX INDPT SCH DIST			X																												
DC	TEXAS TPK AU CENTRAL			X																												

ATTACHMENT B - SMITH BARNEY UTMA

Name BARTON J. GORDON | Page 9 of 15

SP, DC, JT	ASSET	VALUE OF ASSET												TYPE OF INCOME							AMOUNT OF INCOME										TRANSACTION
		NONE	$1 - $1,000	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	OVER $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	OTHER	NONE	$1 - $200	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	$1,000,001 - $5,000,000	Over $5,000,000	P,S,E
DC	CITIBANK NA		X													X						X										
DC	JP MORGAN CHASE				X									X									X									
DC	UTS SPDR TRUST SER 1				X									X									X									
DC	STRIPS-TINTS GENERIC INT PYMT			X												X							X									

ATTACHMENT C - SMITH BARNEY IRA

Name BARTON J. GORDON | Page 10 of 15

SP, DC, JT	ASSET	VALUE OF ASSET: NONE	$1 - $1,000	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	OVER $50,000,000	TYPE OF INCOME: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	OTHER	AMOUNT OF INCOME: NONE	$1 - $200	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	$1,000,001 - $5,000,000	Over $5,000,000	TRANSACTION: P,S,E
	CITIBANK NA	X														X	X						X									S
	UTS SPDR TRUST SER 1	X												X			X					X										S
	ALLIANCE BERNSTEIN GROWTH & INCOME FUND	X												X			X					X										S
	ALLIANCE BERNSTEIN GLOBAL TECHNOLOGY FUND	X															X					X										S
	SMITH BARNEY AGGRESSIVE GROWTH FUND	X															X					X										S
	SMITH BARNEY SMALL CAP VALUE FUND	X															X					X										S
	SMITH BARNEY FUNDAMENTAL VALUE FUND	X															X					X										S
	SMITH BARNEY MID CAP CORE FUND	X															X					X										S
	SMITH BARNEY LARGE CAPITALIZATION GROWTH FUND	X															X					X										S

ATTACHMENT D - SMITH BARNEY FMA

Name BARTON J. GORDON | Page 11 of 15

SP, DC, JT	ASSET	VALUE OF ASSET: NONE	$1 - $1,000	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	OVER $50,000,000	TYPE OF INCOME: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	OTHER	AMOUNT OF INCOME: NONE	$1 - $200	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	$1,000,001 - $5,000,000	Over $5,000,000	TRANSACTION P,S, E
SP	CITIBANK NA BANK DEPOSIT (CASH)					X										X								X								
SP	CITIBANK NEVADA (CASH)			X												X							X									
SP	CITIBANK FSB (CASH)	X														X							X									E
SP	CITIBANK (WEST) FSB (CASH)															X							X									E
SP	CALIFORNIA COMMERCE BANK	X														X						X										E
SP	CITIBANK USA (CASH)	X														X							X									E
SP	CITIBANK DELAWARE	X														X							X									E
SP	CITICORP TRUST BANK	X														X							X									E
SP	CISCO	X															X				X											S
SP	CITIGROUP INC	X												X									X									S
SP	CREDIT SUISSE GROUP	X												X									X									S
SP	GOLDMAN SACHS GROUP	X												X			X								X							S
SP	HOME DEPOT	X												X			X					X										S
SP	INTEL CORP	X												X								X										S
SP	IBM	X												X			X					X										S
SP	KEYCORP	X												X								X										S
SP	KORN FERRY	X															X									X						S
SP	KRAFT FOODS	X												X									X									S
SP	MERRILL LYNCH & CO INC.	X												X								X										S
SP	MORGAN STANLEY	X												X			X									X						S
SP	PFIZER	X												X			X					X										S
SP	PROCTER & GAMBLE	X												X								X										S

ATTACHMENT D - SMITH BARNEY FMA

Name BARTON J. GORDON | Page 12 of 15

SP, DC, JT	ASSET	VALUE OF ASSET												TYPE OF INCOME							AMOUNT OF INCOME										TRANSACTION
		NONE	$1 - $1,000	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	OVER $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	OTHER	NONE	$1 - $200	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	$1,000,001 - $5,000,000	Over $5,000,000	P,S, E
SP	ST PAUL TRAVELERS	X												X								X										S
SP	SMUCKER J M CO	X												X								X										S
SP	WACHOVIA CORP	X												X								X										S
SP	NASDAQ	X												X								X										S
SP	UTS SPDR TRUST SER 1	X												X			X					X										S

ATTACHMENT E - CREDIT SUISSE FIRST BOSTON IRA

Name BARTON J. GORDON | Page 13 of 15

SP, DC, JT	ASSET	VALUE OF ASSET												TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		NONE	$1 - $1,000	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	OVER $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	OTHER	NONE	$1 - $200	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	$1,000,001 - $5,000,000	Over $5,000,000	P, S, E
	PERSHING GOVERNMENT ACCOUNT					X										X							X									P
	STANDARD & POORS DEPOSITORY RECEIPTS			X										X								X										P

ATTACHMENT F - WELLS FARGO DEFERRED COMPENSATION

Name BARTON J. GORDON | Page 14 of 15

SP, DC, JT	ASSET	VALUE OF ASSET												TYPE OF INCOME							AMOUNT OF INCOME										TRANSACTION
		NONE	$1 - $1,000	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	OVER $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	OTHER	NONE	$1 - $200	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	$1,000,001 - $5,000,000	Over $5,000,000	P,S, E
SP	MFS TOTAL RETURN FUND				X																X										
SP	WELLS FARGO S&P 500 INDEX FUND				X																X										
SP	RUSSELL 2000 VALUE INDEX				X																X										
SP	WELLS FARGO CONSERVATIVE HEDGE FUND				X																X										
SP	WELLS FARGO DIVERSIFIED HEDGE FUND				X																X										

ATTACHMENT G - SMITH BARNEY EDUCATION SAVINGS

Name BARTON J. GORDON | Page 15 of 15

SP, DC, JT	ASSET	VALUE OF ASSET												TYPE OF INCOME							AMOUNT OF INCOME										TRANSACTION
		NONE	$1 - $1,000	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	OVER $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	OTHER	NONE	$1 - $200	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	$1,000,001 - $5,000,000	Over $5,000,000	P,S, E
DC	CITIBANK NA	X														X						X									E
DC	UTS SPDR TRUST SER 1	X												X								X									E

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

Page 1 of 11

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2006 JUN -7 PM 12: 38
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

KAY GRANGER
(Full Name)

715 Jones Street Fort Worth, TX 76102
(Mailing Address)

202-225-5071
Daytime Telephone

Office Use Only

Filer Status: ☑ Member of the U.S. House of Representatives — State: TX District: 12 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Kay Granger	June 10, 2006

1676

SCHEDULE I - EARNED INCOME

Name KAY GRANGER

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
G & R Insurance Agency, Inc Fort Worth, TX	Policy Renewal	$48,750
Fort Worth, TX Health Care Services Corp	Policy Renewal	$5,694.49
Golden Rule Insurance Co	Policy Renewal	$661

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name KAY GRANGER

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Summit Bank Fort Worth, TX	$15,001 - $50,000	None	NONE	
G & R Insurance Agency Fort Worth, TX	$500,001 - $1,000,000	DIVIDENDS	NONE	
Granger Real Estate Management, LLP 4702,4704, 4706, 4708 Washburn and 1612, 1616 Kenley Fort Worth, TX	$100,001 - $250,000	None	NONE	
Jones Street Investments, Inc Fort Worth, TX	$500,001 - $1,000,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name KAY GRANGER

	Northwestern Mutual Retirement Fund	$50,001 - $100,000	DIVIDENDS	NONE
	Davis New York Venture (retirement)	$1,001 - $15,000	None	NONE
	Dreyfus Midcap Index Fund (retirement)	$1,001 - $15,000	None	NONE
	Lord Abbett Development	$1,001 - $15,000	None	NONE
	Managers International Equity Fund (retirement)	$1,001 - $15,000	None	NONE
	Pimco Total Return Fund (retirement)	$1,001 - $15,000	None	NONE
	Royce Total Return Fund (retirement)	$1,001 - $15,000	None	NONE
	White Oak Growth Stock Fund (retirement)	$1,001 - $15,000	None	NONE
	Hugoton Royalty Trust (retirement)	$15,001 - $50,000	None	NONE
	UBS Retirement Money Fund (retirement)	$15,001 - $50,000	None	NONE
	ING International Value Fund (retirement)	$1,001 - $15,000	None	NONE
	Oppenheimer Real Asset Fund (retirement)	$1,001 - $15,000	None	NONE
	UBS Bank USA Dep Acct	$15,001 - $50,000	None	NONE

SCHEDULE V - LIABILITIES

Name KAY GRANGER

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	SUMMIT BANK FORT WORTH, TX	BUSINESS LOAN TO JONES STREET INVESTMENTS, INC	$250,001 - $500,000
	BANK ONE FORT WORTH, TX	MORTGAGE LOAN ON 4702, 4704, 4706 ESDHNUTN AND 1612. 1616 KENLEY FORT WORTH, TX	$100,001 - $250,000
	AADVANTAGE CITIBANK MASTERCARD	CREDIT CARD	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name KAY GRANGER

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
American Railroad Legislative Conference	Feb 18-19	Fort Worth - Orlando - Fort Worth	Y	Y	N	0
National Issues - Conference = Ripon Society	Oct 28 - 30	DC - Dallas, TX - DC	Y	Y	N	0
Design Students at ASU	N0v 28 - 29	Fort Worth - Phoenix - Fort Worth	Y	Y	N	0

SCHEDULE VIII - POSITIONS

Name KAY GRANGER

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member of the Board	Junenile Diabetes Foundation of Tarrant County
Member of the Board	Horses and America's Youth (HAY)
Member of the Advisory Board	Girls Choir
Member of the Advisory Committee	Fort Worth Public Library Foundation
Member of the Advisory Board	Tarrant Area Food Bank
Member of the Advisory Board	Children's Education Fund
Member of the Advisory Board	Teenage Republicans of Texas
Honorary Congressional Steering Committee	Green Thumb, Inc "National Prime Time Awards"
Member of the Honorary Committee	The Enterprise Foundation
Host Committee	Botanical Research Institute of Texas
Member of the Planning Committee	Aviation Museum
Member	Texas Women's Alliance

SCHEDULE VIII - POSITIONS

Name KAY GRANGER

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Emeritus Member	Women in Government Relations, Alexandria, VA
Member of the Advisory Council	Habitat for Humanity, Fort Worth Area
Steering Committee	Downtown Forth Worth, Inc
Member of the Advisory Board	National Cowgirl Hall of Fame and Museum, Fort Worth, TX
Member of the Steering Committee	Intermodal Transportation Committee Fort Worth, TX
Member of the Advisory Board	American University Women and Politics Institute
Member of the Advisory Board	AIDS Outreach Advisory Board
Member of the Advisory Board	Alliance for Children
Honorary Member	American Institute of Architects
Dual Member	Bush Legacy Republican Women Club
Honorary Member of the Board	Casa Manana Musicals, Inc
Honorary Member	Fort Worth Chamber of Commerce

SCHEDULE VIII - POSITIONS

Name KAY GRANGER

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	Fort Worth Hispanic Chamber of Commerce
Member	Fort Worth Republican Women's Club
Member	Friends of DFW Airport
Member	Fort Worth Exec. Roundtable
Owner	G/R Insurance Agency
Member	Goals for East Fort Worth
Member of the Advisory Council	I Have a Dream Foundation - Fort Worth
Member	Leadership Texas Alumnae
Member of the Board of Directors	Longhorn Council Boy Scouts of America
Honary Member	NE Tarrant Chamber of Commerce Board of Directors
Advisory Board	Open Arms Advisory Board
Advisory Board	Parenting Center

SCHEDULE VIII - POSITIONS

Name KAY GRANGER

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	Parker County Republican Party Lincoln Council
Dual Member	Parker County Republican Women
Member	Republican Forum
Member	Republican National Hispanic Assembly, Tarrant
Member	Rotary Club of Fort Worth
Member of the Advisory Board	Schola Cantorum
Member of the Board	Southwestern University
Member	Terrant County Republican Party Lincoln Council
Member of the Development Council Board	Texas A&M University
Honorary Member of the Advisory Board	The Oakridge School
Member of the Board	United Way Public Policy Board
Member	Urban Land Institute

SCHEDULE VIII - POSITIONS

Name KAY GRANGER

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Dual Member	Wise County Republican Women
Emeritus Member	Women in Government Relations, Inc
Founding Member	Women's Policy Forum
Member	Air Force Board of Visitors
Chairwoman of the Finance Committee	NRCC
Member	Texas Back Institute's Patient Assistance Fund Board

Thank you for contacting me with your thoughts and questions regarding the United Nations' plan to host its annual global gun ban conference in New York on July 4, 2006. I appreciate having the benefit of addressing this matter.

The annual conference, called the 2006 Small Arms Review Conference, or RevCon, meets annually to promote its anti-gun stance. It is a gathering of delegates from UN Member States and representatives of international and regional organizations. The conference is being heavily promoted by The International Action Network on Small Arms (IANSA) which receives funding from governments and foundations around the world, excluding the United States.

Although the conference will meet on July 4 in New York, you will be pleased to know that I am a member of the Second Amendment Caucus, which seeks to protect our constitutionally guaranteed right to bear arms. A number of high profile crimes have raised questions about the level of gun violence in the U.S. While these incidents are indeed tragic, the way to reduce gun violence is not to take guns away from law-abiding citizens, but to enforce the gun laws that are already on the books. Having been a member of the House Judiciary Committee, which has jurisdiction over Second Amendment issues, I understand the importance of overseeing any gun control legislation introduced into Congress.

Please know that as your elected representative, I will remain steadfast in protecting all Americans' Second Amendment right to bear arms. Again, thank you for contacting me with your thoughts on this important matter. If I may be of further assistance to you, please do not hesitate to contact me.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A

For use by Members, officers, and employees

HAND DELIVERED

22

LEGISLATIVE RESOURCE CENTER

2006 MAY 11 PM 4:29

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Samuel Bruce "Sam" Graves, Jr.
(Full Name)

110 S. 10th Street
(Mailing Address)

(202) 225-7041
Daytime Telephone

Tarkio, Missouri 64491

Filer Status	[X] Member of the U.S. House of Representatives — State: Missouri, District: Sixth (6)	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from the report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		May 12, 2006

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Tarkio, Missouri R-1 School District	Teachers Salary of spouse, Lesley J. Graves	

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
	XYZ Magazine	Article	Aug. 13, 2005	$500
	None			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period; and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT) in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A	Block B	Block C	Block D	Block E
SP Mega Corp. Stock	E: $50,001 – $100,000	DIVIDENDS	V: $2,501 – $5,000	P
DC Simon & Schuster	B: $1,001 – $15,000	Other Type of Income: Royalties	IX: $100,001 – $1,000,000	
JT 1st Bank of Paducah, KY account	F: $100,001 – $250,000	INTEREST	VI: $5,001 – $15,000	
JT Sam Graves Farms: Clark Township & Tarkio Township, Atchison County, MO	G: $250,001 – $500,000	RENT	VII: $15,001 – $50,000	
JT Rydex Mutual Funds/OTC Index Fund	C: $15,001 – $50,000	DIVIDENDS	II: $201 – $1,000	
JT Janus Mercury Fund	C: $15,001 – $50,000	DIVIDENDS; CAPITAL GAINS	II: $201 – $1,000	
JT Oracle Corporation	C: $15,001 – $50,000	DIVIDENDS	II: $201 – $1,000	S

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Samuel B. "Sam" Graves, Jr.

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
JT	Cisco Corporation		X											X								X									
JT	E-Trade Checking Account				X											X							X								
JT	Golden Triangle Energy Cooperative			X										X										X							
JT	Small Town Investment Club			X										X			X					X									P, S **
JT	Farm Equipment & Machinery				X																										
JT	US Bank * 2 Checking Accounts				X											X						X									
JT	State of Missouri Retirement System	X												X							X										
JT	Thrift Savings Plan Federal Government					X															X										
JT	Home Depot			X										X							X										
JT	Nokia Corporation			X										X																	
JT	Time Warner, Inc.			X										X																	
JT	Janus Contrarian Fund			X										X			X				X										E
JT	Janus Midcap Value Fund			X										X			X				X										E

* Name Change

This page may be copied if more space is required.

** See Schedule III (Cont.)

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	See attached Schedule 1, Schedule K-1, and Summary of equities purchased and sold and Ameritrade Brokerage Statement for December 31, 2005, for Small Town Investment Club	X	X			X									
JT	Oracle Corporation		X		10-21-05	X									

This page may be copied if more space is required.

SCHEDULE III (Cont.)

Small Town Investment Club

Interest of Samuel B. "Sam" Graves, Jr., in Small Town Investment Club	11.1%

1. Ameritrade Brokerage Statement for year ending December 31, 2005
2. Department of Treasury Schedule K-1 for Small Town Investment Club
3. Summary of equities purchased and sold; the dates therefor; and the purchase or sale price for Small Town Investment Club
4. Form 1065 Department of the Treasury U.S. Return of Partnership

account #

Investment Account
Portfolio Report
report period: 11/26/05 - 12/30/05

Account Registration:

SMALL TOWN INVESTMENT CLUB PRTNRSHP
ATTN: ROBERT L KOCH
PARTNERSHIP
25147 190 ST
TARKIO, MO 64491-9118

800-669-3900
AMERITRADE
DIVISION OF AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209

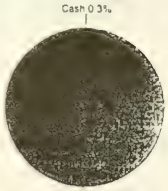
Announcements:

GET YOUR COST BASIS CALCULATIONS WITH FREE GAIN/LOSS TRACKING! INCLUDES WASH SALES AND CAPITAL GAINS. GO TO THE NEW WEB SITE'S "BALANCE AND POSITIONS" PAGE UNDER THE PORTFOLIO MENU

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	Portfolio Allocation
Cash	$254.67	$268.00	$(13.33)	(5.0%)	$ 0.12	-	0.3%
Short balance	-	-	-	-	-	-	-
Stocks	80,027.85	78,697.63	1,330.22	1.7%	598.84	0.7%	99.7%
Short stocks	-	-	-	-	-	-	-
Bonds	-	-	-	-	-	-	-
Options	-	-	-	-	-	-	-
Short options	-	-	-	-	-	-	-
Mutual funds	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-
Total	$80,282.52	$78,965.63	$1,316.89	1.7%	$598.96	0.7%	100.0%

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening Balance	$268.00	$173.57
Securities purchased	(1,744.59)	(38,658.62)
Securities sold	-	27,479.18
Funds deposited	1,600.00	10,700.00
Funds disbursed	-	(142.44)
Income	131.26	702.98
Expense	-	-
Other	-	-
Closing balance	$254.67	$254.67

Income & Expense Summary

	Taxable	Non-taxable	YTD
Income			
Dividends	$131.25	$ -	$702.25
Interest	0.01	-	0.73
Other	-	-	-
Expense			
Interest	$ -	$ -	$ -
Fees	-	-	-
Other	-	-	-
Net	$131.26	$ -	$702.98

Performance Summary

	YTD
Cost basis as of - 12/30/05	$43,031.53
Realized gains*	0.00
Realized losses*	0.00
Unrealized gains	10,343.14
Unrealized losses	(369.32)
Funds deposited/(disbursed)	10,557.56
Income/(expense)	702.98
Securities received/(delivered)	0.00

*Cost Basis information will be available in 2006

CC 1410741v1

Investment Account

Portfolio Report

account #

report period: 11/26/05 - 12/30/05

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Annual Income	Yield
Stocks - Cash										
Alliant Tech Sys Com	ATK	75	$76.17	$5,712.75	11/09/04	$5,129.02	$68.39	$583.73	$	
American Healthways Inc Com	AMHC	150	45.25	6,787.50	02/13/04	4,991.37	33.28	1,796.13		
Apple Computer Inc Com	AAPL	130	71.89	9,345.70		-	NP			
Barry Pete Co Cl A	BRY	100	57.20	5,720.00	12/07/04	5,087.98	50.88	632.02	52.00	0.9%
Biosite Inc Com	BSTE	25	56.29	1,407.25	07/02/04	1,111.24	44.45	296.01		
Ceradyne Inc Com	CRDN	40	43.80	1,752.00	12/08/05	1,744.59	43.61	7.41		
Cerner Corp Com	CERN	100	90.91	9,091.00	02/14/05	5,376.48	53.76	3,714.52		
Chevron Corp Com	CVX	25	56.77	1,419.25	03/17/05	1,500.99	60.04	(81.74)	45.00	3.1%
Garmin, Ltd Com	GRMN	100	66.35	6,635.00	01/09/04	5,044.22	50.44	1,590.78	50.00	0.7%
General Electric Co Com	GE	100	35.05	3,505.00		-	NP		100.00	2.8%
Google Inc Cl A	GOOG	10	414.86	4,148.60	08/17/05	2,866.09	286.61	1,282.51		
Harley Davidson Inc Com	HDI	50	51.49	2,574.50		-	NP		36.00	1.3%
Lowes Cos Inc Com	LOW	50	66.66	3,333.00		-	NP		12.00	0.3%
Petmed Express Inc Com	PETS	100	14.17	1,417.00	11/07/05	1,410.99	14.11	6.01		
Petroleum Dev Corp Com	PETDE	100	33.34	3,334.00	07/08/05	3,621.58	36.22	(287.58)		
Plum Creek Timber Co Inc Com	PCL	100	36.05	3,605.00		-	NP		152.00	4.2%
Spectralink Corp Com	SLNK	100	11.87	1,187.00	07/08/05	1,089.99	10.90	97.01	40.00	3.3%
United Parcel Service Cl B	UPS	62	75.15	4,659.30		-	NP		81.84	1.7%
Worldcom Inc Mci Group Com	MCWEQ	1	NP	NP		-	NP			
Xto Energy Inc Com	XTO	100	43.94	4,394.00	09/09/05	4,056.99	40.57	337.01	30.00	0.6%
Total Stocks				80,027.85		43,031.53		9,973.82	598.84	0.7%
Total Cash Account				80,027.85		43,031.53		9,973.82	598.84	0.7%

Account Activity

Trade Date	Settle Date	Account Type	Transaction	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									$ 268.00
11/28/05	11/28/05	Cash	Div/Int	Interest Credit Payable 11/25/2005			$0.00	$0.01	$268.01

CC 1410741v1

Investment Account
Portfolio Report
report period: 11/26/05 - 12/30/05

account #

Account Activity

Trade Date	Settle Date	Account Type	Transaction	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
11/30/05	11/30/05	Cash	Div/Int	Plum Creek Timber Co Inc Com Payable: 11/30/2005 Ordinary Dividends 38.00	PCL		0.00	38.00	306.01
12/02/05	12/02/05	Cash	Cash Rec'd	Cash Receipt			0.00	200.00	506.01
12/02/05	12/02/05	Cash	Cash Rec'd	Cash Receipt			0.00	100.00	606.01
12/02/05	12/02/05	Cash	Cash Rec'd	Cash Receipt			0.00	100.00	706.01
12/02/05	12/02/05	Cash	Cash Rec'd	Cash Receipt			0.00	100.00	806.01
12/02/05	12/02/05	Cash	Cash Rec'd	Cash Receipt			0.00	300.00	1,106.01
12/02/05	12/02/05	Cash	Cash Rec'd	Cash Receipt			0.00	100.00	1,206.01
12/06/05	12/06/05	Cash	Cash Rec'd	Cash Receipt			0.00	400.00	1,606.01
12/06/05	12/06/05	Cash	Cash Rec'd	Cash Receipt			0.00	300.00	1,906.01
12/08/05	12/13/05	Cash	Buy	Ceradyne Inc Com	CRDN	40	43.34	(1,744.59)	161.42
12/13/05	12/13/05	Cash	Div/Int	Chevron Corp Com Payable: 12/12/2005 Qualified Dividends 11.25	CVX		0.00	11.25	172.67
12/15/05	12/15/05	Cash	Div/Int	Garmin, Ltd Com Payable: 12/15/2005 Qualified Dividends 50.00	GRMN		0.00	50.00	222.67
12/28/05	12/28/05	Cash	Div/Int	Harley Davidson Inc Com Payable: 12/28/2005 Qualified Dividends 9.00	HDI		0.00	9.00	231.67
12/28/05	12/28/05	Cash	Div/Int	Spectralink Corp Com Payable: 12/28/2005 Qualified Dividends 10.00	SLNK		0.00	10.00	241.67
12/29/05	12/29/05	Cash	Div/Int	Berry Pete Co Cl A Payable: 12/29/2005 Qualified Dividends 13.00	BRY		0.00	13.00	254.67
Closing Balance									$254.67

CC 1410741v1

Transactions

5/2/05

CC 14/07/41

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Search site: ex: What is margin trading? Go

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Branch Locator

Trade | Portfolio | Streamer Suite™ | Research | Account -- smalltown | Logout | Help

Mobile friendly version Quotes Status Delayed Express Trading Disabled Thu May 04 2006 1:17:07 PM EDT

Home > Account History > Transactions

Transactions | Statements | Confirmations

Type: Sells

Symbol(s): Find symbol

(separate symbols with commas)

View last: 1 day 7 days 14 days 60 days

View date/range

from: January 1 2005

to: December 31 2005 View or Download

Account Balances

1/1/2005 Cash balance $

12/31/2005 Cash balance $

Net change :

Request Account Documents

Duplicate statement

Duplicate trade confirmation(s)

Duplicate tax document

Annual trade summary

Search results for 1/1/2005 to 12/31/2005

Date/Time	Description	Amount	Net Cash Balance
01/07/2005 09:30:46	Sold 200 LVLT @ 3.10	608.96	---
01/07/2005 09:31:01	Sold 65 WMT @ 54.05	3,502.14	---
01/07/2005 09:48:19	Sold 12 GME B @ 19.70	225.40	---
02/11/2005 09:30:07	Sold 43 SPY @ 119.71	5,136.37	---
02/11/2005 09:30:14	Sold 50 YCC @ 34.18	1,697.95	---
02/11/2005 09:32:32	Sold 30 BKS @ 34.17	1,014.07	---
04/08/2005 08:03:59	Sold 20 HSIC @ 37.50	738.97	---
04/08/2005 09:33:12	Sold 100 AH @ 37.42	3,730.85	---
08/12/2005 09:30:01	Sold 20 HSIC @ 43.00	848.97	---
08/12/2005 09:30:04	Sold 100 C @ 43.35	4,323.82	---
08/12/2005 09:30:35	Sold 500 LU @ 2.90	1,438.94	---
09/02/2005 09:31:13	Sold 20 RYL @ 71.52	1,419.35	---
11/04/2005 09:30:53	Sold 28 RE @ 100.16	2,793.37	---

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Transactions

Page 1 of 2

Trip '05

CC 141074 v1

AMERITRADE

Branch Locator

Search site: ex: What is margin trading? Go

Get Quote(s): ex: MSFT +IBM4T Go

Trade | Portfolio | Streamer Suite™ | Research | Account -- smalltown | Logout | Help

Home > Account History > Transactions

Transactions | Statements | Confirmations

Type: Buys

Symbol(s): Find symbol

(separate symbols with commas)

View last: 1 day 7 days 14 days 60 days

View date/range

from: January 1 2005

to: December 31 2005 View or Download

Account Balances

1/1/2005 Cash balance $

12/31/2005 Cash balance $

Net change $

Request Account Documents

Duplicate statement

Duplicate trade confirmation(s)

Duplicate tax document

Annual trade summary

Search results for 1/1/2005 to 12/31/2005

Date/Time	Description	Amount	Net Cash Balance
01/11/2005 09:30:12	Bought 65 C @ 48.33	-3,152.44	---
01/12/2005 10:54:04	Bought 500 LU @ 3.52	-1,770.99	---
02/14/2005 09:30:21	Bought 50 CERN @ 51.42	-2,581.99	---
02/14/2005 09:30:21	Bought 30 ATK @ 70.00	-2,110.99	---
02/14/2005 09:31:01	Bought 15 UPS @ 75.32	-1,140.79	---
02/14/2005 09:35:36	Bought 50 BRY @ 55.75	-2,798.49	---
03/17/2005 09:30:58	Bought 25 CVX @ 59.60	-1,500.99	---
04/11/2005 08:04:07	Bought 50 CERN @ 55.67	-2,794.49	---
04/18/2005 09:30:17	Bought 25 ATK @ 69.97	-1,760.24	---
07/08/2005 12:20:24	Bought 100 SLNK @ 10.79	-1,089.99	---
07/08/2005 12:22:35	Bought 40 PETD @ 34.31	-1,383.39	---
08/17/2005 09:30:06	Bought 10 GOOG @ 285.51	-2,866.09	---
08/17/2005 09:30:33	Bought 60 PETD @ 37.12	-2,238.19	---
09/09/2005 09:35:54	Bought 100 XTO @ 40.46	-4,056.99	---
10/07/2005 12:15:37	Bought 30 AMHC @ 39.75	-1,203.49	---
11/07/2005 09:30:05	Bought 50 AAPL @ 60.85	-3,053.49	---

Name Samuel B. "Sam" Graves, Jr. Page 12 of 22

CC 1410741v1

11/07/2005 09:32:02	Bought 100 PETS @ 14.00	-1,410.99	---
12/08/2005 09:30:14	Bought 40 CRDN @ 43.34	-1,744.59	---

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651105

Partner# 2

Schedule K-1 (Form 1065)

Department of the Treasury Internal Revenue Service

2005

For calendar year 2005, or tax year beginning _____ ending _____

Final K-1 ☐ Amended K-1 ☐

OMB No 1545-0099

Partner's Share of Income, Deductions, Credits, etc.

▶ See back of form and separate instructions.

Part I Information About the Partnership

A Partnership's employer identification number

B Name, address, and ZIP code

SMALL TOWN INVESTMENT CLUB
ROBERT L. KOCH
25147 190TH STREET
TARKIO MO 64491

C IRS Center where partnership filed return: Ogden, UT

D ☐ Check if this is a publicly traded partnership (PTP)

E ☐ Tax shelter registration number, if any _____

F ☐ Check if Form 8271 is attached

Part II Information About the Partner

G Partner's identifying number: REDACTED

H Partner's name, address, city, state, and ZIP code

SAMUEL BRUCE GRAVES
110 S 10TH
TARKIO MO 64491

☒ General partner or LLC member-manager ☐ Limited partner or other LLC member

I ☒ Domestic partner ☐ Foreign partner

J What type of entity is this partner? Individual

K Partner's share of profit, loss, and capital:

	Beginning	Ending
Profit	11.111000 %	11.111000 %
Loss	11.111000 %	11.111000 %
Capital	11.111000 %	11.106683 %

L Partner's share of liabilities at year end:

Nonrecourse $ _____

Qualified nonrecourse financing $ _____

Recourse $ _____

M Partner's capital account analysis

Beginning capital account $ _____

Capital contributed during the year $ _____

Current year increase (decrease) $ _____

Withdrawals & distributions $ ( )

Ending capital account $ _____

☐ Tax basis ☐ GAAP ☐ Section 704(b) book ☐ Other (explain)

Part III Partner's Share of Current Year Income, Deductions, Credits, and Other Items

1	Ordinary business income (loss)	15	Credits & credit recapture
2	Net rental real estate income (loss)		
3	Other net rental income (loss)	16	Foreign transactions
4	Guaranteed payments		
5	Interest income		
6a	Ordinary dividends: 58		
6b	Qualified dividends: 58		
7	Royalties		
8	Net short-term capital gain (loss): 11		
9a	Net long-term capital gain (loss): 17	17	Alternative minimum tax (AMT) items
9b	Collectibles (28%) gain (loss)		
9c	Unrecaptured section 1250 gain		
10	Net section 1231 gain (loss)	18	Tax-exempt income and nondeductible expenses
11	Other income (loss)		
		19	Distributions
12	Section 179 deduction		
13	Other deductions	20	Other information
		A	58
		B	15
14	Self-employment earnings (loss)		

* See attached statement for additional information.

For IRS Use Only

Name Samuel B. "Sam" Graves, Jr.

Page 14 of 22

CC 1410141

Form **1065** Department of the Treasury Internal Revenue Service

U.S. Return of Partnership Income

For calendar year 2005, or tax year beginning , ending

▶ See separate instructions

OMB No 1545-0099

2005

A Principal business activity: INVESTING

B Principal product or service: INVESTMENT M

C Business code number: 523900

Use the IRS label. Otherwise, print or type.

Name of partnership: SMALL TOWN INVESTMENT CLUB
ROBERT L. KOCH

Number, street, and room or suite no. If a P.O. box, see the instructions: 25147 190TH STREET

City or town, state, and ZIP code: TARKIO MO 64491

D Employer identification number

E Date business started: 2/04/1999

F Total assets (see the instructions) $

G Check applicable boxes: (1) ☐ Initial return (2) ☐ Final return (3) ☐ Name change (4) ☐ Address change (5) ☐ Amended return

H Check accounting method: (1) ☒ Cash (2) ☐ Accrual (3) ☐ Other (specify) ▶

I Number of Schedules K-1. Attach one for each person who was a partner at any time during the tax year ▶ 9

Caution. Include only trade or business income and expenses on lines 1a through 22 below. See the instructions for more information.

Income	1a Gross receipts or sales	1a			
	b Less returns and allowances	1b		1c	
	2 Cost of goods sold (Schedule A, line 8)			2	
	3 Gross profit. Subtract line 2 from line 1c			3	
	4 Ordinary income (loss) from other partnerships, estates, & trusts (attach statement)			4	
	5 Net farm profit (loss) (attach Schedule F (Form 1040))			5	
	6 Net gain (loss) from Form 4797, Part II, line 17 (attach Form 4797)			6	
	7 Other income (loss) (attach statement)			7	
	8 Total income (loss). Combine lines 3 through 7			8	
Deductions (see the instructions for limitations)	9 Salaries and wages (other than to partners) (less employment credits)			9	
	10 Guaranteed payments to partners			10	
	11 Repairs and maintenance			11	
	12 Bad debts			12	
	13 Rent			13	
	14 Taxes and licenses			14	
	15 Interest			15	
	16a Depreciation (if required, attach Form 4562)	16a			
	b Less depreciation reported on Schedule A and elsewhere on return	16b		16c	
	17 Depletion (Do not deduct oil and gas depletion.)			17	
	18 Retirement plans, etc.			18	
	19 Employee benefit programs			19	
	20 Other deductions (attach statement)			20	
	21 Total deductions. Add the amounts shown in the far right column for lines 9 through 20			21	
	22 Ordinary business income (loss). Subtract line 21 from line 8			22	

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than general partner or limited liability company member) is based on all information of which preparer has any knowledge.

▶ Signature of general partner or limited liability company member manager ▶ Date

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer's Use Only

Preparer's signature: Ed Salmond CPA | Date: 2/13/06 | Check if self-employed ▶ ☐ | Preparer's SSN or PTIN: P00403464

Firm's name (or yours if self-employed), address, and ZIP code ▶ Ed Salmond CPA, 512 Main, Tarkio, MO 64491 | EIN ▶ | Phone no. 660-736-5508

For Privacy Act and Paperwork Reduction Act Notice, see separate instructions.

Form 1065 (2005)

Name Samuel B. "Sam" Graves, Jr. Page 15 of 22

Form 1065 (2005) SMALL TOWN INVESTMENT CLUB

Schedule A Cost of Goods Sold (see the instructions)

1	Inventory at beginning of year	1	
2	Purchases less cost of items withdrawn for personal use	2	
3	Cost of labor	3	
4	Additional section 263A costs (attach statement)	4	
5	Other costs (attach statement)	5	
6	Total. Add lines 1 through 5	6	
7	Inventory at end of year	7	
8	Cost of goods sold. Subtract line 7 from line 6. Enter here and on page 1, line 2	8	

9a Check all methods used for valuing closing inventory:
- (i) ☐ Cost as described in Regulations section 1.471-3
- (ii) ☐ Lower of cost or market as described in Regulations section 1.471-4
- (iii) ☐ Other (specify method used and attach explanation) ▶

b Check this box if there was a writedown of "subnormal" goods as described in Regulations section 1.471-2(c) ▶ ☐

c Check this box if the LIFO inventory method was adopted this tax year for any goods (if checked, attach Form 970) ▶ ☐

d Do the rules of section 263A (for property produced or acquired for resale) apply to the partnership? ☐ Yes ☐ No

e Was there any change in determining quantities, cost, or valuations between opening and closing inventory? ☐ Yes ☐ No
If "Yes," attach explanation.

Schedule B Other Information

		Yes	No
1	What type of entity is filing this return? Check the applicable box:		
a	☒ Domestic general partnership b ☐ Domestic limited partnership		
c	☐ Domestic limited liability company d ☐ Domestic limited liability partnership		
e	☐ Foreign partnership f ☐ Other ▶		
2	Are any partners in this partnership also partnerships?		X
3	During the partnership's tax year, did the partnership own any interest in another partnership or in any foreign entity that was disregarded as an entity separate from its owner under Regulations sections 301.7701-2 and 301.7701-3? If yes, see instructions for required attachment		X
4	Did the partnership file Form 8893, Election of Partnership Level Tax Treatment, or an election statement under section 6231(a)(1)(B)(ii) for partnership-level tax treatment, that is in effect for this tax year? See Form 8893 for more details		X
5	Does this partnership meet all three of the following requirements?		
a	The partnership's total receipts for the tax year were less than $250,000;		
b	The partnership's total assets at the end of the tax year were less than $600,000; and		
c	Schedules K-1 are filed with the return and furnished to the partners on or before the due date (including extensions) for the partnership return.		
	If "Yes," the partnership is not required to complete Schedules L, M-1, and M-2; Item F on page 1 of Form 1065; or Item N on Schedule K-1	X	
6	Does this partnership have any foreign partners? If "Yes," the partnership may have to file Forms 8804, 8805 and 8813. See the instructions		X
7	Is this partnership a publicly traded partnership as defined in section 469(k)(2)?		X
8	Has this partnership filed, or is it required to file, a return under section 6111 to provide information on any reportable transaction?		X
9	At any time during calendar year 2005, did the partnership have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶		X
10	During the tax year, did the partnership receive a distribution from, or was it the grantor of, or transferor to, a foreign trust? If "Yes," the partnership may have to file Form 3520. See the instructions		X
11	Was there a distribution of property or a transfer (for example, by sale or death) of a partnership interest during the tax year? If "Yes," you may elect to adjust the basis of the partnership's assets under section 754 by attaching the statement described under Elections Made By the Partnership in the instructions		X
12	Enter the number of Forms 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships, attached to this return ▶		

Designation of Tax Matters Partner (see the instructions)

Enter below the general partner designated as the tax matters partner (TMP) for the tax year of this return:

Name of designated TMP ▶ T BROOKS HURST — Identifying number of TMP ▶ 492-66-9037

Address of designated TMP ▶ 18441 STATE HWY O
TARKIO MO 64491

Name Samuel B. "Sam" Graves, Jr. Page 16 of 22

2

Schedule K Partners' Distributive Share Items

					Total amount
CC 141074 V1	1	Ordinary business income (loss) (page 1, line 22)		1	
	2	Net rental real estate income (loss) (attach Form 8825)		2	
	3a	Other gross rental income (loss)	3a		
	b	Expenses from other rental activities (attach statement)	3b		
	c	Other net rental income (loss). Subtract line 3b from line 3a		3c	
	4	Guaranteed payments		4	
	5	Interest income		5	
Income (Loss)	6	Dividends: a Ordinary dividends		6a	526
		b Qualified dividends	6b 526		
	7	Royalties		7	
	8	Net short-term capital gain (loss) (attach Schedule D (Form 1065))		8	103
	9a	Net long-term capital gain (loss) (attach Schedule D (Form 1065))		9a	157
	b	Collectibles (28%) gain (loss)	9b		
	c	Unrecaptured section 1250 gain (att. stmt.)	9c		
	10	Net section 1231 gain (loss) (attach Form 4797)		10	
	11	Other income (loss) (see instructions) Type ▶		11	
Deductions	12	Section 179 deduction (attach Form 4562)		12	
	13a	Contributions		13a	
	b	Investment interest expense		13b	
	c	Section 59(e)(2) expenditures: (1) Type ▶ (2) Amount ▶		13c(2)	
	d	Other deductions (see instructions) Type ▶		13d	
Self-Employment	14a	Net earnings (loss) from self-employment		14a	
	b	Gross farming or fishing income		14b	
	c	Gross nonfarm income		14c	
Credits & Credit Recapture	15a	Low-income housing credit (section 42(j)(5))		15a	
	b	Low-income housing credit (other)		15b	
	c	Qualified rehabilitation expenditures (rental real estate) (attach Form 3468)		15c	
	d	Other rental real estate credits (see instructions) Type ▶		15d	
	e	Other rental credits (see instructions) Type ▶		15e	
	f	Other credits and credit recapture (see instructions) Type ▶		15f	
Foreign Transactions	16a	Name of country or U.S. possession ▶			
	b	Gross income from all sources		16b	
	c	Gross income sourced at partner level		16c	
		Foreign gross income sourced at partnership level			
	d	Passive ▶ e Listed categories (attach statement) ▶ f General limitation ▶		16f	
		Deductions allocated and apportioned at partner level			
	g	Interest expense ▶ h Other ▶		16h	
		Deductions allocated and apportioned at partnership level to foreign source income			
	i	Passive ▶ j Listed categories (attach statement) ▶ k General limitation ▶		16k	
	l	Total foreign taxes (check one) ▶ Paid ☐ Accrued ☐ ▶		16l	
	m	Reduction in taxes available for credit (attach statement)		16m	
	n	Other foreign tax information (attach statement)			
Alternative Minimum Tax (AMT) Items	17a	Post-1986 depreciation adjustment		17a	
	b	Adjusted gain or loss		17b	
	c	Depletion (other than oil and gas)		17c	
	d	Oil, gas, and geothermal properties-gross income		17d	
	e	Oil, gas, and geothermal properties-deductions		17e	
	f	Other AMT items (attach statement)		17f	
Other Information	18a	Tax-exempt interest income		18a	
	b	Other tax-exempt income		18b	
	c	Nondeductible expenses		18c	
	19a	Distributions of cash and marketable securities		19a	
	b	Distributions of other property		19b	
	20a	Investment income		20a	526
	b	Investment expenses		20b	142
	c	Other items and amounts (attach statement)			

3

Form 1065 (2006) SMALL TOWN INVESTMENT CLUB Page

Analysis of Net Income (Loss)

1 Net income (loss). Combine Schedule K, lines 1 through 11. From the result, subtract the sum of Schedule K, lines 12 through 13d, and 16l — 1: 786

2 Analysis by partner type:	(i) Corporate	(ii) Individual (active)	(iii) Individual (passive)	(iv) Partnership	(v) Exempt organization	(vi) Nominee/Other
a General partners			786			
b Limited partners						

Note: Schedules L, M-1, and M-2 are not required if Question 5 of Schedule B is answered "Yes."

Schedule L Balance Sheets per Books

Assets	Beginning of tax year (a)	Beginning of tax year (b)	End of tax year (c)	End of tax year (d)
1 Cash				
2a Trade notes and accounts receivable				
b Less allowance for bad debts				
3 Inventories				
4 U.S. government obligations				
5 Tax-exempt securities				
6 Other current assets (attach statement)				
7 Mortgage and real estate loans				
8 Other investments (attach statement)				
9a Buildings and other depreciable assets				
b Less accumulated depreciation				
10a Depletable assets				
b Less accumulated depletion				
11 Land (net of any amortization)				
12a Intangible assets (amortizable only)				
b Less accumulated amortization				
13 Other assets (attach statement)				
14 Total assets				
Liabilities and Capital				
15 Accounts payable				
16 Mortgages, notes, bonds payable in less than 1 year				
17 Other current liabilities (attach statement)				
18 All nonrecourse loans				
19 Mortgages, notes, bonds payable in 1 year or more				
20 Other liabilities (attach statement)				
21 Partners' capital accounts				
22 Total liabilities and capital				

Schedule M-1 Reconciliation of Income (Loss) per Books With Income (Loss) per Return

1 Net income (loss) per books		6 Income recorded on books this year not included on Schedule K, lines 1 through 11 (itemize): a Tax-exempt interest $	
2 Income included on Sch. K, lines 1, 2, 3c, 5, 6a, 7, 8, 9a, 10, and 11, not recorded on books this year (itemize)			
3 Guaranteed payments (other than health insurance)		7 Deductions included on Schedule K, lines 1 through 13d, and 16l, not charged against book income this year (itemize): a Depreciation $	
4 Expenses recorded on books this year not included on Schedule K, lines 1 through 13d, and 16l (itemize): a Depreciation $ b Travel and entertainment $		8 Add lines 6 and 7	
		9 Income (loss) (Analysis of Net Income (Loss), line 1). Subtract line 8 from line 5	
5 Add lines 1 through 4			

Schedule M-2 Analysis of Partners' Capital Accounts

1 Balance at beginning of year		6 Distributions: a Cash	
2 Capital contributed: a Cash		b Property	
b Property		7 Other decreases (itemize)	
3 Net income (loss) per books			
4 Other increases (itemize)		8 Add lines 6 and 7	
5 Add lines 1 through 4		9 Balance at end of year. Subtract line 8 from line 5	

Name Samuel B. "Sam" Graves, Jr.

Page 16 of 32

Form 1065 (2006)

CC 1410141

SMALL TOWN INVESTMENT CLUB
Federal Statements

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Page 1

FYE: 12/31/2005

CC 1410141

Statement 1 - Schedule D, Part I, Line 1 - Short-Term Capital Gains and Losses

Description	Date Acq	Date Sold	Sales Price	Cost or Basis	Gain or (Loss)
GAMESTOP CORP	11/02/04	1/07/05	$ 225	$ 255	$ -30
BARNES & NOBLE	3/05/04	2/11/05	1,014	1,053	-39
CITIGROUP INC	1/11/05	8/12/05	2,807	3,152	-345
HENRY SCHEIN CORP	2/28/05	8/12/05	849		849
LUCENT TECH INC	1/12/05	8/20/05	1,439	1,771	-332
Total					$ 103

Statement 2 - Schedule D, Part II, Line 6 - Long-Term Capital Gains and Losses

Description	Date Acq	Date Sold	Sales Price	Cost or Basis	Gain or (Loss)
LEVEL 3 COMMUNICATIONS INC	1/08/02	1/07/05	$ 609	$ 1,078	$ -469
WAL MART STORES COM	Various	1/07/05	3,502	3,642	-140
SPDR TR UNIT SER 1	1/08/04	2/11/05	5,136	6,623	-1,487
YANKEE CANDLE	4/04/03	2/11/05	1,698	919	779
HENRY SCHEIN CORP	4/04/03	4/08/05	739	862	-123
ARMOR HOLDINGS INC	4/02/04	4/08/05	3,731	3,566	165
CITIGROUP INC	7/07/04	8/12/05	1,517	1,594	-77
RYLAND GROUP INC	6/17/03	9/02/05	1,419	791	628
EVEREST REINSURANCE HLDGS	9/11/03	11/04/05	2,794	2,045	749
Total					$ 1,[illegible]

Name Samuel B. "Sam" Graves, Jr.
Page 19 of 22

1-2

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Farmers State Bank, Tarkio, Missouri	Mortgage on Farm 1 (115 acres)	X									
	Farmers State Bank, Tarkio, Missouri	Mortgage on Farm 2 (240 acres)			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
None		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	National Railroad & Maintenance Association, Inc.; Joint NRC/Remsa Conference	January 6-9, 2005	Washington, D.C. to Ft. Lauderdale, FL to Kansas City, MO	Y	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Owner	Sam Graves Farms
Member	Small Town Investment Club

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
2000	State of Missouri Employment Retirement System	State of Missouri Employee Retirement Program as a member of the Missouri General Assembly

GPO 2006 25-628 (mac)

Use additional sheets if more space is required.

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

Alexander Green
(Full Name)

7447 Cambridge, #109 Houston, TX 77054
(Mailing Address)

(202) 225-7508
Daytime Telephone

Filer Status: ☑ Member of the U.S. House of Representatives — State: TX — District: 9th ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Alexander Green	May 15, 2006

SCHEDULE I - EARNED INCOME

Name Alexander Green

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Law Offices of Benjamin L. Hall, III, LL.P	Deferred Compensation Earned for Legal Services Rendered Prior to Entering Congress	$13,553
State of Texas Texas County and Retirement System	Pension	$96,948

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Alexander Green

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Unity National Bank Houston, TX	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
Hibernia National Bank Houston, TX	$100,001 - $250,000	INTEREST	$1,001 - $2,500	
Congressional Federal Credit Union Washington, DC	$50,001 - $100,000	INTEREST	$50,001 - $100,000	
7447 Cambridge, #105 Houston, TX	$100,001 - $250,000	RENT	$5,001 - $15,000	
7447 Cambridge, #107 Houston, TX	$100,001 - $250,000	RENT	$5,001 - $15,000	
7447 Cambridge, #124 Houston, TX	$100,001 - $250,000	RENT	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Alexander Green

	350 G Street, SW, #N208 Washington, DC	$250,001 - $500,000	DC Residence	NONE	
	Morgan Stanley MM Account Houston, TX	$1 - $1,000	CAPITAL GAINS	$1 - $200	
	Morgan Stanley SEP IRA Houston, TX	$15,001 - $50,000	Morgan Stanley Proprietary Fund/Mutual Fund-American Opportunities Fund RETIREMENT	NA	
	Texas County & District Retirement System	$1,000,001 - $5,000,000	Pension	NA/$96,948. (Schedule 1)	
	Harris County, Texas Deferred Compensation Program Houston, TX	$100,001 - $250,000	Self Directed Pension Program: Variable Annuity Mutual Fund-SEI Index Fund S&P 500, American Century Ultra IC	NA	

SCHEDULE V - LIABILITIES

Name Alexander Green

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Bank of America Jacksonville, FL	Washington, DC Residence (350 G Street, SW, #N208 - Washington, DC 20024	$250,001 - $500,000

SCHEDULE IX - AGREEMENTS

Name Alexander Green

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
4/14/2003	Law Offices of Benjamin L. Hall, III, LLP	Deferred Compensation Earned for Legal Services Rendered Prior to Entering Congress
1/31/04	Texas County 7 District Retirement System	Defined Benefit Plan

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

Raymond Eugene 'Gene' Green
(Full Name)

P O Box 16128 Houston, TX 77222-6128
(Mailing Address)

281-999-5879
Daytime Telephone.

Filer Status: ☑ Member of the U.S. House of Representatives — State: TX — District: 29 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Raymond Eugene Green	05/05/06

SCHEDULE I - EARNED INCOME

Name Raymond Eugene 'Gene' Green

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Employees Retirement System	Legislative Pension	$43,000
Teacher Retirement System	Spouse	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Raymond Eugene 'Gene' Green

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Bank of America	$50,001 - $100,000	INTEREST	$201 - $1,000	
JT	Chase Bank (IRA's)	$50,001 - $100,000	INTEREST	NA	
JT	Aldine Teachers' Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Prudential Financial	$100,001 - $250,000	INTEREST & SPOUSE DEFERRED COMP	NA	
JT	Planters Bank (IRA's)	$50,001 - $100,000	INTEREST	NA	
JT	Prudential Financial	$1,001 - $15,000	INTEREST & DIVIDEND	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Raymond Eugene 'Gene' Green

JT	20.049 Acres Rural Land, Montgomery County, TX	$15,001 - $50,000	Other: None	NONE

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Raymond Eugene 'Gene' Green

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Congressional Program of the Aspen Institute	Jan. 9-13	Houston-Puerto Vallarta, Mexico-Houston	Y	Y	Y	N/A
JFK School of Government/The Commonwealth Fund Bipartisan Congressional Health Policy Conference	Jan. 13-15	Houston-Ft. Lauderdale, FL-Houston	Y	Y	Y	N/A
University of California, Berkeley/Instituto Technologico Autonomo de Mexico	Feb. 25-28	Houston-Morelia, Mexico-Houston	Y	Y	Y	N/A
Congressional Study Group on germany/US Assn of Former Members of Congress	Mar 18-24	Wash.,DC-Berlin, Germany-Brussels, Belgium-Frankfurt, Germany-Wash.,DC	Y	Y	Y	N/A
Natl Assn of Broadcasters	Apr. 16-18	Houston-Las Vegas, NV-Houston	Y	Y	Y	N/A

SCHEDULE IX - AGREEMENTS

Name Raymond Eugene 'Gene' Green

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
05/90	International Typographical Union	Pension Upon Retirement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2006 MAY 11 PM 12:43
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Mark Andrew Green
(Full Name)

4369 Touchstone Drive
Oneida, WI, 54155
(Mailing Address)

Daytime Telephone: (920) 437-1954

Filer Status	☒ Member of the U.S. House of Representatives — State: WI District: 08	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/9/06

1722

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Mark Green	Page 3 of 11

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
	XYZ Magazine	Article	Aug. 13, 2005	$500

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Name Mark Green | Page 4 of 1

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
SP, DC, JT	Examples: SP — Mega Corp. Stock	E ($50,001 – $100,000)	DIVIDENDS	V ($2,501 – $5,000)	P
	Simon & Schuster	Indefinite	Other Type of Income (Specify; For Example, Partnership Income or Farm Income): Royalties	I (None)	
	1st Bank of Paducah, KY accounts	F ($100,001 – $250,000)	INTEREST	VI ($5,001 – $15,000)	
	LMG Property Mgmt. and Genius Partnership holding titles to 3 rental properties, Green holds 1/3 interest —				
	• 1825-27 Frank St., Green Bay	E ($50,001 – $100,000)	DIVIDENDS, INTEREST	V ($2,501 – $5,000)	
	• 1790 7th St., Green Bay	E ($50,001 – $100,000)	DIVIDENDS, INTEREST	V ($2,501 – $5,000)	
	• 408-410 Stevens St., " "	E ($50,001 – $100,000)	DIVIDENDS, INTEREST	V ($2,501 – $5,000)	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Mark Green

Page 5 of 11

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	
	Flex Funds Money Market	B	INTEREST	II	
	Thrift Savings Plan	F			
	American Funds–				
	• Europacific Growth	D	DIVIDENDS; CAPITAL GAINS	IV	
	• Growth Fund of America	E	DIVIDENDS; CAPITAL GAINS	IV	
	• Washington Mutual	D	DIVIDENDS; CAPITAL GAINS	III	
	• Capital Income Builder	D	DIVIDENDS; CAPITAL GAINS	IV	
	Gannett	C	DIVIDENDS	II	S
	General Electric	B	DIVIDENDS	II	
	WI Retirement System	D			
	Wis. Deferred Compensation				
	• American Funds Europacific RS	C	DIVIDENDS; INTEREST	II	
	• T Rowe Price Mid Cap Growth Fd	C	DIVIDENDS; INTEREST	II	
	• Fidelity Contrafund	C	DIVIDENDS; INTEREST	II	
	• Vanguard Fund (Institutional)	C	DIVIDENDS; INTEREST	II	
	• Vanguard Wellington Fund	D	DIVIDENDS; INTEREST	II	
	• Stable Value Fund	C	DIVIDENDS; INTEREST	II	

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Mark Green

Page 6 of 11

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Mark's																															
	Rollover IRA, consists of –																															
	• Capital Income Builder			X										X			X						X									
	• Fundamental Invrs.			X										X								X										
	• Growth Fund of America			X										X			X					X										
	VCSP College America Fund $295																															
	• Europacific													X			X						X									
	• Growth Fund													X			X					X										
	• Fundamental Invs.													X			X					X										
	MI State Muni Bond			X												X							X									
	Milwaukee Muni Bond			X																O coupon bond												
	Washoe County NV Muni Bd.			X												X							X									
	Maricopa AZ IDA			X																												
	Southeast WI Prop. Baseball				X															O coupon bond												
	Pacific Life Annuity –																															
	• AIM			X																												
	• Capital Guardian			X																												
	• Capital Research			X																												
	• Goldman Sachs			X																												
	• Janus			X																												
	• Lazard			X																												
	• MFS			X																												
	• Mercury Advisers			X																												

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Mark Green

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
	(Pacific Life Cont.)	A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	•NFJ	C			
	• Oppenheimer Funds	C			
	• PIMCO	D			
	• Pacific Life	C			
	• Salomon Brothers	C			
	• Van Kampen	C			
	Mark's Roth IRA				
	• Capital Income Bldr.	C	DIVIDENDS; CAPITAL GAINS	III	
	• Growth Fund/America	C	DIVIDENDS; CAPITAL GAINS	II	
	Sue's Roth IRA				
	• Capital Income Bldr	C	DIVIDENDS; CAPITAL GAINS	III	
	• Growth Fund/America	C	DIVIDENDS; CAPITAL GAINS	II	
	Capital Income Builder	C	DIVIDENDS; CAPITAL GAINS	III	P
	S. Green IRA Rollover –				
	• Washington Mutual Inv.	C	DIVIDENDS; CAPITAL GAINS	III	
	Coca Cola	B	DIVIDENDS	II	

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Name Mark Green | Page 8 of 11

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
JT	Gannett		X		10/17/05	X									
JT	Capital Income Bldr.	X			10/17/05	X									

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1729

Name Mark Green | Page 9 of 11

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Associated Bank Green Bay	mortgage on 3 rental properties for LMG Property Management				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	LMG - Property Management, a Wisconsin General Partnership

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	—	

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2006 JUN -8 AM 11:24
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Mark Andrew Green
(Full Name)
4369 Touchstone Drive
Oneida, WI 54155
(Mailing Address)
(920) 437-1954
(Daytime Telephone)

Filer Status	☐ Member of the U.S. House of Representatives — State: WI District: 08	☐ Officer or Employee	Employing Office:
Report Type	☐ Annual (May 15)	☒ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	June 8, 2006

1733

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Mark Green

Page 5 of 11

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P. S. E)
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	
	Flex Funds Money Market	B	INTEREST	II	
	Thrift Savings Plan	F			
	American Funds–				
	• Europacific Growth	D	DIVIDENDS; CAPITAL GAINS	IV	
	• Growth Fund of America	E	DIVIDENDS; CAPITAL GAINS	IV	
	• Washington Mutual	D	DIVIDENDS; CAPITAL GAINS	III	
	• Capital Income Builder	D	DIVIDENDS; CAPITAL GAINS	IV	
	Gannett	C	DIVIDENDS	II	S
	General Electric	A	DIVIDENDS	II	
	WI Retirement System	D			
	Wis. Deferred Compensation				
	• American Funds Europacific RS	C	DIVIDENDS; INTEREST	II	E
	• T Rowe Price Mid Cap Growth Fd	C	DIVIDENDS; INTEREST	II	E
	• Fidelity Contrafund	C	DIVIDENDS; INTEREST	II	
	• Vanguard Fund (Institutional)	C	DIVIDENDS; INTEREST	II	
	• Vanguard Wellington Fund	D	DIVIDENDS; INTEREST	II	E
	• Stable Value Fund	C	DIVIDENDS; INTEREST	II	E
	• Janus	D	DIVIDENDS; INTEREST	II	determined by Plan Manager

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Mark Green

Page 6 of 11

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK F Transaction: P S E
	Rollover IRA, consists of																															
	• Capital Income Builder			X										X			X						X									
	• Fundamental Invrs.			X										X								X										
	• Growth Fund of America			X										X			X					X										
	VCSP College America Fund 529																															
	• Europacific													X			X						X									
	• Growth Fund													X			X					X										
	• Fundamental Invs.													X			X					X										
	MI State Muni Bond			X												X							X									
	Milwaukee Muni Bond			X																o Coupon bond												
	Washoe County NV Muni Bd.			X												X							X									
	Maricopa AZ IDA			X																												
	Southeast WI Prop. Baseball				X															o Coupon bond												
	Pacific Life Annuity —																								X	Total Amount of Income Known All Funds Below Reporting						
	• AIM			X																EARNINGS ON ANNUITY CONTRACT												
*	• Captal Guardian			X																												
*	• Capital Research			X																												
	• Goldman Sachs			X																												
	• Janus			X																												
	• Lazard			X																												
	• MFS			X																												
	• Mercury Advisors			X																												

E Automatic Update of Portfolio Manager

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Mark Green

Page 7 of 11

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P S E
	(Pacific Life Cont.)																															
*	• NFJ			X																EARNINGS ON ANNUITY CONTRACT												E Automatic Reinvestment Portfolio Manager
	• Oppenheimer Funds			X																												
	• PIMCO				X																											
	• Pacific Life			X																												
	• Salomon Brothers			X																												
	• Van Kampen			X																												
	Mark's Roth IRA																															
	• Capital Income Bldr.			X										X			X						X									
	• Growth Fund/America			X										X			X					X										
	Sue's Roth IRA																															
	• Capital Income Bldr			X										X			X						X									
	• Growth Fund/America			X										X			X					X										
	Capital Income Builder			X										X			X						X									P
	S. Green IRA Rollover –																															
	• Washington Mutual Invs.			X										X			X						X									
	Coca Cola		X											X								X										

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Name Mark Green | Page 8 of 11

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000	
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X									
JT	Gannett		X		10/17/05	X										
JT	Capital Income Bldr.	X			10/17/05	X										
JT	Janus			X	6/2[illegible]/05		X									by Plan mgr.
JT	Hartman Funds Europacific R5			X	6/28/05	X										rebal ance
JT	T. Rowe Price Mid Cap Growth Fd.			X	6/28/05	X										
JT	Vanguard Wellington Fd.			X	6/28/05		X									
JT	Stable Value Fd.			X	6/28/05	X										
JT	Capital Guardian			X	5/26/05	X										rebal- ancing
JT	Capital Research			X	5/26/05	X										
JT	NFJ			X	5/26/05	X										

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1737

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2006 JUN 13 AM 10: 50
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

Raul Manuel Grijalva
(Full Name)

408 West Ohio Street Tucson, AZ 85714
(Mailing Address)

202.225.2435
Daytime Telephone:

(Office Use Only)

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Filer Status	☑ Member of the U.S. House of Representatives	State: AZ District: 07	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Raul M. Grijalva	6-12-06

1738

SCHEDULE I - EARNED INCOME

Name Raul Manuel Grijalva

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
City of Tucson	Spouse Salary	$22,000
City of Tucson Supplemental Retirement System	Spouse Pension	$16,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Raul Manuel Grijalva

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	3426 S. 9th Avenue Tucson, AZ	$100,001 - $250,000	Rent	$1 - $200	Other
	Arizona State Retirement System	$1,001 - $15,000	INTEREST	$1 - $200	Other
	Public Safety Personnel Retirement System; Phoenix, AZ	$15,001 - $50,000	Interest/INTERES T	$1 - $200	Other
SP	Tucson Supplemental Retirement System; Tucson, AZ	$50,001 - $100,000	INTEREST	$5,001 - $15,000	Other
SP	Fidelity Advisor Mid Cap Fund Class A	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	Other
SP	John Hancock Regional Bank FD Class A	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	Other

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Raul Manuel Grijalva

SP	Washington Mutual Investors Fund Class A	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	Other
SP	Adobe Systems Inc	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	PS
SP	Allianz Value Mark IV	$15,001 - $50,000	Other: (Please specify)	$15,001 - $50,000	Other
SP	10003 E. Country Shadows Dr; Tucson, AZ	$50,001 - $100,000	RENT	$201 - $1,000	Other

SCHEDULE IV - TRANSACTIONS

Name Raul Manuel Grijalva

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Adobe Systems Inc	PS	10/21-12/6/2006	$1,001 - $15,000
SP	Citizens Communications Co	P	8/12/2005	$1,001 - $15,000
SP	Dell, Inc	P	12/9/2005	$1,001 - $15,000
SP	Home Depot, Inc	P	3/15/2005	$1,001 - $15,000
SP	Medicis Pharmaceutical Corp	P	1/18/2005	$1,001 - $15,000
SP	Japan Index Fund	P	12/16/2005	$1,001 - $15,000
SP	Allianz Value Mark IV	Other	12/22/2005	$15,001 - $50,000
SP	10003 E. Country Shadows Dr; Tucson, AZ	P	1/15/2005	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name Raul Manuel Grijalva

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Wright Patman Credit Union	Credit Card	$10,001 - $15,000

FOOTNOTES

Name Raul Manuel Grijalva

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	An annuity	Allianz Value Mark IV

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

4

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2006 MAY -8 PM 12: 09
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Rep. Gil Gutknecht (Full Name)
425 Cannon Office Bldg. (Mailing Address)
Washington, D.C. 20515
202-225-2472 Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: MN District: 1	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Gil Gutknecht	5/08/06

1745

Name Gil Gutknecht | Page 2 of 4

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the Instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples: Mega Corp. Stock					X								X										X								P
DC	Simon & Schuster			Indefinite																Royalties								X				
JT	1st Bank of Paducah, KY accounts						X									X										X						
JT	SCSS stock			X													X				X											
JT	Bell So. stock					X								X										X								
JT	Lucent stock			X													X				X											
JT	Fastenal stock				X									X								X										
JT	Nasdaq 100 stock			X													X				X											
JT	IDLM.OB stock			X													X				X											

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Gil Gutknecht

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Affinity Plus cred union				X											X								X								
JT	Wells Fargo bank				X											X							X									
JT	Sterling Bank			X												X						X										
JT	Garage 305 C STNE Washington D.C.				X										X								X									
JT	Thrivent Financial				X											X							X									
	SC SS stock (IRA)			X													X				X											
	Fastenal (IRA)				X									X								X										
	Templeton Gr. (IRA)				X										reinvested						NA											
	Oppen. Main St. (IRA)			X											reinvested						NA											
	Morgan Stan. money mkt (IRA)			X												X						X										
	MN. State Retire. Def. Comp.			X													X				X											
	MN. State Retire. pension					No		specific							value																	
SP	BKYI (IRA)			X													X				X											
SP	Temp Growth (IRA)			X												reinvested					NA											
SP	TIAA-CREF (retire.)			X													X				X											

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Name Gil Gutknecht | Page 4 of 4

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Congressional institute	Jan 7-9	Travel was at own expense	Y	Y	Y	2
	Heritage Foundation	Feb 3-4	Wash. D.C. - Baltimore Wash. D.C.	Y	Y	N	none
	U.S. Assn of Former Members of Congress	Mar. 18-24	Wash. D.C. - Berlin Wash. D.C.	Y	Y	Y	none

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A For use by Members, officers, and employees

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MC

1749

Ralph M. Hall
(Full Name)

2405 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

202-225-6673
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: TX, District: 4th	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ralph M. Hall	5-11-06

SCHEDULE I - EARNED INCOME

Name Ralph M. Hall

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Texas	Legislative Pension	$54,514

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ralph M. Hall

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Crowley Holding Company Crowley, Texas Banking	$250,001 - $500,000	DIVIDENDS	$15,001 - $50,000	
	Lakeside Bancshares, Inc. Rockwall, Texas Banking	$500,001 - $1,000,000	DIVIDENDS	$15,001 - $50,000	
	Lakeside National Bank Rockwall, Texas Banking	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	North & East Trading Company Rockwall, Texas Real Estate	$250,001 - $500,000	None	NONE	
	HT Development, Inc. Rockwall, Texas Commercial Real Estate	None	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ralph M. Hall

	HT Development LP Rockwall, Texas Commercial Real Estate	$1 - $1,000	RENT	$50,001 - $100,000	
	HT Development LP Rockwall, Texas Sale of Partnership Property	See Above	CAPITAL GAINS	$1,000,001 - $5,000,000	S
	152 Acres Hunt County, Texas Farm	$100,001 - $250,000	None	NONE	
SP	22 Acres Kaufman & Henderson County, Texas Rural Land	$15,001 - $50,000	None	NONE	
	310 E. Capital Street NW, Apt. A, Washington, DC Residence	$100,001 - $250,000	None	NONE	
	Oil Interest Caddo Parrish, La	$1,001 - $15,000	None	NONE	
	4 Acres Sunset Hill Rockwall, Texas Residence	$100,001 - $250,000	None	NONE	
	1500 Sunset Hill Rockwall, Texas Residence	$500,001 - $1,000,000	None	NONE	

SCHEDULE IV - TRANSACTIONS

Name Ralph M. Hall

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	HT Development LP Rockwall, Texas Sale of Commerical Building Owned By Partnership located at 2800 Discovery Blvd, Rockwall, Tx	S	11-30-05	$1,000,001 - $5,000,000

SCHEDULE V - LIABILITIES

Name Ralph M. Hall

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	American Bank Sherman, Texas (paid off at year end)	Crowley Holding Company bank stock loan	$50,001 - $100,000
	American Bank Sherman, Texas (paid off at year end)	Mortgage 4 Acres Rockwall, Texas	$50,001 - $100,000
	North & East Trading Company Rockwall, Texas	Business Borrowings	$100,001 - $250,000

SCHEDULE VIII - POSITIONS

Name Ralph M. Hall

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Chairman & Director (unpaid)	Crowley Holding Company Crowley, Texas
Chairman & Director (unpaid)	Bank of Crowley Crowley, Texas
President & Director (unpaid)	Lakeside Bancshares, Inc. Rockwall, Texas
Chairman & Director (unpaid)	Lakeside National Bank Rockwall, Texas
Chairman & Director (unpaid)	North & East Trading Company Rockwall, Texas
Chairman & Director (unpaid)	Linrock, Inc. Rockwall, Texas
Director & President (unpaid)	HT Development, Inc. Rockwall, Texas
Limited Partner	HT Development, Inc. Rockwall, Texas

63

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

JANE HARMAN
(Full Name)

2321 E ROSECRANS BOULEVARD SUITE 3270 EL SEGUNDO CALIFORNIA 90245 310-643-3636
(Mailing Address) Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives	State: CA District: 36th	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jane Harman	5/11/06

1756

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples.	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	HARMAN INTERNATIONAL INDUSTRIES INC	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source		Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (Dividends; Rent; Interest; Capital Gains; Exempted Trust; Qualified Blind Trust; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP, DC, JT	*Examples.*	SP Mega Corp. Stock	E	Dividends	IV	P
		Simon & Schuster	Indefinite	Royalties	IX	
		1st Bank of Paducah, KY accounts	F	Interest	VI	
	BANK ACCOUNTS:					
	City National Bank					
JT	Beverly Hills CA		F	Interest	IV	
	Citibank					
JT	New York NY		D	Interest	II	
	EQUITIES:					
	Harman International					
SP	Industries-Common		L	Dividends	IX	
	Harman International					
SP	Industries-Options		L		I	Exercised

For additional assets and unearned income, use next page.

1758

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JANE HARMAN

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Putnam Intl Grwth Cl A Fnd						X							X											X						
	Fidelity CA Muni MMF			X										X									X								
DC	Selected American Sh Fund							X						X										X							
SP	Sidney Harman 1987 Rev Tr	SEE SCHEDULE A ATTACHED																													P,S
	Managed Accounts																														
SP	Sidney Harman Charitable	SEE SCHEDULE B ATTACHED																													P,S
	Remainder Trust																														
DC	Goldman Sachs Managed Acct	SEE SCHEDULE C ATTACHED																													P
JT	Jane Harman 25Year Grantor	SEE SCHEDULE D ATTACHED																													P,S
	Income Trust																														
	The Lakes Descendants Trust									X				X		X	X										X				
	(See Attachment 3)																														
DC	The Lakes Descendants Trust							X						X		X	X									X					
	(See Attachment 3)																														
	REAL ESTATE LIMITED																														
	PARTNERSHIPS:																														
SP	JBG Investment Fund I LP									X					X	X	X											X			
	(Holding var interests in																														
	comm'l RE See Attchmnt 8)																														
SP	JBG Investment Fund II LP						X									X	X											X			
	(Holding var interests in																														
	comm'l RE See Attchmnt 9)																														

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JANE HARMAN

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Peabody International RE Partners LLC (Holding var interests in comm'l RE and companies See Attchmnt 10)							X						X	X	X												X			
	INVESTMENT LIMITED PARTNERSHIPS:																														
SP	Arsenal Capital Partners Qualified Purchaser Fund LP (See Attachment 11)								X												X										P
SP	Greenbriar Equity Funds LP (Invests in pvt co's related to transportation industry)							X								X	X										X				P
SP	Patricof Private Investment Club LP (See Attchmnt 1)				X									X		X					X										
SP	Patricof Private Investment Club II LP (See Attchmnt 2)					X										X					X										
SP	Pilgrim America High Income Investment Ltd (See Attchmnt 7)								X							X											X				S
SP	Premier Pacific Vineyards LP (Owns vineyards and wine making facilites in N California and Oregon)							X								X										X					

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JANE HARMAN

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Rhone Partners LP (See Attachment 4)								X					X		X	X				X											
SP	Rhone Partners II LP (See Attachment 5)							X								X													X			P
SP	Selectinvest LP (See Attchmnt 6)										X			X		X	X												X			
SP	Selectinvest Plus LP (See Attachment 6)									X				X		X	X												X			
SP	Taconic Capital Partners LP (Holds var investments and hedged positions)									X				X		X	X												X			
SP	TWP CEO Founders' Circle (QP) LP (Holds var investments in growth companies)							X								X					X											
SP	HJ Books LLC (Book Development)					X															X											
SP	Harman International Ind Inc Retirement Plan (Not self directed) Held at Putnam Investments							X													X											

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1761

SCHEDULE IV — TRANSACTIONS

Name JANE HARMAN

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–05		X								
SP	Sidney Harman 1987 Revocable Trust		SEE SCHEDULE E ATTACHED												
SP	Sidney Harman Charitable Remainder Trust		SEE SCHEDULE F ATTACHED												
DC	Goldman Sachs Managed Account		SEE SCHEDULE G ATTACHED												
JT	Jane Harman 25Year Grantor Income Trust		SEE SCHEDULE H ATTACHED												
SP	Harman International Stock Option Exercise			X	4/27/05									X	
SP	Arsenal Capital Partners	X			1/5/05	X									
SP	Arsenal Capital Partners	X			2/16/05				X						
SP	Arsenal Capital Partners	X			7/13/05	X									
SP	Arsenal Capital Partners	X			9/15/05				X						
SP	Greenbriar Equity Fund LP	X			1/3/05	X									
SP	Greenbriar Equity Fund LP	X			3/29/05				X						
SP	Greenbriar Equity Fund LP	X			6/30/05	X									
SP	Greenbriar Equity Fund LP	X			10/14/05				X						
SP	Greenbriar Equity Fund LP	X			11/23/05		X								
SP	Rhone Partners II LP	X			1/18/05	X									
SP	Rhone Partners II LP	X			6/10/05				X						

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Name JANE HARMAN

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
SP	Rhone Partners II LP	X			12/12/05					X					
SP	Pilgrim America High Income Investment Ltd		X		8/15/05		X								
SP	Pilgrim America High Income Investment Ltd		X		11/15/05				X						

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Jane Harman 25 Year Grantor Income Trust
Trustee	The Lakes Descendants Trusts
Trustee	The Lakes Descendants Non-Exempt Trusts
Trustee	Advisory Board UCLA School of Public Policy and Social Research
Member	Advisory Committee to Dean of Harvard Law School
Vice-President	The Harman Family Foundation

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Smith College (On Leave)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2006 25-628 (mac)

Use additional sheets if more space is required.

JANE HARMAN
Financial Disclosure Statement
December 31, 2005

Disclosure Regarding Limited Partnership Interests and Use of Alternative Valuation Methods:

Two basic methods have been used to determine the value of each partnership interest. These methods differ as a result of the information available at the date of filing.

Method 1

Where market value statements were available, the value was determined by applying the ownership percentage of the reporting individual to the total value of the capital account reserved for limited partners. Method 1 was implemented with regard to Patricof Private Investment Club LP, Patricof Private Investment Club II LP, Rhone Partners LP, Rhone Partners II, Selectinvest LP, Selectinvest Plus LP, Pilgrim America High Income, Lakes Investment LLC and Arsenal Capital Partners Qualified Purchaser Fund LP.

Method 2

In some cases market value financial statements were not available. Accordingly, the ending capital balance for the reporting individual was used to value the partnership interest. This method was applied with regard to Greenbriar Equity Funds LP, HJ Books LLC, JBG Investment Fund I LP, JBG Investment Fund II LP, Peabody International Real Estate Partners LLC, Premier Pacific Vineyards LP, Taconic Capital Partners LP and TWP CEO Founders Circle Fund.

JANE HARMAN
Financial Disclosure Statement
December 31, 2005

Attachment 1 - Patricof Private Investment Club, LP Detail of Investment Positions

Description	Category of Market Value
Marketable Securities:	
Savaria Corporation (fka Concord Elevator)	$1 - $1,000
Workstream Inc	$1 - $1,000
Non-Marketable Securities:	
Hearthstone Assisted Living Inc	$1,001 - $15,000
Peregrine Semiconductor Corporation	$1,001 - $15,000

Attachment 2 - Patricof Private Investment Club II, LP Detail of Investment Positions

Description	Category of Market Value
Marketable Securities:	
RITA Medical	$1,001 - $15,000
Non-Marketable Securities:	
CML Technologies, Inc	$15,001 - $50,000
Freedom Scientific Inc	$1,001 - $15,000
IntraLinks Inc	$1,001 - $15,000
MeshNetworks	$1,001 - $15,000
MILCOM Technologies Inc	$1 - $1,000
National Systems Integrations Inc (fka IntelliSec, Inc)	$1,001 - $15,000
Paladin Holdings Inc	$1 - $1,000
Performance Inc	$1,001 - $15,000
Prometheus	$1,001 - $15,000
Vis.align Inc	$1 - $1,000

JANE HARMAN
Financial Disclosure Statement
December 31, 2005

Attachment 3 – Lakes Descendants Trusts Detail of Investment Positions

Investment positions held at the end of the year in the Lakes Descendants Trusts are as follows:

Lakes Investment LLC

Description	Category of Market Value
General CA Municipal – MMF	$50,001 - $100,000
Equities:	
Berkshire Hathaway Inc	$100,001 - $250,000
DTS Inc	$1,001 - $15,000
Harman International Ind	$250,001 - $500,000
Tweeter Home Entertainment Grp Inc	$15,001 - $50,000
Fidelity Investment Trust	$250,001 - $500,000
Partnerships:	
Castle Advisors Hedge Fund	$1,000,001 - $5,000,000
Capital Q Restaurants LLC	$50,001 - $100,000

JANE HARMAN
Financial Disclosure Statement
December 31, 2005

Attachment 3 – Lakes Descendants Trusts Detail of Investment Positions (Continued)

Description	Category of Market Value
California Tax Exempt Municipal Bonds:	
CA State G/O 10/1/92	$1,001 - $15,000
CA State Var Purp TCRS	$1,001 - $15,000
CA State Pub Wks Brd Lse 4/1/96	$1,001 - $15,000
CA State Pub Wks Brd Lse 8/1/93	$1,001 - $15,000
CA Statewide Cmmntys Dev 2/1/01	$15,001 - $50,000
CA Statewide Cmmntys Dev 3/9/04	$100,001 - $250,000
Chico CA Pub Fin Auth Tax	$1,001 - $15,000
Coast Cmnty College Dist	$1,001 - $15,000
Corona-Norco CA Uni Sch Dist	$1,001 - $15,000
Culver City CA GO USD	$1,001 - $15,000
Kern CO CA Brd Ed CTFS	$1,001 - $15,000
Lemoore CA Uni High Sch Dist RFDG	$15,001 - $50,000
Loomis CA Un Sch Dist G/O	$15,001 - $50,000
LA CA USD	$1,001 - $15,000
LA CO CA Met Transn Sls Tx	$15,001 - $50,000
LA CO CA Pub Wrks Fin Auth Lse	$1,001 - $15,000
Metropolitan WTR Dist So CA Wtrwks	$1,001 - $15,000
Montebello CA Cmnty Redev Agy 6/1/97	$1,001 - $15,000
Montebello CA Cmnty Redev Agy 6/1/97	$15,001 - $50,000
Nevada Irr Dist CA CTFS	$1,001 - $15,000
New Haven CA USD	$250,001 - $500,000
Parlier CA USD Ser B	$1,001 - $15,000
Pleasanton CA USD Ser J	$1,001 - $15,000
Pleasanton CA USD Ser K	$1,001 - $15,000
Port Oakland CA Port Rev	$1,001 - $15,000
Porterville CA CRFS Partn Swr Sys	$1,001 - $15,000
Sacramento CA MUD Elec Rev	$1,001 - $15,000
Saddleback Vy USD	$1,001 - $15,000
San Francisco CA City & CO Intl Arprt Ser 10A	$1,001 - $15,000
San Rafael CA Redev Agy Tax	$50,001 - $100,000
Santa Rosa CA Hosp Rev	$1,001 - $15,000
Turlock CA Irr Dist Rev	$1,001 - $15,000
Vallejo CA Rev Ref Str Impt	$15,001 - $50,000
Wheeler Ridge-Maricopa CA Wtr Storage	$15,001 - $50,000

JANE HARMAN
Financial Disclosure Statement
December 31, 2005

Attachment 4 – Rhone Partners, LP Detail of Investment Positions

Description	Category of Market Value
Primus International	$250,001 - $500,000
Home Fragrance Holdings Inc	$50,001 - $100,000
De Dietrich	$1,001 - $15,000

Attachment 5 – Rhone Partners II, LP Detail of Investment Positions

Description	Category of Market Value
Fraikin Goupe (FR)	$100,001 - $250,000
Chelsea Stores (UK)	$50,001 - $100,000
Almatis Capital Holdings S.a.r.l. (Lux)	$50,001 - $100,000
Rexair LLC (USA)	$100,001 - $250,000
Intl Retail Holdings SpA (Italy)	$100,001 - $250,000
Intl Sailing Boats Sri (Italy)	$100,001 - $250,000

JANE HARMAN
Financial Disclosure Statement
December 31, 2005

Attachment 6 – Selectinvest LP & Selectinvest Plus LP Detail of Investment Positions

Description	Category of Market Value
Multi-Strategy	
Amaranth	$250,001 - $500,000
DE Shaw Comp	$250,001 - $500,000
Citadel	$250,001 - $500,000
Event Driven Multi Strategy	
OZ Europe	$100,001 - $250,000
Frarallon	$250,001 - $500,000
Canyon Value	$250,001 - $500,000
Brencourt MS	$100,001 - $250,000
OZ Master	$250,001 - $500,000
Davisdon Kempner	$100,001 - $250,000
Castlerigg	$250,001 - $500,000
Centaurus	$100,001 - $250,000
Satellite	$100,001 - $250,000
Perry	$250,001 - $500,000
Event Driven Equity	
Atticus European	$250,001 - $500,000
Third Point	$250,001 - $500,000
Event Driven Credit	
Cerberus	$100,001 - $250,000
Marathon Special Opps	$50,001 - $100,000
Silver Point	$100,001 - $250,000
King Street	$100,001 - $250,000
Triage	$100,001 - $250,000

JANE HARMAN
Financial Disclosure Statement
December 31, 2005

Attachment 6 – Selectinvest LP & Selectinvest Plus LP Detail of Investment Positions (Continued)

Description	Category of Market Value
Fixed Income	
Trading (AAA & Higher)	
Highland	$50,001 - $100,000
Endeavour	$100,001 - $250,000
Drake Low Vol	$100,001 - $250,000
Methodology	$100,001 - $250,000
Credit Trading	
Golden Tree HY	$100,001 - $250,000
Macro Driven	
Graham Fed Policy	$100,001 - $250,000
Bluecrest	$100,001 - $250,000
Opportunistic & Other	
Marathon Real Estate	$100,001 - $250,000
Long Horizons	$250,001 - $500,000
Ascot	$250,001 - $500,000
Other	$50,001 - $100,000

Attachment 7 – Pilgrim America High Income Detail of Investment Position

Description	Category of Market Value
Capital Note due 2009	$250,001 - $500,000

JANE HARMAN
Financial Disclosure Statement
December 31, 2005

Attachment 8 – JBG Investment Fund I LP Detail of Holdings

Various interests in real estate properties held are as follows:

Office Properties-
- DOT Headquarters, Washington DC
- Silver Spring (M&S) Office, Silver Springs MD
- Blue Capital JV, Montgomery Co, MD
- Reston Office, Fairfax Co, VA

Residential Properties-
- 1210 Mass Ave, NW Development, Washington DC
- 2400 M Street, NW, Washington DC
- 1111 25th Street, Washington DC
- 915 E Street NW, Washington DC
- Silver Spring (AT&T), Silver Spring MD
- Silver Spring (M&S) – Residential, Silver Spring MD
- Reston Square Residential, Reston VA
- 555 Mass Avenue, NW (Res), Washington DC
- 1700 Duke Street, Alexandria VA
- Market Square (Kodak & TA Site), Gaithersburg MD
- Woodmont, Montgomery Co MD

Mixed Use Properties-
- Waterview, Arlington VA
- Twinbrook Metro Park, Montgomery Co MD
- Central Place, Arlington VA

Hotel Properties-
- Hampton Inn, Washington DC

Jane Harman
Financial Disclosure Statement
December 31, 2005

Attachment 9 – JBG Investment Fund II LP Detail of Holdings

Various interests in real estate properties held are as follow:

Office Properties-
Rosslyn Gateway, Arlington Co VA
Woodglen, Montgomery Co MD
Blue Capital JV, Montgomery Co, MD

Residential Properties-
Hickory Place, Prince Georges Co MD
Mallard Courts, Alexandria VA

Attachment 10 – Peabody International Real Estate Private Partners LLC Detail of Holdings

Various investments in commercial real estate properties and companies located outside the United States as follows:

Investment	Type	Location
HPE Property Holding GmbH	Commercial/Residential Developer	Germany
Madrid Palace	Residential Conversion	Spain
Alitalia Headquarters	Office Building Developer	Italy
Inversiones y Representaciones SA IRSA	Diversified Operator/Developer	Argentina

Jane Harman
Financial Disclosure Statement
December 31, 2005

Attachment 10 – Peabody International Real Estate Private Partners LLC Detail of Holdings (Continued)

Investment	Type	Location
Italian Retail Malls and Shops	Retail Properties	Italy
Peabody Cutlers Gardens LP Cutler Gardens Estate	Office Properties	England
PI France 1 Sarl Diamonds Portfolio	Office Properties	France
Levallois-Perret Golden Crescent	Office Properties	France
Paris Office Boetie	Office Properties	France
Tubeuf rue Vivienne	Office Building	France
QED/Industrial	Distribution Light Industrial Park	England

Jane Harman
Financial Disclosure Statement
December 31, 2005

Attachment 11 – Arsenal Capital Partners Qualified Purchaser Fund LP Detail Of Investment Positions

Description	Category of Market Value
Interdynamics Holdings Inc	$25,001 - $50,000
Renaissance Mark Holdings Corp	$50,001 - $100,000
Rutherford Chemical Holdings Corp	$100,001 - $250,000
TallyGenicom Holdings LLC	$50,001 - $100,000
Scientific Protein Laboratories LLC	$15,001 - $50,000
Priority Air Express	$50,001 - $100,000
Sermatech Intl Holdings Corp	$50,001 - $100,000
Velsicol Holdings Corp	$15,001 - $50,000
Reilly Industries Holdings Corp	$100,001 - $250,000

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Tmsctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	*Goldman Sachs - Brokerage Account*																															
SP	Goldman Sachs ST Durat Govt							X						X											X							
SP	Goldman Sachs Ultra-ST Durat Govt								X					X												X						P
SP	Goldman Sachs Grp 0% 6/5/09 Nikkei Bffrd							X													X											
SP	Goldman Sachs Core Fixed Inc Mutual Fnd								X					X												X						
SP	Goldman Sachs High Yld Muni Fnd							X						X												X						P
SP	Goldman Sachs Financial Sq TE MMF									X				X										X								
SP	Goldman Sachs Institutional Liq Asset TE MMA					X								X												X						
SP	Apple Computer								X												X											
SP	McGraw Hill Cos Inc				X									X									X									
SP	Stanley Works				X									X									X									
SP	AB Svensk Exportkredit 0% 1/4/07	X															X											X				P,S
SP	EXPT 0% 2/22/07									X											X											P
SP	PARS- Ill Hlth Facs 8/15/21								X							X							X									P
SP	PARS-Reno NV 6/1/32								X							X							X									P
SP	PARS-IN St Fin Auth 2/1/35									X						X							X									P
SP	PARS-Mercer N Dakota 9/1/28								X							X							X									P
SP	PARS-Montgomery Ohio Co 11/15/33								X							X							X									P
SP	PARS-N Mexico 2/1/34								X							X							X									P
SP	PARS-N Carolina Mun Pwr 1/1/18							X								X							X									P
SP	PARS-Tarrant Co TX Hlth 12/1/30								X							X							X									P
SP	Pennsylvania Indl Dev Auth 1/1/14								X							X							X									P
SP	*Goldman Sachs - Boston Company Account*																															
SP	Goldman Sachs Institutional Liq Asset TE MMA								X					X											X							
SP	ABN Amro Hldg NV ADR				X									X										X								P
SP	Argon NV Amer Reg ADR				X									X										X								
SP	Aeon Co Ltd ADR					X											X								X							P,S
SP	Alfot Corporation ADR				X																X											P
SP	Ajinomoto Inc ADR			X																	X											P
SP	Aktiebolaget Electrolux ADR	X												X			X								X							S
SP	Allianz Aktiengesellschaft ADR				X									X			X							X								P,S
SP	Alps Electric Ltd ADR			X										X			X								X							S
SP	Amcor Ltd ADR					X								X											X							P
SP	Ashai Kasei Corp					X								X									X									P
SP	BAA PLC Spon ADR				X									X										X								P
SP	BAE Systems PLC ADR				X									X			X								X							S
SP	Banco Santander Central Hispano SA ADR			X																	X											P
SP	Bank of East Asia Ltd ADR				X									X										X								P
SP	Bank Fukuoka Ltd ADR	X												X			X									X						P,S
SP	Bank of Ireland Spon ADR					X								X			X								X							S
SP	Barclays PLC ADR				X									X			X							X								P,S
SP	Benetton Group Spa ADR	X												X										X								P,S

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Trnsctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	BNP Paribas ADR					X								X			X										X					P,S
SP	BOC Group PLC ADR	X												X			X									X						S
SP	Boots Group PLC ADR	X												X									X									P,S
SP	BT Group PLC ADR				X									X			X								X							S
SP	Bunzl PLC Spon ADR	X												X			X										X					S
SP	Canon Inc ADR					X								X									X									
SP	Centrica PLC ADR				X									X										X								P
SP	China Mobile Hong Kong ADR	X												X			X									X						S
SP	CIBA Specialty Chemicals ADR	X												X									X									P,S
SP	Citic Pacific Ltd ADR			X										X			X							X								P,S
SP	Danske BK ADR	X												X			X									X						S
SP	DBS Group Hldgs ADR					X								X											X							
SP	Deutsche Bank AG					X											X									X						P,S
SP	Deutsche Lufthansa AG ADR					X								X			X							X								P,S
SP	DSM NV ADR	X															X								X							S
SP	E.On E AG ADR				X									X			X									X						S
SP	Endesa SA ADR				X									X			X										X					S
SP	Energias/Electricidade De Portugal SA ADR				X									X										X								P
SP	ENI SPA ADR					X								X											X							
SP	Filtrona PLC (Bunzl spin-off))	X															X							X								S
SP	Fortis NL ADR				X									X			X									X						S
SP	France Telecom SA ADR	X												X			X								X							P,S
SP	Fuji Heavy Ind Ltd ADR				X									X			X									X						P,S
SP	Fuji Photo ADR					X								X									X									P
SP	Funai Electric Co Ltd ADR					X								X								X										P,S
SP	GKN PLC ADR				X									X			X									X						S
SP	Glaxosmithkline PLC ADR					X								X										X								S
SP	Hachijuni Bank Ltd ADR	X															X							X								S
SP	Hannover Rueckversicherungs Corp ADR				X																X											P
SP	Honda Motor LTD	X															X										X					S
SP	HSBC Holdings PLC ADR				X									X									X									P
SP	Ishares MSCI EAFE Index Fund								X					X												X						P
SP	J Sainsbury PLC ADR				X									X									X									
SP	KAO Corp ADR					X								X										X								P
SP	Koninklijke Philips Electrs NV ADR					X								X									X									P
SP	Korea Electric Power ADR				X									X			X									X						S
SP	KT Corporation ADR				X									X										X								
SP	Lafarge SA ADR					X															X											P
SP	Lloyds TSB Group PLC ADR	X												X			X									X						S
SP	Marks & Spencer PLC ADR				X									X			X									X						P,S
SP	Minebea Co Ltd ADR					X								X										X								S
SP	Mitsubishi UFJ Fint Group Inc ADR					X								X			X									X						P,S

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Trnsctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	National Australia Bank Limited ADR			X										X			X									X						S
SP	Nedbank (Nedcor Ltd) Group ADR			X										X									X									S
SP	Nestle Sa ADR			X										X			X									X						S
SP	Nippon Teleg & Tel ADR	X												X			X									X						S
SP	Nokia Corp ADR			X										X			X							X								S
SP	Novartis AG ADR					X								X			X									X						S
SP	NTT Docomo Inc ADR			X																	X											P
SP	Orix Corporation ADR			X													X									X						P,S
SP	Olympus Corporation ADR	X															X									X						S
SP	Reuters PLC ADR		X											X			X							X								P,S
SP	Ricoh Co Ltd ADR			X																	X											P
SP	Sao Paulo IMIS PA ADR	X															X									X						S
SP	Sanofi-Aventis ADR					X								X			X									X						P,S
SP	Schering AG ADR		X																		X											P
SP	Scottish Power PLC ADR	X												X			X							X								S
SP	Sekisui Homes Ltd ADR					X								X			X							X								P,S
SP	Shinsei Bank Ltd Tokyo ADR			X																	X											P
SP	Shiseido Co Ltd ADR	X															X									X						S
SP	SK Telecom Co Ltd ADR			X																	X											P
SP	Sumitomo Mitsui Fnl Grp ADR						X							X			X										X					P,S
SP	Svenska Cellulosa AB ADR	X												X										X								P,S
SP	Swiss Reinsurance ADR					X								X									X									P
SP	TDK Corporation ADR	X												X			X									X						S
SP	Telecomunicacoes Brasileiras SA ADR			X										X										X								
SP	Telefonos De Mexico SA ADR			X										X										X								
SP	Thomson SA ADR					X											X										X					P,S
SP	Toto Ltd ADR					X								X									X									P
SP	Toyota Motor Corp ADR	X												X			X										X					P,S
SP	UBS AG					X								X			X									X						P,S
SP	Unilever PLC ADR			X										X			X							X								P,S
SP	United Microelectronics ADR	X												X								X										S
SP	United Overseas Bk Ltd ADR			X										X										X								S
SP	UPM Kymmene Corp			X										X			X									X						S
SP	Valeo ADR					X								X										X								P
SP	VNU ADR			X										X			X							X								P,S
SP	Vodafone Group PLC ADR					X								X										X								P
SP	Volkswagon AG ADR			X										X			X										X					S
SP	Wolters Kluwer ADR			X										X			X							X								S
SP	Zurich Fincl Svcs ADR	X															X									X						S
SP	JP Morgan Cash Management Fund								X					X												X						

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

BLOCK A		BLOCK B											BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset											Type of Income							Amount of Income											Trnscn
		A None	B $ - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	*Goldman Sachs - Brokerage Account*																														
SP	Goldman Sachs Inst Lt Asset Mny Mkt						X						X										X								
SP	Goldman Sachs Grwth Opp Class A			X												X												X			S
SP	AB Svensk Exportkredit MTN 7/15/05	X														X											X				S
SP	AB Svensk Exportkredit 2/28/07									X										X											
SP	*Goldman Sachs - Restricted Account*																														
SP	Goldman Sachs Inst Lt Asset Mny Mkt			X																X											
SP	Harman International Industries Cmn											X	X													X					
SP	*Goldman Sachs - Boston Account*																														
SP	Goldman Sachs Inst Lt Asset Mny Mkt						X						X											X							
SP	ABN Amro Hlds NV ADR			X									X			X							X								P,S
SP	Aegon Nv ADR			X									X			X							X								S
SP	Aeon Co Ltd ADR			X												X								X							P,S
SP	Aiful Corporation ADR			X																X											P
SP	Ajinomoto Inc ADR			X																X											P
SP	Allianz Aktiengesellschaft ADR			X												X						X									P,S
SP	Aktiebolaget Electrolux ADR	X											X			X							X								S
SP	Alps Electric Ltd ADR			X												X							X								S
SP	Amcor Ltd ADR			X									X									X									P,S
SP	Ashai Kasei Corp ADR			X									X									X									P,S
SP	BAA PLC ADR			X									X			X							X								P,S
SP	BAE Systems PLC ADR			X									X			X									X						S
SP	Banco Santander Central Hispano ADR			X																X											P
SP	Bank Fukuoka Ltd ADR	X											X			X									X						P,S
SP	Bank of East Asia Ltd ADR			X									X			X							X								P,S
SP	Bank of Ireland ADR				X								X			X									X						S
SP	Barclays PLC ADR			X									X			X							X								P,S
SP	Benetton Group Spa ADR			X									X									X									P,S
SP	BNP Paribas ADR				X								X			X									X						P,S
SP	BOC Group PLC ADR	X											X			X								X							S
SP	Boots Group PLC ADR			X									X									X									P,S
SP	BT Group PLC ADR			X									X			X							X								S
SP	Bunzl PLC ADR	X											X			X								X							S
SP	Canon Inc ADR				X								X			X								X							P,S
SP	Centrica PLC ADR			X									X			X							X								P,S
SP	China Mobile Hong Kong Ltd ADR	X											X			X								X							S
SP	Ciba Specialty Chemicals ADR				X															X											P,S
SP	Citic Pacific Ltd ADR			X												X						X									P,S
SP	Coca Cola Femsa ADR			X																X											P,S
SP	Danske Bank ADR	X											X			X							X								S
SP	DBS Group Hldgs ADR				X								X			X								X							S
SP	Deutsche Bank AG			X												X								X							P,S

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Trnsctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	Deutsche Lufthansa AG ADR			X										X									X									P,S
SP	Deutsche Telekom ADR			X																	X											P,S
SP	DSM NV ADR	X															X							X								S
SP	E.On AG ADR			X										X			X								X							S
SP	Endesa SA ADR			X										X			X									X						S
SP	Energias/Electricidade De Portugal SA ADR			X										X									X									P,S
SP	ENI SPA ADR			X										X			X								X							S
SP	Filtrona PLC ADR (Bunzl Spinoff)	X															X						X									S
SP	Fortis NL ADR			X										X			X								X							S
SP	France Telecom SA ADR				X									X			X							X								P,S
SP	Fuji Heavy Ind Ltd ADR			X										X			X							X								P,S
SP	Fuji Photo ADR				X												X						X									P,S
SP	Fonal Electric Co Ltd ADR			X																	X											P,S
SP	GKN PLC ADR			X										X			X								X							S
SP	Glaxosmithkline PLC ADR				X									X			X								X							S
SP	Hachijuni Bank Ltd ADR	X															X								X							S
SP	Hannover Ruckvesigeberungs Corp ADR			X																	X											P,S
SP	Honda Mtr Ltd ADR	X															X									X						S
SP	HSBC Holdings PLC ADR			X																	X											P,S
SP	Infineon Technologies ADR			X																	X											P,S
SP	J Sainsbury PLC ADR			X										X									X									S
SP	KAO Corp ADR				X									X			X						X									P,S
SP	Koninklijke Philips Electrs NV ADR			X										X			X							X								P,S
SP	Korea Electric Pwr Corp ADR			X										X			X								X							S
SP	KT Corporation ADR			X										X			X						X									S
SP	Lafarge SA ADR			X																	X											P,S
SP	Lloyds TSB Group PLC ADR	X												X			X							X								S
SP	Marks & Spencer PLC ADR			X										X			X								X							P,S
SP	Minebea Co Ltd ADR			X																	X											S
SP	Mitsubishi UFJ Fini Group Inc ADR			X													X							X								P,S
SP	National Australia Bank Ltd ADR			X										X			X								X							S
SP	Nedbank Group Ltd (Nedcor Ltd) ADR			X																	X											S
SP	Nestle SA ADR			X										X			X								X							S
SP	Nippon Teleg & Tel ADR	X												X			X							X								S
SP	Nissan Motor Co Ltd ADR				X																X											P,S
SP	Nokia Corp ADR			X										X									X									S
SP	Novartis AG ADR			X										X			X								X							S
SP	NTT Docomo Inc ADR			X																	X											P,S
SP	Olympus Corporation ADR	X															X								X							S
SP	Orix Corporation ADR			X													X								X							P,S
SP	Rexam PLC ADR			X										X			X							X								P,S
SP	Ricoh Co Ltd ADR			X																	X											P,S

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

BLOCK A		BLOCK B												BLOCK C						BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income						Amount of Income											Trnsctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	San Paolo IMI S PA ADR	X														X								X							S
SP	Sanofi-Aventis ADR				X									X		X									X						P,S
SP	Schering AG ADR			X																X											P
SP	Scottish Power PLC ADR	X														X						X									S
SP	Sekisui Homes Ltd				X									X		X								X							P,S
SP	Shinsei Bank Ltd Tokyo ADR			X																X											P
SP	Shiseido Co Ltd ADR	X														X							X								S
SP	SK Telecom Co Ltd ADR			X																X											P,S
SP	Sumitomo Mitsui Fini Group Inc ADR				X											X									X						P,S
SP	Svenska Cellulosa ADR				X									X								X									P,S
SP	Swiss Reinsurance ADR			X										X								X									P,S
SP	TDK Corporation ADR	X														X								X							S
SP	Telecomunicacoes Brasileiras SA ADR			X										X		X							X								S
SP	Telefonos De Mexico SA ADR			X										X		X							X								S
SP	Thomson SA ADR			X												X								X							P,S
SP	Toto Ltd ADR			X																X											P,S
SP	Toyota Motor Corp ADR	X												X		X									X						P,S
SP	UBS AG			X										X		X								X							P,S
SP	Unilever PLC ADR			X										X		X							X								P,S
SP	United Microelectronics ADR			X																X											S
SP	United Overseas Bk Ltd ADR			X										X								X									S
SP	UPM-Kymmene Corp ADR			X										X		X								X							S
SP	Valeo ADR			X										X		X								X							P,S
SP	Vnu NV ADR			X												X						X									P,S
SP	Vodafone Group PLC ADR			X										X		X						X									P,S
SP	Volkswagen AG ADR			X										X		X								X							S
SP	Wolters Kluwer ADR			X										X		X							X								S
SP	Zurich Financial Services ADR	X														X								X							S
SP	*Goldman Sachs - Sound Shore Account*																														
SP	Goldman Sachs Inst Ll Asset Mny Mkt					X								X										X							
SP	AES Corp				X															X											P
SP	Aetna Inc				X											X										X					P,S
SP	Agilent Technologies Inc				X											X									X						P,S
SP	AMBAC Financial Group Inc	X																		X											S
SP	AON Corporation				X									X		X								X							P,S
SP	Baxter International Inc				X									X		X								X							S
SP	Berkshire Hathaway Inc Class B				X															X											S
SP	Boston Scientific Corp				X															X											P
SP	Centurytel Inc	X														X									X						S
SP	Chiron Corp	X														X									X						P,S
SP	Chubb Corp				X											X									X						P,S
SP	Cigna Corp				X									X		X									X						P,S

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

BLOCK A		BLOCK B												BLOCK C						BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income						Amount of Income											Trnsctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,0	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	Comerica Inc	X												X								X									S
SP	CSX Corp				X									X			X							X							P,S
SP	Dana Corporation Inc	X												X								X									S
SP	Diebold Incorporated				X															X											P
SP	Directv Group Inc				X															X											P,S
SP	Flextronics International Ltd				X															X											P,S
SP	Freddie Mac Com	X															X						X								S
SP	Freescale Semiconductor Inc				X												X						X								P,S
SP	Genworth Financial Inc				X									X			X							X							P,S
SP	Georgia-Pacific Corp	X												X			X								X						P,S
SP	Hewlett-Packard Co				X									X			X							X							P,S
SP	Honda Mtr Ltd ADR				X									X			X							X							P,S
SP	Interpublic Group Cos				X															X											P,S
SP	L-3 Communications Hldgs Inc	X															X					X									S
SP	Laboratory Corporation of Amer				X												X							X							P,S
SP	Liberty Media Corp				X												X					X									P,S
SP	Lyondell Chemical Company				X									X								X									P,S
SP	Marsh & McLennan Co Inc				X															X											P
SP	McDonalds Corp				X									X			X							X							P,S
SP	Morgan Stanley				X															X											P
SP	Pfizer Inc				X															X											P
SP	Southwest Airlines Co	X															X					X									P,S
SP	SPX Corporation	X												X								X									S
SP	Symantec Corp				X												X					X									P,S
SP	Texas Instruments Inc	X															X													X	P,S
SP	The Mosaic Company			X																X											P
SP	Thermo Electron Corp				X															X											P,S
SP	Time Warner Inc				X									X			X						X								P,S
SP	Tyco International Ltd				X									X								X									P,S
SP	Unumprovident Corporation				X									X			X							X							P,S
SP	US Bancorp				X									X			X						X								P,S
SP	Unilever NV Ny Shs				X									X								X									P
SP	Waste Management Inc				X									X									X								P,S
SP	Watson Pharmaceuticals Inc				X															X											P,S

JANE HARMAN
SCHEDULE III ATTACHMENT
GOLDMAN SACHS - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Tmscm
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
DC	GS Emerging Markets Equity Cl A			X										X								X										
DC	GS Growth Opportunities Class A			X										X								X										P
DC	GS Institutional Lt Assets TE			X										X								X										
DC	GS Japanese Equity Cl A			X										X								X										
DC	GS Large Cap Value Cl A			X										X								X										P
DC	GS Real Estate Cl A			X										X								X										
DC	GS International Small Cap Cl A			X										X							X											P

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C								BLOCK D												
Asset and/or Income Source		Value of Asset												Type of Income								Amount of Income												
		A	B	C	D	E	F	G	H	I	J	K	L									I	II	III	IV	V	VI	VII	VIII	IX	X	XI		
		None	$1 - $1,000	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	None	$1 - $200	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	$1,000,001 - $5,000,000	Over $5,000,000		
JT	*Partnerships Held:*																																	
JT	Blum Strategic Partners II LP									X				X		X	X													X			P	
JT	Goldman Sachs Hedge Fnd Prtnrs LLC	X												X		X	X													X			S	
JT	Goldman Sachs Strtge Eur Prtnrs LP									X				X		X	X													X				
JT	JBG/Fund III Investor LLC							X								X	X													X			P	
JT	Pope Resources LP					X								X		X	X									X							P	
	Bear Stearns Account																																	
JT	Reserve Fund Primary Fund				X									X										X										
JT	ABN Amro Holding NV				X									X											X								P	
JT	Aegon NV ADR				X									X										X									P	
JT	Astrazeneca PLC				X									X										X									P	
JT	AXA-UAP-ADR				X									X										X									P	
JT	Barclays PLC ADR				X									X											X								P	
JT	Cadbury Schweppes PLC ADR				X									X										X									P	
JT	Canon Inc ADR				X									X										X									P	
JT	Danske Bank				X									X											X								P	
JT	Endesa SA ADR	X												X			X									X							P,S	
JT	ENI SPA ADR				X									X											X								P	
JT	Glaxosmithkline PLC ADR				X									X										X									P	
JT	HSBC Holdings PLC				X									X			X								X								P,S	
JT	ING Group NV ADR				X									X											X								P	
JT	Ireland Bank ADR				X																X												P	
JT	Ishares Trust						X							X											X								P	
JT	KAO Corp ADR				X									X										X									P	
JT	Lloyds TSB Group PLC ADR	X												X			X								X								P,S	
JT	Nestle SA ADR				X									X										X									P	
JT	Nokia Corp ADR				X									X										X									P	
JT	Novartis AG ADR				X									X										X									P	
JT	Novo Nordisk ADR			X										X								X											P	
JT	Portugal Telecom ADR				X																X												P	
JT	San Paolo-Imi SPA ADR	X												X			X								X								S	
JT	Sanofi Aventis ADR				X									X										X									P	
JT	Siemens AG ADR				X									X										X									P	
JT	Societe Generale ADR				X									X										X									P	
JT	TNT NV ADR (TPG)				X									X										X									P	
JT	UBS AG				X									X												X								
JT	Unilever PLC ADR				X									X											X								P	
	Goldman Sachs-Brokerage Account																																	
JT	Goldman Sachs Instl Liq Asset TE MMA									X				X													X							
JT	Goldman Sachs High Yield Muni Fnd								X					X			X											X					S	
JT	Goldman Sachs Grp Inc 0% Nikkei Eln							X													X													
JT	Goldman Sachs Japanese Equity Cl A							X						X												X								

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C						BLOCK D											
Asset and/or Income Source		Value of Asset												Type of Income						Amount of Income											
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,0	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excerpted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	AB Svensk Exportkredit 2/28/07								X											X											
JT	AB Svensk Exportkredit 7/15/05	X															X									X					S
JT	AB Svensk Exportkredit 1/04/07	X															X									X					P,S
JT	AB Svensk Exportkredit 6/27/07							X												X											P
JT	EXPT 0% 2/12/07							X												X											P
JT	*Goldman Sachs - Restricted Account*																														
JT	Goldman Sachs Instl Liq Asset TE MMA			X																X											
JT	Harman International Inds Inc										X									X											
	Goldman Sachs - Wasatch Account																														
JT	Goldman Sachs Instl Liq Asset TE MMA					X								X								X									
JT	Accredited Home Lenders Hldg Co			X													X							X							P,S
JT	Administaff Inc	X															X								X						P,S
JT	Aftermarket Technology Corp			X																X											
JT	American Eagle Outfitters Inc			X																X											P
JT	American Home Mtg Invt Corp	X															X						X								S
JT	Americredit Corp				X															X											
JT	AMN Healthcare Services Inc			X																X											P
JT	Anworth Mortgage Asset Corp			X																X											P
JT	Apollo Investment Corp			X										X			X					X									S
JT	Agria Healthcare Grp	X															X					X									S
JT	Arbor Realty Trust Inc			X										X			X						X								S
JT	ASE Test Limited			X																X											
JT	Beacon Roofing Supply Inc			X																X											
JT	Big 5 Sporting Goods Corp			X																X											
JT	Capital Senior Living Corp			X													X					X									P,S
JT	Commercial Cap Bancorp Inc			X																X											P
JT	Concord Communications Inc	X															X						X								S
JT	Drew Industries Inc			X													X						X								P,S
JT	Encore Wire Corp			X													X						X								P,S
JT	Exar Corporation	X															X					X									P,S
JT	Excel Technology Inc			X																X											P
JT	Fidelity Natl Financl Inc			X										X								X									
JT	Fidelity Natl Title Group Inc			X																X											
JT	Franklin Bank Corp			X																X											
JT	Global Imaging Services Inc			X													X							X							P,S
JT	Heico Corp			X													X						X								S
JT	Homebanc Corp	X												X								X									P,S
JT	Hovnanian K Enterprises Inc			X													X						X								P,S
JT	Hub Intertl Ltd			X																X											
JT	Hypercom Corporation			X																X											P
JT	Intl Rectifier Corp			X																X											P
JT	Ishares Russel 2000 Value Index Fund				X															X											P

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	Jarden Corp	X															X							X								S
JT	J B Hunt Trans Svcs Inc			X																	X											P
JT	Kenneth Cole Productions Inc			X																	X											P
JT	Keystone Automotive Inds Inc				X												X							X								S
JT	KKR Financial Corp			X																	X											P
JT	KMG America Corporation			X																	X											S
JT	LHC Group LLC			X																	X											P
JT	Lithia Motors Inc			X																	X											
JT	Magellan Health Services Inc			X																	X											P
JT	Matrix Bancorp Inc			X																	X											P
JT	MCG Capital Corp			X										X									X									P
JT	McGrath Rentcorp			X																	X											P
JT	MDC Holdings Inc			X																	X											P
JT	Medical Properties Trust Inc			X																	X											P
JT	Meritage Homes Corporation	X															X								X							S
JT	Micrel Inc			X																	X											
JT	Microfinancial Inc			X																	X											P
JT	Mission Resources Corp	X															X						X									S
JT	Mobile Mini Inc			X													X								X							P,S
JT	Molina Healthcare Inc	X															X							X								S
JT	Monro Muffler Brake Inc			X													X						X									P,S
JT	Mortgageit Holdings Inc			X										X									X									
JT	MSC Industrial Direct Co Inc	X															X						X									P,S
JT	Multi-Fineline Electronix Inc	X															X							X								P,S
JT	Nanometrics Inc			X																	X											
JT	NBTY Inc			X																	X											P,S
JT	NCI Building Systems Inc			X																	X											P
JT	Netscout Systems Inc			X																	X											P
JT	Newcastle Invt Corp			X										X			X							X								S
JT	Northstar Realty Finance Corp			X										X									X									
JT	No Horizons Electronic Corp			X													X						X									S
JT	NVR Inc	X															X								X							S
JT	Orient-Express Hotels Ltd			X																	X											
JT	Pacificare Health Systems Inc	X															X								X							S
JT	Pericom Semiconductor Corp			X																	X											P
JT	Petco Animal Supplies Inc			X																	X											P
JT	Placer Sierra Bancshares			X																	X											P
JT	Plains Expl & Prod Co			X																	X											
JT	Plato Learning Inc			X																	X											P
JT	Redwood Trust Inc			X										X										X								P
JT	Saxon Capital Inc	X												X										X								S
JT	School Specialty Inc	X															X								X							S

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C						BLOCK D											
Asset and/or Income Source		Value of Asset												Type of Income						Amount of Income											
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other: Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	Scottish Annty & Life Hdgs Ltd	X															X					X									S
JT	Select Comfort Corporation	X															X					X									S
JT	SM&A Corp			X																X											
JT	Sonic Automotive Inc			X													X						X								S
JT	SPSS Inc			X																X											
JT	Supertex Inc			X													X						X								S
JT	Swift Transportation	X															X					X									S
JT	Technology Investment Cap Corp			X										X								X									S
JT	Toll Brothers Inc			X													X						X								P,S
JT	Transport Corp of America Inc			X																X											
JT	Trex Company Inc			X																X											P
JT	TTM Technologies Inc			X																X											P
JT	United Panam Fincl Corp			X																X											P
JT	US Physical Therapy Inc			X																X											
JT	USA Truck Inc			X													X						X								S
JT	USI Holding Corp			X																X											
JT	Vitran Corp Inc			X																X											P
JT	World Accep Corp	X															X						X								S
	Goldman Sachs - PCM Account																														
JT	Goldman Sachs Instl Liq Asset TE MMA								X					X										X							
JT	ADE Corp				X												X									X					S
JT	Alltel Corp						X							X										X							P
JT	Apple Computer Inc	X															X									X					S
JT	Bandag Inc				X									X								X									
JT	BankNorth Group Inc (Merger)	X												X			X								X						E
JT	Bear Stearns Cos Inc					X								X								X									P
JT	Belo Corporation Ser A	X												X								X									P,S
JT	Computer Assoc Intl Inc						X							X									X								P
JT	Countrywide Fincl Corp	X												X			X								X						S
JT	Datascope Corp				X															X											
JT	Dundee Bancorp Inc						X													X											
JT	Eastman Kodak Co					X								X								X									P,S
JT	FAB Inds Inc			X										X											X						
JT	Federated Dept Stores Inc					X								X								X									P
JT	Fidelity Bankshares Inc					X								X								X									
JT	Forest City Enterp Inc					X								X								X									
JT	Gannett Co Inc	X												X								X									P,S
JT	Health Mgt Assoc Cl A				X															X											
JT	Hearst-Argyle Tel Inc				X															X											P
JT	Hewlett-Packard Inc						X							X									X								P
JT	Imation Corp				X									X								X									
JT	Independence Cmnty Bk Corp				X									X								X									

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	Kimball Intl Inc	X												X			X								X							
JT	Knight Ridder Inc				X									X									X									S
JT	Lawson Products Inc				X									X									X									
JT	McClatchy Co				X									X									X									
JT	McGraw-Hill Companies Inc					X								X									X									
JT	Mentor Graphics Corp	X															X							X								S
JT	Mercantile Bankshares Corp				X									X									X									
JT	Mine Safety Appliances Co					X								X									X									
JT	NCR Corp				X												X									X						S
JT	New York Times Co	X												X									X									S
JT	Oppenheimer Hldgs Inc			X										X									X									
JT	Penn Engr & Mfg Corp (Merger)	X															X									X						E
JT	Pope Res Del Ltd Dep Rcpt					X															X											
JT	Progress Software Corp				X																X											
JT	Qualcomm Inc				X									X									X									
JT	Raymond James Fnl Inc				X									X									X									
JT	Ryans Family Steak House				X																X											
JT	Scientific Atlanta Inc					X															X											
JT	Skyline Corp				X									X									X									
JT	Storage Technology Corp (Merger)	X															X									X						E
JT	Sybase Inc			X																	X											
JT	Symantec Corp (fka Veritas Software)				X																X											
JT	TD BankNorth Grp Inc (Merger)	X												X									X									P,E
JT	Toronto Dominion Bank (Merger)				X									X			X						X									S,E
JT	The Topps Company Inc				X									X									X									
JT	Triumph Grp Inc				X																X											
JT	Universal Health REIT					X								X									X									
JT	Verisign Inc				X																X											P
JT	Washington Mutual Inc				X									X									X									
JT	Wels Markets Inc				X									X									X									
JT	West Pharmaceutical Svcs Inc				X									X									X									
	Goldman Sachs - Sound Shore																															
JT	Goldman Sachs Instl Liq Asset TF MMA					X								X										X								
JT	AES Corp				X																X											P
JT	Aetna Inc				X												X									X						P,S
JT	Agilent Technologies Inc				X												X									X						P,S
JT	AON Corporation				X									X			X							X								P,S
JT	Baxter International Inc				X									X			X								X							S
JT	Berkshire Hathaway Inc Class B				X																X											S
JT	Boston Scientific Corp				X																X											P
JT	Centurytel Inc	X															X							X								S
JT	Chiron Corp	X															X									X						P,S

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C						BLOCK D											
Asset and/or Income Source		Value of Asset												Type of Income						Amount of Income											
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	Chubb Corp				X									X			X									X						P,S
JT	Cigna Corp				X												X									X						S
JT	Comerica Inc	X												X									X									S
JT	CSX Corp				X									X			X								X							P,S
JT	Dana Corporation	X												X									X									S
JT	Diebold Incorporated				X																X											P
JT	Freddie Mac Cmn	X															X						X									S
JT	Freescale Semiconductor Inc	X															X						X									P,S
JT	Genworth Financial Inc				X									X			X							X								P,S
JT	Georgia-Pacific Corp	X												X			X								X							P,S
JT	Hewlett-Packard Inc				X									X			X							X								P,S
JT	Honda Mtr Ltd ADR				X									X			X							X								P,S
JT	Ishares Trust - Russell 1000 Value Index						X							X										X								P
JT	L-3 Communications Hldgs Inc	X															X						X									S
JT	Laboratory Corporation of Amer				X												X						X									P,S
JT	Liberty Media Corp	X															X						X									P,S
JT	Lyondell Chemical Company				X									X									X									P
JT	Marsh & McLennan Co Inc				X															X												P
JT	McDonalds Corp				X									X			X								X							P,S
JT	Morgan Stanley				X															X												P
JT	Pfizer Inc				X															X												P
JT	Southwest Airlines Co				X															X												P
JT	SPX Corporation	X												X									X									S
JT	Texas Instruments Inc	X															X									X						S
JT	The Mosaic Company			X																X												P
JT	Thermo Electron Corp				X															X												P,S
JT	Time Warner Inc				X									X			X						X									P,S
JT	Tyco International Ltd	X												X									X									P,S
JT	US Bancorp				X									X			X							X								P,S
JT	Unilever NV NY Shs				X									X									X									P
JT	Unumprovident Corporation				X									X			X							X								P,S
JT	Waste Management Inc				X									X										X								P,S
JT	Watson Pharmaceuticals Inc				X																X											P,S
	Goldman Sachs - Boston Company																															
JT	Goldman Sachs Instl Liq Asset TE MMA						X							X											X							
JT	ABN Amro Hldg Nv ADR			X										X									X									P
JT	Aegon NV ADR				X									X									X									
JT	Aeon Co Ltd Adr				X												X								X							P,S
JT	Aiful Corporation ADR			X																X												P
JT	Ajinomoto Inc ADR			X																X												P
JT	Aktiebolaget Electrolux ADR	X												X			X								X							S
JT	Allianz Aktiengesellschaft ADR				X									X			X						X									P,S

JANE HARMAN
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JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C						BLOCK D											
Asset and/or Income Source		Value of Asset												Type of Income						Amount of Income											
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	Alps Electric Ltd ADR			X												X							X								S
JT	Amcor Ltd ADR				X									X								X									P
JT	Ashai Kasei Corp ADR				X									X								X									P
JT	BAA PLC ADR				X									X								X									P
JT	BAE Systems PLC ADR			X										X		X								X							S
JT	Banco Santander Crtl Hispano SA ADR			X																X											P
JT	Bank Fukuoka Ltd ADR	X														X							X								P,S
JT	Bank of East Asia Ltd ADR				X									X								X									P
JT	Bank of Ireland ADR				X									X		X							X								S
JT	Barrilays PLC ADR				X									X		X						X									P,S
JT	Benetton Group SPA ADR			X										X								X									P
JT	BNP Paribas ADR				X									X		X								X							P,S
JT	BOC Group PLC ADR	X												X		X								X							S
JT	Boots Group PLC ADR	X												X								X									P,S
JT	BT Group PLC ADR				X									X								X									S
JT	Bunzl PLC ADR	X												X		X							X								S
JT	Canon Inc ADR				X									X								X									
JT	Centrica PLC ADR	X												X								X									P,S
JT	China Mobile Hong Kong Ltd ADR	X												X		X								X							S
JT	Citic Pacific Ltd ADR			X												X						X									P,S
JT	Danske Bk ADR	X												X		X							X								S
JT	DBS Group Holdings Spon ADR				X									X									X								
JT	Deutsche Bank AG				X									X		X								X							P,S
JT	Deutsche Lufthansa AG ADR				X									X		X							X								P,S
JT	DSM NV ADR	X														X						X									S
JT	E.On AG ADR			X										X		X							X								S
JT	Endesa SA ADR			X										X		X									X						S
JT	Energias/Electricidade De Portugal ADR				X									X								X									P
JT	Fortis (NL) NV ADR				X									X		X							X								S
JT	France Telecom SA ADR	X												X								X									P,S
JT	Fuji Heavy Ind Ltd ADR			X										X		X						X									P,S
JT	Fuji Photo ADR				X															X											P
JT	Funai Electric Co ADR				X															X											P,S
JT	GKN PLC ADR			X										X		X							X								S
JT	Glaxosmithkline PLC ADR				X									X		X							X								S
JT	Hachijuni Bank Ltd ADR	X														X						X									S
JT	Hannover Ruckversicherungs Corp ADR				X															X											P
JT	Honda Mtr Ltd ADR	X														X							X								S
JT	HSBC Holdings PLC ADR				X															X											P
JT	Infineon Technologies AG ADR			X																X											P
JT	Ishares MSCI EAFE Index Fund						X							X						X											P
JT	J Sainsbury PLC ADR			X										X								X									

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	KAO Corp ADR				X									X									X									P
JT	Koninklijke Philips Electrs NV ADR				X									X									X									P
JT	Korea Electric Power ADR			X										X			X							X								S
JT	KT Corporation ADR			X										X									X									
JT	Lafarge SA ADR				X																X											P
JT	Lloyds TSB Group PLC ADR	X												X			X															S
JT	Marks & Spencer PLC ADR			X													X							X								P,S
JT	Minebea Co Ltd ADR				X									X			X								X							S
JT	Mitsubishi UFJ Fncl Group Inc ADR				X												X							X								P,S
JT	National Australia Bank Ltd ADR			X										X			X								X							S
JT	Nedbank (Nedcor) Group Ltd ADR				X									X			X						X									S
JT	Nestle SA ADR				X									X			X							X								S
JT	Nippon Teleg & Tel ADR	X												X			X								X							S
JT	Nokia Corp ADR			X										X			X							X								S
JT	Novartis AG ADR				X									X			X							X								S
JT	NTT Docomo Inc ADR				X																X											P
JT	Olympus Corporation ADR	X															X								X							S
JT	Orix Corporation ADR				X												X								X							P,S
JT	Rexam PLC ADR			X										X			X						X									P,S
JT	Ricoh Co Ltd ADR				X																X											P
JT	San Paolo-Imi SPA ADR	X															X						X									S
JT	Sanofi -Aventis ADR				X									X			X								X							P,S
JT	Schering AG ADR			X																	X											P
JT	Scottish Power PLC ADR	X															X						X									S
JT	Sekisui Homes Ltd ADR				X									X									X									P,S
JT	Shinsei Bank Ltd Tokyo ADR				X																X											P
JT	Shiseido Homes Ltd ADR	X															X						X									S
JT	SK Telecom Co Ltd ADR			X																	X											P
JT	Sumitomo Mitsui Fncl Group Inc ADR				X												X									X						P,S
JT	Svenska Cellulosa AB ADR	X												X									X									P,S
JT	Swiss Reinsurance ADR				X									X									X									P
JT	TDK Corporation	X															X						X									S
JT	Telecomunicacoes Brasileiras SA ADR			X										X									X									
JT	Telefonos De Mexico SA ADR			X										X									X									
JT	Thomson SA ADR				X												X								X							P,S
JT	Toto Ltd ADR				X																X											P
JT	Toyota Motor Corporation ADR	X												X			X								X							P,S
JT	UBS AG Cmn Isin				X									X			X							X								P,S
JT	Unilever PLC ADR				X									X									X									P,S
JT	United Microelectronics Corp ADR			X																	X											
JT	United Overseas Bk Ltd ADR				X									X									X									S
JT	UPM-Kymmene Corp ADR				X									X			X							X								S

1792

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	Valeo ADR				X									X									X									P
JT	Vnu NV ADR			X													X						X									P,S
JT	Vodafone Group PLC ADR				X									X									X									P
JT	Volkswagon AG ADR			X										X			X							X								S
JT	Wolters Kluwer ADR			X										X									X									S
JT	Zurich Financial Svcs ADR	X															X							X								S
	Goldman Sachs - Transamerica Account																															
JT	Goldman Sachs Instl Liq Asset TE MMA				X									X									X									
JT	Allergan Inc					X								X									X									
JT	Apollo Group Cl A					X															X											P
JT	Chicago Mercantile Hldgs Inc					X								X			X									X						P,S
JT	Ebay Inc					X															X											P
JT	Expeditors Intl Wash Inc					X								X									X									P,S
JT	First Data Corporation					X								X									X									
JT	Genentech Inc					X											X									X						S
JT	Gillette Co (Merger Procter Gamble)	X												X			X							X								S,E
JT	Intuit Inc					X															X											P
JT	Ishares Russel 1000 Growth Index Fnd						X							X									X									P
JT	Liberty Global Inc Cl A				X																X											P
JT	Liberty Global Inc Ser C				X																X											P
JT	Marriott International Inc					X								X									X									
JT	Microsoft Corp					X								X									X									
JT	Moodys Corp					X								X									X									
JT	Navteq Corporation				X																X											S
JT	Northern Trust Corp	X												X																		P
JT	Paychex Inc					X								X									X									S
JT	Praxair Inc					X								X										X								P
JT	Procter & Gamble Company (Merger)					X								X									X									
JT	Qualcomm Inc						X							X									X									E
JT	Sandisk Corp						X														X											P
JT	Sprint Nextel Corporation				X																X											
JT	Staples Inc					X								X									X									P
JT	United Parcel Service Inc				X									X			X									X						P
JT	Unitedhealth Group Inc					X															X											P,S
JT	Walgreen Co					X								X									X									P
JT	Weight Watchers Inc				X												X							X								S
JT	Wellpoint Inc	X															X									X						S
JT	XM Satellite Radio Hldgs Inc			X																	X											P,S
JT	*Goldman Sachs -Central Municipal*																															
JT	Goldman Sachs Instl Liq Asset TE MMA					X								X										X								
JT	FT Wayne Ind Redev 3% 2/1/09	X														X									X							S
JT	Garland Tx COPS 4.125% 2/15/16							X								X									X							

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B													BLOCK C						BLOCK D											
Asset and/or Income Source		Value of Asset													Type of Income						Amount of Income											
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000		Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	Lewisville Tx Sch Dist 3.625% 2/15/16							X									X									X						
JT	Murray Cty Salt Lake UT 4.25% 6/1/19	X															X							X								S
JT	Noblesville Ind Redev 4% 7/15/12							X									X									X						
JT	Oklahoma Dev Fin Auth 4% 7/1/14							X									X									X						
JT	Sacramento CA 4% 7/10/06							X									X					X										P
JT	San Buenaventura CA 4.75% 3/1/20						X										X								X							
JT	San Francisco 4.5% 8/1/07						X										X					X										P
JT	San Francisco 6.25% 11/1/07						X										X								X							P
JT	St of Ca GO 5% 2/1/17							X									X									X						
JT	St of NY GO 3.5% 4/15/11							X									X									X						
JT	Travis Tx GO 4.3% 3/1/18	X															X									X						S
JT	Ventura Co 4% 7/3/06							X									X					X										P
JT	West Allis W Milwaukee 4% 4/1/13							X									X									X						
JT	Wstrn Wa Univ 4% 10/1/15	X															X									X						S

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,00	J $25,000,001-$50,000,0	K Over $50,000,000
SP	*Goldman Sachs - Brokerage Account*														
SP	EXPT 0% due 2/22/07	X			02/03/05							X			
SP	Goldman Sachs High Yield Municipal Fund	X			01/06/05				X						
SP	Goldman Sachs Ultra-Short Duration Govt Fund	X			01/06/05						X				
SP	PARS- Ill Health FADCS Auth due 8/15/21	X			12/23/05						X				
SP	PARS-Montgomery Ohio Cnty due 11/15/33	X			12/23/05						X				
SP	Pennsylvania Indl Dev Auth due 1/1/14	X			12/23/05						X				
SP	PARS-North Carolina Mun Pwr Rev due 1/1/18	X			12/23/05						X				
SP	PARS-Reno Nevada due 6/1/32	X			12/23/05						X				
SP	PARS-Mercer North Dakota due 9/1/28	X			12/23/05							X			
SP	PARS-Indiana St Fin Auth due 2/1/35	X			12/23/05						X				
SP	PARS-Tarrant Cnty Texas Hlth due 12/1/30	X			12/23/05							X			
SP	PARS-New Mexico due 2/1/34	X			12/23/05							X			
SP	AB Svensk Exportkredit 0% due 1/4/07	X			05/27/05						X				
SP	AB Svensk Exportkredit 0% due 1/4/07		X		11/28/05						X				
SP	*Goldman Sachs - Boston Co Account*														
SP	ABN Amro Holds NV	X			04/01/05	X									
SP	Aeon Co Ltd	X			7 Transactions			X							
SP	Aiful Corporation	X			2 Transactions		X								
SP	Ajinomoto Inc	X			12/29/05	X									
SP	Allianz Aktiengesellschaft	X			4 Transactions		X								
SP	Ameor Ltd	X			4 Transactions		X								
SP	Ashai Kasei Corp	X			4 Transactions			X							
SP	BAA PLC Spons ADR	X			08/23/05	X									
SP	Banco Santander Cenral Hispano	X			12/12/05	X									
SP	Bank Fukuoka Ltd	X			2 Transactions	X									
SP	Bank of East Asia Ltd	X			7 Transactions		X								
SP	Barclays PLC ADR	X			6 Transactions		X								
SP	Benetton Group SPA	X			2 Transactions	X									
SP	BNP Paribas ADR	X			2 Transactions		X								
SP	Boots Group PLC	X			08/11/05	X									
SP	Centrica PLC Spon ADR	X			3 Transactions		X								
SP	CIBA Specialty Chemicals ADR	X			8 Transactions			X							
SP	Citic Pacific Limited	X			4 Transactions	X									
SP	Coca Cola FEMSA SA	X			08/11/05		X								
SP	Deutsche Bank AG	X			4 Transactions		X								
SP	Deutsche Lufthansa AG	X			2 Transactions		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

Asset		Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,01	K Over $50,000,000
SP	Deutsche Telekom AG	X			3 Transactions		X								
SP	Energias De Portugal SA	X			4 Transactions		X								
SP	France Telecom SA	X			12 Transactions		X								
SP	Fuji Heavy Ind Ltd	X			6 Transactions		X								
SP	Fuji Photo ADR	X			11/08/05		X								
SP	Funai Electric Co Ltd	X			3 Transactions		X								
SP	Hannover Ruckvesicherungs Corp	X			5 Transactions		X								
SP	HSBC Holdings PLC	X			5 Transactions		X								
SP	Infineon Technologies AG	X			6 Transactions		X								
SP	Ishares MSCI EAFE Index	X			12/01/05					X					
SP	KAO Corp	X			02/17/05	X									
SP	Koninklijke Philips Electrs NV	X			05/03/05	X									
SP	LaFarge SA	X			4 Transactions			X							
SP	Marks And Spencer PLC	X			3 Transactions		X								
SP	Mitsubishi Tokyo Finance Group	X			7 Transactions			X							
SP	Nissan Motor Co Ltd	X			6 Transactions			X							
SP	NTT Dopcomo Inc	X			3 Transactions			X							
SP	Orix Corporation	X			3 Transactions		X								
SP	Rexam PLC	X			02/16/05	X									
SP	Ricoh Co Ltd	X			5 Transactions		X								
SP	Sanofi-Aventis Spon ADR	X			3 Transactions		X								
SP	Schering AG Spon ADR	X			11/10/05	X									
SP	Sekisui Homes Ltd	X			3 Transactions		X								
SP	Shinsei Bank Ltd Tokyo	X			3 Transactions		X								
SP	SK Telecom Co Ltd	X			4 Transactions		X								
SP	Sumitomo Mitsui Fial Group Inc	X			5 Transactions			X							
SP	Svenska Cellulosa AB Spon ADR	X			8 Transactions			X							
SP	Swiss Reinsurance Spon ADR	X			2 Transactions	X									
SP	Thomson SA Spon ADR	X			3 Transactions		X								
SP	Toto Ltd ADR	X			9 Transactions			X							
SP	Toyota Motor Corp	X			4 Transactions		X								
SP	UBS AG Cmn ISIN	X			2 Transactions		X								
SP	Unilever PLC	X			3 Transactions	X									
SP	Valeo Spon ADR	X			10/19/05	X									
SP	VNU Spon ADR	X			2 Transactions		X								
SP	Vodafone Group PLC	X			6 Transactions			X							
SP	Aeon Co Ltd		X		3 Transactions		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	Aktiebolaget Electrolux ADR		X		5 Transactions		X								
SP	Allianz Aktiengesellschaft		X		10/19/05	X									
SP	Alps Electric Ltd		X		4 Transactions		X								
SP	BAE Systems PLC		X		2 Transactions		X								
SP	Bank Fukuoka Ltd		X		11 Transactions			X							
SP	Bank of Ireland		X		2 Transactions		X								
SP	Barclays PLC ADR		X		2 Transactions	X									
SP	Benetton Group SPA		X		12/01/05		X								
SP	BNP Paribas ADR		X		3 Transactions		X								
SP	BOC Group PLC		X		4 Transactions		X								
SP	Boots Group PLC		X		12/01/05		X								
SP	BT Group PLC		X		01/10/05	X									
SP	Bunzl PLC Spon ADR		X		6 Transactions		X								
SP	China Mobile Hong Kong Ltd		X		3 Transactions		X								
SP	CIBA Specialty Chemicals ADR		X		12/01/05			X							
SP	Citic Pacific Limited		X		2 Transactions	X									
SP	Coca Cola FEMSA SA		X		12/01/05		X								
SP	Danske Bk ADR		X		2 Transactions		X								
SP	Deutsche Bank AG		X		5 Transactions		X								
SP	Deutsche Lufthansa AG		X		3 Transactions		X								
SP	Deutsche Telekom AG		X		12/01/05		X								
SP	DSM NV Spon ADR		X		3 Transactions	X									
SP	E.ON AG Spon ADR		X		12/13/05	X									
SP	Endesa SA ADR		X		2 Transactions		X								
SP	Filtrona PLC		X		07/13/05	X									
SP	Fortis (NL) NV		X		3 Transactions	X									
SP	France Telecom SA		X		2 Transactions			X							
SP	Fuji Heavy Ind Ltd		X		4 Transactions		X								
SP	Fuasi Electric Co Ltd		X		11/16/05	X									
SP	GKN PLC Spon ADR		X		3 Transactions		X								
SP	Glaxosmithkline PLC Spon ADR		X		05/17/05	X									
SP	Hachijuni Bank Ltd ADR		X		5 Transactions		X								
SP	Honda Mtr Ltd		X		6 Transactions			X							
SP	Infineon Technologies AG		X		12/01/05		X								
SP	Korea Electric Power Corp		X		2 Transactions	X									
SP	Lloyds TSB Group Plc		X		7 Transactions		X								
SP	Marks And Spencer PLC		X		12/13/05		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,00	J $25,000,001-$50,000,00	K Over $50,000,000
SP	Minebea Co Ltd		X		14 Transactions			X							
SP	Mitsubishi Tokyo Finance Group		X		08/22/05	X									
SP	Mitsubishi UJF Fini Group		X		3 Transactions		X								
SP	National Australia Bank Ltd		X		4 Transactions		X								
SP	Nedbank Group Ltd		X		05/27/05	X									
SP	Nestle SA Spon ADR		X		2 Transactions		X								
SP	Nippon Teleg & Tel Spon ADR		X		3 Transactions			X							
SP	Nissan Motor Co Ltd		X		12/01/05			X							
SP	Nokia Corp Spon ADR		X		2 Transactions		X								
SP	Novartis AG ADR		X		10/19/05		X								
SP	Olympus Corporation ADR		X		5 Transactions			X							
SP	Orix Corporation		X		12/28/05		X								
SP	Rexam PLC		X		3 Transactions		X								
SP	San Paolo-Imi SPA		X		03/23/05		X								
SP	Sanofi-Aventis Spon ADR		X		5 Transactions		X								
SP	Scottish Power PLC		X		02/16/05		X								
SP	Sekisui Homes Ltd		X		07/26/05	X									
SP	Shiseido Co Ltd		X		11 Transactions		X								
SP	Sumitomo Mitsui Fini Group Inc		X		3 Transactions			X							
SP	Svenska Cellulosa AB Spon ADR		X		12/01/05			X							
SP	TDK Corporation		X		2 Transactions		X								
SP	Thomson SA Spon ADR		X		7 Transactions		X								
SP	Toyota Motor Corp		X		6 Transactions			X							
SP	UBS AG Cmn ISIN		X		07/26/05	X									
SP	Unilever PLC		X		06/13/05	X									
SP	United Microelectronics Corp		X		12/01/05		X								
SP	United Overseas Bank Ltd		X		05/26/05	X									
SP	UPM-Kymmene Corp		X		03/08/05	X									
SP	VNU Spon ADR		X		2 Transactions	X									
SP	Volkswagen AG ADR		X		3 Transactions		X								
SP	Wolters Kluwer Spon ADR		X		07/04/05	X									
SP	Zurich Financial Services Spon ADR		X		3 Transactions		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,00	J $25,000,001-$50,000,0	K Over $50,000,000
SP	*Goldman Sachs - Brokerage Account*														
SP	AB Svensk Exportkredit 7/15/05		X		01/07/05							X			
SP	Goldman Sachs Growth Opportunities Class A		X		01/18/05				X						
SP	Goldman Sachs Growth Opportunities Class A		X		04/25/05					X					
SP	*Goldman Sachs - Boston Company Account*														
SP	ABN Amro Hldg NV Spon ADR	X			04/01/05	X									
SP	Aeon Co Ltd ADR	X			7 Transactions		X								
SP	Aiful Corporation ADR	X			2 Transactions	X									
SP	Ajinomoto Inc ADR	X			12/29/05	X									
SP	Allianz Aktiengesellschaft ADR	X			3 Transactions		X								
SP	Amcor Ltd ADR	X			2 Transactions	X									
SP	Ashai Kasei Corp ADR	X			4 Transactions		X								
SP	BAA Plc ADR	X			08/23/05	X									
SP	Banco Santander Central Hispano SA ADR	X			12/12/05	X									
SP	Bank Fukuoka Ltd ADR	X			3 Transactions	X									
SP	Bank of East Asia Ltd ADR	X			3 Transactions	X									
SP	Barclays PLC ADR	X			3 Transactions	X									
SP	Benetton Group SPA ADR	X			03/09/05	X									
SP	BNP Paribas ADR	X			2 Transactions	X									
SP	Boots Group PLC ADR	X			08/11/05	X									
SP	Canon Inc ADR	X			08/16/05	X									
SP	Centrica PLC ADR	X			3 Transactions	X									
SP	CIBA Specialty Chemicals ADR	X			7 Transactions		X								
SP	Citic Pacific Ltd ADR	X			12/20/05	X									
SP	Coca Cola Femsa SA ADR	X			08/11/05	X									
SP	Deutsche Bank AG ADR	X			3 Transactions	X									
SP	Deutsche Lufthansa AG Spon ADR	X			2 Transactions	X									
SP	Deutsche Telekom AG ADR	X			3 Transactions	X									
SP	Energias/Electricidade De Portugal Spon ADR	X			4 Transactions	X									
SP	France Telecom SA Spon ADR	X			5 Transactions		X								
SP	Fuji Heavy Ind Ltd ADR	X			6 Transactions	X									
SP	Fuji Photo ADR	X			2 Transactions	X									
SP	Funai Electric Co Ltd ADR	X			2 Transactions	X									
SP	Hannover Ruckvesicherungs Corp ADR	X			5 Transactions	X									
SP	HSBC Holdings PLC ADR	X			5 Transactions	X									
SP	Infineon Technologies AG ADR	X			5 Transactions	X									
SP	KAO Corp Spon ADR	X			02/17/05	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,0	K Over $50,000,000
SP	Koninkiljke Philips Electrs NV ADR	X			05/03/05	X									
SP	Lafarge SA ADR	X			4 Transactions	X									
SP	Marks & Spencer PLC Spon ADR	X			2 Transactions	X									
SP	Mitsubishi Tokyo Finance Group ADR	X			6 Transactions		X								
SP	Nissan Motor Co Ltd ADR	X			7 Transactions		X								
SP	NTT Docomo Inc ADR	X			3 Transactions		X								
SP	Orix Corporation ADR	X			3 Transactions	X									
SP	Rexam PLC SDR	X			02/16/05	X									
SP	Ricoh Co Ltd ADR	X			4 Transactions	X									
SP	Sanofi-Aventis Spon ADR	X			2 Transactions	X									
SP	Schering AG Spon ADR	X			11/10/05	X									
SP	Sekisui Homes Ltd Spon ADR	X			3 Transactions	X									
SP	Shinsei Bank Ltd Tokyo Spon ADR	X			3 Transactions	X									
SP	SK Telecom Co Ltd ADR	X			4 Transactions	X									
SP	Sumitomo Mitsui Fini Group Inc ADR	X			5 Transactions		X								
SP	Svenska Cellulosa AB ADR	X			8 Transactions		X								
SP	Swiss Reinsurance Spon ADR	X			2 Transactions	X									
SP	Thomson SA Spon ADR	X			3 Transactions	X									
SP	Toto Ltd ADR	X			9 Transactions		X								
SP	Toyota Motor Corp Spon ADR	X			4 Transactions	X									
SP	UBS AG Cmn Isin	X			2 Transactions	X									
SP	Unilever PLC Spon ADR	X			02/14/05	X									
SP	Valeo Spon ADR	X			10/19/05	X									
SP	VNU NV ADR	X			2 Transactions	X									
SP	Vodafone Group PLC Spon ADR	X			5 Transactions		X								
SP	ABN Amro Hlds NV Spon ADR		X		2 Transactions	X									
SP	Aegon NV ADR		X		3 Transactions	X									
SP	Aeon Co Ltd ADR		X		6 Transactions		X								
SP	Aktiebolaget Electrolux ADR		X		5 Transactions	X									
SP	Allianz Aktiengesellschaft ADR		X		4 Transactions	X									
SP	Alps Electric Ltd ADR		X		5 Transactions	X									
SP	Amcor Ltd ADR		X		3 Transactions	X									
SP	Asahi Kasei Corp ADR		X		3 Transactions	X									
SP	BAA Plc ADR		X		3 Transactions	X									
SP	BAE Systems PLC ADR		X		4 Transactions	X									
SP	Bank Fukuoka Ltd ADR		X		10 Transactions		X								
SP	Bank of East Asia Ltd ADR		X		2 Transactions	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,00	J $25,000,001-$50,000,00	K Over $50,000,000
SP	Bank of Ireland ADR		X		5 Transactions		X								
SP	Barclays PLC ADR		X		4 Transactions	X									
SP	Benetton Group SPA ADR		X		2 Transactions	X									
SP	BNP Paribas ADR		X		5 Transactions		X								
SP	BOC Group PLC ADR		X		5 Transactions	X									
SP	Boots Group PLC ADR		X		3 Transactions	X									
SP	BT Group PLC ADR		X		4 Transactions	X									
SP	Bunzl PLC ADR		X		6 Transactions		X								
SP	Canon Inc ADR		X		3 Transactions	X									
SP	Centrica PLC ADR		X		3 Transactions	X									
SP	China Mobile Hong Kong Ltd ADR		X		4 Transactions	X									
SP	CIBA Specialty Chemicals ADR		X		3 Transactions	X									
SP	Citic Pacific Ltd ADR		X		05/05/05	X									
SP	Coca Cola Femsa SA ADR		X		10/27/05	X									
SP	Danske BK ADR		X		2 Transactions	X									
SP	DBS Group Holdings ADR		X		3 Transactions	X									
SP	Deutsche Bank AG ADR		X		7 Transactions		X								
SP	Deutsche Lufthansa AG Spon ADR		X		6 Transactions	X									
SP	Deutsche Telekom AG ADR		X		2 Transactions	X									
SP	DSM NV ADR		X		01/10/05	X									
SP	E.On AG ADR		X		4 Transactions	X									
SP	Endesa SA ADR		X		4 Transactions		X								
SP	Energias De Portugal SA ADR		X		3 Transactions	X									
SP	ENI SPA ADR		X		3 Transactions	X									
SP	Filtrona PLC Cmn		X		07/14/05	X									
SP	Fortis NV ADR		X		5 Transactions	X									
SP	France Telecom SA Spon ADR		X		4 Transactions	X									
SP	Fuji Heavy Ind Ltd ADR		X		7 Transactions		X								
SP	Fuji Photo ADR		X		3 Transactions	X									
SP	Funai Electric Co Ltd ADR		X		4 Transactions	X									
SP	GKN PLC ADR		X		5 Transactions	X									
SP	Glaxosmithkline PLC ADR		X		4 Transactions	X									
SP	Hachijuni Bank Ltd ADR		X		3 Transactions	X									
SP	Hannover Ruckversicherungs Corp ADR		X		11/01/05	X									
SP	Honda Mtr Ltd ADR		X		5 Transactions		X								
SP	HSBC Holdings PLC ADR		X		3 Transactions	X									
SP	Infineon Technologies AG ADR		X		2 Transactions	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	J Sainsbury PLC ADR		X		2 Transactions	X									
SP	KAO Corp Spon ADR		X		3 Transactions	X									
SP	Koninklijke Philips Electrs NV ADR		X		3 Transactions	X									
SP	Korea Electric Power Crp ADR		X		4 Transactions	X									
SP	KT Corporation ADR		X		2 Transactions	X									
SP	Lafarge SA ADR		X		11/01/05										
SP	Lloyds TSB Group PLC ADR		X		3 Transactions	X									
SP	Marks & Spencer PLC Spon ADR		X		3 Transactions	X									
SP	Minebea Co Ltd ADR		X		14 Transactions		X								
SP	Mitsubishi UFJ Fisl Group Inc ADR		X		5 Transactions	X									
SP	National Australia Bank LTD ADR		X		7 Transactions		X								
SP	Nedbank Group Ltd		X		3 Transactions	X									
SP	Nestle SA ADR		X		5 Transactions	X									
SP	Nippon Teleg & Tel ADR		X		4 Transactions		X								
SP	Nissan Motor Co Ltd ADR		X		2 Transactions	X									
SP	Nokia Corp Spon ADR		X		3 Transactions	X									
SP	Novartis AG ADR		X		4 Transactions	X									
SP	NTT Docomo Inc ADR		X		2 Transactions	X									
SP	Olympus Corporation ADR		X		3 Transactions		X								
SP	Orix Corporation ADR		X		4 Transactions	X									
SP	Reuam PLC SDR		X		4 Transactions	X									
SP	Ricoh Co Ltd ADR		X		11/01/05	X									
SP	San Paolo-IMI SPA ADR		X		03/23/05	X									
SP	Sanofi-Aventis Spon ADR		X		8 Transactions		X								
SP	Scottish Power PLC ADR		X		4 Transactions	X									
SP	Sekisui Homes Ltd Spon ADR		X		4 Transactions	X									
SP	Shiseido Co Ltd ADR		X		7 Transactions	X									
SP	SK Telecom Co Ltd ADR		X		11/01/05	X									
SP	Sumitomo Mitsui Fisl Group Inc ADR		X		6 Transactions		X								
SP	Svenska Cellulosa AB ADR		X		3 Transactions	X									
SP	Swiss Reinsurance Spon ADR		X		3 Transactions	X									
SP	TDK Corporation ADR		X		3 Transactions	X									
SP	Telecommunicacoes Brasileiras SA ADR		X		2 Transactions	X									
SP	Telefonos De Mexico SA ADR		X		2 Transactions	X									
SP	Thomson SA Spon ADR		X		7 Transactions		X								
SP	Toto Ltd ADR		X		3 Transactions	X									
SP	Toyota Motor Corp Spon ADR		X		6 Transactions		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

Asset		Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	UBS AG Cmn Isin		X		4 Transactions	X									
SP	Unilever PLC Spon ADR		X		4 Transactions	X									
SP	United Microelectronics Corp ADR		X		2 Transactions	X									
SP	United Overseas Bk Ltd ADR		X		4 Transactions	X									
SP	UPM-Kymmene Corp ADR		X		4 Transactions	X									
SP	Valeo Spon ADR		X		3 Transactions	X									
SP	VNU NV ADR		X		2 Transactions	X									
SP	Vodafone Group PLC Spon ADR		X		3 Transactions	X									
SP	Volkswagen AG ADR		X		5 Transactions		X								
SP	Wolters Kluwer ADR		X		3 Transactions	X									
SP	Zurich Financial Services ADR		X		2 Transactions	X									
SP	*Goldman Sachs - Sound Shore Account*														
SP	AES Corp	X			9 Transactions		X								
SP	Aetna Inc	X			09/13/05	X									
SP	Agilent Technologies Inc	X			3 Transactions	X									
SP	AON Corporation	X			13 Transactions		X								
SP	Boston Scientific Corp	X			7 Transactions		X								
SP	Chiron Corp	X			13 Transactions		X								
SP	Chubb Corp	X			09/16/05	X									
SP	Cigna Corp	X			03/15/05	X									
SP	CSX Corp	X			3 Transactions	X									
SP	Diebold Incorporated	X			7 Transactions		X								
SP	Directv Group Inc	X			2 Transactions	X									
SP	Flextronics International Ltd	X			17 Transactions		X								
SP	Freescale Semiconductor Inc	X			10 Transactions		X								
SP	Genworth Financial Inc	X			16 Transactions		X								
SP	Georgia-Pacific Corp	X			3 Transactions	X									
SP	Hewlett-Packard Co	X			2 Transactions	X									
SP	Honda Mtr Ltd ADR	X			11/16/05	X									
SP	Interpublic Group Cos	X			2 Transactions	X									
SP	Laboratory Corp Amer Hldgs	X			12/14/05	X									
SP	Liberty Media Corp	X			09/20/05	X									
SP	Lyondell Chemical Company	X			11 Transactions		X								
SP	Marsh & McLennan Co Inc	X			13 Transactions		X								
SP	McDonalds Corp	X			05/13/05	X									
SP	Morgan Stanley	X			3 Transactions		X								
SP	Pfizer Inc	X			3 Transactions		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,00	J $25,000,001-$50,000,0	K Over $50,000,000
SP	Southwest Airlines Co	X			14 Transactions		X								
SP	Symantec Corp	X			13 Transactions		X								
SP	Texas Instruments Inc	X			04/20/05	X									
SP	The Mosaic Company	X			12/20/05	X									
SP	Thermo Electron Corp	X			6 Transactions	X									
SP	Time Warner Inc	X			3 Transactions	X									
SP	Tyco International Ltd	X			3 Transactions	X									
SP	US Bancorp	X			4 Transactions	X									
SP	Unilever NV NY Shs ADR	X			8 Transactions		X								
SP	Unumprovident Corporation	X			9 Transactions		X								
SP	Waste Management Inc	X			3 Transactions	X									
SP	Watson Pharmaceuticals Inc	X			12/08/05	X									
SP	Aetna Inc		X		12 Transactions		X								
SP	Agilent Technologies Inc		X		7 Transactions		X								
SP	Ambac Financial Group Inc		X		11 Transactions		X								
SP	AON Corporation		X		4 Transactions	X									
SP	Baxter International Inc		X		7 Transactions		X								
SP	Berkshire Hathaway Inc Class B		X		7 Transactions		X								
SP	CenturyTel Inc		X		19 Transactions		X								
SP	Chiron Corp		X		9 Transactions		X								
SP	Chubb Corp		X		7 Transactions		X								
SP	Cigna Corp		X		6 Transactions		X								
SP	Comerica Inc		X		15 Transactions		X								
SP	CSX Corp		X		4 Transactions		X								
SP	Dana Corporation		X		7 Transactions	X									
SP	Directv Group Inc		X		3 Transactions	X									
SP	Flextronics International Ltd		X		2 Transactions		X								
SP	Freddie Mac Cmn		X		12 Transactions		X								
SP	Freescale Semiconductor Inc		X		2 Transactions	X									
SP	Genworth Financial Inc		X		7 Transactions		X								
SP	Georgia-Pacific Corp		X		19 Transactions			X							
SP	Hewlett-Packard Co		X		7 Transactions		X								
SP	Honda Mtr Ltd ADR		X		2 Transactions	X									
SP	Interpublic Group Cos		X		6 Transactions		X								
SP	L-3 Communications Hldgs Inc		X		2 Transactions	X									
SP	Laboratory Corp Amer Hldgs		X		9 Transactions		X								
SP	Liberty Media Corp		X		07/18/05	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

Asset		Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,00	K Over $50,000,000
SP	Lyondell Chemical Company		X		07/18/05	X									
SP	McDonalds Corp		X		4 Transactions		X								
SP	Southwest Airlines Co		X		10/24/05	X									
SP	SPX Corporation		X		15 Transactions		X								
SP	Symantec Corp		X		10/24/05	X									
SP	Texas Instruments Inc		X		8 Transactions		X								
SP	Thermo Electron Corp		X		5 Transactions		X								
SP	Time Warner Inc		X		3 Transactions	X									
SP	Tyco International Ltd		X		4 Transactions		X								
SP	US Bancorp		X		4 Transactions		X								
SP	Unumprovident Corporation		X		4 Transactions	X									
SP	Waste Management Inc		X		4 Transactions	X									
SP	Watson Pharmaceuticals Inc		X		4 Transactions	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
GOLDMAN SACHS - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,00	J $25,000,001-$50,000,0	K Over $50,000,000
DC	Goldman Sachs Growth Opportunities Class A	X			01/19/05	X									
DC	Goldman Sachs Small Cap Class A	X			01/19/05	X									
DC	Goldman Sachs Large Cap Value Class A	X			01/19/05	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,00	K Over $50,000,000
JT	*Partnerships*														
JT	Blum Strategic Partners II LP	X			3 Transactions				X						
JT	JBG/Fund III Investor LLC	X			2 Transactions			X							
JT	GS Hedge Fund Partners LLC		X		12/30/05							X			
JT	*Bear Stearns Account*														
JT	ABN Amro Holding NV	X			04/12/05	X									
JT	Aegon NV ADR	X			04/12/05	X									
JT	Astrazeneca PLC	X			04/12/05	X									
JT	AXA-UAP-ADR	X			04/12/05	X									
JT	Barclays PLC ADR	X			04/12/05	X									
JT	Cadbury Schweppes PLC ADR	X			04/12/05	X									
JT	Canon Inc ADR	X			04/12/05	X									
JT	Danske Bank ADR	X			04/12/05	X									
JT	ENI SPA ADR	X			04/12/05	X									
JT	Endesa SA ADS	X			04/12/05	X									
JT	Glaxosmithkline PLC ADR	X			04/12/05	X									
JT	HSBC Holdings PLC	X			04/12/05	X									
JT	ING Groep NV ADR	X			04/12/05	X									
JT	Ireland Bank ADR	X			09/27/05		X								
JT	Ishares Trust	X			04/12/05		X								
JT	KAO Corp ADR	X			04/12/05	X									
JT	Lloyds TSB Group PLC ADR	X			04/12/05	X									
JT	Nestle SA ADR	X			04/12/05	X									
JT	Nokia Corp ADR	X			04/12/05	X									
JT	Novartis AG ADR	X			04/12/05	X									
JT	Novo Nordisk ADR	X			02/04/05	X									
JT	Novo Nordisk ADR	X			04/12/05	X									
JT	Portugal Telecom SGPS	X			07/13/05		X								
JT	Sanofi Aventis ADR	X			04/12/05	X									
JT	Siemens AG	X			04/12/05	X									
JT	Societe Generale ADR	X			04/12/05	X									
JT	TPG NV ADR	X			02/07/05	X									
JT	TPG NV ADR	X			04/12/05	X									
JT	Unilever PLC ADR	X			04/12/05	X									
JT	San Paolo IMI SPA		X		01/31/05		X								
JT	HSBC Holdings PLC		X		06/30/05	X									
JT	Endesa SA ADS		X		07/11/05		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,0	K Over $50,000,000
JT	Lloyds TSB Group PLC ADR		X		09/22/05		X								
JT	*Goldman Sachs - Brokerage Account*														
JT	EXPT 0% due 2/22/07	X			02/03/05					X					
JT	AB Svensk Exportkredit 1/4/07	X			05/27/05					X					
JT	AB Svensk Exportkredit 6/27/07	X			06/10/05					X					
JT	AB Svensk Exportkredit 07/15/05		X		06/16/05						X				
JT	AB Svensk Exportkredit 1/4/07		X		11/28/05					X					
JT	Goldman Sachs High Yield Municipal Fund		X		04/05/05					X					
JT	*Goldman Sachs - Wasatch SC Val Account*														
JT	Accredited Home Lenders Hldg Co	X			4 Transactions	X									
JT	Adminsitaff Inc	X			2 Transactions	X									
JT	American Eagle Outfitters Inc	X			12/13/05	X									
JT	Americas Car Mart Inc	X			6 Transactions	X									
JT	AMN Healthcare Services Inc	X			05/24/05	X									
JT	Anworth Mortgage Asset Corp	X			4 Transactions	X									
JT	Bimini Mortgage Management	X			01/12/05	X									
JT	Capital Senior Living Corp	X			09/08/05	X									
JT	Commercial Cap Bancorp Inc	X			3 Transactions	X									
JT	Corinthian Colleges Inc	X			05/24/05	X									
JT	Dollar Tree Stores Inc	X			06/30/05	X									
JT	Doral Financial Corp	X			03/18/05	X									
JT	Drew Industries	X			4 Transactions	X									
JT	ECC Capital Corporation	X			02/15/05	X									
JT	Encore Wire Corp	X			04/22/05	X									
JT	Exar Corporation	X			04/29/05	X									
JT	Excel Technology Inc	X			2 Transactions	X									
JT	Global Imaging Services Inc	X			06/14/05	X									
JT	Helen of Troy Ltd	X			3 Transactions	X									
JT	Homebanc Corp	X			3 Transactions	X									
JT	Hovnanian K Enterprises Inc	X			01/07/05	X									
JT	Hypercom Corporation	X			09/14/05	X									
JT	Intl Rectifier Corp	X			10/28/05	X									
JT	Ishares Russell 2000 Value Index Fund	X			11/25/05		X								
JT	JB Hunt Trans Svcs Inc	X			09/02/05	X									
JT	Kadant Incorporated	X			2 Transactions	X									
JT	Kenneth Cole Productions Inc	X			4 Transactions	X									
JT	KKR Financial Corp	X			07/15/05	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,00	K Over $50,000,000
JT	Leadis Technology	X			05/11/05	X									
JT	LHC Group LLC	X			3 Transactions	X									
JT	MDC Holdings Inc	X			2 Transactions	X									
JT	Magellan Health Services Inc	X			12/19/05	X									
JT	Matrix Bancorp Inc	X			12/12/05	X									
JT	MCG Capital Corp	X			3 Transactions	X									
JT	McGrath Rentcorp	X			5 Transactions	X									
JT	Medical Properties Trust Inc	X			07/20/05	X									
JT	Microfinancial Inc	X			10/18/02	X									
JT	Mobile Mini Inc	X			2 Transactions	X									
JT	Monro Muffler Brake Inc	X			11/08/05	X									
JT	MSC Industrial Direct Co Inc	X			05/16/05	X									
JT	Multi-Fineline Electronix Inc	X			01/24/05	X									
JT	NBTY Inc	X			09/26/05	X									
JT	NCI Building Systems Inc	X			09/07/05	X									
JT	Netscout Systems Inc	X			11/23/05	X									
JT	Pericom Semiconductor Corp	X			2 Transactions	X									
JT	Petco Animal Supplies Inc	X			11/23/05	X									
JT	PHH Corporation	X			02/02/05	X									
JT	Placer Sierra Bancshares	X			04/13/05	X									
JT	Plato Learning Inc	X			10/20/05	X									
JT	Redwood Trust Inc	X			2 Transactions	X									
JT	Sea Containers Ltd	X			3 Transactions	X									
JT	Toll Brothers Inc	X			2 Transactions	X									
JT	Trex Company Inc	X			12/23/05	X									
JT	TTM Technologies Inc	X			08/08/05	X									
JT	United Panam Financial Corp	X			12/06/05	X									
JT	Vitran Corp Inc	X			2 Transactions	X									
JT	Accredited Home Lenders Hldg Co		X		2 Transactions	X									
JT	Adminstaff Inc		X		6 Transactions	X									
JT	American Home Mtg Invt Corp		X		7 Transactions	X									
JT	Americas Car Mart Inc		X		11/25/05	X									
JT	Apollo Investment Corporation		X		2 Transactions	X									
JT	Apria Healthcare Group		X		01/12/05	X									
JT	Arbor Realty Trust Inc		X		07/25/05	X									
JT	Bimini Mortgage Management		X		2 Transactions	X									
JT	Capital Senior Living Corp		X		12/13/05	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,00	J $25,000,001-$50,000,0	K Over $50,000,000
JT	Casual Male Retail Grp Inc		X		2 Transactions	X									
JT	Concord Communications Inc		X		04/20/05	X									
JT	Corinthian Colleges Inc		X		10/13/05	X									
JT	Direct General Corp Inc		X		2 Transactions	X									
JT	Dollar Tree Stores Inc		X		09/23/05	X									
JT	Doral Financial Corp		X		04/14/05	X									
JT	Drew Industries		X		12/09/05	X									
JT	ECC Capital Corporation		X		04/18/05	X									
JT	Encore Wire Corp		X		2 Transactions	X									
JT	Exar Corporation		X		06/23/05	X									
JT	Falcon Financial Investment		X		02/03/05	X									
JT	Friedman Billings Ramsey		X		03/17/05	X									
JT	Gevity HR Inc		X		03/14/05	X									
JT	Global Imaging Services Inc		X		01/05/05	X									
JT	Group 1 Automotive Inc		X		3 Transactions	X									
JT	Harvest Natrl Resources Inc		X		05/18/05	X									
JT	Heico Corp		X		06/24/05	X									
JT	Helen of Troy Ltd		X		11/25/05	X									
JT	Homebanc Corp		X		11/25/05	X									
JT	Hovnanian K Enterprises Inc		X		07/01/05	X									
JT	Integrated Alarm Services Grp		X		11/15/05	X									
JT	Jarden Corp		X		2 Transactions	X									
JT	Kadant Incorporated		X		07/15/05	X									
JT	Keystone Automotive Inds Inc		X		12/28/05	X									
JT	Kirklands Inc		X		10/06/05	X									
JT	KMG America Corporation		X		05/27/05	X									
JT	Leadis Technology		X		11/02/05	X									
JT	Meritage Homes Corporation		X		2 Transactions	X									
JT	Mission Resources Corp		X		01/13/05	X									
JT	Mobile Mini Inc		X		3 Transactions	X									
JT	Molina Healthcare Inc		X		01/02/00	X									
JT	Monro Muffler Brake Inc		X		2 Transactions	X									
JT	MSC Industrial Direct Co Inc		X		03/04/05	X									
JT	Multi-Fineline Electronix Inc		X		3 Transactions	X									
JT	NVR Inc		X		2 Transactions	X									
JT	National RV Hldgs Inc		X		08/30/05	X									
JT	NBTY Inc		X		11/07/05	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,00	$25,000,001-$50,000,00	Over $50,000,000
JT	New Century Financial Corp		X		2 Transactions	X									
JT	Newcastle Invt Corp		X		3 Transactions	X									
JT	Nu Horizons Electronics Corp		X		11/21/05	X									
JT	Pacificare Health Systems Inc		X		3 Transactions	X									
JT	PHH Corporation		X		04/19/05	X									
JT	Quality Distribution Inc		X		11/25/05	X									
JT	Remedytemp Inc		X		08/02/05	X									
JT	Rent-A-Center Inc		X		3 Transactions	X									
JT	Saxon Capital Inc		X		11/18/05	X									
JT	School Specialty Inc		X		06/21/05	X									
JT	Scottish Annty & Life Hdgs Ltd		X		05/02/05	X									
JT	Sea Containers Ltd		X		2 Transactions	X									
JT	Select Comfort Corporation		X		05/09/05	X									
JT	Sipex Corporation		X		05/12/05	X									
JT	Sonic Automotive Inc		X		3 Transactions	X									
JT	Supertex Inc		X		12/13/05	X									
JT	Swift Transportation		X		2 Transactions	X									
JT	Technology Investment Cap Corp		X		11/18/05	X									
JT	Toll Brothers Inc		X		2 Transactions	X									
JT	USA Truck Inc		X		2 Transactions	X									
JT	World Acceptance Corp		X		2 Transactions	X									
JT	*Goldman Sachs - PCM Core Account*														
JT	Alltell Corporation	X			03/15/05		X								
JT	Bear Stearns Companies	X			12/12/05		X								
JT	Belo Corporations Series A	X			04/27/05		X								
JT	Computer Associates Intl	X			4 Transactions		X								
JT	Eastman Kodak Company	X			4 Transactions			X							
JT	Federated Department Stores Inc	X			3 Transactions		X								
JT	Gannett Co Inc	X			2 Transactions		X								
JT	Hearst-Argyle Tel Inc	X			2 Transactions		X								
JT	Hewlett-Packard Co	X			3 Transactions			X							
JT	TD Banknorth Inc	X			2 Transactions	X									
JT	Verisign	X			09/26/05		X								
JT	ADE Corp		X		7/5/058			X							
JT	AEHR Test Systems		X		11/25/05		X								
JT	Apple Computer Inc		X		2 Transactions		X								
JT	Belo Corporations Series A		X		11/25/05		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,00	K Over $50,000,000
JT	Countrywide Financial Corp		X		4 Transactions		X								
JT	Eastman Kodak Company		X		11/25/05		X								
JT	Electro Rent Corp		X		11/25/05			X							
JT	Gannett Co Inc		X		11/25/05			X							
JT	Kimball Intl Inc		X		11/25/05	X									
JT	Media General Inc		X		11/25/05		X								
JT	Mentor Graphics Corp		X		11/25/05		X								
JT	NCR Corporation		X		3 Transactions			X							
JT	New York Times Co		X		11/25/05		X								
JT	Quantum Corporation Dlt & Storage System		X		11/25/05		X								
JT	Radioshack Corp		X		11/25/05		X								
JT	Toronto Dominion Bank		X		05/31/05	X									
JT	Virco Mfg Corp		X		11/25/05	X									
JT	*Goldman Sachs - Sound Shore Account*														
JT	AES Corp	X			12 Transactions		X								
JT	Aetna Inc	X			09/13/05	X									
JT	Agilent Technologies Inc	X			6 Transactions	X									
JT	AMBAC Financial Group Inc	X			2 Transactions	X									
JT	AON Corporation	X			14 Transactions		X								
JT	Boston Scientific Corp	X			8 Transactions		X								
JT	Chiron Corp	X			14 Transactions		X								
JT	Chubb Corp	X			3 Transactions	X									
JT	CSX Corp	X			06/24/05	X									
JT	Diebold Incorporated	X			6 Transactions		X								
JT	Directv Group Inc	X			3 Transactions	X									
JT	Flextronics International Ltd	X			18 Transactions		X								
JT	Freescale Semiconductor Inc	X			12 Transactions		X								
JT	Genworth Financial Inc	X			16 Transactions		X								
JT	Georgia-Pacific Corp	X			4 Transactions	X									
JT	Hewlett-Packard Co	X			3 Transactions	X									
JT	Honda Mtr Ltd ADR	X			3 Transactions	X									
JT	Interpublic Group	X			2 Transactions	X									
JT	Ishares Trust Russell 1000 Value Index Fund	X			11/29/05				X						
JT	Laboratory Corp Amer Hldgs	X			3 Transactions	X									
JT	Liberty Media Corp	X			09/20/05	X									
JT	Lyondell Chemical Company	X			13 Transactions		X								
JT	Marsh & McLennan Co Inc	X			14 Transactions		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,0	Over $50,000,000
JT	McDonalds Corp	X			2 Transactions	X									
JT	Morgan Stanley	X			3 Transactions		X								
JT	Pfizer Inc	X			3 Transactions		X								
JT	Southwest Airlines Co	X			16 Transactions		X								
JT	Symantec Corp	X			9 Transactions		X								
JT	The Mosaic Company	X			4 Transactions	X									
JT	Thermo Electron Corp	X			3 Transactions	X									
JT	Time Warner Inc	X			3 Transactions	X									
JT	Tyco International Ltd	X			3 Transactions	X									
JT	US Bancorp	X			5 Transactions	X									
JT	Unilever NV Ny Shares	X			6 Transactions		X								
JT	Unumprovident Corporation	X			9 Transactions		X								
JT	Waste Management Inc	X			3 Transactions	X									
JT	Watson Phrmaceuticals Inc	X			4 Transactions	X									
JT	Aetna Inc		X		9 Transactions		X								
JT	Agilent Technologies Inc		X		6 Transactions		X								
JT	AMBAC Financial Group Inc		X		10 Transactions		X								
JT	AON Corporation		X		3 Transactions	X									
JT	Baxter International Inc		X		5 Transactions		X								
JT	Berkshire Hathaway Inc Cl B		X		5 Transactions		X								
JT	CenturyTel Inc		X		18 Transactions		X								
JT	Chiron Corp		X		7 Transactions		X								
JT	Chubb Corp		X		4 Transactions		X								
JT	Cigna Corp		X		4 Transactions		X								
JT	Comerica Inc		X		15 Transactions		X								
JT	CSX Corp		X		12/20/05	X									
JT	Dana Corporation		X		7 Transactions		X								
JT	Directv Group Inc		X		2 Transactions		X								
JT	Discovery Holding CO		X		09/13/05	X									
JT	Flextronics International Ltd		X		3 Transactions		X								
JT	Freddie Mac		X		12 Transactions		X								
JT	Freescale Semiconductor Inc		X		11/14/05	X									
JT	Genworth Financial Inc		X		5 Transactions	X									
JT	Georgia-Pacific Corp		X		18 Transactions			X							
JT	Hewlett-Packard Co		X		5 Transactions		X								
JT	Honda Mtr Ltd ADR		X		03/15/05	X									
JT	Interpublic Group		X		6 Transactions		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
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JT	L-3 Communications Hldgs Inc		X		2 Transactions	X									
JT	Laboratory Corp Amer Hldgs		X		2 Transactions	X									
JT	Liberty Media Corp		X		2 Transactions		X								
JT	McDonalds Corp		X		3 Transactions	X									
JT	SPX Corporation		X		15 Transactions		X								
JT	Symantec Corp		X		11/28/05		X								
JT	Texas Instruments Inc		X		6 Transactions		X								
JT	Thermo Electron Corp		X		4 Transactions		X								
JT	Time Warner Inc		X		12/21/05	X									
JT	Tyco International Ltd		X		2 Transactions		X								
JT	US Bancorp		X		2 Transactions	X									
JT	Unumprovident Corporation		X		2 Transactions	X									
JT	Waste Management Inc		X		3 Transactions			X							
JT	Watson Pharmaceuticals Inc		X		2 Transactions	X									
JT	*Goldman Sachs - Boston Company Account*														
JT	ABN Amro Hlds NV Spon ADR	X			04/01/05	X									
JT	Aeon Co Ltd ADR	X			7 Transactions		X								
JT	Aiful Corporation ADR	X			2 Transactions	X									
JT	Ajinomoto Inc ADR	X			12/29/05	X									
JT	Allianz Aktiengesellschaft ADR	X			4 Transactions		X								
JT	Amcor Ltd ADR	X			2 Transactions	X									
JT	Ashai Kasei Corp ADR	X			4 Transactions		X								
JT	BAA PLC Spon ADR	X			08/23/05	X									
JT	Banco Santander Central Hispano SA ADR	X			12/12/05	X									
JT	Bank Fukuoka Ltd ADR	X			2 Transactions	X									
JT	Bank of East Asia Ltd Spon ADR	X			5 Transactions	X									
JT	Barclays PLC ADR	X			3 Transactions	X									
JT	Benetton Group Spa ADR	X			03/08/05	X									
JT	BNP Paribas Spon ADR	X			2 Transactions	X									
JT	Boots Group PLC ADR	X			08/11/05	X									
JT	Centrica PLC Spon ADR	X			2 Transactions	X									
JT	CIBA Specialty Chemicals ADR	X			6 Transactions		X								
JT	Citic Pacific Ltd Spon ADR	X			2 Transactions	X									
JT	Coca Cola Femsa SA ADR	X			08/11/05	X									
JT	Deutsche Bank ADR	X			3 Transactions	X									
JT	Deutsche Lufthansa AG Spon ADR	X			2 Transactions	X									
JT	Deutsche Telekom AG ADR	X			2 Transactions		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

Asset		Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,00	J $25,000,001-$50,000,00	K Over $50,000,000
JT	Energias De Portugal SA ADR	X			4 Transactions	X									
JT	France Telecom SA Spon ADR	X			8 Transactions		X								
JT	Fuji Heavy Ind Ltd ADR	X			6 Transactions	X									
JT	Fuji Photo ADR	X			11/08/05	X									
JT	Funai Electric Co ADR	X			3 Transactions	X									
JT	Hannover Ruckvesicherungs Corp ADR	X			5 Transactions		X								
JT	HSBC Holdings PLC ADR	X			5 Transactions		X								
JT	Infineon Technologies AG ADR	X			5 Transactions	X									
JT	Ishares MSCI EAFE Index Fund	X			12/01/05				X						
JT	KAO Corp Spon ADR	X			02/17/05	X									
JT	Koninklijke Philips Electrs NV ADR	X			05/03/05	X									
JT	Lafarge SA ADR	X			4 Transactions		X								
JT	Marks & Spencer PLC Spon ADR	X			3 Transactions	X									
JT	Mitsubishi Tokyo Finance Group ADR	X			4 Transactions		X								
JT	Nissan Motor Co Ltd ADR	X			5 Transactions		X								
JT	NTT Docomo Inc ADR	X			3 Transactions		X								
JT	Orix Corporation ADR	X			3 Transactions	X									
JT	Rexam PLC ADR	X			02/16/05	X									
JT	Ricoh CO Ltd ADR	X			5 Transactions		X								
JT	Sanofi-Aventis Spon ADR	X			3 Transactions	X									
JT	Schering AG Spon ADR	X			11/10/05	X									
JT	Sekisui Homes Ltd Spon ADR	X			3 Transactions	X									
JT	Shinsei Bank Ltd Tokyo Spon ADR	X			3 Transactions		X								
JT	SK Telecom Co Ltd ADR	X			4 Transactions	X									
JT	Sumitomo Mitsui Finl Group Inc ADR	X			5 Transactions		X								
JT	Svenska Cellulosa AB ADR	X			8 Transactions		X								
JT	Swiss Reinsurance Spon ADR	X			2 Transactions	X									
JT	Thomson SA Spon ADR	X			3 Transactions		X								
JT	Toto Ltd ADR	X			9 Transactions		X								
JT	Toyota Motor Corporation Spon ADR	X			4 Transactions	X									
JT	UBS AG Cmn Isin	X			2 Transactions	X									
JT	Unilever PLC ADR	X			2 Transactions	X									
JT	Valeo Spons ADR	X			10/19/05	X									
JT	VNU Spons ADR	X			2 Transactions	X									
JT	Vodafone Goup PLC Spon ADR	X			5 Transactions		X								
JT	Aeon Co Ltd ADR		X		3 Transactions		X								
JT	Aktiebolaget Electrolux Spon ADR		X		5 Transactions		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,00	J $25,000,001-$50,000,0	K Over $50,000,000
JT	Allianz Aktiengesellschaft ADR		X		10/19/05	X									
JT	Alps Electric Ltd ADR		X		4 Transactions	X									
JT	BAE Systmens PLC Spon ADR		X		2 Transactions	X									
JT	Bank Fukuoka Ltd ADR		X		9 Transactions		X								
JT	Bank of Ireland ADR		X		2 Transactions	X									
JT	Barclays PLC ADR		X		04/26/05	X									
JT	BNP Paribas Spon ADR		X		3 Transactions	X									
JT	BOC Group PLC ADR		X		4 Transactions	X									
JT	Boots Group PLC ADR		X		12/01/05	X									
JT	BT Group PLC ADR		X		01/10/05	X									
JT	Bunzl PLC ADR		X		6 Transactions		X								
JT	Centrica PLC Spon ADR		X		12/01/05	X									
JT	China Mobile Hong Kong Ltd ADR		X		3 Transactions	X									
JT	CIBA Specialty Chemicals ADR		X		12/01/05		X								
JT	Citic Pacific Ltd Spon ADR		X		2 Transactions	X									
JT	Coca Cola Femsa SA ADR		X		12/01/05	X									
JT	Danske BK ADR		X		2 Transactions	X									
JT	Deutsche Bank ADR		X		4 Transactions	X									
JT	Deutsche Lufthansa AG Spon ADR		X		3 Transactions	X									
JT	Deutsche Telekom AG ADR		X		12/01/05		X								
JT	DSM NV ADR		X		01/10/05	X									
JT	E.On AG ADR		X		12/13/05	X									
JT	Endesa SA ADR		X		2 Transactions		X								
JT	Filtrona PLC		X		07/14/05	X									
JT	Fortis NV ADR		X		2 Transactions	X									
JT	France Telecom SA Spon ADR		X		2 Transactions		X								
JT	Fuji Heavy Ind Ltd ADR		X		4 Transactions		X								
JT	Funai Electric Co ADR		X		11/16/05	X									
JT	GKN PLC ADR		X		3 Transactions	X									
JT	Glaxosmithkline PLC ADR		X		05/17/05	X									
JT	Hachijuni Bank Ltd ADR		X		7 Transactions		X								
JT	Honda Mtr Ltd ADR		X		6 Transactions		X								
JT	Korea Electric Power Crp ADR		X		2 Transactions	X									
JT	Lloyds TSB Group PLC ADR		X		3 Transactions	X									
JT	Marks & Spencer PLC Spon ADR		X		12/13/05	X									
JT	Minebea Co Ltd ADR		X		12 Transactions		X								
JT	Mitsubishi UFJ Finl Group Inc ADR		X		4 Transactions	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,00	K Over $50,000,000
JT	National Australia Bank Ltd ADR		X		4 Transactions		X								
JT	Nedbank Group Ltd ADR		X		05/27/05	X									
JT	Nestle SA ADR		X		2 Transactions	X									
JT	Nippon Teleg & Tel ADR		X		3 Transactions		X								
JT	Nissan Motor Co Ltd ADR		X		12/01/05		X								
JT	Nokia Corp ADR		X		2 Transactions	X									
JT	Novartis AG Spon ADR		X		10/19/05			X							
JT	Olympus Corporation ADR		X		3 Transactions		X								
JT	Orix Corporation ADR		X		12/28/05	X									
JT	Reuam PLC ADR		X		3 Transactions	X									
JT	San Paolo-IMI SPA ADR		X		03/23/05	X									
JT	Sanofi-Aventis Spon ADR		X		5 Transactions	X									
JT	Scottish Power PLC ADR		X		4 Transactions	X									
JT	Sekisui Homes Ltd Spon ADR		X		07/26/05	X									
JT	Shiseido Co Ltd ADR		X		7 Transactions	X									
JT	Sumitomo Mitsui Finl Group Inc ADR		X		3 Transactions		X								
JT	Svenska Cellulosa AB ADR		X		12/01/05		X								
JT	TDK Corporation ADR		X		2 Transactions	X									
JT	Thomson SA Spon ADR		X		5 Transactions	X									
JT	Toyota Motor Corporation Spon ADR		X		5 Transactions		X								
JT	UBS AG Cmn Isin		X		07/26/05	X									
JT	Unilever PLC ADR		X		06/13/05	X									
JT	United Overseas Bk Ltd ADR		X		05/26/05	X									
JT	UPM-Kymmene Corp ADR		X		03/08/05	X									
JT	VNU Spons ADR		X		12/29/05	X									
JT	Volkswagon AG ADR		X		3 Transactions	X									
JT	Wolters Kluwer ADR		X		07/04/05	X									
JT	Zurich Financial Services ADR		X		2 Transactions	X									
JT	*Goldman Sachs - Transamerica Account*														
JT	Apollo Group	X			5 Transactions			X							
JT	Chicago Mercantile Hldgs Inc	X			2 Transactions	X									
JT	Ebay Inc	X			4 Transactions			X							
JT	Expeditors Intl Wsh Inc	X			04/29/05	X									
JT	Intuit Inc	X			04/28/05	X									
JT	Ishares Russell 1000 Growth Index	X			12/08/05				X						
JT	Liberty Media Intl Inc	X			04/29/05	X									
JT	Navteq Corporation	X			8 Transactions		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,00	$25,000,001-$50,000,00	Over $50,000,000
JT	Paychex Inc	X			04/28/05	X									
JT	Qualcomm Inc	X			3 Transactions		X								
JT	Sprint Nextel Corporation	X			09/14/05		X								
JT	Staples Inc	X			04/28/05	X									
JT	United Parcel Sevice Inc	X			02/02/05	X									
JT	Unitedhealth Group Inc	X			4 Transactions			X							
JT	XM Satellite Radio Hldgs Inc	X			04/28/05	X									
JT	Zimmer Hldgs Inc	X			04/28/05	X									
JT	Chicago Mercantile Hldgs Inc		X		07/15/05		X								
JT	Echostar Communications Corp		X		3 Transactions		X								
JT	Expeditors Intl Wsh Inc		X		05/18/05	X									
JT	Genentech Inc		X		2 Transactions		X								
JT	Gillette Co		X		04/27/05	X									
JT	Lexmark International Inc		X		4 Transactions		X								
JT	Moodys Corp		X		04/29/05	X									
JT	Northern Trust Corp		X		02/25/05		X								
JT	Symantec Corp		X		02/02/05	X									
JT	United Parcel Sevice Inc		X		2 Transactions		X								
JT	Weight Watchers Inc		X		11/16/05		X								
JT	Wellpoint Inc		X		6 Transactions				X						
JT	XM Satellite Radio Hldgs Inc		X		12/08/05		X								
JT	Zimmer Hldgs Inc		X		2 Transactions			X							
JT	*Goldman Sachs - Central Municipals*														
JT	San Francisco 6.25% 11/1/07	X			05/04/05				X						
JT	San Francisco Bay Aea 4.5% 8/1/07	X			05/13/05					X					
JT	Sacramento 4% 7/10/06	X			06/09/05					X					
JT	Ventura Co 4% 7/3/06	X			06/13/05					X					
JT	Fort Wayne IN 3% 2/1/09		X		04/22/05					X					
JT	Murray City Salt Lake UT 4.25% 6/1/19		X		04/22/05			X							
JT	Travis TX 4.3% 3/1/18		X		04/22/05					X					
JT	Western Wa Univ 4% 10/1/15		X		04/22/05					X					

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

Katherine Harris
(Full Name)

501 Harbour Point Road Longboat Key, FL 34228
(Mailing Address)

(202) 225-5015
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: FL, District: 13	☐ Officer Or Employee — Employing Office:	**A $200 penalty shall be assessed against anyone who files more than 30 days late.**
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Katherine Harris	May 15, 2006

SCHEDULE I - EARNED INCOME

Name Katherine Harris

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Intercon Marketing	Spouse Salary	n/a

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Katherine Harris

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	1540 Northgate Blvd., Sarasota, FL 34234	$1,000,001 - $5,000,000	Rent	$100,001 - $1,000,000	
SP	Alco USA, Inc. Active business, import and distribution of alcohol	$250,001 - $500,000		NONE	
SP	Bank of America Checking account	$15,001 - $50,000	INTEREST	$201 - $1,000	
SP	Intercon Marketing, Inc., active business, marine products, import and distribution, Sarasota, FL	$5,000,001 - $25,000,000		NONE	
SP	Microsoft Corporation	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Katherine Harris

SP	Swego Int. Inc., active business, marine products	$100,001 - $250,000		NONE	
SP	Simple IRA, Charles Schwab, money market	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Capital City Bank, checking account	$1 - $1,000	INTEREST	$1 - $200	
	Citrus & Chemical Bancorporation, Inc., Bartow, FL	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
	Citrus & Chemical Bancorporation, Inc., Bartow, FL	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Citrus & Chemical Bancorporation, Inc., Bartow, FL*	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
	Congressional Federal Credit Union, checking account	$1,001 - $15,000		NONE	
	Congressional Federal Credit Union, savings account	$1 - $1,000	INTEREST	$1 - $200	
	Congressional Federal Credit Union, checking account	$1 - $1,000		NONE	
	Congressional Federal Credit Union, Savings account	$1 - $1,000		NONE	
	Citrus & Chemical, checking account	$1 - $1,000		NONE	
	Northern Trust Bank, NOW account	$1,000,001 - $5,000,000	INTEREST	$15,001 - $50,000	
	Northern Trust Bank, NOW account	$1 - $1,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Katherine Harris

	Raymond James Money Market Account	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Federated Government Income Securities Fund - Class F, mutual Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	IRA - Federated Government Income Securities Fund - Class F, mutual fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	IRA - Franklin US Government Securities Fund - Class A, mutual Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	IRA - Franklin Utilities Funs - Class A, mutual Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Winn Dixie Stores, Inc.	$1 - $1,000		NONE	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Katherine Harris

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Inter-American Economic Council	Jan. 12-16	Wash. D.C. -- Punta Cana, Republica Dominicana -- Johns, Antigua -- Wash. D.C.	Y	Y	Y	n/a
Public Governance Institute	March 7-8	Wash. D.C. -- Queenstown, Maryland -- Wash. D.C.	Y	Y	N	n/a

SCHEDULE VIII - POSITIONS

Name Katherine Harris

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
See Attached List	

FOOTNOTES

Name Katherine Harris

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Stock is held via Ben Hill Griffin, Jr. Revocable Intervivos Trust No. 1 and is reported based on percentage intrest in the trust.	Citrus & Chemical Bancorporation, Inc. *

Name: Katherine Harris

Attachment to 2005 Financial Disclosure Statement

Schedule VIII – Positions

Position	Organization
member	Institute for World Commerce Education
member	Council on Foreign Relations
honorary board member	Breast Health Sarasota
member	Florida Republican Executive Committee
member	Chamber of Commerce - Sarasota, Venice, Charlotte and Englewood
honorary member	Board of Governors Polk Museum of Art
honorary board member	The Ounce of Prevention Fund of Florida, Inc.
honorary board member	Hermitage Artist Retreat
honorary board member	Community AIDS Network
advisory council	Alex Schoenbaum Human Resource Center
community advisory council	All Faiths Food Bank
board of directors	The Education Foundation
volunteer	Habitat for Humanity
sustaining member	Junior League of Tampa, Junior League of Sarasota
presidential advisory council	Women's Resource Center
honorary board member	Africa Free Enterprise Education Foundation, Inc.
task force member	Getty Foundation National Arts in Education Consortium Development
director/president	KH Family Corporation
former director	FTAA Florida, Inc.
former board member, chairman	Ringling Museum Board of Trustees
former board of directors	Historic Spanish Point (Gulf Coast Heritage Association)
former board of directors	Sarasota County Arts Council
alumna, former steering council	Leadership Sarasota and Leadership Tampa
former advisory council member	Mote Marine Laboratory

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

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MELISSA A. HART
(Full Name)

1024 LONGWORTH HOB
WASHINGTON, DC 20515
(Mailing Address)

202-225-2565
Daytime Telephone

Filer Status: [X] Member of the U.S. House of Representatives — State: PA District: 04 — [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transact on exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Melissa A. Hart	5/1/05

18828

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name MELISSA A. HART | Page ___ of ___

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A	Block B	Block C	Block D	Block E
Examples: SP	Mega Corp. Stock	X (None)	DIVIDENDS X	V ($2,501 – $5,000)	P
	Simon & Schuster	Indefinite	RENT		
	1st Bank of Paducah, KY accounts	X ($100,001 – $250,000)	INTEREST X		
	PA SERS RETIREMENT	X ($1,001 – $15,000)	INTEREST X	I (None) X	
	AMERICAN FUNDS IRA (WASH MUTUAL)	X ($15,001 – $50,000)	INTEREST X	I (None) X	
	DOLLAR BANK	X ($15,001 – $50,000)	INTEREST X	IV ($1,001 – $2,500) X	
	MML EQUITY	X ($1,001 – $15,000)	INTEREST X	I (None) X	
	OPP. AGGRESSIVE GROWTH	X ($1,001 – $15,000)	INTEREST X	I (None) X	
	OPP. GLOBAL SECURITIES	X ($1,001 – $15,000)	INTEREST X	I (None) X	

Block A columns: A (None), B ($1 – $1,000), C ($1,001 – $15,000), D ($15,001 – $50,000), E ($50,001 – $100,000), F ($100,001 – $250,000), G ($250,001 – $500,000), H ($500,001 – $1,000,000), I ($1,000,001 – $5,000,000), J ($5,000,001 – $25,000,000), K ($25,000,001 – $50,000,000), L (Over $50,000,000).

Block C columns: DIVIDENDS, RENT, INTEREST, CAPITAL GAINS, EXCEPTED TRUST, QUALIFIED BLIND TRUST, Other Type of Income (Specify: For Example, Partnership Income or Farm Income).

Block D columns: I (None), II ($1 – $200), III ($201 – $1,000), IV ($1,001 – $2,500), V ($2,501 – $5,000), VI ($5,001 – $15,000), VII ($15,001 – $50,000), VIII ($50,001 – $100,000), IX ($100,001 – $1,000,000), X ($1,000,001 – $5,000,000), XI (Over $5,000,000).

Block E: P, S, E.

Royalties

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name MELISSA A. HART

Page ___ of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	OPP. STRATEGIC BOND			X												X					X												
	Comm of PA Ext. Mkt. Fund				X											X					X												
	Comm of PA US TACTICAL ASSET				X											X					X												
	ROGERS BRS MNY RES		X											X								X										
	BAUSCH & LOMB				X									X								X										
	II - VI				X									X			X															
	CONCUR TECHNOLOGIES			X										X																		
	ASTRAZENECA STOCK			X										X							X												P
	GMAC CPN			X										X							X												
	AVAYA STOCK		X																		X												
	COMCAST STOCK			X																	X												
	CON AGRA STOCK			X										X								X											
	DEL MONTE STOCK		X																		X												
	DIAGEO STOCK			X										X									X										
	GE STOCK			X										X									X										
	KOREA ELECTRIC POWER ST.			X																	X												P
	LUCENT TECH. STOCK		X																		X												
	MEDTRONIC STOCK			X										X								X											
	MICROSOFT			X										X								X											
	RESPIRONICS STOCK				X																X												
	UBS BANK USA ACCT		X													X						X											
	AM. FUNDS EURO PACIFIC				X									X			X						X										P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name MELISSA A. HART | Page ___ of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	AM. FUNDS WORLD GROWTH				X									X			X					X										P
	AM. FUNDS CAP INCOME			X										X			X					X										
	THORNBURG INT'L				X									X			X					X										P
	ANSOFT CORP.				X												X								X							S

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Name | Page — of —

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	ANSOFT CORP COMMON STOCK		X		1-27-05		X								
	ASTRAZENECA COMMON STOCK	X			8-26-05	X									
	KOREA ELECTRIC POWER COM STOCK	X			8-26-05	X									
	AM. FUNDS CAP WORLD GROWTH FUND	X			8-26-05		X								
	AM. FUNDS EURO PACIFIC	X			8-26-05	X									
	THORNBURG INT'L VALUE FUND	X			8-26-05	X									

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Name MELISSA A. HART	Page ___ of ___

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
TRUSTEE UNCOMP. NON-PROFIT	WASHINGTON & JEFFERSON COLLEGE
TRUSTEE UNCOMP. NON-PROFIT	UNIVERSITY OF PITTSBURGH
TRUSTEE UNCOMP. NON-PROFIT	COMMUNITY COLLEGE OF ALLEGHENY COUNTY
DIRECTOR UNCOMP. NON-PROFIT	VETERAN'S LEADERSHIP COUNCIL
DIRECTOR UNCOMP. NON-PROFIT	PITTSBURGH FILM OFFICE
DIRECTOR UNCOMP. NON-PROFIT	PITTSBURGH BALLET THEATRE

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2006 25-628 (mac)

Use additional sheets if more space is required.

MELISSA A. HART
4TH DISTRICT, PENNSYLVANIA

COMMITTEE ON WAYS AND MEANS
SUBCOMMITTEE ON
SELECT REVENUE MEASURES
SUBCOMMITTEE ON
HUMAN RESOURCES

Congress of the United States
House of Representatives
Washington, DC 20515–3804

1024 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-2565
FAX: (202) 225-2274

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2006 MAY 10 AM 11:52

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

May 10, 2006

2

MC

Karen Haas, Clerk of the House
H-154
Washington, DC 20515

Re: ***Addendum to 2005 Financial Disclosure Report***

Dear Ms. Hass:

Enclosed please find an additional document that I respectfully request be added to my financial disclosure report. The document is a Schedule VII report that notes my attendance at the Heritage Foundation-sponsored Republican Study Committee (RSC) retreat last year.

Thank you for your assistance. Please do not hesitate to contact me or my chief of staff, Bill Ries, if you need additional information from me.

Yours very truly,

Melissa A. Hart
Member of Congress

MAH/wsr

501 LAWRENCE AVENUE
ELLWOOD CITY, PA 16117
(724) 752-0480
FAX: (724) 752-0494

4655 ROUTE 8, SUITE 124 G
COVENTRY SQUARE SHOPPING CENTER
ALLISON PARK, PA 15101
(412) 492-0181
TOLL FREE: 1-866-359-HART
FAX: (412) 492-0178

PRINTED ON RECYCLED PAPER

Name Melissa A. Hart | Page ___ of ___

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	RSC Members Retreat (Heritage Foundation)	~~Feb~~ Feb 3 - Feb 5	Washington, DC - Baltimore - Pittsburgh	Y $425.26	Y $191.91	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2006 MAY 12 PM 4:23
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

A $200 penalty shall be assessed against anyone who files more than 30 days late.

J. Dennis Hastert
(Full Name)

H-232 of the Capitol Washington, DC 20515
(Mailing Address)

202-225-6398
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: IL District: 14	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☑ No ☐	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calender year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dennis Hastert	May 11, 2006

1836

SCHEDULE I - EARNED INCOME

Name J. Dennis Hastert

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Illinois -- Yorkville School District #115	Spouse Pension	N/A
State of Illinois Pension	Pension	$32,529
Farm	Spouse income	N/A
1/4 share of farm property -- 69 acres (Plano, IL)	Spouse income	N/A

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name J. Dennis Hastert

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Diageo North America	Night of Irish Treasures Charity Event -- Appearance	March 17, 2005	$2,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name J. Dennis Hastert

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Northwestern Mutual Life Variable Annuity -- Northwestern Life Aggressive Stock Fund	$1,001 - $15,000	DIVIDENDS, INTEREST, and CAPITAL GAINS	NONE	
SP	Northwestern Mutual Life Variable Annuity -- Northwestern Life Aggressive Stock Fund	$1,001 - $15,000	DIVIDENDS, INTEREST, and CAPITAL GAINS	NONE	
SP	Home/Property (less 69 acres sold)	$1,000,001 - $5,000,000	FARM	N/A	E (sold 69 acres)
JT	1/4 share of 69 acres (Plano, IL)	$500,001 - $1,000,000	FARM	N/A	E (sold)
JT	1/3 share of 125.96 acres (Kendall County, IL)	$1,000,001 - $5,000,000	FARM	N/A	E (purchase)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name J. Dennis Hastert

JT	Yorkville National Bank -- Personal Account	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Yorkville National Bank -- Farm Account	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Farm -- Crawford County, Wisconsin (275 acres)	$500,001 - $1,000,000	FARM	N/A	E (purchase)
JT	Yorkville National Bank -- Optimum Account	$100,001 - $250,000	INTEREST	$201 - $1,000	
	Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	
	Bear Stearns Mutual Fund, Dreyfus Premier S&P Stars Fund Class T	$15,001 - $50,000		$50,001 - $100,000	S
JT	Townhouse Property -- Washington, DC	$250,001 - $500,000	RENT	$5,001 - $15,000	
	Regnery Publishing	Indefinite	ROYALTIES	$15,001 - $50,000	
JT	North Star Trust Company	$1,000,001 - $5,000,000	INTEREST	$2,501 - $5,000	E (escrowed exchange account agreement

SCHEDULE IV - TRANSACTIONS

Name J. Dennis Hastert

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	1/4 interest in 69 acres (Plano, IL) plus 69 acres from home property (assets conveyed)	E	12-7-05	$1,000,001 - $5,000,000
JT	1/3 interest in 125.96 acres (Kendall County, IL) plus 275 acres in farm (Crawford, Wisconsin) -- (assets received)	E	12-7-05	$1,000,001 - $5,000,000
	Bear Stearns Mutual Fund, Dreyfus Premier S&P Stars Fund Class T	S	12-5-05	$50,001 - $100,000

SCHEDULE V - LIABILITIES

Name J. Dennis Hastert

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Yorkville National Bank	Mortgage of home/farm	$1,000,001 - $5,000,000
JT	EverHome Mortgage	Washington, D.C., townhouse	$50,001 - $100,000
JT	Yorkville National Bank	Mortgage on 1/4 share of 69 acres land purchase (Plano, IL)	$250,001 - $500,000

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2006 MAY 11 PM 4:28
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)
HC

The Honorable Alcee Lamar Hastings
(Full Name)

2353 Raybum House Office Building Washington, DC 20515
(Mailing Address)

202-225-1313
Daytime Telephone:

Filer Status ☑ Member of the U.S. House of Representatives — State: FL District: 23 | ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Alcee L. Hastings	5/11/06

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name The Honorable Alcee Lamar Hastings

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Wright Patman Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE V - LIABILITIES

Name The Honorable Alcee Lamar Hastings

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Robert Catz	Legal Fees	$500,001 - $1,000,000
	Terrance Anderson	Legal Fees	$1,000,001 - $5,000,000
	Patricia Williams	Legal Fees	$500,001 - $1,000,000
	Karr and McClan	Legal Fees	$100,001 - $250,000
	Mark McDonald	Legal Fees	$15,001 - $50,000
	Lewis Meyers	Legal Fees	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name The Honorable Alcee Lamar Hastings

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Connell Industries, Inc.	Oct. 13-16	Miami-Newark-DC	Y	Y	N	N/A

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

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HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2006 MAY 11 AM 9:56
OFFICE OF THE C. ERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

(Full Name) DOC HASTINGS

(Mailing Address) PO BOX K PASCO, WA 99302

Daytime Telephone: 202-225-5816

Filer Status	[X] Member of the U.S. House of Representatives — State: WA District: 04	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	5/1/06

1847

Name DOC HASTINGS

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp Stock					X								X										X								P
DC, JT	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
JT	COLUMBIA BASIN PAPER & SUPPLY TRUST/PETERSON HASTINGS							X																		NA						
JT	YAKIMA FEDERAL - DEPOSIT				X																											
JT	CHEVY CHASE - DEPOSIT			X																												
JT	COLUMBIA TRUST - DEPOSIT			X																												
JT	CHAS SCHWAB - IRA				X																											

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name DOC HASTINGS

Page 2 of 3

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	MATHEWS-PACIFIC TIGER			X																												
	SCHWAB EQUITY SELECT			X																												
	SCHWAB INT'L INDEX			X																												
	SCHWAB SM CAP			X																												
	DEPOSIT ACCTS			X																												
JT	VANGUARD GROUP				X																											
	TOTAL INT'L INDEX			X																												
	TOTAL ST MKT INDEX			X																												

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	104th CLASS RETREAT						
	CONGRESSIONAL INSTITUTE	JAN 7-9	WASH D.C - SCOTTSDALE, AZ -				
			PASCO, WA	Y	Y	Y	NONE
	EXCHANGE MONITOR PUBLICATIONS						
	AND FORUMS, NUCLEAR	OCT 13-14	SEATTLE, WA - JACKSONVILLE, FL				
	CLEANUP CONF		WASH D.C.	Y	Y	N	NONE

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

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MC

Doc Hastings
(Full Name)

PO Box K, Pasco, WA 99302
(Mailing Address)

Daytime Telephone: 202-225-5816

Filer Status	[X] Member of the U.S. House of Representatives	State: WA District: 04	[ ] Officer or Employee	Employing Office:
Report Type	[ ] Annual (May 15)	[X] Amendment	[ ] Termination	Termination Date:

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III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	8 JUNE 06

Name Dee Hastings

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

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If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (Dividends; Rent; Interest; Capital Gains; Excepted Trust; Qualified Blind Trust; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP	Mega Corp. Stock	E	Dividends; Royalties	V	P
DC	Simon & Schuster	D	Royalties	IX	
JT	1st Bank of Paducah, KY accounts	F	Interest	VI	
JT	Columbia Basin Paper & Supply				
	Trust / Peterson Hastings	G		I	
JT	Yakima Federal - Deposit	D	Interest	II	
JT	Columbia Trust - Deposit	C	Interest	II	
JT	Chevy Chase - Deposit	C	Interest	II	
JT	Chas Schwab - IRA	D	Dividends	V	

For additional assets and unearned income, use next page.

1852

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name DIL HASTINGS

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	MATHEWS PACIFIC TIGER			X										X									X									
	SCHWAB INST SELECT			X										X									X									
	SCHWAB INT'L INDEX			X										X									X									
	SCHWAB SM CAP			X										X									X									
	DEPOSIT ACCTS			X												X									X							
JT	VANGUARD GROUP				X									X										X								
	TOTAL INT'L INDEX			X										X									X									
	TOTAL ST MKT INDEX			X										X									X									

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For use by Members, officers, and employees

HAND DELIVERED
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OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

ROBERT CANNON HAYES
(Full Name)

PO BOX 2000
(Mailing Address)

CONCORD NC 28026

Daytime Telephone (704) 795-2000

Filer Status [X] Member of the U.S. House of Representatives — State: NC District: 8 — [ ] Officer or Employee — Employing Office:

Report Type [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Robert Cannon Hayes	05-11-06

1854

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X										X								P
DC	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						

SEE ATTACHMENTS

For additional assets and unearned income, use next page.

1855

Name ROBERT CANNON HAYES

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
SP	AMERIPRISE FINCL. INC. COMMON STOCK		X		10-20-05	X									
SP	LANCER CP COMMON STOCK		X		10-20-05	X									
SP	MYLON LABORATORIES INC. COMMON STOCK		X		12-6-05	X									
SP	PFIZER INC. COMMON STOCK	X			12-6-05	X									
SP	WILLIAMS CO. INC. COMMON STOCK	X			10-20-05	X									
SP	BERKSHIRE HATHAWAY A COMMON STOCK	X			1-6-05			X							
SP	GRAN PACIFICA RESORT S.A. COMMON STOCK	X			3-16-05 12-5-06				X						

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	FNB SOUTHEAST; REIDSVILLE, NC	COMMERCIAL LOAN, AIRPLANE							X			

SCHEDULE VI — GIFTS - NONE

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature, and positions listed on Schedule I.

Position	Name of Organization
MEMBER	ARCTIC SOUTHERN FARMS, LLC
PRESIDENT, DIRECTOR	MOUNT PLEASANT HOSIERY MILL, INC.
MEMBER	PALMER MOUNTAIN, LLC
DIRECTOR	CANNON FOUNDATION, INC

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

ROBERT CANNON HAYES
ATTACHMENT TO SCHEDULE III-ASSETS AND "UNEARNED INCOME"

		VALUE OF ASSET												TYPE OF INCOME						AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L							I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
SP, DC, JT	ASSET AND/OR INCOME SOURCE	NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,000-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED TRUST	QUALIFIED BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	BERKSHIRE HATHAWAY B; STOCK			X																	X										
	SOLECTRON CP. STOCK			X																	X										
	EMC CORP MASS; STOCK			X																	X										
	FREESCALE SEMICONDUCTOR CL. B (SPIN-OFF OF MOTOROLA INC.)		X																		X										
	GENUITY INC. CL. A; STOCK	X																			X										
	INTEL CORP; STOCK			X										X								X									
	JDS UNIPHASE; STOCK		X																		X										
	MOTOROLA INC.; STOCK			X										X								X									
	ORACLE CORP; STOCK			X																	X										
	VIGNETTE CORP.; STOCK		X																		X										
SP	ACTIVE ASSETS MONEY TRUST; MM			X										X								X									
SP	AMERICAN EXPRESS; STOCK			X										X								X									
SP	AMERIPRISE FINCL INC; STOCK (SPIN-OFF OF AMERICAN EXPRESS)	X															X						X								S
SP	BB&T CORP. STOCK				X									X										X							
SP	CONOCOPHILLIPS; STOCK					X								X										X							
SP	CORNING INC.; STOCK			X																	X										
SP	INTEL CORP; STOCK			X										X								X									
SP	LANCER CP; STOCK	X															X								X						S

1 of 3

ROBERT CANNON HAYES
ATTACHMENT TO SCHEDULE III-ASSETS AND "UNEARNED INCOME"

		VALUE OF ASSET												TYPE OF INCOME						AMOUNT OF INCOME												TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L							I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
SP, DC, JT	ASSET AND/OR INCOME SOURCE	NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,000-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED TRUST	QUALIFIED BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E (CAPITAL LOSS EXCEED DIVIDEND INCOME)
SP	MYLAN LABORATORIES INC., STOCK	X												X			X				X											
SP	PFIZER INC., STOCK			X																	X											P
SP	SYMBOL TECH. STOCK			X										X								X										
SP	WILLIAMS CO INC, STOCK			X										X								X										P
	SUNOCO INC., STOCK				X									X									X									
	RICHFIELD PROPERTY, COLP ROAD RICHFIELD NC 28137						X														X											
	WISCONSIN PROPERTY, C LINE ROAD BREED, WI				X																X											
	MOUNT PLEASANT HOSIERY MILL BLDG. 1467 N MAIN ST., MT. PLEASANT, NC						X								X										X							
	AIRPLANE; CONCORD, NC									X					X						X (NET)						X (GROSS)					
	MOUNT PLEASANT HOSIERY INC., STOCK, MT. PLEASANT, NC	X																			X											
	FIRST CHARTER NAT'L BANK, CD, CHARLOTTE, NC (CD CLOSED IN 2005)	X														X						X										
SP	FIRST CHARTER NAT'L BANK, CD, CHARLOTTE, NC (CD CLOSED IN 2005)	X														X						X										
	J. CLARY PHILLIPS	X (SUB-LEASE ENDED IN 2004)																			X											
JT	WACHOVIA CAP ACCOUNT						X									X									X							
SP	WACHOVIA CAP ACCOUNT			X										X								X										
	TRUST C A CANNON DTD 12-3-53 (SEE SCHEDULE III, ATTACHMENT A)									X				X		X												X				
	TRUST C A CANNON DTD 5-12-53 (SEE SCHEDULE III, ATTACHMENT B)										X			X		X									X							
	TRUST C A CANNON 12/27 (SEE SCHEDULE III, ATTACHMENT C)											X		X		X	X												X			
	ARCTIC SOUTHERN FARMS, LLC	X (LAND OWNED BY TRUST)													X		X							X								

ROBERT CANNON HAYES
ATTACHMENT TO SCHEDULE III-ASSETS AND "UNEARNED INCOME"

		VALUE OF ASSET												TYPE OF INCOME						AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L							I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
SP, DC, JT	ASSET AND/OR INCOME SOURCE	NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,000-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED TRUST	QUALIFIED BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	LOAN TO KEVIN SIMPSON CONCORD, NC			X (SALE OF REAL ESTATE FINANCING)												X								X							
SP	EDWIN W. WEILAND MARITAL TRUST-- INHERITANCE (FINAL K-1 IN 2005)	X																		X											
SP	BARBARA W. HAYES TRUST (SEE SCHEDULE III, ATTACHMENT D)								X					X		X	X								X						
SP	BERKSHIRE HATHAWAY A, STOCK					X														X											P
SP	GRAN PACIFICA RESORT S.A.						X							X										X							P

3 of 3

ROBERT CANNON HAYES

MAY 1, 2006

To Whom It May Concern:

Mr. Hayes earns a fraction of the income generated from these trusts. Securities and other assets held in these trusts are for the benefit of future owners, not Mr. Hayes.

SUMMARY OF INVESTMENTS

ROBERT C HAYES TRUST
WACHOVIA BANK, N.A.
CO-TRUSTEE W/R C HAYES AND
W H GALLOWAY U/IR/A WITH
C A CANNON DATED 12-5-51 #39

ASSETS HELD 12/31/05
VALUED AS OF 12/31/05

CATEGORY	MARKET VALUE	%	ESTIMATED ANNUAL INCOME	CURRENT YIELD
CASH & EQUIVALENTS				
CASH	20,546.74	0.74	0	0.00
TEMPORARY INVESTMENTS	82,654.45	2.98	2,767	3.35
TOTAL CASH & EQUIVALENTS	103,313.71	3.71	2,767	4.46
FIXED INCOME				
MUNICIPALS	562,001.50	20.32	22,774	4.05
EQUITIES				
COMMON STOCKS	2,101,809.62	75.96	51,651	2.41
SUMMARY				
TOTAL FUND	2,765,824.83	100.00	[illegible]	2.[illegible]

FOR THE TAX YEAR ENDING 12/31
NET SHORT TERM GAIN/LOSS 0.00
NET LONG TERM GAIN/LOSS * 139,279.37
* INCLUDES LONG TERM CAPITAL GAINS DIVIDENDS

SCHEDULE OF INVESTMENTS

ROBERT C HAYES TRUST
WACHOVIA BANK, N.A.
CO-TRUSTEE W/R C HAYES AND
W H GALLOWAY U/IR/A WITH
J A CANNON DATED 12-5-57 #45

ASSETS HELD 12/31/05
VALUED AS OF 12/31/05

SHARES OR FACE AMOUNT	DESCRIPTION	EST INC	SMBR YLD	UNIT COST	UNIT MARKET	TOTAL TAX COST	TOTAL MKT VALUE	PCT MV	UNREAL GN/LG
	CASH & EQUIVALENTS								
	CASH	0	0.0			20,545.74-	20,545.74-	0.74-	0
	TEMPORARY INVESTMENTS								
	EVERGREEN MUNICIPAL MONEY MARKET FUND CL I (FD #496)	2,767	3.0			82,559.45	82,559.45	2.98	0
	TOTAL CASH & EQUIVALENTS	2,767	4.5			62,013.71	62,013.71	2.24	0
	FIXED INCOME								
	MUNICIPALS								
50,000	CHARLOTTE NC BD DTD 8/1/98 OID PUB IMPT SER A (C 2/1/2008 @ 102) 4.75% 02/01/2013-2008	2,375	4.5	99.30	104.79	49,680.62	52,394.50	1.89	2,714
100,000	FORSYTH CNTY NC G.O. BD DTD 09/01/2003 2.75% 03/01/2008	2,750	2.8	100.25	98.48	100,247.60	98,484.00	3.56	1,764
100,000	NEW HANOVER CNTY NC HOSP REV FD DTD 2/15/99 OID NEW HANOVER REGL MED CTR PJ (C 10/1/2009 @ 101) 4.75% 10/01/2014-2009	4,750	4.6	99.50	104.22	99,503.12	104,216.00	3.77	4,713
100,000	NORTH CAROLINA ST BD DTD 3/1/97 SER A BEO (C 3/1/2007 @101) 5.2% 03/01/2009-2007	5,200	5.0	100.56	103.10	100,575.71	103,095.00	3.73	2,519
100,000	STANLY CNTY NC ND DTD 2/1/2001 (C 2/1/2011 @100.5) 4.6% 02/01/2012-2011	4,600	4.4	101.59	105.57	101,587.57	105,574.00	3.82	3,986
100,000	UNV NC SYS POOL- REV BD DTD 10/29/2003 OID SER B 3.1% 04/01/2013	3,100	3.2	99.86	98.24	99,881.42	98,238.00	3.55	1,643-
	TOTAL MUNICIPALS	22,775	4.1			551,476.04	562,001.50	20.32	10,525
	EQUITIES								

SCHEDULE OF INVESTMENTS

ROBERT C HAYES TRUST
WACHOVIA BANK, N A
CO-TRUSTEE W/R C HAYES AND
N H GALLOWAY U/IR/A WITH
C A CANNON DATED 12-5-51 ###

ASSETS HELD 12/31/05
VALUED AS OF 12/31/05

SHARES OR FACE AMOUNT	DESCRIPTION	EST INC	CURR YLD	UNIT COST	UNIT MARKET	TOTAL TAX COST	TOTAL MKT VALUE	PCT MV	UNREAL GN/LS
	COMMON STOCKS								
6,000	ALTRIA GROUP INC COM	19,200	4.3	6.99	74.72	41,915.00	448,320.00	16.21	406,405
6,500	AMERICAN INTL GRP INC COM	3,900	0.9	3.29	68.23	21,392.33	443,495.00	16.03	422,103
1,100	ANHEUSER BUSCH COS INC COM	1,188	2.5	45.34	42.96	49,874.00	47,256.00	1.71	2,618-
20	BERKSHIRE HATHAWAY INC DEL CL B	0	0.0	2,839.50	2,935.50	56,410.00	58,710.00	2.12	2,300
2,156	CHEVRONTEXACO CORP COM	3,881	3.2	18.54	56.77	39,963.00	122,396.12	4.43	82,433
1,300	CISCO SYS INC COM	0	0.0	13.01	17.12	16,917.03	22,256.00	0.80	5,339
1,500	COMCAST HLDGS INC CL A	0	0.0	31.87	25.92	47,797.95	38,880.00	1.41	8,918-
3,600	DU PONT E I DE NEMOURS & CO COM	5,328	3.5	13.22	42.50	47,592.00	153,000.00	5.53	105,408
4,000	DYNEGY ENERGY COM	4,960	4.5	11.30	27.45	45,195.00	109,800.00	3.97	64,605
1,150	EXPEDIA INC COM	0	0.0	19.82	23.96	22,796.59	27,554.00	1.00	4,757
2,800	EXXON MOBIL CORP COM	5,248	2.1	14.62	56.17	40,942.00	157,276.00	5.69	116,333
1,300	FIRST DATA CORP COM	312	0.6	37.46	43.01	48,698.00	55,913.00	2.02	7,215
1,000	HOME DEPOT INC COM	400	1.0	44.94	40.48	44,935.00	40,480.00	1.46	4,455-
1,150	IAC / INTERACTIVECORP COM	0	0.0	24.76	28.31	28,470.41	32,556.50	1.18	4,086
2,000	KONINKLIJKE PHILIPS ELECTRS N V N Y REGISTRY SH NEW 2000	874	1.4	25.40	31.10	50,806.00	62,200.00	2.25	11,400
3,700	NEWS CORP INC CLASS B COM	370	0.6	17.05	16.63	63,092.51	61,487.00	2.22	1,637-
9,200	ROYAL DUTCH SHELL PLC - ADR A	7,110	3.6	23.67	61.49	43,732.00	196,768.00	7.11	153,036
2,200	TYCO INTL LTD NEW COM	880	1.4	29.31	28.86	64,482.00	63,492.00	2.30	990-
	TOTAL COMMON STOCKS	51,651	2.4			705,006.52	2,141,809.62	77.44	1,366,803
	TOTAL FUND	77,193	2.8			1,388,496.27	2,765,824.83	100.00	1,377,329

SUMMARY OF INVESTMENTS

ROBERT C. HAYES TRUST
WACHOVIA BANK, N.A.
CO-TTEE W/ROBERT C HAYES JR
W C CANNON JR I/IR/A WITH
C A CANNON DTD 5-12-53 #109

ASSETS HELD 12/31/05
VALUED AS OF 12/31/05

CATEGORY	MARKET VALUE	%	ESTIMATED ANNUAL INCOME	CURRENT YIELD
CASH & EQUIVALENTS				
CASH				
TEMPORARY INVESTMENTS	[illegible]	[illegible]	11,848	3.35
TOTAL CASH & EQUIVALENTS	353,463.44	2.09	11,848	3.35
EQUITIES				
COMMON STOCKS	[illegible]	[illegible]	[illegible]	[illegible]
OTHER ASSETS & LIABILITIES				
CONTRACT AND NOTES - UNSECURED	2,649,062.45	15.70	158,944	6.00
REAL ESTATE	[illegible]	[illegible]	36,600	6.66
LIABILITIES	[illegible]	[illegible]	0	0.00
TOTAL OTHER ASSETS & LIABILITIES	8,225,410.31	48.74	[illegible]	2.38
SUMMARY				
TOTAL FUND	16,875,029.64	100.00	462,122	2.74

FOR THE TAX YEAR ENDING 12/31
NET SHORT TERM GAIN/LOSS 26,597.85-
NET LONG TERM GAIN/LOSS * 329,889.44
* INCLUDES LONG TERM CAPITAL GAINS DIVIDENDS

SCHEDULE OF INVESTMENTS

ROBERT C. HAYES TRUST
WACHOVIA BANK, N.A.
CO-TTEE W/ROBERT C HAYES JR
W C CANNON JR I/IR/A WITH
C A CANNON DTD 5-12-53 #108

ASSETS HELD 12/31/05
VALUED AS OF 12/31/05

SHARES OR FACE AMOUNT	DESCRIPTION	EST INC	CURR YLD	UNIT COST	UNIT MARKET	TOTAL TAX COST	TOTAL MKT VALUE	PCT MV	UNREAL GN/LS
	CASH & EQUIVALENTS								
	TEMPORARY INVESTMENTS								
	EVERGREEN MUNICIPAL MONEY MARKET FUND CL I (FD #496)	11,848	3.4			353,463.44	353,463.44	2.09	0
	TOTAL CASH & EQUIVALENTS	11,848	3.4			353,463.44	353,463.44	2.09	0
	EQUITIES								
	COMMON STOCKS								
24,000	ALTRIA GROUP INC COM	76,800	4.3	1.50	74.72	81,978.00	1,793,280.00	10.63	1,709,305
10,000	AMERICAN INTL GRP INC COM	6,000	0.9	3.29	68.23	32,911.27	682,300.00	4.04	649,389
2,500	ANHEUSER BUSCH COS INC COM	2,700	2.0	50.31	42.96	125,775.00	107,400.00	0.64	18,375-
10,400	BRISTOL-MYERS SQUIBB CO COM	11,648	4.9	3.49	22.98	36,316.87	238,992.00	1.42	202,675
3,800	CITIGROUP INC COM	5,764	3.6	5.53	48.53	21,178.84	166,500.79	1.11	145,322
4,200	COMCAST HLDGS INC CL A	0	0.0	29.43	25.92	123,585.00	108,864.00	0.65	14,721-
2,300	DIAGEO PLC SPONSORED ADR NEW	4,915	4.2	54.45	58.30	125,260.99	134,090.00	0.79	8,829
5,200	DUKE ENERGY COM	6,448	4.5	19.24	27.45	100,071.92	142,740.00	0.85	42,668
1,150	EXPEDIA INC COM	0	0.0	19.76	23.96	22,719.48	27,554.00	0.16	4,834
38,400	GENERAL ELEC CO COM	38,400	2.9	0.67	35.05	25,839.69	1,345,920.00	7.98	1,320,080
1,150	IAC / INTERACTIVECORP COM	0	0.0	24.67	28.31	28,374.36	32,556.50	0.19	4,182
1,400	INTL BUSINESS MACHINES CORP COM	1,120	1.0	90.82	82.20	127,153.04	115,080.00	0.68	12,073-
3,200	JP MORGAN CHASE & CO COM	4,352	3.4	38.64	39.69	123,648.00	127,008.00	0.75	3,360
1,500	JEFFERSON-PILOT CORP COM	2,505	2.6	15.21	56.93	22,817.78	85,395.00	0.51	62,577
6,500	MCDONALDS CORP COM	4,355	2.0	3.69	33.72	23,959.97	219,180.00	1.30	195,220
14,000	MERCK & CO INC COM	21,280	4.8	2.56	31.81	35,816.30	445,340.00	2.64	409,522
4,500	MICROSOFT CORP COM	1,620	1.4	28.26	26.15	127,170.00	117,675.00	0.70	9,495-
6,000	NESTLE S A SPONSORED ADR REPSTG REG SH	6,456	1.4	15.63	74.75	93,750.00	448,500.00	2.66	354,750
6,000	NEWS CORP INC CLASS B COM	600	0.6	17.55	16.61	102,312.80	99,660.00	0.59	2,654-
20,000	PFIZER INC COM	27,840	4.1	1.20	23.32	34,941.27	676,280.00	4.01	641,339
6,000	PITNEY-BOWES INC COM	7,440	2.9	3.98	42.25	23,899.87	253,500.00	1.50	229,600
9,600	ROYAL DUTCH SHELL PLC - ADR A	21,331	3.6	12.24	63.49	117,458.00	550,304.00	3.50	472,846
3,600	SONY CORP ADR NEW COM	756	0.5	35.09	40.80	126,324.00	146,880.00	0.87	20,556
3,500	TYCO INTL LTD NEW COM	1,400	1.4	29.31	28.86	102,585.00	101,010.00	0.60	1,575-

SCHEDULE OF INVESTMENTS

ROBERT C. HAYES TRUST
WACHOVIA BANK, N.A.
CO-TTEE W/ROBERT C HAYES JR
W C CANNON JR I/IR/A WITH
C A CANNON DTD 5-12-53 #108

ASSETS HELD 12/31/95
VALUED AS OF 12/31/95

SHARES OR FACE AMOUNT	DESCRIPTION	EST INC	CURR YLD	UNIT COST	UNIT MARKET	TOTAL TAX COST	TOTAL MKT VALUE	PCT MV	UNREAL GN/LS
1,040	ZIMMER HLDGS INC COM	0	0.0	1.77	67.44	1,840.04	70,137.60	0.42	68,298
	TOTAL COMMON STOCKS	254,730	3.1			1,789,687.44	8,296,166.85	49.16	6,506,459
	OTHER ASSETS & LIABILITIES								
	CONTRACT AND NOTES - UNSECURED								
	PROMISSORY NT DTD 10/9/93 FROM ROBERT C HAYES SECD BY LIFE INS CONN INS CO POL #2452341H 6% 10/09/1999	158,944	6.0			2,649,062.45	2,649,062.45	15.70	0
	REAL ESTATE								
1	CABIN ON 13.075+- ACRES, LAKE COUNTY, MINNESOTA	0	0.0	18,610.89	18,610.89	18,610.89	18,610.89	0.11	0
1	40+- ACRES INCL 2 CABINS SE 1/4 OF SE 1/4, SECTION 7, TWP. 55 N., RANGE 10 WEST, LAKE CO., MN.	600	2.8	22,351.03	21,634.50	22,351.03	21,634.50	0.13	717-
1	TIMBER LOCATED ON 13.075+- ACRES LAKE COUNTY MINNESOTA	0	0.0	304,445.37	736,703.47	304,445.37	736,703.47	4.37	432,258
1	60 BRAYS ISLAND DRIVE SHELDON, SC 29941	36,000	1.9	266,234.90	1,875,000.00	266,234.90	1,875,000.00	11.11	1,608,765
1	13,022.90 +/- ACRES TIMBERLAND LAKE COUNTY, MINNESOTA	0	0.0	393,395.38	2,924,400.00	393,395.38	2,924,400.00	17.33	2,531,005
	TOTAL REAL ESTATE	36,600	0.7			1,005,037.57	5,576,348.86	33.04	4,571,311
	LIABILITIES								
	ASSIGNMENT OF INCOME DTD 10/9/99 RE:PROMISSORY NT HELD IN THS ACCT	0	0.0			1.00-	1.00-	0.00-	0
	TOTAL OTHER ASSETS & LIABILITIES	195,544	2.4			3,654,099.02	8,225,410.31	48.74	4,571,311
	TOTAL FUND	462,122	2.7			5,797,249.90	16,875,020.64	100.00	11,077,771

SUMMARY OF INVESTMENTS

C A CANNON (322) TRUST
WACHOVIA BANK, N.A.
CO-TTEE W/R C HAYES JR,
R C HAYES, W H GALLOWAY &
W C CANNON JR FBO ROBERT C HAYES

ASSETS HELD 12/31/05
VALUED AS OF 12/31/05

CATEGORY	MARKET VALUE	%	ESTIMATED ANNUAL INCOME	CURRENT YIELD
CASH & EQUIVALENTS				
CASH	42,815.09-	0.11-	0	0.00
TEMPORARY INVESTMENTS	897,101.68	2.31	30,071	3.35
TOTAL CASH & EQUIVALENTS	854,286.59	2.20	30,071	3.52
FIXED INCOME				
MUNICIPALS	6,897,220.50	17.73	310,989	4.51
EQUITIES				
COMMON STOCKS	30,930,990.67	79.49	792,101	2.56
OTHER ASSETS & LIABILITIES				
PARTNERSHIPS	229,000.00	0.59	0	0.00
SUMMARY				
TOTAL FUND	38,911,497.76	100.00	1,133,171	2.91

FOR THE TAX YEAR ENDING 12/31
NET SHORT TERM GAIN/LOSS 27,980.48-
NET LONG TERM GAIN/LOSS * 1,064,371.62
* INCLUDES LONG TERM CAPITAL GAINS DIVIDENDS

SCHEDULE OF INVESTMENTS

C A CANNON (322) TRUST
WACHOVIA BANK, N.A.
CO-TTEE W/R C HAYES JR,
R C HAYES, W R GALLOWAY &
W C CANNON JR FBO ROBERT C HAYES

ASSETS HELD 12/31/05
VALUED AS OF 12/31/05

SHARES OR FACE AMOUNT	DESCRIPTION	EST INC	CURR YLD	UNIT COST	UNIT MARKET	TOTAL TAX COST	TOTAL MKT VALUE	PCT MV	UNREAL GN/LS
	CASH & EQUIVALENTS								
	CASH	0	0.0			42,815.09-	42,815.09-	0.11-	0
	TEMPORARY INVESTMENTS								
	EVERGREEN MUNICIPAL MONEY MARKET FUND CL I (FD #696)	30,071	3.4			897,101.68	897,101.68	2.31	0
	TOTAL CASH & EQUIVALENTS	30,071	3.5			854,286.59	854,286.59	2.20	0
	FIXED INCOME								
	MUNICIPALS								
500,000	BUNCOMBE CNTY N C GEN OBL UNLTD DTD 04/01/2001 4.3% 02/01/2011	21,500	4.1	100.00	103.69	500,000.00	518,425.00	1.33	18,425
100,000	CARY SAN SWR BD DTD 4/1/96 BEO UT N/C 5.2% 04/01/2006	5,200	5.2	100.18	100.46	100,182.71	100,459.00	0.26	276
400,000	CARY BD DTD 03/01/2001 GOB 5% 03/01/2014-2011	20,000	4.9	102.68	107.48	410,738.78	429,932.00	1.10	19,193
300,000	CHARLOTTE NC BD DTD 8/1/98 OID PUB IMPT SER A (C 2/1/2008 @ 102) 4.25% 02/01/2013-2008	14,250	4.5	99.36	104.79	298,083.82	314,367.00	0.81	16,283
400,000	CHARLOTTE STORM WTR FHR REV RFDG BD DTD 1/15/2002 (C 6/1/2012 @ 101; P 6/1/2013) 5.25% 06/01/2017-2012	21,000	4.6	102.17	109.01	408,671.84	436,044.00	1.12	27,372
400,000	GREENVILLE NC UTILS COMM COMB ENTERPRISE SYS REV BD DTD 3/15/2000 OID SER A (C 3/1/2007 @101; P 3/1/2010) 5.3% 09/01/2014-2009	21,200	5.0	99.31	105.73	397,233.98	422,928.00	1.09	25,694
950,000	MECKLENBURG CNTY N C PUB IMPT-SER A G.O. BD DTD 05/01/2001 5% 04/01/2016-2011	47,500	4.6	100.21	108.39	952,010.00	1,029,648.00	2.65	77,638
300,000	NEW HANOVER CNTY N C GEN OBL UNLTD DTD 06/01/2001 4.25% 06/01/2010	12,750	4.1	100.00	103.30	300,000.00	309,900.00	0.80	9,900

SCHEDULE OF INVESTMENTS

C A CANNON (322) TRUST
WACHOVIA BANK, N.A.
CO-TTEE W/R C HAYES JR,
R C HAYES, W H GALLOWAY &
W C CANNON JR FBO ROBERT C HAYES

ASSETS HELD 12/31/05
VALUED AS OF 12/31/05

SHARES OR FACE AMOUNT	DESCRIPTION	BST INC	CURR YLD	UNIT COST	UNIT MARKET	TOTAL TAX COST	TOTAL MKT VALUE	PCT MV	UNREAL GN/LS
770,000	NORTH CAROLINA EDL FACS FIN AGY REV VAR-GUILFORD COLLEGE BD DTD 05/14/1997 3.87% 04/28/2006	29,799	3.9	100.00	100.00	770,000.00	770,000.00	1.98	0
50,000	NORTH CAROLINA ST BD DTD 1/1/97 BEO OID REOF @99.515 TO YLD 5.15% (C 6/1/2006 @ 102) 5.1% 06/01/2010-2006	2,550	5.0	99.70	102.70	49,851.12	51,350.00	0.13	1,499
50,000	NORTH CAROLINA ST BD DTD 3/1/97 SER A BEO (C 3/1/2007 @101) 5.2% 03/01/2009-2007	2,600	5.0	100.56	103.10	50,287.86	51,547.50	0.13	1,260
200,000	NORTH CAROLINA ST BD DTD 04/1/98 SER A BEO REOF @ 101.899 TO YLD 4.53% (C 04/1/2008 100) 4.75% 04/01/2009-2008	9,500	4.6	100.93	103.61	201,854.51	207,216.00	0.53	5,361
500,000	NORTH CAROLINA ST BD DTD 9/1/2000 GENERAL OBLIGATION OID 5.1% 09/01/2017-2010	25,500	4.7	101.72	108.88	508,610.00	544,385.00	1.40	35,775
500,000	NORTH CAROLINA ST BD DTD 03/01/2001 REV 4.75% 03/01/2013-2011	23,750	4.5	101.81	106.10	509,043.82	530,490.00	1.36	21,446
500,000	NORTH CAROLINA ST BD DTD 03/01/2001 REV 5% 03/01/2018-2011	25,000	4.6	100.68	108.74	503,390.00	543,685.00	1.40	40,295
400,000	ORANGE CNTY N C BD DTD 4/1/2000 PUB IMPT (C 4/1/2010 @102) 5.3% 04/01/2015-2010	21,200	4.9	100.00	109.21	400,000.00	436,844.00	1.12	36,844
200,000	UNIVERSITY NC CHAPEL HILL FNDTN INC BD DTD 10/5/89 CTFS PARTN CR SUISSE INT VAR RATE 3.85% 04/28/2006	7,700	3.9	100.00	100.00	200,000.00	200,000.00	0.51	0
	TOTAL MUNICIPALS	310,999	4.5			6,559,958.44	6,897,220.50	17.73	337,262
	EQUITIES								
	COMMON STOCKS								
36,000	ALTRIA GROUP INC COM	115,200	4.3	5.04	74.72	181,397.40	2,689,920.00	6.91	2,508,523
40,000	AMERICAN INTL GRP INC COM	24,000	0.9	3.03	68.23	121,110.12	2,729,200.00	7.01	2,608,090
2,690	ANADARKO PETE CORP COM	2,081	0.8	31.27	94.76	90,381.77	273,827.50	0.70	183,446
2,700	ANHEUSER BUSCH COS INC COM	2,916	2.5	50.25	42.96	135,662.04	115,992.00	0.30	19,670-
10,586	BP PLC SPONSORED ADR	22,195	3.3	2.79	64.22	29,571.17	679,832.92	1.75	650,262

OCT-28-2006 12:36 FROM: (919)836-4000 T-375 P.015

SCHEDULE OF INVESTMENTS

C A CANNON [322] TRUST
WACHOVIA BANK, N.A
CO-TTEE W/R C HAYES JR,
R C HAYES, W H GALLOWAY &
W C CANNON JR FBO ROBERT C HAYES

ASSETS HELD 12/31/05
VALUED AS OF 12/31/05

SHARES OR FACE AMOUNT	DESCRIPTION	BST INC	CURR YLD	UNIT COST	UNIT MARKET	TOTAL TAX COST	TOTAL MKT VALUE	PCT MV	UNREAL GN/LS
20	BERKSHIRE HATHAWAY INC DEL CL A	0	0.0	24,520.62	88,620.00	490,572.33	1,772,400.00	4.55	1,281,828
18	BERKSHIRE HATHAWAY INC DEL CL B	0	0.0	426.94	2,935.50	7,684.96	52,839.00	0.14	45,154
36,000	BRISTOL-MYERS SQUIBB CO COM	40,320	4.9	3.49	22.98	125,722.25	827,280.00	2.13	701,564
20,342	CT COMMUNICATIONS INC COM NEW	6,137	3.3	0.40	12.14	8,069.47	246,951.88	0.63	238,882
7,800	CISCO SYS INC COM	0	0.0	13.92	17.12	101,556.00	133,536.00	0.34	31,980
8,745	CITIGROUP INC COM	15,391	3.6	4.77	48.53	41,694.33	424,394.85	1.09	382,701
4,600	COMCAST HLDGS INC CL A	0	0.0	29.40	25.92	135,244.14	119,232.00	0.31	16,012-
2,500	DIAGEO PLC SPONSORED ADR NEW	5,349	1.[illegible]	54.44	58.30	136,109.00	145,750.00	0.37	9,641
4,200	DU PONT E I DE NEMOURS & CO COM	6,216	3.5	12.70	42.50	53,350.50	178,500.00	0.46	125,150
17,000	DUKE ENERGY COM	21,080	4.5	13.43	27.45	228,273.13	466,650.00	1.20	238,177
2,300	EXPEDIA INC COM	0	0.0	19.57	23.96	45,021.66	55,108.00	0.14	10,086
40,000	EXXON MOBIL CORP COM	46,400	2.1	6.24	56.13	249,571.68	2,246,800.00	5.77	1,997,228
69,256	FIRST CHARTER CORP COM	52,635	3.2	0.84	23.66	58,448.10	1,638,596.96	4.21	1,580,149
120,000	GENERAL ELEC CO COM	120,000	2.9	1.81	35.05	217,706.25	4,206,000.00	10.81	3,988,294
3,700	HOME DEPOT INC COM	1,480	1.0	26.76	40.48	99,003.12	149,776.00	0.38	50,773
2,500	IAC / INTERACTIVECORP COM	0	0.0	24.44	28.31	56,214.56	65,113.00	0.17	8,898
9,600	INTEL CORP COM	3,072	1.3	21.27	24.96	204,192.00	239,616.00	0.62	35,424
14,000	INTL BUSINESS MACHINES CORP COM	11,200	1.0	19.01	82.20	266,152.20	1,150,800.00	2.96	884,648
3,500	JP MORGAN CHASE & CO COM	4,760	1.4	38.52	39.69	134,836.10	138,915.00	0.36	4,079
2,200	KIMBERLY-CLARK CORP COM	3,960	3.0	46.29	59.65	101,829.60	131,230.00	0.34	29,400
5,600	KONINKLIJKE PHILIPS ELECTRS N V N Y REGISTRY SH NEW 2000	7,447	1.4	23.96	31.10	134,188.88	174,160.00	0.45	39,971
18,000	MASCO CORP COM	14,400	2.6	8.84	30.19	159,075.00	543,420.00	1.40	384,345
2,713	MEDCO HEALTH SOLUTIONS INC COM	0	0.0	0.63	55.80	1,700.47	151,385.40	0.39	149,685
22,500	MERCK & CO INC COM	34,200	4.8	1.33	31.61	29,861.72	715,725.00	1.84	685,863
16,000	MICROSOFT CORP COM	5,760	1.4	27.87	26.15	445,932.00	418,400.00	1.08	27,532-
20,000	NESTLE S A SPONSORED ADR REPSTG REG SH	21,520	1.4	14.94	74.75	298,750.00	1,495,000.00	3.84	1,196,250
15,000	NEWS CORP INC CLASS B COM	1,500	0.6	17.05	16.61	255,784.50	249,150.00	0.64	6,635-
99,000	PFIZER INC COM	86,400	4.1	1.20	23.32	118,438.42	2,308,800.00	5.39	1,990,362
15,300	PITNEY-BOWES INC COM	18,600	2.9	4.10	42.25	61,500.02	633,750.00	1.63	572,250
19,000	ROYAL DUTCH SHELL PLC - ADR A	42,218	3.5	9.99	61.49	189,881.25	1,168,110.00	3.00	978,429
4,000	SONY CORP ADR NEW COM	840	0.5	35.10	40.80	140,398.00	163,200.00	0.42	22,802
16,000	3M CO COM	26,880	2.2	15.13	77.50	242,001.44	1,240,000.00	3.19	997,999
8,500	TYCO INTL LTD NEW COM	3,400	1.4	29.31	28.86	249,135.00	245,310.00	0.63	3,825-
17,043	VERIZON COMMUNICATIONS COM	27,610	5.4	5.56	30.12	94,735.28	513,335.16	1.32	418,609
3,600	ZIMMER HLDGS INC COM	0	0.0	1.77	67.44	6,369.37	242,784.00	0.62	236,416
	TOTAL COMMON STOCKS	792,101	2.6			5,737,105.23	30,930,990.67	79.49	25,193,885

SCHEDULE OF INVESTMENTS

C A CANNON (322) TRUST
WACHOVIA BANK, N.A.
CO-TTEE W/R C HAYES JR,
R C HAYES, W H GALLOWAY &
W C CANNON JR FBO ROBERT C HAYES

ASSETS HELD 12/31/05
VALUED AS OF 12/31/05

SHARES OR FACE AMOUNT	DESCRIPTION	EST INC	CURR YLD	UNIT COST	UNIT MARKET	TOTAL TAX COST	TOTAL MKT VALUE	PCT MV	UNREAL GN/LS
	OTHER ASSETS & LIABILITIES								
	PARTNERSHIPS								
2	MEDICAL INVESTORS LLC	0	0.0	114,500.00	114,500.00	229,000.00	229,000.00	0.59	0
	TOTAL FUND	1,133,171	2.9			13,380,350.26	38,911,497.76	100.0025	531,148

Wachovia Trust Statement

Statement Period: 10/01/2005 - 12/31/2005
Account Number
IP Number: ,

Account Title: BARBARA W HAYES TRUST AGENCY
WACHOVIA BANK, N A
INVESTMENT AGENT UNDER AGREEMENT
DATED MARCH 14, 2004

List of Assets

Shares/ Par Value/ Units	Description		Total Tax Cost	Market Value As Of 12/31/05	% Total Account	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
PRINCIPAL ASSETS								
EQUITIES								
500.000	AMERICAN INTL GRP INC COM UNIT MARKET PRICE: TICKER SYMBOL: AIG	$68.230	30,385.00	34,115.00	5.3	3,730.00	300	0.9
500.000	ANHEUSER BUSCH COS INC COM UNIT MARKET PRICE TICKER SYMBOL: BUD	$42.960	21,945.00	21,480.00	3.4	465.00 -	540	2.5
10.000	BERKSHIRE HATHAWAY INC DEL CL B UNIT MARKET PRICE: TICKER SYMBOL: BRK	$2,935.500	27,490.00	29,355.00	4.5	1,865.00	0	0.0
400.000	CHEVRONTEXACO CORP COM UNIT MARKET PRICE: TICKER SYMBOL: CVX	$56.770	18,767.50	22,708.00	3.5	3,940.50	720	3.2
1,000.000	COMCAST HLDGS INC CL A UNIT MARKET PRICE: TICKER SYMBOL: CMCSA	$25.920	29,310.00	25,920.00	4.0	3,390.00 -	0	0.0
200.000	EMERSON ELEC CO COM UNIT MARKET PRICE TICKER SYMBOL: EMR	$74.700	12,265.00	14,940.00	2.3	2,675.00	356	2.4
500.000	FIRST DATA CORP COM UNIT MARKET PRICE: TICKER SYMBOL: FDC	$43.010	20,355.00	21,505.00	3.4	1,150.00	120	0.6

WACHOVIA

Wachovia Trust Statement

ACCOUNT STATEMENT

Statement Period: 10/01/2005 - 12/31/2005
Account Number:
IP Number: 2

Account Title: BARBARA W HAYES TRUST AGENCY
WACHOVIA BANK, N.A.
INVESTMENT AGENT UNDER AGREEMENT
DATED MARCH 14, 2004

List of Assets *continued*

Shares Par Value/ Units	Description		Total Tax Cost	Market Value As Of 12/31/05	% Total Account	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
400.000	JOHNSON & JOHNSON COM UNIT MARKET PRICE TICKER SYMBOL: JNJ	$60.100	25,640.00	24,040.00	3.8	1,600.00 -	528	2.2
800.000	JP MORGAN CHASE & CO COM UNIT MARKET PRICE: TICKER SYMBOL: JPM	$39.690	28,396.00	31,752.00	5.0	3,356.00	1,088	3.4
1,000.000	KIMBERLY-CLARK CORP COM UNIT MARKET PRICE: TICKER SYMBOL: KMB	$59.650	61,583.26	59,650.00	9.3	1,933.26	1,800	3.0
350.000	MARATHON OIL CORP COM UNIT MARKET PRICE: TICKER SYMBOL: MRO	$60.970	12,994.62	21,339.50	3.3	8,344.88	462	2.2
300.000	MASSEY ENERGY CO COM UNIT MARKET PRICE TICKER SYMBOL: MEE	$37.870	8,172.75	11,361.00	1.8	3,188.25	48	0.4
1,500.000	NEWS CORP INC CLASS B COM UNIT MARKET PRICE: TICKER SYMBOL: NWS	$16.610	24,315.00	24,915.00	3.9	600.00	150	0.6
250.000	PFIZER INC COM UNIT MARKET PRICE: TICKER SYMBOL: PFE	$23.300	8,486.87	5,830.00	0.9	2,656.87 -	240	4.1
1,200.000	PROCTER & GAMBLE CO COM UNIT MARKET PRICE TICKER SYMBOL: PG	$57.880	51,248.10	69,456.00	10.9	18,207.90	1,344	1.9

Wachovia Trust Statement

Statement Period: 10/01/2005 - 12/31/2005
Account Number:
IP Number:

Account Title: BARBARA W HAYES TRUST AGENCY
WACHOVIA BANK, N.A.
INVESTMENT AGENT UNDER AGREEMENT
DATED MARCH 14, 2004

List of Assets *continued*

Shares Par Value Units	Description		Total Tax Cost	Market Value As Of 12/31/05	% Total Account	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
1,000.000	TYCO INTL LTD NEW COM UNIT MARKET PRICE TICKER SYMBOL: TYC	$28.860	28,930.00	28,860.00	4.5	70.00 -	400	1.4
500.000	WAL MART STORES INC COM UNIT MARKET PRICE TICKER SYMBOL: WMT	$46.800	21,880.00	23,400.00	3.7	1,520.00	300	1.3
1,500.000	WALGREEN CO COM UNIT MARKET PRICE: TICKER SYMBOL: WAG	$44.260	53,929.62	66,390.00	10.4	12,460.38	390	0.6
600.000	WRIGLEY (WILLIAM) JR. CO COM UNIT MARKET PRICE: TICKER SYMBOL: WWY	$66.490	22,554.00	39,894.00	6.2	17,340.00	672	1.7
300.000	ZIMMER HLDGS INC COM UNIT MARKET PRICE: TICKER SYMBOL: ZMH	$67.440	20,279.54	20,232.00	3.2	47.54 -	0	0.0
TOTAL EQUITIES			$526,927.26	$597,142.50	93.3%	$68,215.24	$9,458	1.6%
FIXED INCOME								
25,000.000	FLAGSTAR BK FSB BLOOMFIELD BD DTD 03/05/2003 2.55% 03/06/2006 UNIT MARKET PRICE:	$99.669	24,766.55	24,917.28	3.9	150.72	638	2.6
13,000.000	KEY BK USA NATL ASSN CLEVELAND CTF DEP DTD 01/31/2001 ACT/365 SEMI ANNU 5.8% 01/31/2006 UNIT MARKET PRICE	$100.066	13,000.00	13,008.53	2.0	8.53	754	5.8

WACHOVIA

Wachovia Trust Statement

ACCOUNT STATEMENT

Statement Period: 10/01/2005 - 12/31/2005
Account Number:
IP Number:

Account Title: BARBARA W HAYES TRUST AGENCY
WACHOVIA BANK, N A
INVESTMENT AGENT UNDER AGREEMENT
DATED MARCH 14, 2004

List of Assets *continued*

Shares/ Par Value/ Units	Description		Total Tax Cost	Market Value As Of 12/31/05	% Total Account	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
5,000.000	MARSHALL & ILSLEY CO MEDIUM TERM NOTE DTD 07/24/2003 4.4% 07/15/2018-2006 UNIT MARKET PRICE RATING MOODY'S A1 S&P A	$95.075	5,000.00	4,753.60	0.7	246.40 -	220	4.6
TOTAL FIXED INCOME			$42,760.56	$42,675.41	5.7%	$87.15 -	$1,612	3.8%
SHORT TERM RESERVES & CASH - PRINCIPAL								
	WACHOVIA PT MONEY MARKET UNIT MARKET PRICE	$1.000	285.91	285.91	0.0	0.00	11	3.8
TOTAL SHORT TERM RESERVES & CASH - PRINCIPAL			$285.91	$285.91	0.0%	$0.00	$11	3.8%
TOTAL PRINCIPAL ASSETS			$571,980.73	$640,168.82	100.0%	$68,128.09	$11,081	1.7%
INCOME ASSETS								
SHORT TERM RESERVES & CASH - INCOME								
	WACHOVIA PT MONEY MARKET UNIT MARKET PRICE	$1.000	12.24	12.24	0.0	0.00	0	0.0
TOTAL SHORT TERM RESERVES & CASH - INCOME			$12.24	$12.24	0.0%	$0.00	$0	0.0%
TOTAL INCOME ASSETS			$12.24	$12.24	0.0%	$0.00	$0	0.0%
TOTAL ASSETS			$571,992.97	$640,121.06	100.0%	$68,128.09	$11,081	1.7%

WACH001 002944 0011454311 [illegible]

ROBIN HAYES

Congress of the United States
House of Representatives
Washington, DC 20515–3308

MC

May 25, 2006

To Whom It May Concern:

Please accept this as an attachment to Representative Robin Hayes' (NC-08) 2005 Financial Disclosure.

If you should have any questions please feel free to contact Erin Cockerham, in my office at 202.225.3715. I appreciate your assistance with this matter.

Sincerely,

Robin Hayes

Robin Hayes
Member of Congress

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SCHEDULE IV — TRANSACTIONS

Name ROBERT CANNON HAYES

Page 3 of 5

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out

SP, DC, JT	Asset	Type: Purchase	Type: Sale	Type: Exchange	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10-12-05		X								
SP	AMERIPRISE FINCL. INC. COMMON STOCK		X		10-20-05	X									
SP	LANCER CP COMMON STOCK		X		10-20-05	X									
SP	MYLON LABORATORIES INC. COMMON STOCK		X		12-6-05	X									
SP	PFIZER INC. COMMON STOCK	X			12-6-05	X									
SP	WILLIAMS CO. INC. COMMON STOCK	X			10-20-05	X									
SP	BERKSHIRE HATHAWAY A COMMON STOCK	X			1-6-05			X							
SP	GRAN PACIFICA RESORT S.A. COMMON STOCK	X			3-16-05 12-5-06				X						

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4

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

John David Hayworth Jr.
(Full Name)

2434 Rayburn Building Washington, DC 20515
(Mailing Address)

202-225-2190
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative — State: AZ District: 05	☐ Officer Or Employee — Employing Office:	A $200 penalty shall be assessed against anyone who files more than 30 days late.
Report Type	☑ Annual (May 15) ☐ Amendment	☐ Termination — Termination Date:	

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☐ No ☑	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	J. D. Hayworth, M.C.	5/12/06

SCHEDULE I - EARNED INCOME

Name John David Hayworth Jr.

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
TEAMPAC	Spouse Salary	N/A

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John David Hayworth Jr.

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Congressional Institute	Jan. 7-9	N/A	Y	Y	Y	0
The Center for the Study of Popular Culture	Aug. 25-26	Phoenix/LA/Phoenix	Y	Y	Y	0

SCHEDULE IX - AGREEMENTS

Name John David Hayworth Jr.

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
April 2005	Regnery Publishing	Royalties to be paid twice a year on book published January 2006

6

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

JOEL M. HEFLEY
(Full Name)

RM. 2372 Rayburn HOB - Wash. D.C. 20515 (202) 225-4422
(Mailing Address) Daytime Telephone:

(For Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State: Co. District: 5th	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Joel M. Hefley	5/10/06

1884

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	CO. STATE LEGISLATURE	SPOUSE SALARY	
	STATE OF COLORADO		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	Block A	Block B	Block C							Block D											Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other: Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples* SP Mega Corp Stock					X								X										X								P
	Simon & Schuster			Indefinite																Royalties								X				
	1st Bank of Paducah, KY accounts						X									X									X							
JT	RENTAL HOUSE 610 MAGNOLIA AVE. EDISTO IS. SC.								X						X										X							
	PUBLIC EMPLOY Retirement ASSO. - DENVER, CO.				X															RETIREMENT ANNUITY	NONE UNTIL RETIREMENT											
	TEACHERS INS. ANNUITY								X											RETIREMENT ANNUITY	NONE UNTIL RETIREMENT											

For additional assets and unearned income, use next page.

1886

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOEL M. HEFLEY

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	LONG. FED. CREDIT UNION						X									X							X								

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1887

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example. First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	BANK OF NORTHUMBERLAND	LOAN ON INVESTMENT			X							
	HEATHVILLE, VA.	PROPERTY										

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

Source	Description	Value
Example. Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	THE CONNELL CO. BIRKELEY, N.J.	OCT. 24, 06 OCT. 24, 06	WASH., DC - Birkeley, N.J. BIRKELEY, N.J. - WASH. D.C.	N	Y	N	1 DAY

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

Jeb Hensarling
(Full Name)

132 Cannon House Office Building Washington DC 20515
(Mailing Address)

202-225-3484
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: TX District: 05	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jeb Hensarling	5/15/06

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NONE		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
	XYZ Magazine	Article	Aug. 13, 2005	$500
	NONE			

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B												Block C							Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP		SP	Mega Corp Stock					X							X										X								P
DC	Examples:		Simon & Schuster		Indefinite																Royalties									X			
JT			1st Bank of Paducah, KY accounts					X									X									X							
	Alevis Inc.							X																									S part
	Bank of America Checking				X																												
	Coca Cola Stock				X										X									X									
	Cohen + Steers Realty Fund						X								X			X								X							
	Dallas National Bank					X									X									X									
	Dreyfus Disciplined Stock Fund					X									X									X									

For additional assets and unearned income, use next page.

1893

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Hensarling

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Dreyfus Emerging Leaders						X						X														X				
	Equity Residential Property Fund					X							X			X								X							
	Family Support Assurance		X																												
	Fidelity Municipal Money Market Fund (cont.)							X					X													X					
	Fidelity Over the Counter	X																													S
	Fidelity Overseas					X							X										X								
	Fidelity Select Biotechnology Fund (cont.)					X																									
	Green Mountain Energy Company Stock (cont.)			X																											
	Green Mountain Stock Options	X																													
	Micheal's Stock						X						X											X							
	Neuberger + Berman Genesis Fund (cont.)				X																										
	Ranger Fund	X																													E
	Schwab 1000 Fund				X																										
	Smith + Barney IRA				X																										
	Vanguard S+P 500 Fund								X				X													X					
	Fidelity Select Electronics Fund (cont)						X																								
	Zixit Stock			X																											S(part)

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Hensarling

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Fidelity Select Financial Services Fund (cont)					X								X			X									X						
	Pimpco Total Return Fund		X																													
	Fidelity VIP Contra Fund					X																										E
	Fidelity VIP Growth Opportunities Fund (Cont)					X																										E
	Fidelity VIP Dynamic Appreciation Fund (cont)					X																										E
	Morgan Stanley Emerging Market Debt Fund (cont)					X																										E

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Name Hensarling | Page 6 of 9

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	Aleris		X		4/28/05			X							
	Fidelity Over The Counter Mutual Fund		X		9/26/05		X								
	Zixit Corp		X		9/26/05	X									
	Ranger Fund			X	11/29/05					X					
	Fidelity VIP Contra Fund			X	11/29/05			X							
	Fidelity VIP Growth Opportunities Fund			X	11/29/05			X							
	Fidelity VIP Dynamic Appreciation Fund			X	11/29/05			X							
	Morgan Stanley Emerging Market Debt Fund			X	11/29/05			X							

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NONE											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NONE		

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Heritage Foundation	Feb 3-5	Wash DC - Baltimore	Y	Y	N	0
	American Bankers Association	Aug 16-18	Dallas - Colorado Springs - Dallas	Y	Y	Y	0

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NONE	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

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Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

10

HAND DELIVERED

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U.S. HOUSE OF REPRESENTATIVES

Jeb Hensarling
(Full Name)

132 Cannon House Office Building Washington DC 20515
(Mailing Address)

202-225-3484
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: TX District: 05	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[X] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION— Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jeb Hensarling	6/1/06

1900

Name Hensarling | Page 1 of 9

SCHEDULE I — EARNED INCOME

List the source, type and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000

Source	Type	Amount
Examples: Kansas State Stuart M[illegible] Dick Van Dyke Theatre (Oct. 2nd) Orange County Board of Education	Approved Teaching Fee Legislative Pension Spouse Speech Spouse Salary	$5,000 $11,000 $1,000 NA
NONE		

For payments to charity in lieu of honoraria, use Schedule II.

Name Hensarling | Page 2 of 4

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (e.g., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Example: Associated American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
XYZ Magazine	Article	Aug. 13, 2005	$500
NONE			

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify any asset (such as a bank account, bond, or other investment instrument or piece of real estate) with a fair market value exceeding $1,000 at the close of the reporting period, and (b) any other asset or source of investment income during the reporting period that yielded more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA or other account over which you have the power to control the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income received for the account. For an IRA or other tax-deferred or self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an asset, examples that is not publicly traded, in Block 2, state the nature of the business and its geographic location. For additional information, see the instruction booklet or the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government or municipal obligations.

If you are unsure, you may indicate that an asset or income source is that of your spouse (SP) or jointly held (JT) in the optional column on the far left.

SP = Spouse's asset or income
DC = Dependent Child's asset or income
JT = Jointly held by filer and spouse or filer and dependent child

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK T — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP	DC	JT	Asset	A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example: Partnership Income or Farm Income)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	Transaction
				Indefinite																		Royalties												
								X								X																		P, S, E, P
									X									X																
			Aleris Inc.						X														X											S part
			Bank of America Checking			X																												
			Coca Cola Stock			X										X									X									
			Cohen + Steers Realty Fund					X								X			X									X						
			Dallas National Bank				X									X									X									
			Dreyfus Disciplined Stock Fund				X									X									X									

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Hensarling

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Dreyfus Emerging Leaders						X							X													X					
	Equity Residential Property Fund					X								X			X							X								
	Family Support Assurance		X																		X											
	Fidelity Municipal Money Market Fund (cont.)							X						X												X						
	Fidelity Over the Counter	X																			X											
	Fidelity Overseas					X								X									X									
	Fidelity Select Biotechnology Fund (cont.)					X															X											
	Green Mountain Energy Company Stock (cont.)			X																	X											
	Green Mountain Stock Options	X																														
	Michael's Stock						X							X										X								
	Neuberger + Berman Genesis Fund (cont.)				X																X											
	Ranger Fund	X																			X											
	Schwab 1000 Fund				X																X											
	Smith + Barney IRA				X																X											
	Vanguard Windsor Fund								X					X												X						
	Fidelity Select Electronics Fund (cont.)						X														X											
	Zixit Stock			X																	X											S (part)

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Hensarling

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
SP, DC, JT	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
Fidelity Select Financial Services Fund (cont)					X								X			X									X					
Pimpco Total Return Fund		X																												
Fidelity VIP Contra Fund					X															X										E
Fidelity VIP Growth Opportunities Fund (cont)					X															X										E
Fidelity VIP Dynamic Appreciation Fund (cont.)					X															X										E
Morgan Stanley Emerging Market Debt Fund (cont)					X															X										E

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SCHEDULE IV — TRANSACTIONS

Name Hensarling | Page 6 of 9

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10-12-05		X								
	Aleris		X		4/28/05			X							
	Fidelity Over The Counter Mutual Fund		X		9/26/05		X								
	Zixit Corp		X		9/26/05	X									
	Ranger Fund			X	11/29/05					X					
	Fidelity VIP Contra Fund			X	11/29/05			X							
	Fidelity VIP Growth Opportunities Fund			X	11/29/05			X							
	Fidelity VIP Dynamic Appreciation Fund			X	11/29/05			X							
	Morgan Stanley Emerging Market Debt Fund			X	11/29/05			X							

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Name Hensarling | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001 - $250,000	F $250,001 - $500,000	G $500,001 - $1,000,000	H $1,000,001 - $5,000,000	I $5,000,001 - $25,000,000	J $25,000,001 - $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	NONE											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NONE		

Name Hensarling Page 8 of 9

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the sponsor and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense.

Exclude: travel related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Oklahoma City Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
Bancroft Corporation	Aug. 8–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
The Heritage Foundation	Feb 3-5	Wash DC - Baltimore	Y	Y	N	~~0~~
American Bankers Association	Aug 16-18	Dallas - Colorado Springs - Dallas	Y	Y	Y	~~0~~

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NONE	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

Use additional sheets if more space is required.

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A

For use by Members, officers, and employees

Walter William Herger Jr.
(Full Name)

2268 Rayburn House Office Bldg Washington, DC 20515
(Mailing Address)

202-225-3076
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives — State: CA District: 02	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Wally Herger	5-11-06

SCHEDULE I - EARNED INCOME

Name Walter William Herger Jr.

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
St. Elizabeth's Community Hospital	Spouse Salary	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Walter William Herger Jr.

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Umpqua Bank (Interest bearing checking account)	$15,001 - $50,000	INTEREST	$1 - $200	
4th Avenue (Rio Oso, CA)	$100,001 - $250,000	RENT	$15,001 - $50,000	
Herger Ranch (Rio Oso, CA)	$1,000,001 - $5,000,000	RENT	$50,001 - $100,000	
Herger Gas Inc. (Rio Oso, CA)	$1,000,001 - $5,000,000	Other: (Please specify) S-Corp Allocation	NONE -	
Herger Gas Inc. Stock	$1,000,001 - $5,000,000	INTEREST	$201 - $1,000	
Herger Gas Inc. Stock	$1,000,001 - $5,000,000	Sale of equipment	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Walter William Herger Jr.

	Pacific Life Variable Annuity - "Pacific Life Value, Model E"	$500,001 - $1,000,000	DIVIDENDS (reinvested)	$50,001 - $100,000	E (Rollover of TSP funds)
	Pacific Life Variable Annuity - "Pacific 1 Select Model B"	$250,001 - $500,000	DIVIDENDS (reinvested)	$2,501 - $5,000	E (Rollover of TSP funds)

SCHEDULE IV - TRANSACTIONS

Name Walter William Herger Jr.

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Pacific Life Variable Annuity - "Pacific Value, Model E"	E	08-05-05	$500,001 - $1,000,000
	Pacific Life Variable Annuity - "Pacific 1 Select Model B"	E	08-05-05	$250,001 - $500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Walter William Herger Jr.

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Conservative Members Retreat	Feb 3-5	Wash., D.C. -- Baltimore -- Wash., D.C.	Y	Y	N	None
Assoc. Of American Railroads	Feb 18-21	Wash., D.C. -- Orlando -- Sacramento	Y	Y	Y	None
The Lexington Institute	Mar 20-24	Wash., D.C. -- Havana Cuba -- Sacramento	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Walter William Herger Jr

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President/Director (Uncompensated)	Herger Gas Inc. (Propane Gas Distribution, Rio Oso, CA)

FOOTNOTES

Name Walter William Herger Jr.

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	"Herger Gas Inc." represents a single asset with a year-end value within the indicated range. There are three separate entries for this asset to clarify that there were three different types of unearned income associated with it, which ensures a full and accurate accounting of the unearned income and maintains consistency with federal income tax filings.	Herger Gas Inc. (Rio Oso, CA)
2	Schedule IV	Rollover of TSP funds.	
3	Schedule IV	Rollover of TSP funds.	

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

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Stephanie Marie Herseth
(Full Name)

P.O. Box 884, Brookings, SD 57006
(Mailing Address)

(202) 225-2801
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: South Dakota District: At-Large	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Stephanie Herseth	5/13/06

1918

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	N/A — U.S. Government salary only		

For payments to charity in lieu of honoraria, use Schedule II.

Name Stephanie Herseth | Page 3 of 6

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
XYZ Magazine	Article	Aug. 13, 2005	$500
Women Lawyers Association of Jefferson County, Ky	Speech	May 15, 2006	$500

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Name Stephanie Herseth

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP / DC / JT — Examples	SP: Mega Corp. Stock	E	DIVIDENDS: X	VI: X	P
	Simon & Schuster	Indefinite	INTEREST: X	IX: X	
	1st Bank of Paducah, KY accounts	F		VI: X	
	Livestock	B: X	QUALIFIED BLIND TRUST; Other Type of Income: Farm Income	I: X	

For additional assets and unearned income, use next page.

Name Stephanie Herseth | Page 5 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	U.S. Department of Education	consolidated student loans			X							
	Midwest R&S Corporation	bank loan		X								
	Citibank	revolving charge account		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example. Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C —Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Women Lawyers Association of Jefferson County, Ky	Dec. 4-5	Sioux Falls, SD — Louville, Ky — Washington, DC	Y	Y	N	None

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

MAY 9 2006
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U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

BRIAN M. HIGGINS
(Full Name)

43 MORGAN ROAD BUFFALO, NY 14220
(Mailing Address)

(716) 852-3501
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: NEW YORK District: 27	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5.08.2006

1924

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples.	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	BUFFALO BOARD OF EDUCATION	SPOUSE SALARY	$43,681

For payments to charity in lieu of honoraria, use Schedule II.

1925

Name BRIAN M. HIGGINS

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
	XYZ Magazine	Article	Aug. 13, 2005	$500
	N/A			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A	Asset and/or Income Source	A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	Block E P, S, E
SP, DC, JT	Examples: SP Mega Corp. Stock					X								X										X								P
	Examples: Simon & Schuster		Indefinite																	Royalties									X			
	Examples: 1st Bank of Paducah KY accounts						X									X										X						
JT	ABN AMBRO MORTGAGE		X													X						X										
JT	CHASE MORTGAGE		X													X						X										
	M&T BANK				X											X						X										
	SEFCU		X													X						X										
	CHARLES SCHWAB		X											X								X										
	FEDERAL CONGRESSIONAL CO			X												X						X										

For additional assets and unearned income, use next page.

1927

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name BRIAN M. HIGGINS

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	33 HOLLYWOOD AVENUE			X										X														X				

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Name BRIAN M. HIGGINS

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	N/A														

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Name	Page 7 of 9
BRIAN M. HIGGINS	

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	CHASE MORTGAGE	MORTGAGE 43 MORGAN				X						
JT	ABN AMBRO MORTGAGE	MORTGAGE 33 HOLLYWOOD			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
N/A		

Use additional sheets if more space is required.

Name	BRIAN M. HIGGINS	Page 8 of 9

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	MEMBER OF THE U.S. HOUSE	1/05 -	VARIOUS, BUFFALO, NY				
	OF REPRESENTATIVES	12/05	TO WASHINGTON, D.C.	Y	Y	N	VARIOUS

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Name	BRIAN M. HIGGINS	Page 9 of 9

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
	N/A

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NYS RETIREMENT SYSTEM	PENSION AT RETIREMENT

GPO: 2006 25-628 (mac)

Use additional sheets if more space is required.

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U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

U.S. Rep. Maurice D. Hinchey
(Full Name)

2431 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

202-225-6335
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: NY District: 22	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Maurice D. Hinchey	5/11/06

1933

SCHEDULE I - EARNED INCOME

Name U.S. Rep. Maurice D. Hinchey

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of New York	Legislative Pension	$34,558

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name U.S. Rep. Maurice D. Hinchey

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Alfacell Common Stock	$1 - $1,000	CAPITAL GAINS	NONE	
Novo Networks Stock	$1 - $1,000	CAPITAL GAINS	NONE	
Prudential IRA	$15,001 - $50,000	CAPITAL GAINS	NONE	
Ulster Savings personal savings account	$1,001 - $15,000	INTEREST	$1 - $200	
Partition Street property, Saugerties, NY	$100,001 - $250,000	CAPITAL GAINS	NONE	
Echo Hill Road property, Saugerties, NY	$50,001 - $100,000	CAPITAL GAINS	NONE	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name U.S. Rep. Maurice D. Hinchey

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Congressional Program of the Aspen Institute	Jan 9-14	Albany, NY -- Punta Mita, Mexico -- Albany, NY	Y	Y	N	None
AOM Alliance	May 7-8	Hurley, NY -- Newport, RI -- Hurley, NY	Y	Y	N	None
Free Press	May 13-14	New York, NY - St. Louis, MO -- NY, NY	Y	Y	N	None
American Shipbuilding Association	Nov 28 - 30	Albany, NY -- Fort Myers, FL	Y	Y	N	None

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
9 2006 MAY 11 AM 10: 58
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

RUBEN E. HINOJOSA
(Full Name)

P.O. BOX 1075 (202) 225-2531
(Mailing Address) Daytime Telephone:

MERCEDES, TX 78570

Filer Status	☒ Member of the U.S. House of Representatives	State: TEXAS District: 15TH	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒ No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒ No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒ No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒ No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒ No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒ No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ruben E. Hinojosa	May 10, '06

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	H & H FOODS	CONSULTING FEES	15,000
	DRISCOLL FOUNDATION	SPOUSE DIRECTOR FEES	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENTS, ROYALTIES	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP	SP Mega Corp. Stock					X								X										X								P
DC	*Examples:* Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
	342 S. TEXAS AVE. / MERCEDES, TX RUBEN'S RENTAL BUILDING					X																				X						
	*REAL ESTATE ASSOCIATES																															
	HINOJOSA ENTERPRISES			X																PARTNERSHIP INCOME	X											
	HINOJOSA DEVELOPMENT								X											PARTNERSHIP INCOME					X							
SP	FIRM CONSULTANTS, INC.			X																S CORPORATION INCOME	X											
	H & H FOODS STOCK									X				X							X											

* DISPOSED 4/2/04, NO PROCEEDS RECEIVED TO DATE

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name RUBEN E. HINOJOSA

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
DC	MERRILL LYNCH CMA			X										X		X						X										
DC	TEXAS REGIONAL BANCSHARES						X							X										X								
SP	MERRILL LYNCH (IRA) - CASH		X											X								X										
SP	EXXON MOBIL CORP STOCK			X										X								X										
SP	INTL BANCSHARES STOCK			X										X									X									
SP	MICROSOFT CORP STOCK			X										X								X										
SP	PFIZER INC STOCK			X										X								X										
SP	PROCTER GAMBLE STOCK			X										X								X										
SP	TEXAS REGL BCSHRS			X										X								X										
DC	TEXAS TOMORROW FUND				X											X					X											
JT	BANK OF AMERICA CHECKING (DC)		X														N/A				X											
JT	BANK OF AMERICA SAVINGS			X												X						X										
	MERRILL LYNCH CMA			X												X						X										
	EXXON MOBIL STOCK				X									X									X									
	MICROSOFT CORP STOCK			X										X								X										
	PFIZER INC STOCK			X										X									X									
	PROCTER GAMBLE STOCK			X										X									X									
DC	MERRILL LYNCH CMA		X											X		X						X										
DC	TEXAS REGIONAL BANCSHARES			X										X								X										
DC	TSB SECURITIES, INC. - CASH		X											X								X										
DC	TEXAS REGIONAL BANCSHARES			X										X								X										
	MERRILL LYNCH (IRRA) - CASH					X								X										X								

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name RUBEN E. HINOJOSA

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	H & H FOODS ESOP NOTE						X									X										X					

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SCHEDULE IV — TRANSACTIONS

Name RUBEN E. HINOJOSA

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
SP	TEXAS REGL BCSHRS STOCK	X			1-1-05	X									
SP	TEXAS REGL BCSHRS STOCK	X			4-29-05	X									

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1942

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	HINOJOSA DEVELOPMENT CO.	PERSONAL LOAN 1984					X					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	THE COLLEGE BOARD (HISP. RESEARCH CTR. @ ASU)	NOV. 20-21	WASH. D.C. - PRINCETON, N.J. - WASH., D.C.	Y	Y	N	NONE

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PARTNER	HINOJOSA DEVELOPMENT COMPANY
PARTNER	HINOJOSA ENTERPRISES

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 2006 25-628 (mac)

Use additional sheets if more space is required.

1946

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HOUSE OF REPRESENTATIVES

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(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

David L. Hobson
(Full Name)

2905 Kilkenny Drive Springfield, OH 45503
(Mailing Address)

202-225-4324
Daytime Telephone

Filer Status: ☑ Member of the U.S. House of Representatives — State: OH District: 07 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individuals or organizations make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside party? Yes ☑ No ☐
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts– Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions– Have you excluded from this report any other assets, "unearned" income, transactions or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

The Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions. (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	David L. Hobson	05-11-06

SCHEDULE I - EARNED INCOME

Name David L. Hobson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Public Employee Retirement System of Ohio	Legislative Pension	$12 669

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name: David L. Hobson | Page 3 of 19

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Diageo	Appearance	March 10, 2005	$500

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: Dawn L. Hoover

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property, or land, provide street address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. [illegible] your personal residence(s) unless there is rental income; any debt owed to you by your spouse, or by your or your spouse's child [illegible] any deposits totaling $[illegible] or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** (If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if re-invested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	I-Four Partnership (Bldgs & Land) 2138 N. Limestone, Springfield, Ohio	$1,000,001 - $5,000,000	Rent	$15,001 - $50,000	
	Fidelity Investment Advisors, Inc. (S-Corp), (Partner in Aberdeen Village) MacArthur OH	$1,000,001 - $5,000,000	S-Corp Income Interest, Rental Income	$15,001 - $50,000	
	Aberdeen Village LLC (Bldgs & Land)	$250,001 - $500,000	Partnership Income	$15,001 - $50,000	
	Fidelity Investment Advisors, Inc. Investments at SmithBarney Citigroup	$100,001 - $250,000	Dividends/Interest	$5,001 - $15,000	
	The Offices at Rickenbacker LLC (Bldgs & Land), Dublin, OH	$500,001 - $1,000,000	Interest/Rent	Partnership Loss	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David L. Hobson

	Village Associates LLC (Bldgs & Land), Powell, OH	$500,001 - $1,000,000	Rent	Partnership Loss	
	Spring Creek Shopping Center LLC (Bldgs & Land), Columbus, OH	$250,001 - $500,000	Rent	$15,001 - $50,000	
	First Choice Storage, LLC	$100,001 - $250,000	Rent	NONE - Partnership Loss	P
SP	CDB Investments, LTD	$250,001 - $500,000	Partnership Income	NONE - Partnership Loss	
	Fujiyama Columbus Partnership, Note Receivable	$250,001 - $500,000	Interest	$15,001 - $50,000	
	Fujiyama Indianapolis Partnership, Note Receivable	$100,001 - $250,000	Interest	$5,001 - $15,000	
SP	Delaware Group (Mutual Fund)	$15,001 $50,000	Dividends	$1,001 - $2,500	
	Scudder Mutual Funds	$15,001 - $50,000	Dividends	$201 - $1,000	
SP	Proctor & Gamble (Stock)	None	Dividends	$1 - $200	Transferred to SmithBarney Citigroup #715-01917
	Proctor & Gamble (Stock)	None	DIVIDENDS	$1 - $200	Transfered to SmithBarney Citigroup #715 45624
	IBM Corp Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	FLC (Stock)	$1,001 - $15,000	DIVIDENDS	NONE	

1951

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: David L. Hobson

	International Basic Resources (Stock)	$1 - $1,000	DIVIDENDS	NONE	
	Ohio Public Employee Retirement Fund	$15,001 - $50,000	Pension	$5,001 - $15,000	
	Assets Held in Smith Barney Citygroup #715-45624-12				
	Park National Corp (Stock)	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	
	Microsoft Corp (Stock)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Nokia Corp Sponsored ADR (Stock)	None	DIVIDENDS/CAPITAL LOSSES	NONE	S
	Intel Corp (Stock)	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Citigroup Inc. (Stock)	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
	IBM Corp (Stock)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Proctor & Gamble (Stock)	$1,000,001 - $5,000,000	DIVIDENDS	$15,001 - $50,000	
	Cisco Systems, Inc. (stock)	$50,001 - $100,000	DIVIDENDS	NONE	
	General Electric Co. (Stock)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Home Depot, Inc. (Stock)	None	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	S
	Pfizer, Inc. (Stock)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: David L. Hobson

	Walmart Stores, Inc (Stock)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Amgen Inc. (Stock)	$15,001 - $50,000	DIVIDENDS	NONE	
	Cardinal Health Inc. (Stock)	$50,001 - $100,000	DIVIDENDS	$1 - $200	
	Champaign Tel Co. (Stock)	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Chevron Texaco Corp. (Stock)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Dell Inc. (Stock)	$1,001 - $15,000	DIVIDENDS	NONE	
	Exxon Mobile Corp (Stock)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Fifth Third BancCorp (Stock)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Fox Entertainment Group Inc. (Stock)	None	CAPITAL GAINS	$1,001 - $2,500	S
	Horizon TelCom Inc. (Stock)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	S
	Huntington Bancshares Inc. (Stock)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Lucent Technologies Inc (Stock)	None	CAPITAL LOSS	NONE	S
	Medtronics Inc. (Stock)	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
	Merck & Co Inc (Stock)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: David L. Hobson

	Oracle Corp (Stock)	None	CAPITAL LOSS	NONE	S
	Pepsi co Inc (Stock)	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
	Qualcomm Inc (Stock)	None	CAPITAL LOSS	NONE	S
	Royal Dutch Petroleum Co. (Stock)	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
	Smucker JM Co (Stock)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Yahoo Inc. (Stock)	None	CAPITAL LOSS	NONE	S
	John Hancock Bank & Thrift Opportunity Fd (Mutual Fund)	None	DIVIDENDS/CAPITAL GAINS	$1 - $200	S
	Lexmark Intl Group Inc (Stock)	None	CAPITAL LOSS	NONE	P/S
	TEVA Pharmaceutical Inds Ltd Adr (Stock)	None	CAPITAL GAINS/DIVIDENDS	$1,001 - $2,500	P/S
	Black & Decker Corp (Stock)	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
	Ebay Inc. (Stock)	$1,001 - $15,000	DIVIDENDS	NONE	P
	First Data Corp (Stock)	$1,001 - $15,000	DIVIDENDS	NONE	P
	Tessera Technologies Inc (Stock)	$1,001 - $15,000	DIVIDENDS	NONE	P
	Texas Instruments Inc (Stock)	$1 - $1,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: David L. Hobson

Page 5 of 19

	Arlington Bank Restricted (Stock)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	Transfered from Smith Barney #715-51764-10
	Assets Held in IRA Advest #WSD-800201-51				
	Microsoft Corp (Stock)	None	DIVIDENDS	$201 - $1,000	Transfered to Smith Barney #715-50987-13
	Park National Corp (Stock)	None	DIVIDENDS	$1,001 - $2,500	Transfered To Smith Barney #715-50987-13
	Money Funds	None	INTEREST	$1 - $200	Transfered to Smith Barney #715-50987-13
	Nokia Corp (Stock)	None	DIVIDENDS	$1 - $200	Transfered to Smith Barney #715-50987-13
	Merck & Co (Stock)	None	DIVIDENDS	$1 - $200	Transfered to Smith Barney #715-50987-13
	Assets Held in IRA SmithBarney Citigroup #715-50987-13				
	Select Unit Trust	$1,001 - $15,000	DIVIDENDS	$1 - $200	P/S
	SB Citigroup (Money Funds)	$1 - $1,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: David L. Hobson

	Smith Barney Lg Cap Growth Fd (Mutual Fund)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Smith Barney Aggressive Growth Fd (Mutual Fund)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Saxoman Brothers Capital Fund (Mutual Fund)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Merck & Co, Inc. (Stock)	$1,001 - $15,000	DIVIDENDS	NONE	Transfered From Advest #WSD-800201-51
	Microsoft Corp (Stock)	$1,001 - $15,000	DIVIDENDS	$1 - $200	Transer from Advest #WSD-800201-51
	Nokia Corp Sponsored ADR (Stock)	$1,001 - $15,000	DIVIDENDS	NONE	Transfered from Advest #WSD-800201-51
	Park National Corp (Stock)	$15,001 - $50,000	DIVIDENDS	NONE	Transfered from Advest #WSD-800201-51
SP	Assets Held in IRA Smith Barney Citigroup #716-57493-15				
	Select Unit Trust	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	SB Citigroup Money Funds	$1 - $1,000	DIVIDENDS	$1 - $200	
	Smith Barney Lg Cap Growth Fd (Mutual Fund)	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: David Hobson

	Smith Barney Aggressive Growth Fd (Mutual Fund)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Salomon Brothers Capital Fund (Mutual Fund)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Assets Held in Smith Barney Citigroup #715-01917-11				
	Proctor & Gamble (Stock)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Park National Corp (Stock)	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	
	Smith Barney Lg Cap Growth Fd (Mutual Fund)	$1,001 - $15,000	DIVIDENDS	NONE	
	Unit Investment Trust	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	SB Citigroup (Money Funds)	$1 - $1,000	DIVIDENDS	$1 - $200	
	Citibank Bank Deposit Program	$15,001 - $50,000	INTEREST	$201 - $1,000	
	JM Smucker Co (Stock)	None	DIVIDENDS	NONE	Transfered to Smith Barney #715 45624-12
	Real Estate, 3735 Flaidstone Blvd, Naples, FL	$100,001 - $250,000	RENT	$5,001 - $15,000	

SCHEDULE IV - TRANSACTIONS

Name David L. Hobson

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	First Choice Storage, LLC	P	04-01-05	$250,001 - $500,000
	Assets Held in Smith Barney Citygroup #715-45624-12			
	Nokia Corp Sponsored ADR (Stock)	S	Various	$15,001 - $50,000
	Home Depot, Inc. (Stock)	S	04/19/05	$15,001 - $50,000
	Fox Entertainment Group Inc. (Stock)	S	01/21/05	$1,001 - $15,000
	Horizon TelCom Inc. (Stock)	Merge	04/13/05	$1,001 - $15,000
	Medtronics Inc. (Stock)	S	11/30/05	$1,001 - $15,000
	Oracle Corp. (Stock)	S	09/19/05	$1,001 - $15,000
	Pepsico Inc (Stock)	S	11/23/05	$1,001 - $15,000
	Qualcomm Inc (Stock)	S	Various	$1,001 - $15,000
	Royal Dutch Petroleum Co. (Stock)	S	Various	$1,001 - $15,000
	Yahoo Inc (Stock)	S	09/19/05	$1,001 - $15,000

SCHEDULE IV TRANSACTIONS

Name: David L. Hosler | Page 13 of 15

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	John Hancock Bank & Thrift Opportunity Fd (Mutual Fund)	S	Various	$1,001 - $15,000
	Lexmark Intl Group Inc (Stock)	P/S	Various	$15,001 - $50,000
	TEVA Pharmaceutical Inds Ltd Adr (Stock)	P/S	Various	$15,001 - $50,000
	Black & Decker Corp (Stock)	P	11/17/05	$15,001 - $50,000
	Ebay Inc. (Stock)	P	08/01/05	$1,001 - $15,000
	First Data Corp (Stock)	P	11/30/05	$1,001 - $15,000
	Tessera Technologies Inc (Stock)	P	05/05/05	$1,001 - $15,000
	Texas Instruments Inc (Stock)	P	06/20/05	$1,001 - $15,000
	Assets Held at Smith Barney Citigroup #715-50987-13			
	Smith Barney Aggressive Growth Fd	P	11/07/05	$1,001 - $15,000
	Unit Investment Trust	P/S	Various	$1,001 - $15,000
SP	Assets In SmithBarney Citigroup IRA #715-57493-15			

SCHEDULE IV - TRANSACTIONS

Name: David L. Hobson

(Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Unit Investment Trusts	P	02/09/05	$1,001 - $15,000

1960

SCHEDULE V - LIABILITIES

Name David L. Hobson

Report liabilities of over $10,000 owed by any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out), loans secured by automobiles, household furniture or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	KeyBank	Fujiyama Columbus OH Partnership Restaurant & Land	$250,001 - $500,000
	Security National Bank	First Mortgage on 2138 N. Limestone Street, Spfld, OH	$500,001 - $1,000,000
	Heller Small Business Lending Corp, Indianapolis, IN	Mortgage on Fujiyama Restaurant, Indianpolis, IN	$50,001 - $100,000
	Security National Bank	Business Note	$15,001 - $50,000
	Smith Barney	Note Secured by Stock	$500,001 - $1,000,000
	New Carlisle Federal	Revolving Line of Credit	$50,001 - $100,000
	National City Bank	Business Note, The Offices at Rickenbacker, LLC	$100,001 - $250,000
	US Bank	Business Note, Village Associates LLC, Powell, OH	$500,001 - $1,000,000
	SkyBank	Business Note, Aberdeen Village, LLC, Dayton, OH (Fidelity Investment Advisors, Inc.)	$100,001 - $250,000
	SkyBank	Business Note, Aberdeen Village, LLC, Dayton, OH	$15,001 - $50,000
JT	Huntington Mortgage	Mortgage on 3735 Fieldstone Blvd, Naples, FL	$100,001 - $250,000

SCHEDULE V - LIABILITIES

Name David L Hobson

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	National City Bank	Business Note, First Choice Storage, LLC	$500,001 - $1,000,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name: David I. Hobson

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel related expenses totalling more than $305 received by you, your spouse or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342), political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Ripon Society 2005 Congressional Advisory Bd Policy Conference	Jan. 12-16, 2005	Washington, DC--Key Biscayne, FL--Washington, DC.	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name: David L. Hobson

Report all positions compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	I-Four
Officer	Fidelity Investment Advisors, Inc
Partner	The Offices at Rickenbacker, LLC
Partner	Village Associates, LLC
Partner	Spring Creek Shopping Center LLC
Partner	Aberdeen Village, LLC
Partner	First Choice Storage, LLC

1964

SCHEDULE IX - AGREEMENTS

Name David L. Hobson

Identify the date, parties to, and general terms of any agreement or arrangement with respect to future employment, a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1982-Present	State of Ohio Public Retirement System	Continuing participation in retirement plan.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

8 **HAND DELIVERED**

LEGISLATIVE RESOURCE CENTER
2006 MAY 30 PM 3:46
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

mc

Peter Hoekstra
(Full Name)

1454 Cimarron Dr.
Holland Mi 49423
(Mailing Address)

Daytime Telephone: 616-335-2343

Filer Status	☒ Member of the U.S. House of Representatives	State Mi District: 02	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☒	No ☐	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Peter Hoekstra	5-26-06

1965

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Peter Hoekstra

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Diageo, North America, Washington, D.C.	6th Annual Guinness Cong. Perfect Pint Challenge	March 17, 2005	$500

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter Hoekstra

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold ($), or exchanged (E) in reporting year.
Personal Accounts				
-- Macatawa Bank	$1,001 - $15,000	INTEREST	$1 - $200	
-- Fed. Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	
Herman Miller Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	Donated
Gentex	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
APA	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	

1967

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter Hoekstra

	CMS	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Clarion	$1 - $1,000		NONE	
	Franklin Utilities	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
	Growth Fund America	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
	McKenzie Bay	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	P
	Nam Tai Electors	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	P
	New Economy Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
	Regeons Financial Corp	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	Washington Mutual	$50,001 - $100,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$2,501 - $5,000	
	ADC Telecom	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	RBB - Sen Banc	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	Ivanhoe Energy	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	SW Airlines	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	Siebel Systems	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter Hoekstra

	Microsoft	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
	Br. Stearns/Dreyfus	$15,001 - $50,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$1,001 - $2,500	

SCHEDULE IV - TRANSACTIONS

Name Peter Hoekstra

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Herman Miller Stock	Other	12-07-05	$1,001 - $15,000
SP	Gentex Corp.	S	7-6-05	$15,001 - $50,000
JT	Herman Miller Stock	Other	12-27-05	$1,001 - $15,000
	Urstadt Bidle	S	6-24-05	$1,001 - $15,000
	Verisign	S	10-01-05	$1,001 - $15,000
	McKenzie Bay	P	5-10-05	$1,001 - $15,000
	Nam Tai Electors	P	5-19-05	$1,001 - $15,000
	First Trust	S	3-18-05	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Peter Hoekstra

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Macatawa Bank Holland, MI	Equity Line of Credit	$50,001 - $100,000

SCHEDULE VIII - POSITIONS

Name Peter Hoekstra

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Compass Academy
Board Member	Holland Christian Schools

1972

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

6

LEGISLATIVE RESOURCE CENTER
2006 MAY 15 PM 4:09
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

Tim Holden
(Full Name)

31 Pearl Street St. Clair, PA 17970
(Mailing Address)

(202)225-5546
Daytime Telephone

Filer Status ☑ Member of the U.S. House of Representative — State: PA District: 17 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Tim Holden	5/12/06

1973

SCHEDULE I - EARNED INCOME

Name Tim Holden

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
County of Schuylkill	Spouse salary	$41,937.75

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Tim Holden

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Diageo of North America	appearance at the Sixth Annual "Night of Irish Treasures", Guinness Congressional Perfect Pint Contest	March 8, 2005	$1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Tim Holden

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Schuylkill Federal Employees Credit Union, 724 Market Street, Pottsville, PA 17901	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	M &T Bank, One South Centre, Pottsville, PA 17901	$15,001 - $50,000	INTEREST	$1 - $200	
JT	Van Guard Energy Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
JT	Windsor II Stocks	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
JT	PA Tax Free Fund, Insured Long Term Bond	$15,001 - $50,000	Other: (Tax free income)	$201 - $1,000	
JT	VMMR Prime Money Market Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	

1976

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Tim Holden

JT	Van Guard High Yield Corporate Fund	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
SP	Schuylkill County Employees Retirement System	$15,001 - $50,000	NA	NONE	
	CitiBank Deposit Program	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Agere Systems	$1 - $1,000	NA	NA	
	Lucent Technologies	$1 - $1,000	N/A	NONE	
	Sovereign Bankcorp, Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Pimco Commodity Real Return Strategy FD CL C (SmithBarney Citigroup)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Vanguard Small-Cap Vipers	$1,001 - $15,000	None	NONE	P
	Vanguard Energy Vipers	$1,001 - $15,000	None	NONE	P
	Vanguard Intl Equity Index FD Vanguard Emerging Mkts Vipers	$1,001 - $15,000	None	NONE	P
	UIT First Trust	None	None	NONE	Other(redeemed into CitiBank Deposit Program

SCHEDULE IV - TRANSACTIONS

Name Tim Holden

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Vanguard Energy Vipers	P	10-25-05	$1,001 - $15,000
	Vanguard Int'l Equity IndexFD Vanguard Energy Markets Vipers	P	10-25-05	$1,001 - $15,000
	Vanguard Small-cap Vipers	P	10-25-05	$1,001 - $15,000
	UIT First Trust (trust matured - redeemed into Bank Depost Program	Other	3-18-05; 7-20-05	$1,001 - $15,000

1978

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

9 HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2006 MAY 12 AM 11:34 MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Rush D Holt
(Full Name)
PO Box 782 Pennington NJ 08534
(Mailing Address)
Daytime Telephone: 609-737-2343

Filer Status	[X] Member of the U.S. House of Representatives — State: NJ District: 12	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Rush Holt	May 11, 2006

1979

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	University Medical Center at Princeton	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

1980

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
XYZ Magazine	Article	Aug. 13, 2005	$500
Assn. for the Advancement of Science	Speech and award	April 20 2005	$5,000

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Name Rush D Holt

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Asset and/or Income Source	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	Examples: SP Mega Corp. Stock					X								X										X								P
	DC Simon & Schuster			Incefinite																Royalties								X				
	JT 1st Bank of Paducah, KY accounts						X									X										X						
JT	Wachovia Bank Accounts						X									X							X									
JT	Bank America Account			X												X						X										
JT	Congress. Credit Union			X																	X											
JT	Rental House Pennington NJ						X								X											X						
	Rental Cabin Ausable Forks NY						X								X									X								
JT	~~Ch~~ Citizens Bank Account		X													X						X										

For additional assets and unearned income, use next page.

1982

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Rush D. Holt

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction P, S, E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	
	Vanguard Retirement Fund	E X	DIVIDENDS X; CAPITAL GAINS X	I NA	
	TIAA/CREF	F X	DIVIDENDS X; CAPITAL GAINS X	I NA	
	Froelich land trust	F X	RENT X	III X	
	Federal Retirement Fund	F X	DIVIDENDS X; CAPITAL GAINS X	I NA	
SP	Fidelity IRA	C X	DIVIDENDS X; CAPITAL GAINS X	I NA	
SP	Fidelity 403(b)	F X	DIVIDENDS X; CAPITAL GAINS X	I NA	
SP	Prudential 403(b)	C X	DIVIDENDS X; CAPITAL GAINS X	I NA	
SP	TIAA/CREF	H X	DIVIDENDS X; CAPITAL GAINS X	I NA	
SP	Farm Share Amity OR	F X	Other Type of Income (Specify): Farm income	V X	

This page may be copied if more space is required.

1983

Name Rush D Holt | Page 6 of 9

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type			Date	Amount of Transaction									
SP, DC, JT	Asset		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:*	Mega Corporation Common Stock	X			10–12–05		X								
	NA															

This page may be copied if more space is required.

1984

Name Rush D Holt | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Wells Fargo	Mortgage 89 Old Hackett Rd, Ausable Forks, NY				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
	NA	

Use additional sheets if more space is required.

1985

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Aspen Institute	Jan 9-14	Newark - Punta Mita - Newark	Y	Y	Y	None
Int'l Management + Development Fdn	Feb 23-Mar 3	Stuttgart - Valdez - Grossenaue - DC	Y	Y	Y	RA 2/27-3/1 3 days NL 2/27-3/3 5d
Natl Council Less Women Taxpt Living	April 15-16	Phila - Madison - Newark	Y	Y	N	None
Goldfarb Cent for Public Aff. Colby College	April 24-25	Newark - Waterville, ME - Newark	Y	N	N	None

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1986

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Trustees	McCarter Theater
" "	Family & Childrens Services of Central NJ
" "	Population Resource Center

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NA	

GPO: 2006 26-628 (mac)

Use additional sheets if more space is required.

1987

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

Michael Honda
(Full Name)

6154 Valley Glen Drive San Jose CA 95123
(Mailing Address)

Daytime Telephone
202 225 2631

Filer Status	[X] Member of the U.S. House of Representatives State: CA District: 15	[ ] Officer or Employee	Employing Office: Mike Honda
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Michael M Honda	5/15/06

1988

Name Michael Honda | Page 2 of 4

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (*i.e.*, one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP						X								X										X								P
DC, *Examples:*	Mega Corp. Stock																															
	Simon & Schuster			Indefinite																Royalties								X				
JT	1st Bank of Paducah, KY accounts						X									X										X						
	Sun Bernardino Land parcel # 0140071130000			X																	X											
	Keystone Evergreen Small Co Growth A			X										X								X										
	Fidelity Funds				X									X								X										
	Fidelity Funds			X										X								X										
	Sun Life Canada				X									X									X									
	Sun Life Canada			X										X								X										

For additional assets and unearned income, use next page.

1989

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael Honda

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Provident Credit Union				X											X															
	Golden 1 Credit Union			X												X						X									
	Union Bank			X												X							X								
	CA State Teachers Retirement System					X														retirement	X										
	" "						X													retirement						X					
	CA Public Employees retirement system				X															"	X										
	American Financial Life & Annuity Ins Co					X														"				X							
	Int'l County / City Managers Assoc.					X														deferred income	X										

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1990

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Michael Honda 2005

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Faith & Politics Institute	Mar. 4-6	Wash., D.C.-Birmingham, Montgomery & Selma, AL-Wash., D.C.	Y	Y	N	N/A
Japanese American Bar Association	Mar. 25, 26	San Jose, CA-Los Angeles, CA-San Jose, CA	Y	Y	N	N/A
American Federation of Teachers	Apr. 2	San Francisco, CA-Anaheim, CA-San Francisco, CA	N	N	N	N/A
Asian American Heritage Foundation	May 12	Wash., D.C.-Atlanta, GA-San Jose, CA	Y	Y	N	N/A
Ethiopian North American Health Professionals Association	May 31-Jun. 8	Wash., D.C.-Addis Ababa, Ethiopia-Wash., D.C.	N	Y	N	N/A
National Japanese American Citizens League	Jun. 25-26	San Antonio, TX-Salt Lake City, UT-San Jose, CA	Y	Y	N	N/A
American Legacy Foundation	Jul. 22-24	Wash., D.C.-Chicago, IL-Wash., D.C.	Y	Y	N	N/A
Woodrow Wilson Center for Scholars	Nov. 26-Dec. 1	Los Angeles, CA-Dallas, TX-Sao Paolo, Brazil-Sao Bernardo-Sao Jose dos Campos-Sao Paolo, Brazil-Dallas, TX-Phoenix, AZ	Y	Y	N	N/A

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2004

FORM A
For use by Members, officers, and employees

Darlene Hooley
(Full Name)

P. O. Box 565 West Linn, OR 97068
(Mailing Address)

202-225-5711
Daytime Telephone:

Filer Status ☑ Member of the U.S. House of Representative — State: OR District: 05 ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Darlene Hooley	5/15/06

1992

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Darlene Hooley

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Fidelity Investment	$1001 - $15,000	Dividends/ Interest/	$201 - $1,000	
Portland Teachers Credit Union	$1001 - $15,000	Dividends/ Interest	$1 - $200	
Westport Oil	$1001 - $15,000	Royalty	$201 - $1,000	
Wedbush C/F IRA	$50,001 - $100,000	Dividends/ Interest/CAPITAL GAINS	$2,501 - $5,000	
Wright-Patman Congressional FCU	$1 - $1,000	Interest/	$1 - $200	
Ameriprise Financial (formerly AMEX) IRA	$100,001 - $250,000	Retirement	N/A	

1993

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Darlene Hooley

	Wedbush NQ	$1,001 - $15,000	Dividends/ Interest/CAPITAL GAINS	$201 - $1,000	P
	PERS	None	N/A	N/A	

SCHEDULE IV - TRANSACTIONS

Name Darlene Hooley

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Wedbush NQ	P	1/24/05	$1,001 - $15,000

1995

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Darlene Hooley

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Pacific Northwest Mortgage Lenders Conference	July 31 - Aug. 1	West Linn, OR - Sunriver, OR - West Linn, OR	Y	Y	N	N/A

1996

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

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John Nathan Hostettler
(Full Name)
1214 Longworth Building
(Mailing Address)
Washington, DC 20515
202-225-4636
Daytime Telephone:

Filer Status	[X] Member of the U.S House of Representatives — State: IN District: 08	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

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TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John N. Hostettler	May 15, 2006

1997

Name John N. Hostetler | Page 2 of 6

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the Instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples: SP Mega Corp. Stock					X								X										X							P
	Simon & Schuster			Indefinite																Royalties								X			
	1st Bank of Paducah, KY accounts						X									X									X						
JT	Boeing Co. Stock			X										X			X									X						Partial Sale
JT	Edison International Stock			X										X			X									X						Partial Sale
JT	Exxon-Mobil Corp. Stock			X										X										X								Partial Sale
JT	General Electric Stock			X										X			X									X						Partial Sale
JT	RAI Stock		X											X								X										
JT	Bristol Myers Stock			X										X			X									X						Partial Sale

For additional assets and unearned income, use next page.

1998

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John N. Hostettler

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
JT	Key Corp Stock	C	DIVIDENDS, CAPITAL GAINS	V	Partial Sale
JT	IBM Stock	C	DIVIDENDS, CAPITAL GAINS	VI	Partial Sale
JT	Lucent Technologies Stock	A	DIVIDENDS	II	S

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1999

Name John N. Hostettler | Page 4 of 6

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
JT	Exon Mobil Corp., Common Stock		X		08-01-05	X									
JT	Lucent Technologies INC Common Stock		X		09-01-05	X									
JT	General Electric Co., Common Stock		X		09-07-05	X									
JT	Edison International Common Stock		X		09-07-05	X									
JT	International Business Machine Common St.		X		09-08-05	X									
JT	Boeing Company Common Stock		X		09-08-05	X									
JT	Reynolds American INC., Common Stock		X		09-09-05	X									
JT	Bristol-Myers Squibb Co, Common Stock		X		06-16-05	X									
JT	Ka Corp. INC., New Common Stock		X		07-20-05	X									

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2000

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example.	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Evansville Teachers Federal Credit Union	Visa credit card		X								
JT	Chase Bank USA (Previously First Union)	MasterCard credit card		X								
JT	Bank of America	Visa credit card		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

Name John N. Hostettler | Page 6 of 6

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342), political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	4th/5th Cong. District GOP Council	5-14-05	Indpls, IN - Mim, IN - Indpls	N	Y	N	N/A
	Claremont Institute	8/1/05 - 8/4/05	Indpls - Aspen, Co. - Indpls, IN	Y	Y	Y	None

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2002

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A For use by Members, officers, and employees

2003

Rep. Steny H. Hoyer
(Full Name)

1705 Longworth House Office Building U.S. House of Representatives Washington, DC
(Mailing Address)

(202) 225-3130
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: MD District: 5	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Steny H. Hoyer	5/10/06

SCHEDULE I - EARNED INCOME

Name Rep. Steny H. Hoyer

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Maryland State Retirement Agency	Legislative Pension	$19,393.80

2004

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rep. Steny H. Hoyer

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Legg Mason Wood Walker Govt. Money Market	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Legg Mason Wood Walker SEPP IRA (Legg Mason Value Trust Mutual Fund)	$250,001 - $500,000	None	NONE	
	Legg Mason Wood Walker SEPP IRA (Telkonet, Inc. stock)	$50,001 - $100,000	None	NONE	
	Legg Mason Wood Walker SEPP IRA (Berkshire Income Realty, Inc.)	$1,001 - $15,000	None	NONE	
	MFS Investment Management/ Money Market fund	$1 - $1,000	DIVIDENDS	$1 - $200	
	Congressional Federal Credit Union	$15,001 - $50,000	INTEREST	$1 - $200	

2005

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rep. Steny H. Hoyer

	Digital Angel Corp	$15,001 - $50,000	None	NONE	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Rep. Steny H. Hoyer

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Democratic Leadership Council	April 29-May 1	Washington, D.C.--New Orleans, LA--Washington, D.C.	Y	Y	N	N/A
The Faith and Politics Institute	March 4-6	Washington, D.C.--Birmingham, AL--Montgomery, AL--Selma, AL	Y	Y	N	N/A
American Israel Education Fund	Aug. 8-15	Washington, D.C.--Jerusalem--Tel Aviv--Washington, D.C.	Y	Y	Y	N/A

2007

SCHEDULE VIII - POSITIONS

Name Rep. Steny H. Hoyer

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	St. Mary's College Board of Trustees
Member	U.S. Naval Academy Board of Visitors

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2009

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

Kenny Charles Hulshof
(Full Name)

24 Bingham Road Columbia, MO 65203
(Mailing Address)

202-225-2956
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: MO District: 09	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics In Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	May 11, 2009

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Kenny Charles Hulshof

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Primevest Financial, St. Cloud, MN	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	Citistreet, East Brunswick, NJ Dreyfus S&P Index	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	Citistreet, East Brunswick, NJ Pimco Total Return Admin	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Citistreet, East Brunswick, NJ AIM Small Cap Growth	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	Citistreet, East Brunswick, NJ SSGA Money Market	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	American Century, KC, MO Growth	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

2010

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Kenny Charles Hulshof

SP	American Century, KC, MO Ultra	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	American Century, KC, MO Value	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	American Century, KC, MO Global Growth	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
SP	American Century, KC, MO Life Sciences	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	American Century, KC, MO Prime Money Market	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	American Funds, San Antonio, TX Growth Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	American Funds, San Antonio, TX Investment Company	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	American Funds, San Antonio, TX Capital Income Builder	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	American Funds, San Antonio, TX Growth Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	American Funds, San Antonio, TX Capital Income Builder	$1 - $1,000	DIVIDENDS	$1 - $200	
DC	American Funds, San Antonio, TX Investment Company	$1 - $1,000	DIVIDENDS	$1 - $200	
DC	First National Bank, Columbia, MO	$1 - $1,000	INTEREST	$1 - $200	
DC	First National Bank, Columbia, MO	$1 - $1,000	INTEREST	$1 - $200	
JT	First National Bank, Columbia, MO - Accounts	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Kenny Charles Hulshof

JT	Bank of America, Columbia, MO - Accounts	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Citizens Bank, Charleston, MO - Accounts	$50,001 - $100,000	INTEREST	$201 - $1,000	
JT	First Security State Bank, Charleston, MO - Accounts	$50,001 - $100,000	INTEREST	$1 - $200	
JT	Certificates of Deposit, Citizens Bank, Charleston, MO	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	IRA, Citizens Bank, Charleston, MO	$1,001 - $15,000	INTEREST; Other - Mandatory Distribution	$1,001 - $2,500	
JT	Progressive Farm Credit, Charleston, MO	$1 - $1,000	INTEREST	$1,001 - $2,500	
JT	Savings Bonds	$1 - $1,000	INTEREST	NONE	
JT	Prudential Financial	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Delta Growers Association, Charleston, MO	$1 - $1,000	DIVIDENDS	$1 - $200	
JT	MFA Oil Company, East Praries, MO	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Great River Soy Processing Coop, Sikeston, MO	$1,001 - $15,000	DIVIDENDS	NONE	P
JT	MO States Employees Retirement System	$15,001 - $50,000	DIVIDENDS	NONE	
JT	KCH Hulshof Farms, 3550 W. 220th Road, Charleston, MO - Rental House	$50,001 - $100,000	RENT	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Kenny Charles Hulshof

JT	KCH Hulshof Farms Real Property (Less 40 acres sold 06/2005; see Schedule IV;) Equipment	$1,000,001 - $5,000,000	RENT - Other-Farm Income	$50,001 - $100,000	S

SCHEDULE IV - TRANSACTIONS

Name Kenny Charles Hulshof

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	40 acres of farmland, New Madrid County, MO	S	06-05	$50,001 - $100,000
JT	1 Share of Class B Stock - Great River Soy Processing Coop	P	12-17-05	$1,001 - $15,000

2014

SCHEDULE V - LIABILITIES

Name Kenny Charles Hulshof

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Progressive Farm Credit, Charleston, MO	Real Estate, Equipment Debt; Operating Loan	$100,001 - $250,000

2015

SCHEDULE-VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Kenny Charles Hulshof

Page 8 of 9

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Chicago Mercantile Exchange	09-18-05/09-19-05	St. Louis, MO--Chicago, IL--Washington, D.C.	Y	Y	N	N/A

2016

SCHEDULE VIII - POSITIONS

Name Kenny Charles Hulshof

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any *educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an* honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Owner	KCH Hulshof Farms

2017

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2006 MAY 15 PM 12:46
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

DUNCAN LEE HUNTER
(Full Name)

2265 RAYBURN HOB
(Mailing Address)

202 225-5672
Daytime Telephone

WASH DC 20515

Filer Status	[X] Member of the U.S. House of Representatives	State: CALIFORNIA District: 52	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [✓]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [✓]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [✓]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [✓]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [✓]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [✓]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [✓]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [✓]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [✓]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [✓]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [✓]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Duncan Hunter	5/15/06

2018

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name *the institution holding the account and* provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP	Mega Corp. Stock					X								X										X								P
DC	Simon & Schuster																			Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
	ING SAVINGS			X												X							X									
	CONG FED CREDIT UNION				X											X							X									
	CONG FED CREDIT UNION			X												X						X										
	BB&T BANK		X													X						X										
	NO ISLAND FED CREDIT UNION						X									X									X							
	NO ISLAND FED CREDIT UNION			X												X						X										

For additional assets and unearned income, use next page.

2019

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name DUNCAN LEE HUNTER

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	
	NO ISLAND FED CREDIT UNION	H	INTEREST	VI	
	CA BANK & TRUST	F	INTEREST	VI	
	COMMUNITY NAT BANK	E	INTEREST	V	
A	APPROX: 35 ACRES W/ 3 HOUSES (FORMALLY APPROX: 50 ACRES)	H	CAPITAL GAINS	IX	S = PARTIAL SALE
B	CABIN WARREN CTY, VA	F		I	
C	1/4 ACRE LOT BEAR LAKE, UT	C		I	
D	BURNED HOME S.D., CA BEING REBUILT	G		I	
	9635 VISTA VIGIAS, ALPINE, CA 91901				

This page may be copied if more space is required.

2020

Name DUNCAN LEE HUNTER

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–05		X								
JT	SALE OF HOUSE (APPROX: 5 ACRES OF 50)		X		1-13-05					X					
JT	SALE OF HOUSE (APPROX: 5 ACRES OF 50)		X		2-04-05					X					
JT	SALE OF HOUSE (APPROX: 5 ACRES OF 50)		X		2-24-05					X					
JT	LOT, WARREN CTY, VA (APPROX 1 ACRE OF 50)		X		10-28-05				X						

This page may be copied if more space is required.

2021

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	CONG FED CREDIT UNION	CAR LOAN		X								
	CONG FED CREDIT UNION	REVOLVING LOAN	X									
	SOWEST AIRLINE CARD	CREDIT CARD	X									
	NO ISLAND FED CREDIT UNION	MORTGAGE ON BURNED HOME BEING REBUILT				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source		Description	Value
Example:	Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD MEMBER	AMERICAN CONSERVATIVE UNION
PARTNER	CABIN #2 w/ PETE GERRN ON BLUE RIDGE

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2006 25-628 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A

For use by Members, officers, and empl

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER 3

2006 MAY 15 AM 10: 03

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

PC

Henry J Hyde
(Full Name)

2110 Rayburn House Office Building (Mailing Address)

202 225 4561 (Daytime Telephone)

Washington, DC 20515

Filer Status: [X] Member of the U.S. House of Representatives — State District; [ ] Officer or Employee — Employing Office

Report Type: [X] Annual (May 15); [ ] Amendment; [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than *30 days late.*

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? ***If yes, complete and attach Schedule V.***	Yes [ ]	No [X]	**Each question in this part must be answered and the *appropriate schedule attached for each "Yes" response.***		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Henry J Hyde	5-15-2006

2024

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Illinois General Assembly Retirement	Legislative Pension	$7,952.52

For payments to charity in lieu of honoraria, use Schedule II.

2025

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For *additional information, see the instruction* booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of Income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP/DC/JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X										X								P
DC, *Examples*	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
	Congressional Federal Credit Union, Washington DC					X										X								X								
	White House Federal Credit Union, Washington DC			X												X							NA/IRA									

For additional assets and unearned income, use next page.

2026

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED
MC 8
LEGISLATIVE RESOURCE CENTER
2006 MAY 12 AM 9:29
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Bob Inglis
(Full Name)

461 River Road
(Mailing Address)

864-630-8324
Daytime Telephone

Travelers Rest, SC 29690

Filer Status	[✓] Member of the U.S. House of Representatives	State: SC District: 4	[ ] Officer or Employee — Employing Office:
Report Type	[✓] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [✓]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [✓]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [✓]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [✓]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [✓]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [✓]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [✓]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Bob Inglis	5/11/06

2027

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Inglis for Congress Committee, Inc.	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

2028

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each* asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U S Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	Block A: Asset and/or Income Source		Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, or E)
SP, DC, JT	Examples.	SP Mega Corp. Stock	E	DIVIDENDS	VI	P
		Simon & Schuster	indefinite	Royalties	IX	
		1st Bank of Paducah KY accounts	F	INTEREST	VI	
	IRA with Fidelity Investments comprised of the following:					
	Fidelity Advisor Equity Growth CLT (FAEGX)		C	DIVIDENDS, INTEREST, CAPITAL GAINS	III	
	Fidelity Advisor Equity Income CLT (FEIRX)		D	DIVIDENDS, INTEREST, CAPITAL GAINS	IV	
	Fidelity Advisor Intermed Bond CLT		D	DIVIDENDS, INTEREST, CAPITAL GAINS	III	

For additional assets and unearned income, use next page.

2029

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Bob Inglis

Page 4 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	IRA with A.G.Edwards — Consist of the following													X																		
	Mutual Funds		X																													
	Alliance Bernstein Lg Cap Growt			X																		X										
	AmCent Quant Inc & Grwth			X													X						X									
	Columbia Marsico Focused Equit			X																		X										
	Davis N Y Venture Fd Inc			X										X									X									
	Federated Govt Obligs Money Mk		X											X									X									
	Fidelity Small Cap Fd Cl A			X													X						X									
	Harbor Capital Appreciation Fd			X																		X										
	Hotchkis & Wiley Fds Mid Cap C			X										X			X						X									
	Janus Invest Fund Sm Cap Value			X										X			X							X								
	Lord Abbett Mid Cap Val A			X										X			X						X									
	MFS Mass Invest Gwth Stk			X																		X										
	Oppenheimer Developing Mkts F			X										X			X						X									
	Putnam Intl Equity Fd Cl A			X										X									X									
	Scudder Val Ser Dreman High Rt			X										X									X									
	T Rowe Price Mid-Cap Gr			X													X						X									
	Templeton Devel Mkts I		X											X									X									
	Templeton Foreign I			X										X			X						X									
	Wash Mut Invs Fd Inc Cl F			X										X			X						X									

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Bob Inglis

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	IRA with A.G. Edwards holding Centennial Money Market TR	X												X		X	X						X									
	Allinde Inglis Inglis Jr. Qualified Personal Residence Trust and Helen McCulloch Inglis Qualified Personal Residence Trust (1/5 interest in both)							X							X										X							

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2031

SCHEDULE IV — TRANSACTIONS

Name Bob Inglis

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	Leatherwood Walker Todd & Mann P.C. Retirement Account holding Schwab Money Market Fund		X		1/6/05		X								
	IRA with A.G. Edwards holding Centennial Money Market TR	X			1/18/05		X								
	IRA with A.G. Edwards holding Centennial Money Market TR		X		10/10/05										
	IRA with A.G. Edwards holding assets listed on Schedule III having a year end value of none	X			10/1/05		X								
	Estate for years expired at end of 2004 in Allick Wyllie Inglis, Jr. Qualified Personal Residence Trust and Helen McCullough Inglis Qualified Personal Residence Trust leaving unencumbered 1/3 interest in real estate owned by trusts * Note: This "transaction" involved no transfer of consideration but rather the continuing implementation of an estate planning mechanism			See * Note		See * Note									

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2032

Name	Bob Inglis	Page 7 of 8

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Bank of Travelers Rest	Home equity loan		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

2033

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Allick Wyllie Inglis, Jr. Qualified Personal Residence Trust
Trustee	Helen McCullough Inglis Qualified Personal Residence Trust

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A

For use by Members, officers, and employees

HAND DELIVERED

7

LEGISLATIVE RESOURCE CENTER

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U.S. HOUSE OF REPRESENTATIVES

(Office of Records)

MC

Jay Robert Inslee
(Full Name)

1197 Hawley Way NE
Bainbridge WA 98110
(Mailing Address)

Daytime Telephone 202-225-6311

Filer Status	☑ Member of the U.S. House of Representatives — State: WA District: 7	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	J Inslee	May 6, 2006

2035

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Dr Steven Schwager	Spouse Salary	4000-

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E
SP	Mega Corp Stock					X								X										X								P
DC	Simon & Schuster			Indefinite																Royalties								X				
JT	1st Bank of Paducah, KY accounts						X									X										X						
JT	Congressional Federal Credit Union			X																												
SP	Salomon Smith Barney, Simple IRA			X																												
	Zumiez				X																											P, S
	Starbucks				X									X								X										
	Texas Instruments				X									X								X										
	Real Networks			X																												

For additional assets and unearned income, use next page.

2037

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jay Robert Inslee Page 4 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Microsoft				X									X									X									
	Citigroup				X									X								X										
	Cisco Systems				X																											
	Emc Corp.			X																												
	General Electric				X									X								X										
	I-Flow			X																												
	Intel				X																											
	Lucent		X																													
	Medtronic				X																											
	Pfizer		X																													
	IBM			X																												
	AOL Time Warner		X																													
	Postal Meters		X																													

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2038

Name Jay Robert Inslee | Page 5 of 7

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
	AOL Time Warner		X		05-09-05	X									
	Bristol Myers		X		05-09-05	X									
	Zumiez	X			05-09-05	X									
	Zumiez	X			06-21-05	X									
	Zumiez		X		07-07-05	X									

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2039

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Global Partnerships	Jan 8-12	Seattle - Managua - Seattle	Y	Y	N	none

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Honorary Bd Member	Washington Wildlife & Recreation Coalition

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

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2006 MAY 24 AM 10: 02

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

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May 23, 2006

Karen Haas
The Clerk, U.S. House of Representatives
Legislative Resource Center
B106 Cannon House Office Building
Washington, D.C. 20415-6612

Ms. Haas,

Please record that it has come to my attention that I reported the purchase of International Business Machines Corp on my 2001 Financial Disclosure Statements but in the years since that date I inadvertently did not list that stock. The value of that purchase was in the category of $1,001 - $15,000 and the value has so remained. Please apply this amendment to my financial disclosures from years 2002-2005 as well.

Please contact me should you have any questions.

Thank you,

Jay Robert Inslee
Member of Congress
First District, Washington
403 Cannon HOB
Washington, D.C. 20515
202.225.6311 office
206.780.5626 home

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2006 MAY 24 AM 10: 02
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Jay Robert Inslee
(Full Name)
193 Hawley Street NE
Bainbridge WA 98110
(Mailing Address)
Daytime Telephone: 202-225-6311

Filer Status: [x] Member of the U.S. House of Representatives — State: WA District: 1 — [ ] Officer or Employee — Employing Office:

Report Type: [ ] Annual (May 15) — [x] Amendment — [ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [x] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [x]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [x]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [x] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [x] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [x] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [x] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [x]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [x]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [x]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [x]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	May 23, 2006

2043

Name Jay Robert Inslee | Page 2 of 7

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Dr Stephen Schwager	Spouse Salary	400.00

For payments to charity in lieu of honoraria, use Schedule II.

Name [illegible]

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income Check all columns that apply. Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock		X	X	P
DC	Simon & Schuster	Incentive	Royalties		
JT	1st Bank of Paducah, KY accounts	X	X	X	
JT	Congressional Federal Credit Union	X	X	X	
SP	Salomon Smith Barney IRA Lord Abbett Mid Cap Value	X	X	X	
SP	Salomon Smith Barney IRA MFS Strategic Growth Fund	X		X	
	Zumiez	X		X	P + S
	Starbucks	X	X	X	
	[illegible]	X	X	X	

For additional assets and unearned income, use next page.

2045

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name [illegible]

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Real Networks			X																	X											
	Microsoft				X									X									X									
	Cisco Systems				X																X											
	EMC Corp			X																	X											
	General Electric				X									X									X									
	I-Flow			X																	X											
	Intel				X									X									X									
	Lucent		X																		X											
	Medtronic				X									X								X										
	Pfizer			X										X								X										
	ZBNI													X								X										
	AOL Time Warner	X															X								X							S
	Bristol Myers	X															X								X							
	Citigroup				X									X									X									

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Name Jay Robert Inslee | Page 5 of 7

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10–12–05		X								
	AOL Time Warner		X		05-07-05	X									
	Bristol Myers		X		05-09-05	X									
	Dummy 3	X			05-05-05	X									
	Dummy 2	X			06-01-05	X									
	Dummy 2		X		07-07-05	X									

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Global Partnership's	Jan 8-12	Seattle - [illegible]	Y	Y	N	none

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Honorary Board Member	Washington [illegible] & Recreation Coalition

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

MAY 15 2006

5

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(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A

For use by Members, officers, and employees

Steve J. Israel
(Full Name)

66 Dix Highway Dix Hills, NY 11746
(Mailing Address)

2022253335
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: NY District: 02	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief		5/15/06

2050

SCHEDULE I - EARNED INCOME

Name Steve J. Israel

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Law Offices of Marlene Budd	Spouse Salary	n/a
Town of Hungtington	Spouse Salary	n/a

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steve J. Israel

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	American Funds Keogh Account	$15,001 - $50,000	DIVIDENDS/DIVIDENDS	$2,501 - $5,000	
	Merryl Lynch IRA--Contains Israel Bonds	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
SP	NYS Retirement Account	$15,001 - $50,000		NONE	
	NYS Retirement Account	$15,001 - $50,000	DIVIDENDS	NONE	
	Oppenheimer Funds Limited Term NY Municipal Class C	$15,001 - $50,000	DIVIDENDS	NONE	

SCHEDULE V - LIABILITIES

Name Steve J. Israel

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	American Express	Credit Card	$15,001 - $50,000
SP	Sallie Mae/Educational Loan Servicing	Student Loan	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Steve J. Israel

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
American Israel Education Fund	Aug. 8-15	New York - Tel Aviv - Jerusalem and travel within Israel - New York	Y	Y	Y	n/a
Institute of Southeast Asian Studies	January 10 - January 19	Newark - Singapore - Colombi, Sri Lanka - Singapore - Newark	Y	Y	Y	n/a

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED
2006 JUN 14 AM 11:02
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

The Honorable Darrell Issa
(Full Name)

211 Cannon HOB Washington, DC 20515
(Mailing Address)

202-225-3906
Daytime Telephone:

Filer Status: ☑ Member of the U.S. House of Representatives — State: CA District: 49 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		06-13-06

2055

SCHEDULE I - EARNED INCOME

Name The Honorable Darrell Issa

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Greene Properties, Inc	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name The Honorable Darrell Issa

BLOCK A **Asset and/or Income Source**		BLOCK B **Year-End Value of Asset**	BLOCK C **Type of Income**	BLOCK D **Amount of Income**	BLOCK E **Transaction**
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. *Exclude: Your personal residence(s) (unless there is rental income);* any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	See Attachment				

2057

Schedule III - Total Assets and "Unearned" Income

Asset		Value of Asset												Income Type									Amount of Income									Transaction
		A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	None	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	Over $5,000,000	P, S, E
JT	AIM International Small Company FD CL A										x			x																		x
JT	AIM International Small Company FD CL A										x						x											x				p
JT	AIM Small Cap Growth FD CL A									x							x											x				p
JT	Alliance Bernstein Emerging Market Debt FD CL A	x												x												x						s,p
JT	Alliance Bernstein Emerging Market Debt FD CL A	x												x											x							p
JT	Alliance Bernstein Growth and Income Fund CL A	x												x										x								s,p
	Alliance Bernstein Value FD CL A				x									x									x									p
	Alliance Bernstein Value FD CL A				x												x							x								p
s	Alliance Bernstein Value FD CL A				x									x									x									p
s	Alliance Bernstein Value FD CL A				x												x							x								p
JT	Alliance Bernstein Value FD CL A									x				x													x					p
JT	Alliance Bernstein Value FD CL A									x							x											x				p
JT	Allianz NFJ Small Cap Value FD CL A ***									x				x													x					p
JT	Allianz NFJ Small Cap Value FD CL A ***									x							x											x				p
	Allianz OCC Renaissance FD CL A ***	x																		x												s
s	Allianz OCC Renaissance FD CL A ***	x																		x												s
JT	Allianz Oce Value ***	x																		x												s
JT	Allianz PEA Target ***	x																		x												s
JT	American Amcap Fund CL A									x				x												x						p
JT	American Amcap Fund CL A									x							x									x						p
JT	American Growth FD of America CL A									x				x												x						
JT	American Growth FD of America CL A									x							x									x						
	American Growth Fund of America CL A				x									x								x										p
	American Growth Fund of America CL A				x												x						x									p
s	American Growth Fund of America CL A				x									x								x										p
s	American Growth Fund of America CL A				x												x						x									p
JT	American New Perspective FD CL A									x				x												x						
JT	American New Perspective FD CL A									x							x											x				
	American New Perspective FD CL A				x									x									x									p
	American New Perspective FD CL A				x												x							x								p
s	American New Perspective FD CL A				x									x									x									p
s	American New Perspective FD CL A				x												x							x								p
JT	Axa Enterprise Capital Appreciation CL A									x										x												
JT	AXA Enterprise Mergers & Acquisitions CL A									x				x											x							p
JT	AXA Enterprise Mergers & Acquisitions CL A									x							x									x						p
JT	AXA Enterprise Small Co Value FD A									x							x											x				
JT	BlackRock Large	x																		x												e
JT	Blackrock Large Cap Value Equity CL A									x				x												x						p
JT	Gelamos Growth Fund	x																		x												p

Schedule III - Total Assets and "Unearned" Income

Asset		Value of Asset													Income Type							Amount of Income										Transaction
		A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	None	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	Over $5,000,000	m, p, s
JT	Calamos Growth Fund									x							x										x					
JT	Calamos Value FD CL A									x							x										x					
JT	CMA Tax-Exempt Fund										x			x												x						
JT	Columbia Marsico 21st Century Fund CL A										x			x										x								p
JT	Corporate High Yield FD	x												x								x										s
JT	Davis NY Venture FD A									x				x												x						s
JT	Delaware American Services	x																			x											p
JT	Delaware American Services FD CL A									x											x											
JT	Dreyfus Prem Value FD CL A									x				x												x						
JT	Dreyfus Prem Value FD CL A									x							x											x				
JT	Dreyfus Premier World Wide Growth FD CL A									x				x												x						p
JT	Eaton Vance Asian Small Companies Fund CL A										x			x										x								p
JT	Eaton Vance Growth FD CL A	x																			x											s
JT	Evergreen Equity Income FD CL A									x				x												x						p
JT	Evergreen Equity Income FD CL A									x							x											x				p
JT	Federal Natl MTG Assoc	x																			x											s
JT	First Eagle Global FD CL A									x				x													x					p
JT	First Eagle Global FD CL A									x							x											x				p
JT	Franklin Cust FDS Util Ser CL A									x				x														x				p
JT	Franklin Cust FDS Util Ser CL A									x							x										x					p
JT	Franklin MicroCap Fund Cl A									x				x												x						p
JT	Franklin MicroCap Fund Cl A									x							x											x				p
JT	Goldman Sachs Mid Cap Value FD CL A									x				x												x						p
JT	Goldman Sachs Mid Cap Value FD CL A									x							x											x				p
JT	Goldman Sachs Mid Cap Value FD CL A									x						Unvecap Sec 1250	x						x									p
JT	ING MidCap Value	x																			x											s
JT	ISA Fund	x														x							x									s p
JT	Janus Advisor Forty CL A									x											x											p
JT	John Hancock Classic Value FD A									x				x											x							p
JT	John Hancock Classic Value FD A									x							x									x						p
JT	John Hancock Focused	x																			x											s
JT	Lord Abbett Mid Cap Value Fund CL A										x			x													x					p
JT	Lord Abbett Mid Cap Value Fund CL A										x						x											x				p
JT	Mainstay Mid Cap Value										x						x											x				p
JT	MFS International New Discovery FD CL A									x				x												x						p
JT	MFS International New Discovery FD CL A									x							x											x				p
JT	MFS Research	x																			x											s
JT	MFS Strategic Value CL A									x				x											x							
JT	MFS Strategic Value CL A									x							x											x				

Schedule III - Total Assets and "Unearned" Income

	Asset	Value of Asset												Income Type							Amount of Income										Transaction
		A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	None	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	Over $5,000,000	P, S, E
	MFS Value FD CL A				x									x								x										p
	MFS Value FD CL A				x												x							x								p
s	MFS Value FD CL A				x									x										x								p
s	MFS Value FD CL A				x												x					x										p
JT	ML Bank Deposit Program				x											x							x									s,p
	ML Bank Deposit Program			x												x						x										s,p
s	ML Bank Deposit Program			x												x						x										s,p
JT	ML Developing Cap MKTS A									x				x										x								p
JT	ML Global Allocation A									x				x													x					p
JT	ML Global Allocation A									x							x											x				p
JT	ML Global Small Cap FD A										x			x												x						p
JT	ML Global Small Cap FD A										x						x											x				p
JT	ML Large Cap Growth FD CL A									x											x											
JT	ML Large Cap Value Fund CL A										x			x														x				p
JT	ML Large Cap Value Fund CL A										x						x											x				p
JT	ML Lgobal Small Cap FD CL A	x																			x											p
JT	ML MidCap CL OPP CL A									x				x														x				p
JT	ML MidCap CL OPP CL A									x							x											x				p
JT	ML Pacific Fund	x																			x											p
JT	ML Pacific Fund CL A									x	x			x														x				p
JT	ML S&P 500 Index FD CL A									x				x												x						s,p
	ML Value Opp FD CL A				x												x								x							p
s	ML Value Opp FD CL A				x												x								x							p
JT	ML&CO STRIDES JBLU Linked to Jet Blue Air 10% May 22 2006	x														x										x						s
JT	Oppenheimer Developing Markets FD CL A										x			x													x					p
JT	Oppenheimer Developing Markets FD CL A										x						x												x			p
JT	Phoenix Foreign Opportunities FD A	x												x												x						s,p
JT	Phoenix Foreign Opportunities FD A	x															x												x			s,p
JT	Phoenix International Strategies FD A									x											x											p
JT	Pioneer Mid Cap Value FD CL A	x												x												x						s,p
JT	Pioneer Mid Cap Value FD CL A	x															x											x				s,p
	Pioneer Mid Cap Value FD CL A				x												x								x							p
	Pioneer Mid Cap Value FD CL A				x									x								x										p
s	Pioneer Mid Cap Value FD CL A				x										x							x										p
s	Pioneer Mid Cap Value FD CL A				x												x								x							p
JT	Pioneer Small Cap Value FD CL A										x			x												x						p
JT	Pioneer Small Cap Value FD CL A										x						x											x				p
JT	Scudder Micro Cap FD	x																			x											s

2060

Schedule III - Total Assets and "Unearned" Income

Asset		Value of Asset												Income Type							Amount of Income									Transaction
		A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	None	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	Over $5,000,000	P, S, or E
JT	Seligman Large Cap Value FD CL A									x				x								x										p
JT	State Str Res Large Cap Value FD CL A	x												x											x							e
JT	Templeton Foreign	x																			x											s,p
JT	Van Kampen Comstock Fund Cl A									x				x														x				p
JT	Van Kampen Comstock Fund Cl A									x							x											x				p
JT	Greene Properties, Inc **									x					x														x			
JT	DEI LLC **									x					x														x			
JT	Third I LLC ***								x						x													x				
	Congressional Federal Credit Union Accounts				x											x							x									

NOTE: If an asset appears multiple times this denotes more than one type of income was received on a single asset.
I.E AIM International Small Company FD CL A is listed once to report dividends and again to report capital gains, but is a single asset valued at $5,000,000 - $25,000,000

** Greene Properties, Inc. is a property management company that owns and manages office and light industrial property located at 1 Viper Way in Vista, CA; and 3142 and 3156 Vista Way in Oceanside, CA.

** DEI LLC is a limited liability corporation that owns and manages office and light industrial property located at 5540 and 5541 Fermi Court in Carlsbad, CA; 2052 Corte del Nogal in Carlsbad, CA; 5931 Priestly Drive in Carlsbad, CA; and 212 S. Main Street in Fallbrook, CA.

** Third I LLC is a limited liability corporation that owns and manages office and light industrial property located at 2585 Business Park Drive, Vista, CA

*** These funds were reported on the 2004 Report as PIMCO funds. The names changed as a result of a merger with Allianz.

2061

SCHEDULE IV - TRANSACTIONS

Name The Honorable Darrell Issa

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	See Attachment			

Schedule IV - Transactions Total

	Asset	Type			Date	Amount of Transaction									
		Purchase	Sale	Exchange		B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
JT	ML Large Cap Growth	x			1/19/2005					x					
JT	AIM International Small Company FD CL A	x			1/31/2005				x						
JT	AIM International Small Company FD CL A	x			2/28/2005						x				
JT	AIM International Small Company FD CL A	x			1/25/2005						x				
JT	AIM Small Cap Growth Fund CL A	x			6/6/2005						x				
JT	Alliance Bernstein Emerging	x			1/19/2005	x									
JT	Alliance Bernstein Emerging	x			1/25/2005					x					
JT	Alliance Bernstein Emerging	x			2/22/2005	x									
JT	Alliance Bernstein Emerging	x			3/21/2005	x									
JT	Alliance Bernstein Emerging		x		4/18/2005							x			
JT	Alliance Bernstein Growth and Income Fund CL A	x			3/30/2005	x									
JT	Alliance Bernstein Growth and Income Fund CL A		x		9/12/2005						x				
JT	Alliance Bernstein Growth and Income Fund CL A		x		9/13/2005						x				
JT	Alliance Bernstein Value	x			9/13/2005						x				
	Alliance Bernstein Value	x			12/22/2005	x									
	Alliance Bernstein Value	x			12/22/2005	x									
	Alliance Bernstein Value	x			12/22/2005	x									
SP	Alliance Bernstein Value	x			12/22/2005	x									
SP	Alliance Bernstein Value	x			12/22/2005	x									
SP	Alliance Bernstein Value	x			12/22/2005	x									
JT	Allianz NFJ SM Cap Value	x			5/20/2005							x			
	Allianz OCC Renaissance		x		6/9/2005		x								
SP	Allianz OCC Renaissance		x		6/9/2005		x								
JT	Allianz OCC Value		x		4/25/2005							x			
JT	Allianz OCC Value		x		5/20/2005							x			
JT	Allianz Pea Target		x		6/23/2005							x			
JT	American AMCAP	x			6/20/2005		x								
	American Growth Fund of America	x			12/21/2005	x									
	American Growth Fund of America	x			12/21/2005	x									
SP	American Growth Fund of America	x			12/21/2005	x									
SP	American Growth Fund of America	x			12/21/2005	x									
	American New Perspective	x			12/29/2005	x									
	American New Perspective	x			12/29/2005	x									
SP	American New Perspective	x			12/29/2005	x									
SP	American New Perspective	x			12/29/2005	x									
JT	Axa Enterprise Mergers & Acc	x			4/18/2005				x						
JT	BlackRock Large Cap			x	2/3/2005							x			
JT	BlackRock Large Cap	x			2/28/2005						x				

Schedule IV - Transactions Total

	Asset	Type			Date	Amount of Transaction									
		Purchase	Sale	Exchange		B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
JT	BlackRock Large Cap	x			3/30/2005	x									
JT	BlackRock Large Cap	x			4/18/2005				x						
JT	BlackRock Large Cap	x			6/24/2005	x									
JT	BlackRock Large Cap	x			9/30/2005	x									
JT	Calamos Growth Fund	x			1/25/2005						x				
JT	Columbia Marsico 21st	x			2/28/2005						x				
JT	Corporate High Yelld	x			1/21/2005	x									
JT	Corporate High Yelld		x		2/3/2005	x									
JT	Delaware American	x			1/24/2005						x				
JT	Delaware American	x			1/31/2005						x				
JT	Delaware American	x			2/22/2005				x						
JT	Delaware American	x			2/24/2005				x						
JT	Delaware American	x			2/28/2005						x				
JT	Delaware American	x			1/25/2005						x				
JT	Dreyfus Premier World Wide	x			4/18/2005				x						
JT	Eaton Vance Asian Small	x			2/9/2005						x				
JT	Eaton Vance Asian Small	x			2/14/2005				x						
JT	Eaton Vance Asian Small	x			2/15/2005					x					
JT	Eaton Vance Asian Small	x			2/28/2005						x				
JT	Eaton Vance Asian Small	x			4/18/2005					x					
JT	Eaton Vance Asian Smal.	x			5/20/2005							x			
JT	Eaton Vance Asian Small	x			3/7/2007					x					
JT	EV Growth		x		5/20/2005							x			
JT	Evergreen Equity Income	x			3/21/2005	x									
JT	Evergreen Equity Income	x			6/20/2005	x									
JT	Evergreen Equity Income	x			9/19/2005	x									
JT	Evergreen Large	x			2/8/2005						x				
JT	Evergreen Large	c			2/14/2005						x				
JT	Federal Natl Mtg Assoc		x		2/23/2005	x									
JT	Federal Natl Mtg Assoc		x		2/23/2005	x									
JT	Federal Natl Mtg Assoc		x		2/24/2005				x						
JT	Federal Natl Mtg Assoc		x		3/2/2005			x							
JT	Federal Natl Mtg Assoc		x		3/2/2005	x									
JT	First Eagle Global	x			2/7/2005							x			
JT	First Eagle Global	x			2/24/2005				x						
JT	First Eagle Global	x			2/28/2005						x				
JT	First Eagle Global	x			4/18/2005					x					
JT	First Eagle Global	x			10/31/2005					x					

2064

Schedule IV - Transactions Total

Asset		Type			Date	Amount of Transaction									
		Purchase	Sale	Exchange		B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	$5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
JT	First Eagle Global	x			11/1/2005				x						
JT	First Eagle Global	x			12/28/2005				x						
JT	First Eagle Global	x			3/7/2007					x					
JT	Franklin Cust FDS UTIL	x			3/5/2005		x								
JT	Franklin Cust FDS UTIL	x			6/6/2005		x								
JT	Franklin Cust FDS UTIL	x			9/7/2005		x								
JT	Franklin Micro Cap	x			7/15/2005							x			
JT	Goldman Sachs Mid Cap	x			4/25/2005							x			
JT	Goldman Sachs Mid Cap	x			7/15/2005							x			
JT	ING Mid Cap Value		x		3/7/2007							x			
JT	Janus Adviser Forty CL A	x			12/30/2005							x			
JT	John Hancock Classic	x			4/18/2005				x						
JT	John Hancock Classic	x			3/7/2007				x						
JT	John Hancock Focused Allianz Pea Target		x		6/23/2005						x				
JT	Lord Abbett Mid Cap	x			2/2/2005	x									
JT	Lord Abbett Mid Cap	x			2/2/2005			x							
JT	Lord Abbett Mid Cap	x			2/28/2005						x				
JT	Lord Abbett Mid Cap	x			3/7/2007					x					
JT	Mainstay Mid Cap	x			2/28/2005						x				
JT	Mainstay Mid Cap	x			3/7/2007					x					
JT	MFS Research INTL		x		7/15/2005							x			
	MFS Value	x			3/30/2005	x									
SP	MFS Value	x			3/30/2005	x									
	MFS Value	x			6/29/2005	x									
SP	MFS Value	x			6/29/2005	x									
	MFS Value	x			9/29/2005	x									
SP	MFS Value	x			9/29/2005	x									
	MFS Value	x			12/5/2005	x									
	MFS Value	x			12/5/2005	x									
SP	MFS Value	x			12/5/2005	x									
SP	MFS Value	x			12/5/2005	x									
JT	ML Developing Cap Mkts	x			2/3/2005					x					
JT	ML Developing Cap Mkts	x			8/17/2005	x									
JT	ML Developing Cap Mkts	x			10/31/2005						x				
JT	ML Global Allocation	x			1/31/2005					x					
JT	ML Global Allocation	x			4/18/2005				x						
JT	ML Global Allocation	x			7/25/2005		x								
JT	ML Global Small Cap	x			1/31/2005					x					

Schedule IV - Transactions Total

	Asset	Type			Date	Amount of Transaction										
		Purchase	Sale	Exchange		B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
JT	ML Global Small Cap	x			2/3/2006					x					
JT	ML Global Small Cap	x			6/6/2006						x				
JT	ML Global Small Cap	x			8/17/2005			x							
JT	ML Global Small Cap	x			1/25/2005						x				
JT	ML Large Cap Value	x			2/28/2005						x				
JT	ML Mid Cap VI	x			7/25/2005		x								
JT	ML Mid Cap VI	x			7/25/2005				x						
JT	ML Pacific	x			1/25/2005						x				
JT	ML Pacific Fund Cl A	x			1/31/2005				x						
JT	ML Pacific Fund Cl A	x			2/3/2005					x					
JT	ML Pacific Fund Cl A	x			7/25/2005		x								
JT	ML Pacific Fund Cl A	x			9/30/2005							x			
JT	ML S&P 500 Index FD CL A	x			2/3/2005							x			
JT	ML S&P 500 Index FD CL A		x		10/31/2005							x			
	ML Value Opp FD	x			7/25/2005	x									
	ML Value Opp FD	x			7/25/2005	x									
SP	ML Value Opp FD	x			7/25/2005	x									
SP	ML Value Opp FD	x			7/25/2005	x									
	ML Value Opp FD	x			12/12/2005	x									
	ML Value Opp FD	x			12/12/2005	x									
SP	ML Value Opp FD	x			12/12/2005	x									
SP	ML Value Opp FD	x			12/12/2005	x									
JT	ML&CO Strides JBLU		x		2/10/2005	x									
JT	ML&CO Strides JBLU		x		2/11/2005			x							
JT	ML&CO Strides JBLU		x		2/11/2005			x							
JT	ML&CO Strides JBLU		x		2/11/2005			x							
JT	ML&CO Strides JBLU		x		2/11/2005		x								
JT	Oppenhiemer Developing	x			2/9/2005					x					
JT	Oppenhiemer Developing	x			2/11/2005				x						
JT	Oppenhiemer Developing	x			2/14/2005					x					
JT	Oppenhiemer Developing	x			2/15/2005					x					
JT	Oppenhiemer Developing	x			2/17/2005				x						
JT	Oppenhiemer Developing	x			2/28/2005						x				
JT	Oppenhiemer Developing	x			4/18/2005				x						
JT	Oppenhiemer Developing	x			10/31/2005					x					
JT	Oppenhiemer Developing	x			10/31/2005					x					
JT	Oppenhiemer Developing	x			10/31/2005					x					
JT	Oppenhiemer Developing	x			3/7/2007					x					

2066

Schedule IV - Transactions Total

	Asset	Type			Date	Amount of Transaction										
		Purchase	Sale	Exchange		B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
JT	Phoenix Foreign	x			12/12/2005					x					
JT	Phoenix Foreign	x			12/13/2005					x					
JT	Phoenix Foreign	x			12/14/2005				x						
JT	Phoenix Foreign	x			12/20/2005				x						
JT	Phoenix Foreign	x			12/22/2005			x							
JT	Phoenix Foreign	x			12/22/2005		x								
JT	Phoenix Foreign		x		12/27/2005							x			
JT	Phoenix International	x			12/27/2005							x			
	Pioneer Mid Cap Value	x			6/9/2005		x								
SP	Pioneer Mid Cap Value	x			6/9/2005		x								
JT	Pioneer Mid Cap Value	x			9/22/2005			x							
JT	Pioneer Mid Cap Value	x			9/22/2005					x					
JT	Pioneer Mid Cap Value		x		9/30/2005							x			
JT	Pioneer Mid Cap Value		x		10/3/2005							x			
JT	Pioneer Midcap Value	x			3/7/2007					x					
JT	Pioneer Small Cap	x			10/3/2005							x			
JT	Scudder Micro Cap		x		6/6/2005							x			
JT	Seligman Large Cap	x			4/18/2005			x							
JT	Seligman Large Cap	x			4/18/2005			x							
JT	State Street Res Large	x			1/27/2005	x									
JT	State Street Res Large			x	2/3/2005							x			
JT	Templeton Foreign	x			4/18/2005			x							
JT	Templeton Foreign		x		7/15/2005							x			
JT	Van Kampen	x			3/17/2005	x									
JT	Van Kampen	x			3/17/2005		x								
JT	Van Kampen	x			6/16/2005		x								
JT	Van Kampen	x			9/15/2005		x								
JT	Property located at 1928 S. Grand Avenue, Santa, Ana		x		2/23/2005		x							x	
JT	Property located at 5770 Armada Avenue, Carlsbad, CA		x		5/31/2005		x						x		

2067

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name The Honorable Darrell Issa

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
American Task Force for Lebanon, Islamic Free Market Inst., Qatar Chamber of Commerce and Industry	March 25 - April 4	Amman, Jordan - Beirut, Lebanon - Doha, Qatar - Washington, DC	Y	Y	Y	5 Days
Consumer Electronics Association	*January 5-7*	*San Diego - Las Vegas - San Diego*	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name The Honorable Darrell Issa

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Greene Properties, Inc
Board of Directors	Directed Electronics, Inc
Board of Directors	American Task Force for Lebanon
Board of Directors	USO
Board of Trustees	Sienna Heights University
Partner	DEI LLC
Partner	Third I LLC
Board of Directors	Issa Family Foundation

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

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2070

Ernest James Istook, Jr.
(Full Name)

6113 Inland Road
(Mailing Address)

Warr Acres OK 73132

Daytime Telephone (202) 225-2132

Filer Status	[X] Member of the U.S. House of Representatives — State: OK District: 5	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ernest J. Istook, Jr.	5/9/2006

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Inova Mount Vernon Hospital, c/o 2990 Telestar Court, Falls Church, VA 22042	Spouse's Income as Registered Nurse	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name: ISTOOK, Ernest James, Jr.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B												Block C							Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp. Stock				X								X										X								P
			Simon & Schuster	Indefinite																	Royalties								X				
			1st Bank of Paducah, KY accounts					X									X									X							
JT	Home & Furnishings 6113 Inland Rd Verr Acres OK								X																								
JT	304 NE 23rd (S-½ subject to contract for Deed) Norman, OK							X																									
JT	Bank Accounts at First Fidelity Bank, OKC				X																												
	Jefferson Pilot Ensemble Fund Concord, NH				X										X			X						X									
	See Attachment re: IRA, 401(k) Profit-Sharing Plan & Trust																																

For additional assets and unearned income, use next page.

2072

12/31/2005 Assets of Istook & Associates PC Profit-Sharing Plan & Trust

HOLDING	YEAR-END VALUE	TYPE OF INCOME	AMOUNT OF INCOME
American Century Funds			
(Kansas City, MO)			
Prime Money Market	$1,001 to $15,000	Interest	$1-$200*
Ultra Fund *	$1,001 to $15,000	Dividends/Cap Gains	$2,501-$5,000*
Vista Fund	$1,001 to $15,000	Dividends/Cap Gains	$201 to $1,000
Putnam Investments			
(Providence, RI)			
OTC & Emerging Growth B	$201 to $1,000	Dividends/Cap Gains	$1-$200
Voyager Fund B	$1,001 to $15,000	Dividends/Cap Gains	$1-$200
Asset Allocation Growth B	$1,001 to $15,000	Dividends/Cap Gains	$201-$1,000
Internal Capital Opps B	$1,001 to $15,000	Dividends/Cap Gains	$201-$1,000
Mortgage on 304 NE 23rd, Norman, OK	$15,001 to $50,000	Interest	None—Accruing
2nd Mortgage on 6113 Inland, Warr Acres, OK	$15,001 to $50,000	Interest	$1,001-$2,500

* Involved in transactions in 2005

Name: ISTOOK, Ernest James, Jr.

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type			Date	Amount of Transaction										
SP, DC, JT	Asset		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example:	Mega Corporation Common Stock	X			10–12–05		X								
JT	Condo: 527 Brookford Lane, #3 Alexandria, VA			X		10/28/05					X					
	See Attachment re: Istook & Associates Profit Sharing Plan & Trust															
	Jefferson Plus Ensemble Fund		X			Aug ? 2005	X									

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12/31/2004 Transactions re: Ernest J. Istook, Jr.

ASSET	TRANSACTION TYPE	DATE	AMOUNT

These transactions are those of Istook & Associates PC Profit-Sharing Plan & Trust

American Century Funds
(Kansas City, MO)

ULTRA FUND

Date	Transaction	Dollar Amount
12-08-2005	PURCHASE SHARES	$333.06
11-08-2005	PURCHASE SHARES	$333.06
10-10-2005	PURCHASE SHARES	$333.06
09-08-2005	PURCHASE SHARES	$333.06
08-08-2005	PURCHASE SHARES	$333.06
07-08-2005	PURCHASE SHARES	$333.06
06-08-2005	PURCHASE SHARES	$333.06
05-09-2005	PURCHASE SHARES	$333.06
04-08-2005	PURCHASE SHARES	$333.06
03-08-2005	PURCHASE SHARES	$333.06
02-08-2005	PURCHASE SHARES	$333.06
01-10-2005	PURCHASE SHARES	$333.06

Name	Page 7 of 9
ISTOOK, Ernest James, Jr.	

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out): loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Harry Mortg. Co, OKC, OK	Mortgage on 307 NE 23rd, Norman, OK (subject to Contract for Deed)			X							
	Chase Manhattan Mortgage, NYC, NY	Mortgage on 527 Bashford Lane, #3, Alexandria, VA (PAID in full when property sold)				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. *Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.*

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
N/A	N/A	

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Faith & Politics Institute	Jan. 7-8	Wash, DC - Charleston, SC	Y	Y	Y	None
	American Association of Airport Executive	Jan. 9-14	Charleston, SC - Kona, Hawaii - Oklahoma City, OK	Y	Y	Y	None

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2077

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Istook & Associates, PC, Profit-Sharing Plan & Trust

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

Use additional sheets if more space is required.

ERNEST J. ISTOOK, JR.
5TH DISTRICT, OKLAHOMA

COMMITTEES:
APPROPRIATIONS
SUBCOMMITTEES:
VICE CHAIRMAN,
HOMELAND SECURITY
LABOR, HHS, AND EDUCATION
INTERIOR AND RELATED AGENCIES

Congress of the United States
House of Representatives
Washington, DC 20515–3605

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120 NORTH ROBINSON, SUITE 100
OKLAHOMA CITY, OK 73102
(405) 236–9900
FAX: (405) 236-6909

23 EAST 9TH, SUITE 301
SHAWNEE, OK 74801
(405) 273–6202
FAX: (405) 273–2574

211 EAST BROADWAY
SEMINOLE, OK 74868
(405) 303–2868
FAX: (405) 303–2847
istook@mail.house.gov

June 8, 2006

The Honorable Karen L. Haas
Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon House Office Building
Washington, DC 20515

Dear Madame Clerk:

I have two corrections to my Financial Disclosure Statement of 2005 which was previously submitted.

First, on page 3, regarding the bank account at First Fidelity Bank, the Value of Asset should have showed "$15,000 to $50,000" rather than "$1,001 to $15,000".

Second, on page 8, I should have included this trip:

Source	Date(s)	Point of Departure Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included?	# of Days Not at sponsor's Expense
Heritage Foundation & Pepperdine University	8/15-8/18/05	OKC, OK to Malibu, CA. to OKC, OK	Y	Y	Y	NONE

Please note these corrections. Thank you.

Sincerely,

Ernest J. Istook, Jr.
Member of Congress

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2006 JUN -8 AM 11:16
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2006 JUN -8 AM 11:16
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Ernest James Istook Jr
(Full Name)
6113 Inland Road
Warr Acres, OK 73132
(Mailing Address)
Daytime Telephone (202) 225-2132

Filer Status	☒ Member of the U.S. House of Representatives	State: OK District: 5	☐ Officer or Employee	Employing Office:
Report Type	☐ Annual (May 15)	☒ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ernest J. Istook Jr.	6/8/2006

2080

Name Istock, Ernest James, Jr. Page 3 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if *no income was received.*

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B												Block C							Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP		SP	Mega Corp. Stock				X								X										X								P
DC	*Examples*		Simon & Schuster	Indefinite																	Royalties									X			
JT			1st Bank of Paducah, KY accounts					X									X									X							
JT	Home + Furnishings OK 6113 Inland Rd, Warr Acres								X																								
JT	304 NE 23rd (Subject to Norman, OK Contract for Deed)							X																									
JT	Bank Accounts at First Fidelity Bank, OKC					X																		X									
	Jefferson Pilot Ensemble Fund Canceled, NIT			X											X			X						X									
	See Attachment re: Istock + Associates Profit Sharing Plan + Trust																																

For additional assets and unearned income, use next page.

2081

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Faith + Politics Institute	Jan 7-8	Wash, DC - Charleston, SC	Y	Y	Y	NONE
	American Assn. of Airport Executive	Jan 9-14	Charleston, SC - Kona, Hawaii - Oklahoma City, OK	Y	Y	Y	NONE
	Heritage Foundation + Pepperdine University	Aug 15-18	Oklahoma city, OK - Malibu, CA - OKC, OK	Y	Y	Y	NONE

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

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U.S. HOUSE OF REPRESENTATIVES
(Office Use Only) MC

Jesse L. Jackson, Jr.
(Full Name)

2419 Rayburn House Office Building
(Mailing Address)

(202) 225-0773
Daytime Telephone:

Washington, D.C. 20515

Filer Status	[X] Member of the U.S. House of Representatives	State: ____ District: ____	[ ] Officer or Employee	Employing Office: ____
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date: ____

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [ ]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [ ]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jesse L. Jackson Jr.	5/15/06

2083

Name Jesse L. Jackson, Jr.	Page 2 of 6

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Democratic National Committee	Spouse	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name *the institution holding the account and* provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp Stock				X								X										X								P
			Simon & Schuster		Indefinite																Royalties								X				
			1st Bank of Paducah, KY accounts					X									X									X							
	Putnam Equity Income-B			X											X			X					X										
	Oppenheimer/Quest Opp (IRA)			X											X			X					X										
	Annuity (IRA) Lincoln Choice Plus Global					X																n/a											
	Annuity (IRA) Lincoln Choice Plus Growth					X																n/a											
	Lincoln Choice Plus Growth (IRA)					X																n/a											
	Delaware REIT					X																n/a											

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jesse L. Jackson, Jr.

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	MFS total return-IRA annuity				X																n/a											
sp	Putnam Asset Alloc-SEP IRA				X									X			X				n/a											
sp	Am.Inv.Co.ofAmerica IRA				X									X			X				n/a											
sp	Am.Inc.Fund of Amer. IRA				X									X			X				n/a											
sp	Am.Growth Fund of Amer.IRA				X									X			X				n/a											
sp	Am.Cap.World Growth IRA				X									X			X				n/a											
sp	Am. Mutual Fund				X									X			X				n/a											
DC	Am Funds Wash. Mut.Inc-529			X										X			X						X									

This page may be copied if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Nat'l Chamber Found./U.S. Cham.	Jan.29-30	Chi.-Syracuse,N.Y.-Chi.	Y	Y	N	N
	Int'l Civil Rights Ctr.&Museum	Jan.31-Feb1	Chi.-Greensboro,N.C.-Chi.	Y	N	N	N
	Saint Augustine College	May 7	D.C.-Raleigh,N.C.-D.C.	N	N	N	N
	Democracy for Texas	June 18-19	D.C.-Austin, TX-Chi.	Y	Y	N	N
	Platinum Group	Nov.10-11	D.C.-St. Louis,MO-Chi.	Y	Y	N	N
	Jewish Federation Metro Chi./ Jewish United Fund	Aug.1-8	D.C.-Israel-D.C.	Y	Y	Y	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Chicago Theological Seminary
Director	Easter Seals of Metropolitan Chicago
Advisory Board Member	JFK School of Gov't Institute of Politics, Harvard University

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2008 25-628 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

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U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Jesse L. Jackson, Jr.
(Full Name)

2419 Rayburn House Office Building
(Mailing Address)

Daytime Telephone

Washington, D.C. 20515

Filer Status	[X] Member of the U.S House of Representatives	State: IL District: 02	[ ] Officer or Employee	Employing Office:
Report Type	[ ] Annual (May 15)	[X] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more *than $305 and not otherwise exempt*)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? ***If yes, complete and attach Schedule III.***	Yes [ ]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jesse L. Jackson Jr.	6/13/06

2089

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

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MAY-15-2006 14:27 2090 534 482 8327 P.01/07

WILLIAM JENNINGS JEFFERSON
(Full Name)

2113 Rayburn Building
WASHINGTON, D.C. 20515
(Mailing Address)

202-225-6636
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: ____ District: ____	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X] No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Wm. J. Jefferson	5/15/06

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Southern University	Spouse Salary	N/A
	THE ANJ Group, LLC	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

FAX:13-2005 14:27 504 482 8327 P.02/07

page 5 & 9

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

ASSET AND/OR UNEARNED INCOME SOURCE	VALUE OF ASSET							TYPE OF INCOME						AMOUNT OF INCOME											TRANS.
	A	B	C	D	E	F	G	DIVO	RENT	INT	C GAINS	TRUST	Q TRUST	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
FARMLAND -40 ACRES, LAKE PROV. LA					X				X								X								
FARMLAND -50 ACRES, LAKE PROV. LA					X				X					X											
JEFFERSON, BRYAN & JUPITER - SHS. IN CORP.							X			X				X											
JEFFERSON FOR MAYOR CAMP. - LOAN REC.						X		N	O	N	E			X											
JEFFERSON INTERESTS - LOAN RECEIVABLE					X					X				X											
JEFFERSON FOR GOVERNOR - LOAN REC.			X					N	O	N	E			X											
Liberty Bank - C.D.					X																				

2093

Name

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	A $1,001–$15,000	B $15,001–$50,000	C $50,001–$100,000	D $100,001–$250,000	E $250,001–$500,000	F $500,001–$1,000,000	G $1,000,001–$5,000,000	H $5,000,001–$25,000,000	I $25,000,001–$50,000,000	J Over $50,000,000
SP	*Example.* Mega Corporation Common Stock	X			10-12-05		X								
	HOUSE, Peniston Street, New Orleans		X						X						

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Dryades Bank, New Orleans, La										X	
	Liberty Bank, New Orleans, La										X	
	Nooth Samara, Washington, D.C.										X	

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr Joseph H Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
N/A		

Use additional sheets if more space is required.

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SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Wachovia and Herkerling Institute		Jan. 12-13	WASH, D.C - Miami - WASH. DC	Y	Y	~~N~~ Y	NONE
AFL/CIO Maritime Trades Department		Feb 24-27	New Orleans - Las Vegas - N.O	Y	Y	Y	NONE
Arab - American Chamber of Commerce		March 19-28	Wash, D.C. to Egypt	Y	Y	Y	NONE
Islamic Free Market Institute & Qatar Chamber of Commerce		March 28 - 30	Egypt - UAE - Qatar - New Orleans	Y	Y	Y	NONE
Win Win Strategies Foundation		July 6-11	Wash, DC to London to Cham & Jordan	N	N	N	4 days
Jefferson Committee/City of New Orleans		Aug 5-12	New Orleans - Brazil - New City	Y	N	N	NONE
Jefferson Committee/CBC Institute/personal		Aug 13-14	New Orleans - Tuscca - New Orleans	N	N	Y	2 days

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NONE	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE DURING REPORTING	
	PERIOD	
	SEE 1990 Disclosure	
	Form	

Use additional sheets if more space is required.

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2096

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED
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OFFICE OF THE CLERK
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12 ✓ MC

WILLIAM JENNINGS JEFFERSON
(Full Name)

2113 Rayburn Building
(Mailing Address)

202-225-6636
Daytime Telephone:

WASHINGTON, D.C. 20515

Filer Status	[X] Member of the U.S. House of Representatives — State: LA District: 2nd	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [X] No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X] No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Don J. Jefferson	5/15/06

2097

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Southern University	Spouse Salary	N/A
	THE ANJ Group, LLC	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
	XYZ Magazine	Article	Aug. 13, 2005	$500
	NONE			

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2099

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name William J. Jefferson | Page 4 of 5

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S) or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: P, S, E
SP	Mega Corp Stock	F	DIVIDENDS	IV	P
DC	Examples: Simon & Schuster	Indefinite	Other Type of Income: Royalties	IX	
JT	1st Bank of Paducah, KY account	F	INTEREST	VI	

SEE Attached

For additional assets and unearned income, use next page.

MAY-15-2006 19:17

page 529

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

ASSET AND/OR UNEARNED INCOME SOURCE	VALUE OF ASSET							TYPE OF INCOME																AMOUNT OF INCOME												TRANS.
											C																									
													Q																							
										G	T	T																								
							D	R		A	R	R																								
							I	E	I	I	U	U																								
							V	N	N	N	S	S																								
							D	T	T	S	T	T																								
	A	B	C	D	E	F	G							I	II	III	IV	V	VI	VII	VIII	IX	X	XI												
FARMLAND -40 ACRES, LAKE PROV. LA					X			X									X																			
FARMLAND -50 ACRES, LAKE PROV. LA					X			X						X																						
JEFFERSON, BRYAN & JUPITER - SHO. IN CORP.							X		X					X																						
JEFFERSON FOR MAYOR CAMP. - LOAN REC.						X		N	O	N	E			X																						
JEFFERSON INTERESTS - LOAN RECEIVABLE					X					X				X																						
JEFFERSON FOR GOVERNOR - LOAN REC.			X					N	O	N	E			X																						
Liberty Bank - C.D.					X																															

Name

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-05		X								
	HOUSE, Pemiston Street, New Orleans		X						X						

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts* only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Dryades Bank, New Orleans, La	Demand/mtg			X							
	Liberty Bank, New Orleans, La	Demand		X								
	North Samara, Washington, D.C	Demand			X							
	MBNA, Delaware	Revolving Charge		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
SEE Attachment		

Use additional sheets if more space is required.

Name William J Jefferson | Page 8 of 9

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Wachovia and Herkerling Institute	Jan. 12-13	WASH, D.C - Miami - WASH. DC	Y	Y	Y	NONE
	AFL/CIO Maritime Trades Department	Feb 24-27	New Orleans - Las Vegas - N.O	Y	Y	Y	NONE
	Arab - American Chamber of Commerce	March 19-28	WASH, D.C - to Egypt	Y	Y	Y	NONE
	Islamic Free Market Institute & Qatar Chamber of Commerce	March 28-30	Egypt - UAE - Qatar - New Orleans	Y	Y	Y	NONE
	WIN WIN Strategies Foundation	July 6-11	WASH, DC to London to Cham, Jordan	N	N	N	4 days
	Jefferson Committee/City of New Orleans	Aug 5-12	New Orleans - Brazil - New Orleans	Y	N	N	NONE
	Jefferson Committee/CBC Institute / personal	Aug 13-14	New Orleans - Tunica - New Orleans	N	N	Y	2 days

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NONE	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE DURING REPORTING	
	PERIOD	
	SEE 1990 Disclosure	
	Form	

GPO: 2006 35-429 (mac)

Use additional sheets if more space is required.

CONGRESSMAN WILLIAM JEFFERSON
LEGAL TRUST FUND
650 POYDRAS STREET, SUITE 1913
NEW ORLEANS, LOUISIANA 70130

January 27, 2006

Chairman, Committee on Standards
of Official Conduct
Office of Advice and Education
U.S. Capitol, Room HT-2
Washington, DC 20515

RE: First Quarterly Report of the
Congressman William Jefferson Legal Expense Trust

Dear Chairman:

Pursuant to the Rules of the U.S. House of Representatives on Gifts and Travel, particularly the rules with respect to Legal Expense Fund Representations, please find enclosed the quarterly report of the Congressman William Jefferson Legal Expense Trust, which report covers the quarter October through December, 2005. This report includes the full name and street address of each donor, contributor or recipient required to be disclosed.

With best regards, I remain

Sincerely,

William J. Jefferson, Member
Congress of the United States
Second District
State of Louisiana

Enclosures

cc: Legislative Resource Center

CONGRESSMAN WILLIAM JEFFERSON LEGAL TRUST FUND

DATE	CHECK NO.	AMOUNT	CONTRIBUTOR(S) NAME	ADDRESS	CITY/STATE/ZIP
10/02/05	2358	$1,000.00	Wilkerson, Walter J.	650 Poydras St. Ste. 1913	New Orleans, LA 70130
10/10/05	10175	$5,000.00	PCCC, LLC	100 Crescent Court, Ste. 1740	Dallas, TX 75201
10/12/05	3939	$5,000.00	National Leadership PAC, The	P.O. Box 5577, Manhattanville Station	New York, NY 10027
10/25/05	3783	$5,000.00	Wilkins, Herbert Sr. & Sheran	11031 Gaither Farm Road	Ellicott City, MD 21042
10/25/05	3784	$5,000.00	Wilkins, Herbert Sr. & Sheran	11031 Gaither Farm Road	Ellicott City, MD 21042
10/28/05	560	$1,000.00	Savoie, Robert A. & Lori	150 E. Oakridge Park	Metairie, LA 70005-4018
10/28/05	1058	$1,000.00	Savoie, R.A. Holdings	201 St. Charles Avenue, Suite 3507	New Orleans, LA 70170
10/31/05	300	$5,000.00	Pickrum, Michael A.	4016 Aydon Court	Mitchellville, MD 20721-2445
10/31/05	7143	$5,000.00	Zuschlag, Richard E & Elaina D	108 Astoria Loop	Lafayette, LA 70508
11/02/05	2680	$5,000.00	Lee, Debra L.	2800 McGill Terrace, N.W.	Washington, DC 20008
11/03/05	1197	$5,000.00	Baltimore, Thomas J. Jr.	7815 Stable Way	Potomac, MD 20854-1790
11/03/05	7492	$5,000.00	Sinclair, H. Van & Donna	894 Helga Place	McLean, VA 22102
11/03/05	524	$5,000.00	Johnson, Robert L.	P.O. Box 5010	Monroe, CT 06468-8200
11/16/05	4103	$2,000.00	Cummings for Congress	2901 Druid Park Drive, Suite 203	Baltimore, MD 21215
11/29/05	1529	$5,000.00	Moliere, Burnel K.	23 Barreca Street	Norco, LA 70079
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12/20/05	1475	$5,000.00	Fields, Cleo	2147 Government Street	Baton Rouge, LA 70806-5312
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12/23/05	1012	$250.00	Unlimited Development LLC	1875 Nicholson Drive	Baton Rouge, LA 70802-6149
	TOTAL	$34,750.00			

2107

CONGRESSMAN WILLIAM JEFFERSON LEGAL TRUST FUND

	CHECK		RECIPIENT(S)		
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12/05/05	1002	$10,000.00	Smith & Fawer	301 N. Columbia Street	Covington, LA. 70433
	TOTAL	$65,000.00			

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2006 MAY 17 PM 5:18
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

12 ✓ MC

WILLIAM JENNINGS JEFFERSON
(Full Name)

2113 Rayburn Building
WASHINGTON, D.C. 20515
(Mailing Address)

202-225-6636
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: ____ District: ____	[ ] Officer or Employee	Employing Office: ____
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date: ____

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Wm. J. Jefferson	5/15/06

2109

Name William J. Jefferson | Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$9,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Southern University	Spouse Salary	N/A
	THE ANJ Group, LLC	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
	XYZ Magazine	Article	Aug. 13, 2005	$500
	NONE			

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Name William J. Jefferson | Page 4 of

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S) or exchanged (E) in reporting year.

	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp Stock						X							X										X								P
DC, JT (Examples)	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
	SEE Attached																															

For additional assets and unearned income, use next page.

page 589

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

ASSET AND/OR UNEARNED INCOME SOURCE	VALUE OF ASSET							TYPE OF INCOME							AMOUNT OF INCOME										TRANS.
											C														
													Q												
											G	T	T												
								D	R		A	R	R												
								I	E	I	I	U	U												
								V	N	N	N	S	S												
								D	T	T	S	T	T												
	A	B	C	D	E	F	G							I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
FARMLAND -40 ACRES, LAKE PROV. LA					X				X								X								
FARMLAND -50 ACRES, LAKE PROV. LA					X				X					X											
JEFFERSON, BRYAN & JUPITER - SHS. IN CORP.							X			X				X											
JEFFERSON FOR MAYOR CAMP. - LOAN REC.						X		N	O	N	E			X											
JEFFERSON INTERESTS - LOAN RECEIVABLE					X					X				X											
JEFFERSON FOR GOVERNOR - LOAN REC.			X					N	O	N	E			X											
Liberty Bank - C.D.					X																				

Name

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
	HOUSE, Peniston Street, New Orleans		X						X						

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts* only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Dryades Bank, New Orleans, La	Demand/mtg			X							
	Liberty Bank, New Orleans, La	Demand		X								
	North Samara, Washington, D.C.	Demand			X							
	MBNA, Delaware	Revolving Charge		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
SEE Attachment		

Use additional sheets if more space is required.

MAY-15-2006 19:23

Name William J Jefferson | Page 8 of 9

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342), political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 8–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Wachovia and Herkerling Institute	Jan. 12-13	WASH, D.C - Miami - WASH. DC	Y	Y	Y	NONE
	AFL/CIO Maritime Trades Department	Feb 24-27	New Orleans - Las Vegas - N.O	Y	Y	Y	NONE
	Arab - American Chamber of Commerce	March 19-28	Wash, D.C. to Egypt	Y	Y	Y	NONE
	Islamic Free Market Institute & Qatar Chamber of Commerce	March 28-30	Egypt - UAE - Qatar - New Orleans	Y	Y	Y	NONE
	Win Win Strategies Foundation	July 6-11	WASH, DC to London to Cham & return	N	N	N	4 days
	Jefferson Committee/City of New Orleans	Aug 5-12	New Orleans - Brazil - New Orleans	Y	N	N	NONE
	Jefferson Committee/CBC Institute/personal	Aug 13-14	New Orleans Tunica - New Orleans	N	N	Y	2 days

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MAY-15-2006 15:24

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NONE	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE DURING REPORTING	
	PERIOD	
	SEE 1990 DISCLOSURE	
	FORM	

Use additional sheets if more space is required.

MAY-15-2006 13:24 TOTAL P.09

CONGRESSMAN WILLIAM JEFFERSON
LEGAL TRUST FUND
650 POYDRAS STREET, SUITE 1913
NEW ORLEANS, LOUISIANA 70130

January 27, 2006

Chairman, Committee on Standards
of Official Conduct
Office of Advice and Education
U.S. Capitol, Room HT-2
Washington, DC 20515

RE: First Quarterly Report of the
Congressman William Jefferson Legal Expense Trust

Dear Chairman:

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With best regards, I remain

Sincerely,

William J. Jefferson, Member
Congress of the United States
Second District
State of Louisiana

Enclosures

cc: Legislative Resource Center

CONGRESSMAN WILLIAM JEFFERSON LEGAL TRUST FUND

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CONGRESSMAN WILLIAM JEFFERSON LEGAL TRUST FUND

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	TOTAL	$65,000.00			

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2006 MAY -9 PM 3:42
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

WILLIAM L. JENKINS
(Full Name)

767 McKinney Chapel Road, Rogersville, TN, 37857 (423)272-3878
(Mailing Address) Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives	State: TN District: 1st	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	William L. Jenkins	5-9-06

2121

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Tennessee Consolidated Retirement System	Legislative - Judicial Retirement	$32,231.00
	State of Tennessee Consolidated Retirement System	Spouse - Teachers Retirement	3,515.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples.	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
	XYZ Magazine	Article	Aug. 13, 2005	$500
N/A				

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Name William Lewis Jenkins

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select *the specific investments*), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated *income, the value should be "None."*

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	E S P
SP	Examples: Mega Corp. Stock					X								X										X								P
DC	Simon & Schuster		Indefinite																	Royalties								X				
JT	1st Bank of Paducah KY accounts						X									X										X						
JT	Building Main Street Rogersville, TN				X										X						X											
JT	Farm Land, Bray Road Rogersville, TN							X																								
JT	Farm Land, McKinney Chapel Road Rogersville, TN						X																									
SP	Farm Land, Drew Haynes Road Rogersville, TN						X																									
JT	Farm Land - Highway 70 Rogersville, TN					X																										
SP	Farm Lane - Webster Valley Rogersville, TN								X																							

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name William Lewis Jenkins

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
JT	Farm Land, Off Highway 70 Rogersville, TN	G			
JT	Cattle	D			
JT	Farm Machinery	E			
JT	Farm Land, Hickory Cove Rogersville, TN	G			
JT	Farm Income from land, cattle machinery - Gross $14,011 (Net ($14,724)				
	Mountain Metals MFG Corp	F	DIVIDENDS	VII $15,001 – $50,000	
JT	Farm Lane - Hancock Co., TN	D			
SP	1st TN National Corporation	F	DIVIDENDS	VI $5,001 – $15,000	
SP	Exxon Corporation	D	DIVIDENDS	III $201 – $1,000	
SP	Amoco BP	D	DIVIDENDS	IV $1,001 – $2,500	
	IRA 1st TN (Managed by Trust Dept Johnson City, TN	H	DIVIDENDS; INTEREST	N/A Per page 16 Instructions	
SP	IRA 1st TN (Managed by Trust Dept Johnson City, TN	F	DIVIDENDS; INTEREST	" " " " "	
	TN Farm Bureau Life Insurance Stock	E	DIVIDENDS	IV $1,001 – $2,500	

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name William Lewis Jenkins

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	Farm Checking Account Citizens Bank				X											X						X										
JT	Checking Account Citizens Bank			X												X						X										
	Savings Account Citizens Bank				X											X						X										
SP	Savings Account 1st TN Bank				X											X						X										
JT	Checking Account 1st TN Bank			X												X						X										
SP	Savings Account Citizens Bank			X												X						X										

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SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	NONE														

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2127

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	N/A											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr Joseph H Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
N/A		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NONE						

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Name William Lewis Jenkins	Page 10 of 10

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	*Name of Organization*
N/A	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	State of Tennessee - William Lewis Jenkins	(Same as 00)

GPO: 2006 25-628 (mac)

Use additional sheets if more space is required.

HAND DELIVERED

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LEGISLATIVE RESOURCE CENTER

2005 MAY -9 PM 4:30

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U.S. HOUSE OF REPRESENTATIVES

MC

2131

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR ~~2004~~ 2005

FORM A For use by Members, officers, and employees

Bobby Jindal
(Full Name)

1205 Longworth House Office Building Washington, DC 20515
(Mailing Address)

202-225-3015
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative	State: LA District: 1	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5-8-06

SCHEDULE I - EARNED INCOME

Name Bobby Jindal

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Albemarle Corporation, Baton Rouge, LA	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bobby Jindal

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (*i.e., one where you have the power to select the specific* investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Albemarle 401(k) - Albemarle Stock	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	P
SP	Albemarle 401(k) - Merrill Lynch Equity Index Trust Tier I	$50,001 - $100,000		NONE	P
SP	Albemarle 401(k) - Merrill Lynch Retirement Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Albemarle 401(k) - PIMCO Total Return Fund Class A Mutual Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
	Fidelity Growth and Income Mutual Fund	None	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	PS
	Fidelity Growth Company Mutual Fund	$100,001 - $250,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bobby Jindal

	Fidelity Municipal Money Market Fund	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
	Fidelity Spartan 500 Index Mutual Fund	$250,001 - $500,000	DIVIDENDS	$2,501 - $5,000	P
	Fidelity Tax Managed Stock Fund	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Legg Mason American Leading Companies Mutual Fund	$15,001 - $50,000		NONE	
DC	Legg Mason Growth Trust Mutual Fund (Selia)	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	P
DC	Legg Mason Growth Trust Mutual Fund (Shaan)	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	P
	Legg Mason IRA - American Leading Companies Trust Mutual Fund	$1,001 - $15,000		NONE	
	Legg Mason IRA - Growth Trust Inc. Mutual Fund	$15,001 - $50,000	CAPITAL GAINS	$201 - $1,000	
	Legg Mason IRA - Opportunity Trust Mutual Fund	$15,001 - $50,000		NONE	
	Legg Mason IRA - Royce Total Return Fund	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	$1,001 - $2,500	P
	Legg Mason IRA - Special Investment Trust Mutual Fund	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	$5,001 - $15,000	P
	Legg Mason IRA - Value Trust Mutual Fund	$15,001 - $50,000		NONE	
	Legg Mason Opportunity Trust Mutual Fund	$50,001 - $100,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bobby Jindal

DC	Legg Mason Opportunity Trust Mutual Fund (Selia)	$15,001 - $50,000		NONE	P
DC	Legg Mason Opportunity Trust Mutual Fund (Shaan)	$15,001 - $50,000		NONE	P
DC	Legg Mason Royce Total Return Fund (Selia)	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
DC	Legg Mason Royce Total Return Fund (Shaan)	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
	Legg Mason Special Investment Trust Mutual Fund	$50,001 - $100,000	CAPITAL GAINS/DIVIDENDS	$5,001 - $15,000	P
DC	Legg Mason Special Investment Trust Mutual Fund (Selia)	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	$2,501 - $5,000	P
DC	Legg Mason Special Investment Trust Mutual Fund (Shaan)	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	$1,001 - $2,500	P
	Legg Mason U.S. Small Capital Value Trust Mutual Fund	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Legg Mason Value Trust Mutual Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
DC	Legg Mason Value Trust Mutual Fund (Selia)	$15,001 - $50,000		NONE	P
DC	Legg Mason Value Trust Mutual Fund (Shaan)	$15,001 - $50,000		NONE	P
DC	Louisiana Start (Selia)	$1,001 - $15,000	DIVIDENDS/State Match	$201 - $1,000	P
DC	Louisiana Start (Shaan)	$1,001 - $15,000	DIVIDENDS/State Match	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bobby Jindal

	Merrill Lynch and Company, Inc. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Merrill Lynch Basic Value Mutual Fund Class B	$100,001 - $250,000	DIVIDENDS//CAPITAL GAINS	$2,501 - $5,000	P
	Merrill Lynch Euro Fund Mutual Fund Class B	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Merrill Lynch Latin America Mutual Fund Class B	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	Merrill Lynch Pacific Fund Mutual Fund Class B	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Merrill Lynch S&P 500 Index Fund	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	P
	Merrill Lynch Value Opp. Mutual Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
SP	Monsanto 401(k) - Aggressive Portfolio Fund	$1,001 - $15,000		NONE	
SP	Monsanto 401(k) - Large Company Fund	$50,001 - $100,000		NONE	
SP	Roth IRA - Legg Mason Opportunity Trust Mutual Fund	$1,001 - $15,000		NONE	
	Roth IRA - Legg Mason Opportunity Trust Mutual Fund	$1,001 - $15,000		NONE	
	Roth IRA - Legg Mason Royce Pennsylvania Mutual Fund	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1 - $200	
SP	Roth IRA - Legg Mason Royce Pennsylvania Mutual Fund	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bobby Jindal

	Roth IRA - Legg Mason Special Investment Trust Mutual Fund	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$201 - $1,000	
SP	Roth IRA - Legg Mason Special Investment Trust Mutual Fund	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$201 - $1,000	
SP	Roth IRA - Legg Mason Value Trust Mutual Fund	$1,001 - $15,000		NONE	
	Roth IRA - Legg Mason Value Trust Mutual Fund	$1,001 - $15,000		NONE	
DC	VEST Chesapeake Portfolio	$1,001 - $15,000		NONE	

SCHEDULE IV - TRANSACTIONS

Name Bobby Jindal

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Albemarle 401(k) - Albemarle Stock	P	3-31-05, 6-30-05	$1,001 - $15,000
SP	Albemarle 401(k) - Merrill Lynch Equity Index Trust Tier I	P	3-31-05, 6-30-05	$1,001 - $15,000
SP	Albemarle 401(k) - PIMCO Total Return Fund Class A Mutual Fund	P	6-30-05	$1,001 - $15,000
	Fidelity Growth and Income Mutual Fund	PS	4-1-05, 7-1-05, 9-9-05, 10-7-05, 12-16-05, 12-30-05	$100,001 - $250,000
	Fidelity Spartan 500 Index Mutual Fund	P	6-3-05, 9-22-05, 10-21-05, 11-7-05, 12-23-05	$15,001 - $50,000
	Janus Olympus Mutual Fund	S	12-28-05	$15,001 - $50,000
	Janus Tax-Exempt Money Market Fund	S	12-28-05	$1,001 - $15,000
DC	Legg Mason Growth Trust Mutual Fund (Selia)	P	5-31-05, 8-23-05, 12-9-05	$1,001 - $15,000
DC	Legg Mason Growth Trust Mutual Fund (Shaan)	P	5-31-05, 8-23-05, 12-9-05	$1,001 - $15,000
DC	Legg Mason Opportunity Trust Mutual Fund (Selia)	P	4-18-05, 4-28-05, 8-23-05	$1,001 - $15,000
DC	Legg Mason Opportunity Trust Mutual Fund (Shaan)	P	4-18-05, 4-28-05, 8-23-05	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Bobby Jindal

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	Legg Mason Special Investment Trust Mutual Fund (Selia)	P	4-18-05, 4-28-05, 6-10-05, 6-21-05, 8-23-05, 12-9-05, 12-13-05	$1,001 - $15,000
DC	Legg Mason Special Investment Trust Mutual Fund (Shaan)	P	4-18-05, 4-28-05, 6-10-05, 6-21-05, 8-23-05, 12-9-05, 12-13-05	$1,001 - $15,000
DC	Legg Mason Value Trust Mutual Fund (Shaan)	P	4-18-05, 4-28-05, 8-23-05	$1,001 - $15,000
DC	Legg Mason Value Trust Mutual Fund (Selia)	P	4-18-05, 4-28-05, 8-23-05	$1,001 - $15,000
DC	Legg Mason Royce Total Return Fund (Shaan)	P	4-18-05, 4-28-05, 8-23-05, 12-5-05	$1,001 - $15,000
DC	Legg Mason Royce Total Return Fund (Selia)	P	4-18-05, 4-28-05, 8-23-05, 12-5-05	$1,001 - $15,000
DC	Louisiana Start (Selia)	P	7-10-05, 12-31-05	$1,001 - $15,000
DC	Louisiana Start (Shaan)	P	6-24-05, 7-10-05, 12-29-05, 12-31-05	$1,001 - $15,000
	Legg Mason Special Investment Trust Mutual Fund	P	Various (Automatic reinvestment)	$1,001 - $15,000
	Legg Mason Value Trust Mutual Fund	P	Various (Automatic reinvestment)	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Bobby Jindal

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Legg Mason IRA - Royce Total Return Fund	P	Various (Automatic reinvestment)	$1,001 - $15,000
	Legg Mason IRA - Special Investment Trust Mutual Fund	P	Various (Automatic reinvestment)	$1,001 - $15,000
	Merrill Lynch S&P 500 Index Fund	P	Various (Automatic reinvestment)	$1,001 - $15,000
	Merrill Lynch Value Opp. Mutual Fund	P	Various (Automatic reinvestment)	$1,001 - $15,000
	Merrill Lynch Fundamental Growth B Mutual Fund	S	12-27-05	$50,001 - $100,000
	Merrill Lynch Global Technical Fund Mutual Fund Class B	S	12-27-05	$1,001 - $15,000
	Merrill Lynch Basic Value Mutual Fund Class B	P	12-27-05, Various (Automatic reinvestment)	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Bobby Jindal

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
American Israel Education Foundation	Aug. 21-28	New Orleans - Israel - New Orleans	Y	Y	N	N/A

SCHEDULE VIII - POSITIONS

Name Bobby Jindal

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Brown University
Fellow	Young Presidents' Organization
Member	Advisory Panel on Medicare Education, U.S. Dept. Health and Human Services

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A — For use by Members, officers, and employees

5

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OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Eddie Bernice Johnson
(Full Name)

3102 Maple Avenue Suite 600 Dallas, TX 75201
(Mailing Address)

214-922-8885
Daytime Telephone:

Filer Status: ☑ Member of the U.S. House of Representatives — State: TX District: 30 — ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Eddie Bernice Johnson	5/15/2006

2143

SCHEDULE I - EARNED INCOME

Name Eddie Bernice Johnson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Texas	Legislative Pension	$25,000
Social Security	Over 65	$18,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eddie Bernice Johnson

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the *institution holding the account and provide its value at the end of the* reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Putnam New Oppurtunity	$1,001 - $15,000		NONE	
Putnam Investors Fund	$15,001 - $50,000	Dividends	NONE	
Oppenheimer Main Street Fidelity Fund	$1,001 - $15,000		NONE	
Texas Federal Credit Union	$1,001 - $15,000	INTEREST	$1,001 - $2,500	
U.S. Savings Bonds	$1,001 - $15,000	INTEREST	$5,001 - $15,000	
Waterhouse Securities	$1 - $1,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eddie Bernice Johnson

	EBJ Associates	None	QUALIFIED BLIND TRUST	NONE	
	Metronomics	None	QUALIFIED BLIND TRUST	NONE	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Eddie Bernice Johnson

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Interreligious Foundation for Community Organization	July 6-9	Washington, DC--Philadelphia, PA--Cancun, Mexico--Havana, Cuba--Havana, Cuba--Miami, Florida-- Washington, D.C.	Y	Y	N	None
Former Members of Congress	May 19-May 25, 2005	Washington, DC--New York, NY--Istanbul, Turkey--Washington, D.C.	Y	Y	N	None
Congressional Economic Leadership Institute and Woodrow Wilson	November 26-December 5, 2005	Dallas, TX--Sao Paulp, Braizl, San Beranrdo, San Jose dos campos; Brazilai;Rio de Janerio; AR Dallas, Texas	Y	Y	Y	None
Carib-News	Novermber 10-13, 2005	Washington, DC--Dalals,TX--Miami, FL--St.Thomas, VI; Dalals, TX--Washignton, DC	Y	Y	N	None

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

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U.S. HOUSE OF REPRESENTATIVES
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MC

Eddie Bernice Johnson
(Full Name)

3102 Maple Avenue Suite 600 Dallas, TX 75201
(Mailing Address)

214-922-8885
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: TX, District: 30	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15) ☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against *anyone who files* more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	**Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?**	Yes ☑ No ☐
Exemptions--	**Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?**	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics In Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Eddie Bernice Johnson	6/14/06

2148

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

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NANCY LEE JOHNSON
(Full Name)
2409 RHOB, Washington, DC 20515 (202) 225-4476
(Mailing Address) Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: CT District: 05	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Nancy L Johnson	5/15/06

2149

Name Nancy Johnson

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its *geographic location*. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or *less in personal savings accounts*; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate *the category of income* by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if *no income was received*.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A		Block B												Block C							Block D											Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples* SP Mega Corp. Stock					X								X										X								P
	Simon & Schuster		Indefinite																	Royalties									X			
	1st Bank of Paducah KY accounts						X									X										X						
	NUVEEN FLAGSHIPCT MUTUAL Bond Fund				X											X								X								
	NUVEEN CT DIVIDEND Advantage Muni. Fund																															
	NUVEEN TAX EXEMPT UNITS1 CT																															
	Fidelity Sect Technology	X															X					X										E
	Fidelity Municipal money market fund			X												X						X										E
	Fidelity Spartan Muni. Income Fund				X											X								X								

ADVEST.

For additional assets and unearned income, use next page.

2150

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy Johnson

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Fidelity Govt. Income Fd.			X												X						X									
	" utilities Fund				X												X						X								
	" Low priced Stock			X										X			X						X								
	" global balanced			X										X			X						X								
	" International disc.			X										X			X						X								
	" asset manager			X										X			X						X								E
	" independence fund			X										X								X									
	" growth & income				X									X			X								X						
	" mid cap stock fund			X										X			X						X								
	WEBSTER BANK STOCK				X									X									X								
	JANUS FLEX INCOME FD.			X												X						X									
	" ORION FUND			X										X																	
	" GROWTH INCOME			X										X								X									
	" WORLDWIDE FUND	X																			X										S
	NUVEEN CT PREMIUM MUNI.					X										X									X						
	EDWARD JONES MUNI BOND			X										X									X								
	STANLEY WORKS STOCK				X									X										X							
	ALLIANCE Bernstein Cap Res.					X										X							X								
	FHL MULTICLASS MTG. PARTN.																														
	MERRILL LYNCH MTG INC.			X												X						X									
	GENERAL MOTORS ACCEPT. CORP				X											X						X									
	WM EQUITY INC., FUND CLASS				X									X		X							X								

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2151

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy Johnson

Page 3 of 82

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	VANGUARD STAR FUND				X									X			X						X									
	" WELLINGTON FUND		X											X			X					X										
	" EXTENDED MARKET INDEX			X										X								X										
	" GROWTH INDEX FUND			X										X								X										
	" INTERNET EXPLORER FD.				X									X			X							X								
	" MIDCAP GROWTH FD			X										X								X										
	" DIVEDEND GROWTH FUND			X										X								X										
	" WELLESLEY INCOME FD.			X										X			X						X									
	" TREAS. MONEY MARKET FD.		X											X								X										
	BANK NORTH, CD (IRA)	X														X						X										
	FRANKLIN MUTUAL SHARES				X									X			X							X								
	MERRILL LYNCH USA RASP				X											X								X								
	NM PHH CORP. (BONDS)	X																														E
	FORD MOTOR CREDIT CORP.				X											X								X								
	BAC CAPITAL TRUST I				X									X										X								
	VIRGINIA POWER CAP TR																															
	HARTFORD LIFE CAP II				X									X										X								
	WELLS FARGO CAP TR IV				X									X										X								
	BANK ONE CAPITAL VI				X									X										X								
	HOUSEHOLD CAP TRUST VII			X										X									X									
	FLEET CAPITAL TRUST VIII			X										X									X									

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy Johnson

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	VIRGINIA POWER CAP TR II				X									X										Y							
	NEWPLAN EXCEL REALTY TR													X																	E
	YL CAPITAL LIMITED				X									X										X							
	CISCO SYSTEMS STK																														E
	INTEL CORP STK			X																											
	JOHNSON & JOHNSON STK				X																										
	TIME WARNER STK																														
	MICROSOFT CORP STK			X																											
	AIM CONSTELLATION FD	X																													E
	AMERICAN CAPITAL WRLD	X																													E
	AMERICAN INVESTMENT FD. OF AMERICA																														
	" NEWPERSPECTIVE FUND																														
	" WASHINGTON MUTUAL INV.																														
	DAVIS SERIES FIN FD CLA	X																													E
	FRANKLIN MANAGED TRUST	X																													E
	MERRILL LYNCH BASIC VAL. FD	X																													E
	PUTNAM HEALTH SCI. FUND	X																													E
	DEBT STRATEGY FD INC.				X									X			X														
	LEADERS 2003 711463068							X						X			Y									X					
	WEBSTER BANK CHKG			X												X						X									
	VANGUARD INTERMED TR.			X												X							X								P
	GINDS ASSOC.				X									SALE OF REAL EST.													X				S

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy Johnson

Page 5 of 32

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	ICC HARBIN			X																	X											
	FIDELITY TRX EXEMPT MMF			X												X						X										
	MMCA AUTOOWNER TR 2002	X																														E
	CONSECO FIN SECUR. CORP.	X																														S
	FEDERAL HOME LOAN MTG CORP			X																			X									P
	USTREAS. NOTE			X												X						X										P
	FEDERAL HOME LN MTG CORP.			X																			X									P
	USTREASURY NOTE			X												X							X									P
	USTREASURY NOTE			X												X							X									P
	FEDERAL NATL MTG ASSOC			X												X							X									P
	FNMA P785242				X											X								X								P
	FHLMC GI 1690 047.				X											X							X									P
	USTREASURY BOND			X												X							X									P
	USTREASURY BOND			X												X							X									P
	FNMA P779546				X											X								X								P
	MORGAN ST DEAN WITTER			X																			X									P
	DAIMLER CHRYSLER NA HLDG			X																		X										P
	LEHMAN BROS HLD 9S			X																			X									P
	COUNTRYWIDE HOME LN			X																			X									P
	USA EDUCATION INC.			X																			X									P
	JOHN DEERE CAP'T. CORP.			X																			X									P
	HOUSEHOLD FINANCE CORP.			X																			X									P

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* interest unless otherwise indicated.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy Johnson

Page 4 of 22

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	CITI GROUP			X																		X										P
	CITI INSURANCE ABS			X																		X										P
	SIMON PROPERTY GP			X																		X										P
	BANK OF AMER CORP			X																			X									P
	NUM GENL ELEC CAPCORP			X																			X									P
	CAROLINA POWER & LT CO.			X																		X										P
	DOMINION RESOURCES INC.			X																			X									P
	KINDER MORGAN INC			X																		X										P
	" " "			X																		X										P
	NM AMER. GEN. FIN CORP			X																		X										P
	DUKE ENERGY CORP.			X																			X									P
	ALCAN INC.			X																			X									P
	SBC COMM.			X																			X									P
	MARSH & MCLENNAN COS INC			X																			X									P
	SPRINT CAP CORP.			X																			X									P
	TIME WARNER INC.			X																		X										P
	ABN · AMRO HLDG NV ADR			X																		X										P
	ACXIOM CORP		X																			X										P
	ADOBE SYS DEL. PV 0.001			X																	X											P
	ADTRAN INC COM		X																			X										P
	ADVANCED MICRO INC			X																	X											P
	AFLAC INC.			X																		X										P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy Johnson

Page 7 of 22

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	ALCAN INC.			X																		X										P
	ALLIEDWASTE INDS NEW			X																	X											P
	AMERICAN EXPRESS			X																		X										P
	AMERITRADE HLDG CORP			X																	X											P
	AMGEN INC. COM PV 0.0001			X																	X											P
	APPLE COMP.			X																	X											P
	APIARGROUP INC.			X																		X										P
	ASTRAZENACA PLC SPND			X																		X										P
	AXA			X																		X										P
	BANCO SANTCILHISP ADR		X																			X										P
	BAXTER INTERNAT. INC			X																		X										P
	BK YOKAHAMA			X																		X										P
	BNP PARIBAS SPONS. ADR			X																		X										P
	BRITISH AIRWAYS PLC ADR		X																		X											P
	BRITISH SKY BDCT SPDADR			X																		X										P
	CANON INC. ADR REP 5SH			X																		X										P
	CAREMARK Rx INC.			X																		X										P
	CARLISLE COS INC			X																		X										P
	CARNIVAL CORP. PHIRESSHS			X																		X										P
	CBRICHARDELLIS GR INC.			X																	X											P
	CHICAGO MERCH. EXCH.			X																		X										P
	CHICOS FAS INC.			X																	X											P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy Johnson

Page 8 of 33

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	CITIGROUP INC.			X																			X									P
	COACH			X																	X											"
	COCA COLA COM			X																		X										"
	COGNIZANT TECH. SOL. A			X																	X											"
	COMCAST CRP NEW CL. ASP			X																	X											"
	COPART INC.			X																	X											"
	CORNINGS INC.			X																		X										"
	COSTCO WHOLESALE CRP.			X																		X										"
	CRANE CO. DELAWARE			X																		X										"
	CREDIT SUISSE GP SP ADR			X																		X										"
	DBS GRP HLDGS SPN ADR			X																		X										"
	DELL			X																		X										"
	DEUTSCHE BANK AGREG			X																	X											"
	DIAGEO PLC SPSD ADR NEW			X																		X										"
	DIRECT TV GRP INC.			X																	X											"
	DISNEY (WALT) CO. COM STK			X																		X										"
	EON AG ADR			X																		X										"
	EBAY INC. COM			X																	X											"
	ENGELHARD CORP.			X																		X										"
	ENI SPA SPONSORED ADR			X																		X										"
	ENTERCOM COMM. CORP.			X																	X											"
	EXPRESS SCRIPTS INC COM.			X																	X											"

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy Johnson

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	FEDERAL SIGNAL CORP			X																		X										P
	FMC CORP COM NEW			X																	X											P
	FOOTLOCKER INC. NY COM			X																		X										P
	FORTUNE BRANDS INC.			X																		X										P
	FRANKLIN RES. INC.			X																		X										P
	FURNITURE BRANDS INTL.			X																		X										P
	GARTNER INC.			X																	X											P
	GENENTECH INC. NEW			X																	X											P
	GENERAL ELEC.			X																		X										P
	GETTY IMAGES			X																	X											P
	GILEAD SCI. INC. COM.			X																	X											P
	GLAXOSMITH KLEIN PLC ADR			X																		X										P
	GOLDMAN SACHS GRP. INC.			X																		X										P
	GOOGLE INC CL A			X																	X											P
	HARLEY DAVIDSON INC WIS			X																		X										P
	HERCULES INC.			X																	X											P
	HEWLETT PACKARD CO. DEL.			X																		X										P
	HUTCHSON WHAM PO ADR			X																		X										P
	ING GP NV SPSD ADR			X																		X										P
	INTEL CORP.			X																		X										P
	ITT Ed. SERVICES			X																	X											P
	JOHNSON & JOHNSON			X																		X										P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy Johnson

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	JOHNSON ELEC. HLDG. SPN ADR			X																			X									P
	JONES APPAREL GP INC. COM			X																			X									P
	JP Morgan CHASE & CO.			X																				X								P
	JUNIPER NTWKS INC.			X																	X											P
	KAWASAKI HVY INDS SPNADR			X																		X										P
	KOHLS CORP WISC PV 1CT			X																	X											P
	KONINKI PHIL E NY SHNED			X																		X										P
	LEGG MASON INC			X																		X										P
	LEHMAN BROS HLDGS COM			X																		X										P
	LIBERTY MEDIA CORP ADR			X																	X											P
	LOWES COMP. INC.			X																		X										P
	MARTIN MARIETA MATLS.			X																		X										P
	MARUBENI CORP ADR			X																		X										P
	MASCO CORP			X																		X										P
	MCDONALDS CORP COM			X																		X										P
	MEDTRONIC INC. COM			X																		X										P
	MICROSOFT CORP.			X																		X										P
	MINERALS TECH.			X																		X										P
	MONSTER WRLDWD			X																	X											P
	MOODYS CORP			X																		X										P
	MORGAN STANLEY			X																		X										P
	MOTOROLA INC COM.			X																		X										P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy Johnson

Page 11 of 22

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	MPS GRP INC FL A COM			X																		X										P
	MUELLER IND.			X																		X										P
	NETV INC.			X																	X											P
	NEC. CORP. ADR			X																		X										P
	NESTLES A REP RGSH ADR			X																		X										P
	NEW EL RUBBERMAID INC.			X																		X										P
	NINTENDO LTD ADR			X																		X										P
	NISSAN MTR LTD SPN ADR			X																		X										P
	NOKIA CORP SPONADR			X																		X										P
	NOMURA HLDGS INC ADR			X																		X										P
	NOVARTIS ADR			X																		X										P
	PAYCHEX INC.			X																		X										P
	PRICE T ROWE GRP INC			X																		X										P
	PRUDENTIAL FINC. INC.			X																		X										P
	QUALCOMM INC.			X																		X										P
	RADIOSHACK CORP.			X																		X										P
	RAYTHEON CO. DEL.			X																		X										P
	REGIS CORP MINN			X																		X										P
	REYNOLDS & REYNOLDS A			X																		X										P
	SANDISK CORP INC.			X																	X											P
	SHERING AG ADR			X																		X										P
	SIGNET GP PLC ADR NEW			X																		X										P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy Johnson

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	SUNOCO PRODUCTS CO.			X																	X										P
	SOUTHWESTERN EN. CO.			X																X											P
	ST JUDE MEDICAL INC.			X																X											P
	STARBUCKS CORP.			X																X											P
	ST MICROELECTRONICS NVSH			X																	X										P
	SUEZ			X																	X										P
	SUMITOMO CORP SP ADR		X																		X										P
	SUN MICROSYST. INC. COM.			X																X											P
	SYMBOL TECH. DEL.			X																	X										P
	TARGET CORP. COM.			X																	X										P
	TEXAS INSTRUMENTS			X																	X										P
	THERMO ELECTRON CORP.			X																X											P
	TIME WARNER INC NEW			X																	X										P
	TOTALSA SP ADR			X																	X										P
	TYCO INTL LTD NEW			X																	X										P
	UNILEVER NV NY REG SHS			X																	X										P
	UNION PACIFIC CORP			X																	X										P
	UNITED HEALTH GRP INC.			X																	X										P
	URBAN OUTFITTERS INC.			X																X											P
	US BANCORP NEW			X																	X										P
	VODAFONE GRP PLC SPN ADR			X																	X										P
	WALMART STORES INC.			X																	X										P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy Johnson

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	WELLPOINT INC.			X																		X										P
	WHOLE FOODS MKT INC COM			X																		X										P
	XTO ENERGY INC.			X																		X										P
	YAHOO INC.			X																												P
	YELLOW ROADWAY CORP.			X																			X									P
	ZEBRATECH. CRDA			X																	X											P
	ZIMMER HLDGS INC.			X																	X											P

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Name Nancy Johnson Page 14 of 20

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10-12-05		X								
	FEDERAL HOME LOAN MTG CORP	X			2·24·05	X									
	US TREASURY NOTE	X			5·17·05	X									
	" " "	X			12·8·05	X									
	" " "	X			12·12·05	X									
	FEDERAL HOME LOAN MTG CORP	X			2·24·05	X									
	" NATL MTG ASSOC.	X			9·12·05	X									
	FNMA P785242 05 SD7.2019	X			2·24·05		X								
	FHLMC G1 1690 047.2020	X			3·30·05		X								
	US TREASURY BOND	X			2·24·05	X									
	" " "	X			10·03·05	X									
	FNMA P779546 06 SD7.2034	X			2·24·05		X								
	MORGAN STANLEY DEAN WITTER	X			"	X									
	DAIMERCHRYSLER NA HLDG	X			"	X									
	LEHMAN BROS HLDGS	X			"	X									
	COUNTRYWIDE HOME LOAN	X			"	X									
	USA ED. INC	X			4·08·05	X									

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Name Nancy Johnson | Page 15 of 32

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
	JOHN DEERE CAPITAL CORP	X			2·24·05	X									
	HOUSE HOLD FINANCE CORP	X			"	X									
	CITIGROUP INC	X			"	X									
	CIT CO ISSUANC ABS 2003	X			"	X									
	SIMON PROPERTY GRP LP	X			9·12·05	X									
	BANK OF AMERICA CORP.	X			2·24·05	X									
	NM GEN'L ELEC. CORP. BE	X			"	X									
	CAROLINA POWER & LT CO.	X			9·12·05	X									
	DOMINION RESOURCES INC.	X			2·24·05	X									
	KINDER MORGAN INC	X			"	X									
	" " "	X			9·12·05	X									
	NM AMER GEN. FIN. CORP. BE	X			"	X									
	DUKE ENERGY CORP.	X			2·24·05	X									
	ALCAN INC.	X			"	X									
	SBC COMMUNICATIONS	X			4·19·05	X									
	MARSH & MCLENNAN COS INC	X			11·16·05	X									

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Name Nancy Johnson

SCHEDULE IV — TRANSACTIONS

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SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
	SPRINT CAP CORP	X			11.16.05	X									
	TIME WARNER INC	X			2.24.05	X									
	ACXIOM CORP	X			2.11.05	X									
	" "	X			5.12.05	X									
	" "	X			8.12.05	X									
	ABN AMRO HLDG NV ADR	X			2.11.05	X									
	ADOBE SYS DEL PVS 0.001	X			10.26.05	X									
	" " " " "	X			11.02.05	X									
	ADTRAN INC.	X			3.14.05	X									
	" "	X			4.14.05	X									
	ADVANCED MICRO D INC.	X			12.02.05	X									
	AFLAC INC.	X			2.11.05	X									
	ALCAN INC.	X			5.19.05	X									
	" "	X			7.08.05	X									
	" "	X			11.08.05	X									
	ALLIED WASTE INDS NEW	X			2.11.05	X									

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Name Nancy Johnker

Page 17 of 32

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: Purchase	Type: Sale	Type: Exchange	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	ALLIED WASTE INDS NEW	X			11.04.05	X									
	" " " "	X			12.05.05	X									
	AMER EXPRESS CO.	X			9.21.05	X									
	" " "	X			10.07.05	X									
	AMERITRADE HLDG CORP.	X			8.16.05	X									
	AMGEN INC COM PV $0.0001	X			7.08.05	X									
	" " " " "	X			7.20.05	X									
	APPLE COMPUTER	X			2.11.05	X									
	" "	X			7.14.05	X									
	" "	X			10.13.05	X									
	APTARGROUP INC.	X			2.11.05	X									
	ASTRAZENECA	X			"	X									
	AXA ADR	X			"	X									
	BANCO SANI CIL HISP ADR	X			3/11/05	X									
	BAXTER INT'L INC.	X			2.11.05	X									
	" " "	X			2.14.05	X									

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SCHEDULE IV — TRANSACTIONS

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SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	BK YOKOHAMA LTD JAPN ADR	X			6·27·05	X									
	BNP PARIBAS SPONSRD ADR	X			2·11·05	X									
	BRITISH SKY BDCT SPD AR	X			12·16·05	X									
	CANON INC. ADR REPSSH	X			2·11·05	X									
	CAREMARK Rx INC.	X			"	X									
	" " "	X			3·24·05	X									
	" " "	X			10·28·05	X									
	CARLISLE COS. INC.	X			2·11·05	X									
	CARNIVAL CORP. PAIRED SHS	X			"	X									
	CB RICHARD ELLIS GR INC	X			10·13·05	X									
	CHICAGO MERCH. EXCHANGE	X			6·03·05	X									
	" " "	X			10·13·05	X									
	CHICOS FAS INC	X			2·11·05	X									
	CITIGROUP INC.	X			"	X									
	" "	X			4·15·05	X									

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SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
	COACH INC.	X			2·11·05	X									
	" "	X			10·18·05	X									
	COCA COLA	X			2·11·05	X									
	COGNIZANT TECH. SOLUT. A	X			2·24·05	X									
	COMCAST	X			2·11·05	X									
	COPART INC. COM	X			"	X									
	CORNINGS INC	X			5·9·05	X									
	" "	X			5·12·05	X									
	" "	X			10·8·05	X									
	COSTCO WHOLESALE CRP DEL	X			2·11·05	X									
	CRANE CO. DELAWARE	X			"	X									
	CREDIT SUISSE AG SP ADR	X			"	X									
	DELL INC.	X			12·08·05	X									
	DEUTSCHE BANK AG REG	X			2·11·05	X									
	DBS GROUP HLDGS SPN ADR	X			2·11·05	X									

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Name Nancy Johnson | Page 20 of 32

SCHEDULE IV — TRANSACTIONS

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SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	DIAGEO PLC SPSD ADR NEW	X			2·11·05	X									
	DIRECT TV GROUP INC	X			"	X									
	DISNEY (WALT) CO COM STK	X			"	X									
	" " " " "	X			10·10·05	X									
	EON AG ADR	X			2·11·05	X									
	EBAY INC. COM	X			7·21·05	X									
	ENGELHARD CORP	X			2·11·05	X									
	ENI SPA SPONSORED ADR	X			"	X									
	ENTERCOM COMM. CORP. A	X			"	X									
	EXPRESS SCRIPTS INC COM	X			12·05·05	X									
	FEDERAL SIGNAL CORP	X			2·11·05	X									
	FMC CORP COM NEW	X			"	X									
	FOOT LOCKER INC. NY COM	X			"	X									
	FORTUNE BRANDS	X			2·11·05	X									
	FRANKLIN RES INC	X			7·11·05	X									
	FURNITURE BRANDS INT'L	X			2·11·05	X									

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SCHEDULE IV — TRANSACTIONS

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SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	GARTNER INC.	X			2·11·05	X									
	GENETECH INC. NEW	X			4·26·05	X									
	" " "	X			5·24·05	X									
	" " "	X			9·28·05	X									
	" " "	X			10·11·05	X									
	GENERAL ELECTRIC	X			2·11·05	X									
	GETTY IMAGES INC.	X			2·11·05	X									
	" "	X			7·27·05	X									
	GILEAD SCI. INC COM	X			10·11·05	X									
	" " " "	X			11·11·05	X									
	GLAXO SMITH KLEIN PLC ADR	X			2·11·05	X									
	GOLDMAN SACHS GRP INC	X			9·21·05	X									
	" " " "	X			10·19·05	X									
	GOOGLE INC. CL A	X			2·11·05	X									
	" " " "	X			4·22·05	X									
	HARLEY DAVIDSON	X			2·11·05	X									

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Name Nancy Johnson | Page 28 of 32

SCHEDULE IV — TRANSACTIONS

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SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
	HARLEY DAVIDSON INC. WIS	X			4.18.05	X									
	" " " "	X			5.27.05	X									
	HERCULES INC.	X			2.11.05	X									
	HEWLETT PACKARD CO. DEL	X			3.04.05	X									
	HOME DEPOT	X			2.11.05	X									
	HONEYWELL INTL INC DEL.	X			"	X									
	HUTCHISON WHAM POA ADR	X			"	X									
	ING GP NV SPSD ADR	X			"	X									
	INTEL CORP	X			6.10.05	X									
	" "	X			6.13.05	X									
	" "	X			9.05.05	X									
	" "	X			10.10.05	X									
	HT EDUCATIONAL SVCS	X			2.11.05	X									
	JOHNSON & JOHNSON COM	X			"	X									

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2171

Name Nancy Johnson | Page 23 of 22

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
	JONES APPAREL GRP INC. COM	X			2·11·05	X									
	JP MORGAN CHASE & CO.	X			"	X									
	JUNIPER NETWORKS INC.	X			11·02·05	X									
	KOHLS CORP. WISC PV 1CT	X			2·11·05	X									
	KONICKL PHILE NY SH NEW	X			"	X									
	LEGG MASON INC.	X			"	X									
	LEHMAN BROS HLDGS COM.	X			3·17·05	X									
	LIBERTY MEDIA CORP A.	X			2·11·05	X									
	LOWES COMPANIES INC.	X			8·10·05	X									
	" " "	X			9·22·05	X									
	MARTIN MARIETA MATLS	X			2·11·05	X									
	MARUBENI CORP ADR	X			3·27·05	X									
	MASCO CORP	X			2·11·05	X									
	MCDONALDS CORP COM	X			"	X									

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2172

Name Nancy Johnson | Page 24 of 33

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	METRONIC INC. COM	X			9.21.05	X									
	" " "	X			9.22.05	X									
	" " "	X			10.13.05	X									
	MICROSOFT INC	X			11.16.05	X									
	MINERALS TECHNOLOGIES	X			2.11.05	X									
	MONSTER WORLD WIDE	X			11.18.05	X									
	MOODYS CORP	X			2.11.05	X									
	" "	X			5.6.05	X									
	MORGAN STANLEY	X			6.23.05	X									
	" "	X			7.06.05	X									
	" "	X			7.28.05	X									
	MOTOROLA INC COM	X			5.19.05	X									
	" " "	X			6.08.05	X									
	MUELLER INDUSTRIES INC.	X			2.11.05	X									
	NBTY INC.	X			"	X									
	NEC CORP	X			"	X									

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2173

Name Nancy Johnson

SCHEDULE IV — TRANSACTIONS

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SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
	NESTLE SA REP RG SH ADR	X			2·11·05	X									
	NEWELL RUBBERMAID	X			"	X									
	NINTENDO LTD ADR	X			10·06·05	X									
	NOKIA CORP SPON ADR	X			2·11·05	X									
	NOMURA HLDGS INC ADR	X			8·12·05	X									
	NOVARTIS ADR	X			2·11·05	X									
	PAYCHEX INC.	X			10·04·05	X									
	PRICE T ROWE GROUP INC.	X			2·11·05	X									
	PRUDENTIAL FINANCIAL INC.	X			"	X									
	QUALCOMM INC.	X			"	X									
	RAYTHEON CO. DELAWARE NEW	X			9·12·05	X									
	REGIS CORP. MINN	X			2·11·05	X									
	REYNOLDS & REYNOLDS A	X			"	X									
	SANDISK CORP INC	X			12·15·05	X									

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2174

Name Nancy Johnson

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	SCHERING AG ADR	X			2.11.05	X									
	SIGNET GP PLC ADR NEW	X			"	X									
	SUNOCO PRODUCTS CO.	X			"	X									
	SOUTHWESTERN ENERGY CO.	X			10.03.05	X									
	ST. JUDE MEDICAL INC.	X			4.20.05	X									
	" " " "	X			4.21.05	X									
	" " " "	X			5.24.05	X									
	STARBUCKS CORP.	X			10.06.05	X									
	" "	X			10.14.05	X									
	ST. MICRO ELECTRONICS	X			6.03.05	X									
	SUEZ ADR	X			2.11.05	X									
	SUMITOMO TR & BKG SPDADR	X			"	X									
	" " " "	X			4.05.05	X									
	SUN MICROSYSTEMS INC COM	X			3.01.05	X									
	TARGET CORP. COM	X			10.20.05	X									
	" " "	X			11.02.05	X									

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Name Nancy Johnson | Page 27 of 32

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
	TEXAS INSTRUMENTS	X			3·29·05	X									
	" "	X			4·14·05	X									
	THERMO ELECTRON CORP	X			2·11·05	X									
	TIME WARNER INC	X			"	X									
	TOTAL SA SP ADR	X			"	X									
	TYCO INTNL LTD NEW COM	X			6·02·05	X									
	" " " " "	X			7·06·05	X									
	" " " " "	X			8·03·05	X									
	UNILEVER NV NY REG SHS	X			2·11·05	X									
	UNION PACIFIC CORP.	X			6·24·05	X									
	UNITED HEALTH GROUP INC.	X			2·11·05	X									
	URBAN OUTFITTERS INC.	X			10·06·05	X									
	US BANCORP NEW	X			2·11·05	X									
	VODAFONE	X			"	X									
	WALMART STORES INC.	X			"	X									

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Name Nancy Johnson

SCHEDULE IV — TRANSACTIONS

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SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
	WALMART STORES INC.	X			9.15.05	X									
	WELLPOINT INC.	X			2.11.05	X									
	WHOLEFOODS MKT INC. COM.	X			"	X									
	" " " "	X			5.05.05	X									
	XTO ENERGY INC.	X			2.11.05	X									
	YAHOO INC.	X			4.20.05	X									
	" "	X			5.26.05	X									
	" "	X			10.19.05	X									
	" "	X			11.16.05	X									
	YELLOW ROADWAY CORP.	X			9.29.05	X									
	ZEBRA TECHNOLOGIES GRP A	X			7.27.05	X									
	ZIMMER HLDGS INC. COM	X			12.02.05	X									
	ADVANCED MICRO INC.	X			12.07.05	X									
	ZIMMER HLDGS INC. COM	X			"	X									
	EXPRESS SCRIPTS INC. COM	X			12.08.05	X									

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2177

Name Nancy Johnson Page 29 of 32

SCHEDULE IV — TRANSACTIONS

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SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
	US TREASURY NOTE	X			12·09·05	X									
	FNMA P350884 06/. 2035	X			12·13·05		X								
	DELL INC.	X			"	X									
	US TREASURY NOTE	X			12·15·05	X									
	SANDISK CORP. INC.	X			12·20·05	X									
	BRITISH SKY BDCT SPD ADR	X			12·21·05	X									
	AMERICAN EXPRESS CO.		X		12·08·05	X									
	NESTLE SA REP RG SH ADR		X		"	X									
	MOODYS CORP		X		12·08·05	X									
	US TREASURY BOND		X		12·09·05	X									
	" " "		X		"	X									
	KAWASAKI HWY INDS SPDADR		X		12·12·05	X									
	SUMITOMO TR & BKG SPDADR		X		"	X									
	AMGEN INC. COM PV $0.0001		X		12·16·05	X									
	PAYCHEX INC.		X		12·20·05	X									
	URBAN OUTFITTERS		X		"	X									

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Name Nancy Johnson

SCHEDULE IV — TRANSACTIONS

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SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
	FIDELITY ASSET MGR TO FIDEL. BALANCED FUND			X	7·18·05	X									
	VANGUARD INTL EXPLORER FUND	X			1·21·05	X									
	JANUS WORLD WIDE FUND		X		1·11·05	X									
	BANKNORTH CD (IRA)		X			X									
	FIDELITY SELECT TECH FD TO FIDEL MUNI. MONEY FUND			X	12·12·05	X									
	NEW PLAN EXCEL REALTY TR			X	9·19·05	X									
	CISCO SYSTEMS STK			X	"	X									
	TIME WARNER STK			X	"	X									
	AIM CONSTELLATION FD			X	2·03·05		X								
	DAVIS SERIES FINANCIAL			X	2·03·05		X								
	MERRILL LYNCH BASIC VALUE FUND			X	2·03·05		X								
	PUTNAM HEALTH SCIENCE FUND			X	"		X								
	MMCA AUTO OWNER TRUST			X	6·27·05										
	CONSECO FINAN. SECURITY		X		10·05·05										

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Name Nancy Johnson | Page 31 of 32

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
	NMPHH CORP BONDS			X	3·15·05		X								
	AMERICAN CAP WORLD			X	2·03·05			X							
	FRANKLIN MANAGED TRUST			X	"			X							

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Congressional Program of the Aspen Institute	Mar 25 - Apr 3	Hartford, CT - China - Hartford, CT	Y	Y	Y	N/A
	The Nature Conservancy	May 28 - Jun 5	Hartford CT - Quito, Ecuador - Galapagos Islands - Quito, Ecuador - Hartford, CT	Y	Y	Y	N/A
	The Ripon Society	Jan 12-16	Hartford CT - Miami, FL - Hartford, CT	Y	Y	Y	N/A
	The Ripon Society	Sep 8-9	Washington, DC - Philadelphia, PA - Washington, DC	Y	Y	N	N/A

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2006 MAY 18 PM 4:43
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

(3)

MC

THE HONORABLE SAMUEL ROBERT JOHNSON
(Full Name)

1211 LONGWORTH HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, DC 20515

Daytime Telephone (202) 225.4201

Filer Status	☑ Member of the U.S. House of Representatives — State: TEXAS District: 03	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☑	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Sam Johnson	5/15/2006

2182

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B											Block C							Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp Stock				X								X									X								P
			Simon & Schuster	Indefinite																	Royalties								X			
			1st Bank of Paducah, KY accounts					X									X									X						
	BOOK ROYALTIES			INDEFINITE																	ROYALTIES			X								
	LEGACY BANK OF TEXAS				X												X						X									
	CONGRESSIONAL FCU				X												X						X									
	COMMUNITY CREDIT UNION.				X												X						X									
	FRANKLIN GOLD					X												X								X						

For additional assets and unearned income, use next page.

2183

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD OF REGENTS	SMITHSONIAN INSTITUTION, WASHINGTON, DC
BOARD MEMBER	FRONTIERS OF FLIGHT, DALLAS, TX
BOARD MEMBER	INSTITUTE IN BASIC LIFE PRINCIPLES, OAK BROOK, IL

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2006 25-629 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A For use by Members, officers, and employees

HAND DELIVERED

2006 MAY 10 PM 12: 13

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Timothy Vincent Johnson
(Full Name)

413 Beringer Circle Urbana, IL 61802
(Mailing Address)

212-225-2371
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: IL District: 15	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions-	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		May 10, 2006

2185

SCHEDULE I - EARNED INCOME

Name Timothy Vincent Johnson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Illinois	Legislative Pension	$59,076

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy Vincent Johnson

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Sidell State Bank (formerly First National Bank in Homer)	$15,001 - $50,000	INTEREST	$201 - $1,000	
	First National Bank in Paxton	$1,001 - $15,000	INTEREST	$201 - $1,000	
	First National Bank of Ogden	$1,001 - $15,000	INTEREST	$201 - $1,000	
	First National Bank of Atwood	$1,001 - $15,000	INTEREST	$201 - $1,000	
	First State Bank of Monticello	$50,001 - $100,000	INTEREST	$1,001 - $2,500	S
	First State Bank of Paris	$1,001 - $15,000	INTEREST	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy Vincent Johnson

	Fisher National Bank	$1,001 - $15,000	INTEREST	$1 - $200	S
	Longview State Bank	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Philo Exchange Bank	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Tuscola National Bank	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Villa Grove State Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Main Street Bank & Trust	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Busey Bank of St. Joseph	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Rantoul First Bank	$1,001 - $15,000	INTEREST	$201 - $1,000	
	First Mid IL Bank & Trust of Mattoon	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Buckley State Bank	$1,001 - $15,000	INTEREST	$1 - $200	S
	Bank of Rantoul	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Central IL Bank of Sidney	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Sidney IL Farmland	$100,001 - $250,000	Other: Farm Income	NONE	S
	Condominium (1/2 Interest)	$15,001 - $50,000	RENT	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy Vincent Johnson

	Sun America Money Market	$250,001 - $500,000	DIVIDENDS	$2,501 - $5,000	
	Scudder High Income Fund	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
	I Shares TR Russell 2000 Index Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Standard & Poor Depositary Receipts	$15,001 - $50,000	DIVIDENDS	$1 - $200	S
	FiServe Securities	$1 - $1,000	DIVIDENDS	$1 - $200	
	AMCAP Fund	$15,001 - $50,000	CAPITAL GAINS	$201 - $1,000	
	Fidelity Advisor Equity Growth	$100,001 - $250,000	DIVIDENDS	NONE	
	Fidelity Advisor Mid Cap Stock	$50,001 - $100,000	CAPITAL GAINS	$5,001 - $15,000	
	Fidelity Advisor Mid Cap Stock	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	Fidelity Advisor Small Cap Fund	$50,001 - $100,000	CAPITAL GAINS	$2,501 - $5,000	
	Fidelity Advisor Equity Income	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	
	Fidelity Advisor Equity Income	$100,001 - $250,000	CAPITAL GAINS	$5,001 - $15,000	
	Fidelity Advisor Diversified Internation	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	P
	Fidelity Advisor Diversified International	$100,001 - $250,000	CAPITAL GAINS	$2,501 - $5,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy Vincent Johnson

	Fidelity Advisor Dividend Growth	$50,001 - $100,000	DIVIDENDS	NONE	S
	Eaton Vance Tax Managed Growth	$15,001 - $50,000	DIVIDENDS	NONE	
	Hartford Capital Apprec Fund	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	P
	Hartford Capital Apprec Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Sky Bk Salineville OH	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
	Discover Bk Greenwood Del	$50,001 - $100,000	INTEREST	$2,501 - $5,000	S
	Guaranty Bk Milwaukee	$50,001 - $100,000	INTEREST	$1,001 - $2,500	PS
	Western Bank PR	$50,001 - $100,000	INTEREST	$1,001 - $2,500	S
	Gold Svgs Bk	$50,001 - $100,000	INTEREST	$1,001 - $2,500	P
	Mid First Bk Okla City	$50,001 - $100,000	INTEREST	NONE	P

SCHEDULE IV - TRANSACTIONS

Name Timothy Vincent Johnson

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Sidney IL Farmland	S	03-17-05	$100,001 - $250,000
	Standard & Poor Depositary Receipts	S	5-31-05	$15,001 - $50,000
	Fidelity Advisor Diversified International	P	5-31-05	$1,001 - $15,000
	Fidelity Advisor Dividend Growth	S	5-31-05	$1,001 - $15,000
	Hartford Capital Apprec Fund	P	5-31-05	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Timothy Vincent Johnson

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Busey Bank	Mortgage-406 Colorado, Urbana, IL	$50,001 - $100,000
	Busey Bank	Home Improvement	$15,001 - $50,000
	Lexus Financial Services	Auto Loan	$15,001 - $50,000

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2006 MAY 24 AM 10: 01
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Timothy Vincent Johnson
(Full Name)

413 Beringer Circle Urbana, IL 61802
(Mailing Address)

212-225-2371
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: IL, District: 15	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions-	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/22/06

SCHEDULE I - EARNED INCOME

Name Timothy Vincent Johnson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Illinois	Legislative Pension	$59,076

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy Vincent Johnson

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Sidell State Bank (formerly First National Bank in Homer) Certificate of Deposit	$15,001 - $50,000	INTEREST	$201 - $1,000	
First National Bank in Paxton Certificate of Deposit	$1,001 - $15,000	INTEREST	$201 - $1,000	
First National Bank of Ogden Certificate of Deposit	$1,001 - $15,000	INTEREST	$201 - $1,000	
First National Bank of Atwood Certificate of Deposit	$1,001 - $15,000	INTEREST	$201 - $1,000	
First State Bank of Monticello Certificate of Deposit	None	INTEREST	$1,001 - $2,500	S
First State Bank of Paris Certificate of Deposit	$1,001 - $15,000	INTEREST	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy Vincent Johnson

	Fisher National Bank Certificate of Deposit	None	INTEREST	$1 - $200	S
	Longview State Bank Certificate of Deposit	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Philo Exchange Bank Certificate of Deposit	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Tuscola National Bank Certificate of Deposit	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Villa Grove State Bank Certificate of Deposit	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Main Street Bank & Trust Certificate of Deposit	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Busey Bank of St. Joseph Certificate of Deposit	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Rantoul First Bank Certificate of Deposit	$1,001 - $15,000	INTEREST	$201 - $1,000	
	First Mid IL Bank & Trust of Mattoon Certificate of Deposit	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Buckley State Bank Certificate of Deposit	None	INTEREST	$1 - $200	S
	Bank of Rantoul Certificate of Deposit	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Central IL Bank of Sidney Certificate of Deposit	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Sidney IL Farmland	None	Other: Farm Income	NONE	S
	Sidney IL Farmland	None	CAPITAL GAINS	$100,001 - $1,000,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy Vincent Johnson

	Condominium (1/2 Interest)	$15,001 - $50,000	RENT	NONE	
	Sun America Money Market	$250,001 - $500,000	DIVIDENDS	$2,501 - $5,000	
	Scudder High Income Fund	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
	I Shares TR Russell 2000 Index Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Standard & Poor Depositary Receipts	None	DIVIDENDS	$1 - $200	S
	FiServe Securities	$1 - $1,000	DIVIDENDS	$1 - $200	
	AMCAP Fund	$15,001 - $50,000	CAPITAL GAINS	$201 - $1,000	
	Fidelity Advisor Equity Growth	$100,001 - $250,000	DIVIDENDS	NONE	
	Fidelity Advisor Mid Cap Stock	$50,001 - $100,000	CAPITAL GAINS	$5,001 - $15,000	
	Fidelity Advisor Mid Cap Stock	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	Fidelity Advisor Small Cap Fund	$50,001 - $100,000	CAPITAL GAINS	$2,501 - $5,000	
	Fidelity Advisor Equity Income	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	
	Fidelity Advisor Equity Income	$100,001 - $250,000	CAPITAL GAINS	$5,001 - $15,000	
	Fidelity Advisor Diversified Internation	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy Vincent Johnson

	Fidelity Advisor Diversified International	$100,001 - $250,000	CAPITAL GAINS	$2,501 - $5,000	P
	Fidelity Advisor Dividend Growth	None	DIVIDENDS	NONE	S
	Eaton Vance Tax Managed Growth	$15,001 - $50,000	DIVIDENDS	NONE	
	Hartford Capital Apprec Fund	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	P
	Hartford Capital Apprec Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Sky Bk Salineville OH	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
	Discover Bk Greenwood Del	None	INTEREST	$2,501 - $5,000	S
	Guaranty Bk Milwaukee	None	INTEREST	$1,001 - $2,500	PS
	Western Bank PR	None	INTEREST	$1,001 - $2,500	S
	Gold Svgs Bk	$50,001 - $100,000	INTEREST	$1,001 - $2,500	P
	Mid First Bk Okla City	$50,001 - $100,000	INTEREST	NONE	P

SCHEDULE IV - TRANSACTIONS

Name Timothy Vincent Johnson

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Sidney IL Farmland	S	03-17-05	$100,001 - $250,000
	Standard & Poor Depositary Receipts	S	5-31-05	$15,001 - $50,000
	Fidelity Advisor Diversified International	P	5-31-05	$1,001 - $15,000
	Fidelity Advisor Dividend Growth	S	5-31-05	$1,001 - $15,000
	Hartford Capital Apprec Fund	P	5-31-05	$1,001 - $15,000

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LEGISLATIVE RESOURCE CENTER
2006 JUN 13 PM 5:29
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

2200

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

Stephanie Tubbs Jones
(Full Name)

1009 Longworth House Office Building Washington, DC 20515
(Mailing Address)

(202) 225-7032
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative	State: OH District: 11	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑ No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefitting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Stephanie Tubbs Jones	6/13/06

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephanie Tubbs Jones

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Public Employees Retirement System	$100,001 - $250,000	NA	$50,001 - $100,000	
Jihaad Filmworks Inc.	$1,001 - $15,000	NA	NONE	
New York Life Annuity	$100,001 - $250,000	INTEREST	$15,001 - $50,000	

2201

SCHEDULE V - LIABILITIES

Name Stephanie Tubbs Jones

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Wright Patman Congressional Federal Credit Union	Credit Card	$10,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Stephanie Tubbs Jones

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Inter-American Economic Council	Jan. 12-16, 2005	Wash., DC -Punta Cana, Dominican Republic - St. Johns, Antigua - Wash., DC	Y	Y	N	None
Delta Sigma Theta Sorority, Inc.	Jan. 28-29, 2005	Wash., DC - Syracuse, NY - Wash., DC	Y	Y	N	None
Bill Maher Show	Feb. 25-26, 2005	Cleveland, OH - Los Angeles, CA - Cleveland, OH	Y	Y	N	None
Baylor University College of Medicine	Mar. 11-12, 2005	Wash., DC - Houston, TX - Wash., OH	Y	Y	N	None
National Bar Association	Apr. 2 - 3, 2005	Cleveland, OH - Baltimore, MD - Cleveland, OH	Y	Y	N	None
United Steelworkers of America	April 10-12, 2005	Cleveland, OH - Las Vegas, NV - Wash., DC	Y	Y	Y	None
CompuCredit	April 24-26, 2005	Wash., DC - Atlanta, GA - Wash., DC	Y	Y	N	None
Cook County Bar Association	June 10, 2005	Wash., DC - Chicago, IL	Y	Y	N	None
Rainbow PUSH Coalition	June 11, 2005	Chicago, IL - Wash., DC	Y	Y	N	None
Congressional Black Caucus Institute (Reimbursed)	August 8-14, 2005	Cleveland, OH - Tunica, MS - Cleveland, OH	Y	Y	N	None

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Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Corzine for Governor	October 30 - 31, 2005	Cleveland, OH - Newark, NJ - Washington, DC	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Stephanie Tubbs Jones

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Bethany Baptist Church Cleveland, Ohio
Trustee	Community Reentry Program Cleveland, Ohio

SCHEDULE IX - AGREEMENTS

Name Stephanie Tubbs Jones

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
January 2, 1999	Public Employees' Retirement System	Agreement permits member to continue participating in existing pension plan from her former service as an employee of Cuyahoga County, Ohio

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

Page 1 of 1

HAND DELIVERED
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LEGISLATIVE RESOURCE CENTER
2006 MAY 12 AM 9:05
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Walter Beaman Jones
(Full Name)

422 Cannon House Office Building Washington, DC 20515
(Mailing Address)

202-225-3415
Daytime Telephone:

(Official Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: NC District: 03	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

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I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
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V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Walter B. Jones	5-10-2006

2207

SCHEDULE I - EARNED INCOME

Name Walter Beaman Jones

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
TrustMark	Residual income earned prior to entering Congress	$177.33

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Walter Beaman Jones

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	BB&T	$100,001 - $250,000		$5,001 - $15,000	
JT	Ford Motor Co.	$1,001 - $15,000		NONE	
JT	Merck and Company	$1,001 - $15,000		NONE	
JT	Nucor Corp.	$1,001 - $15,000		NONE	
JT	Putnam New Growth Opportunites	$15,001 - $50,000		NONE	
JT	Bio-Key	$1,001 - $15,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Walter Beaman Jones

JT	Hydro Flow	$1,001 - $15,000		NONE	
JT	Investers Title Co.	$15,001 - $50,000		NONE	
JT	Medco Health Solutions Inc.	$1 - $1,000		NONE	
JT	Nurcor Corp.	$1 - $1,000		NONE	
JT	Vistcon	$1 - $1,000		NONE	
JT	American Funds	$15,001 - $50,000		NONE	
JT	Washington National Fund	$1 - $1,000		NONE	

SCHEDULE IV - TRANSACTIONS

Name Walter Beaman Jones

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Ford Motor Co.	S	9-13-05	$1,001 - $15,000
JT	Merck and Company	S	9-13-05	$1,001 - $15,000
JT	Putnam New Growth Opportunites	S	9-13-05	$1,001 - $15,000
JT	Fund CLA	S	9-13-05	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Walter Beaman Jones

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Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Center for Moral Clarity	Oct. 14, 2005	Greenville, NC--Columbus, OH--Greenville, NC	N	Y	N	N/A

SCHEDULE VIII - POSITIONS

Name Walter Beaman Jones

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board	East Carolina Vocational Center

WALTER B. JONES
3D DISTRICT, NORTH CAROLINA

ROOM 422
CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
TELEPHONE: (202) 225-3415

COMMITTEES:
COMMITTEE ON ARMED SERVICES
COMMITTEE ON FINANCIAL SERVICES
COMMITTEE ON RESOURCES

Congress of the United States
House of Representatives
Washington, DC 20515–3303

DISTRICT OFFICE:
1105-C CORPORATE DRIVE
GREENVILLE, NC 27858
(252) 931-1003
(800) 351-1637

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LEGISLATIVE RESOURCE CENTER
2006 JUN -6 PM 5:03
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U.S. HOUSE OF REPRESENTATIVES

June 6, 2006

Clerk of the House
Legislative Resource Center
B106 Cannon House Office Building
Washington, DC 20515-6612

MC

Dear Madam:

This is to serve as a cover letter for an amended version of my Calendar Year 2005 Financial Disclosure Statement, originally filed on May 12, 2006. There were several reportable transactions which were inadvertently left off my original filing, which are properly reflected in this amended report.

I apologize for any confusion this error may have caused. Please do not hesitate to call if I can be of any assistance to you on this or other matters.

Sincerely,

Walter B. Jones

Walter B. Jones
Member of Congress

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A For use by Members, officers, and employees

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Walter Beaman Jones
(Full Name)

422 Cannon House Office Building Washington, DC 20515 — 202-225-3415
(Mailing Address) — Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: NC District: 03	☐ Officer Or Employee	Employing Office: U.S. HOUSE OF REPRESENTATIVES
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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
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2215

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Name Walter Beaman Jones

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JT	Investers Title Co.	$15,001 - $50,000		NONE	

2217

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Walter Bearman Jones

JT	Medco Health Solutions Inc.	$1 - $1,000		NONE	
JT	Visteon (Stock spin from Ford)	$1 - $1,000		NONE	
JT	American Funds	$15,001 - $50,000		NONE	

SCHEDULE IV - TRANSACTIONS

Name Walter Beaman Jones

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Ford Motor Co.	S	9-13-05	$1,001 - $15,000
JT	Merck and Company	S	9-13-05	$1,001 - $15,000
JT	Putnam New Growth Opportunites	S	9-13-05	$1,001 - $15,000
JT	American Accumlation Plan	S	9-13-05	$1,001 - $15,000
JT	Hydro Flow	P	9-13-05	$1,001 - $15,000
JT	Altria Group	S	2-1-05	$1,001 - $15,000
JT	Bank of America	S	2-1-05	$1,001 - $15,000
JT	American Funds	P	9-13-05	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Walter Beaman Jones

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Center for Moral Clarity	Oct. 14, 2005	Greenville, NC--Columbus, OH--Greenville, NC	N	Y	N	N/A

SCHEDULE VIII - POSTITIONS

Name Walter Beaman Jones

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, *representative, employee, or consultant of any corporation*, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board	East Carolina Vocational Center

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2006 MAY 12 PM 3:33
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U.S. HOUSE OF REPRESENTATIVES
A $200 penalty shall be assessed against anyone who files more than 30 days late.

Paul E. Kanjorski
(Full Name)

103 S. Hanover St. Nanticoke, PA 18634
(Mailing Address)

202-225-6511
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representative	State: PA District: 11	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☑ No ☐	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Paul E. Kanjorski	5/12/06

2222

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Paul E. Kanjorski

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Cross Valley Credit Union	$50,001 - $100,000	INTEREST	$1,001 - $2,500	n/a
JT	IRA - Equi-Vest (had been Equitable Life in 2004)	$1,001 - $15,000	None	NONE	n/a
JT	IRA - Wachovia Securities (had been Prudential Life Bache Securities in 2004)	$50,001 - $100,000	None	NONE	n/a
	Real property -- 20+ acres, 1/2 interest, Dallas Twp., Luzerne Co., PA	$500,001 - $1,000,000	None	NONE	n/a
	Real property -- 90+ acres, 1/5 interest, Wright Twp., Luzerne Co., PA	$1,000,001 - $5,000,000	None	NONE	n/a

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Paul E. Kanjorski

	father's estate, 1/10 interest	$15,001 - $50,000	None	NONE	n/a
	mother's estate, 1/5 interest	$15,001 - $50,000	CAPITAL GAINS	$5,001 - $15,000	S
	126 S. Franklin St., Wilkes-Barre, PA, 1/2 interest	$250,001 - $500,000	RENT	$2,501 - $5,000	n/a
	law office movable assets	$1,001 - $15,000	None	NONE	n/a
	Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	n/a

SCHEDULE IV - TRANSACTIONS

Name Paul E. Kanjorski

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	mother's estate, 1/5 interest	S	2-3-05	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Paul E. Kanjorski

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Omega Bank (had been Guaranty National Bank in 2004)	Mortgage on 1/2 interest in 126 S. Franklin St., Wilkes-Barre, PA	$50,001 - $100,000

SCHEDULE VI - GIFTS

Name *Paul E. Kanjorski*

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year. *Exclude: Gifts from relatives*, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the *rule*.

Source	Description	Value
Cities of Gold Casino, Pojoaque, New Mexico	gaming winnings	$19,500

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Paul E. Kanjorski

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
New York Stock Exchange	Mar. 7-8	Wash., D.C.--New York City--Wash., D.C.	Y	Y	N	n/a
National Assoc. Of Realtors	Mar. 18-28	Wash., D.C.--Orlando, FL--Miami, FL--Wash., D.C.	Y	Y	Y	8 days
The Bond Market Assoc.	May 9	Wash., D.C.--New York City--Wash., D.C.	N	Y	N	n/a
New York Stock Exchange	Sept. 11-12	Wilkes-Barre, PA--New York City--Wash., D.C.	Y	Y	N	n/a

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2229

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

Marcia (Marcy) C. Kaptur
(Full Name)

1 Maritime Plz Ste 600 Toledo, OH 43604
(Mailing Address)

419-259-7500
Daytime Telephone:

Filer Status: ☑ Member of the U.S. House of Representatives — State: OH District: 09 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Marcia (Marcy) C. Kaptur	5/15/06

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Marcia (Marcy) C Kaptur

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
1837 Dority Rd., Toledo, OH 43615	$50,001 - $100,000	RENT	$1,001 - $2,500	
1846 Rivard Rd., Toledo, OH 43615	$50,001 - $100,000	No renter or rental income for 2005	NONE	
1854 Harlan Rd, Toledo, OH 43615	$50,001 - $100,000	RENT	$5,001 - $15,000	
Charter One Bank	$50,001 - $100,000	INTEREST	$2,501 - $5,000	

2230

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Marcia (Marcy) C. Kaptur

	Congressional Federal Credit Union	$1,001 - $15,000	INTEREST-funds accrued in this separate account are from foregone congressional pay (raises) and are distributed annually to charitable causes	$1 - $200	
	Key Bank	$1,001 - $15,000	INTEREST	$1 - $200	
	Little Flower Credit Union	$1 - $1,000	INTEREST	$1 - $200	
	DFA Global Fixed Income (1yr)	$15,001 - $50,000	INTEREST	$201 - $1,000	
	DFA Global Fixed Income (2 yr)	$15,001 - $50,000	INTEREST	$201 - $1,000	
	DFA Global Fixed Income (5yr)	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Merrill Lynch Low Duration	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Vanguard Intermed-Term Treasury Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Transamerica IRA	$15,001 - $50,000	NA	NONE	
	U.S. Savings Bonds	$50,001 - $100,000	NA	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Marcia (Marcy) C. Kaptur

	U.S. Treasury Note	$15,001 - $50,000	INTEREST	$1 - $200	S **Matured 12/31/05. Proceeds deposited to Schwab Money Mkt Acct.
	Schwab Money Market	$15,001 - $50,000	None/Other: Deposit from sale of U.S. Treasury Note	$1 - $200	P

SCHEDULE IV - TRANSACTIONS

Name Marcia (Marcy) C. Kaptur

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	U.S. Treasury Note	S	12-31-2005	$15,001 - $50,000
	Schwab Money Market	P	12-31-2005	$15,001 - $50,000
	Merrill Lynch Low Duration	S	03-11-2005	$1,001 - $15,000
	Merrill Lynch Low Duration	S	10-17-2005	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Marcia (Marcy) C. Kaptur

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Northern Ohio Investment Conmpany	Mortgage; 1848 Rivard., Toledo	$15,001 - $50,000
	Northern Ohio Investment Comany	Mortgage; 1837 Dority Rd., Toledo	$50,001 - $100,000
	Sky Bank	Home Equity Line; 1854 Harlan Rd., Toledo	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Marcia (Marcy) C. Kaptur

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	The Anastasia Fund, P.O. Box 2121, Toledo, OH 43603 [a public charity established in the names of Anastasia and Stephen Kaptur]

FOOTNOTES

Name Marcia (Marcy) C. Kaptur

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule IV	Partial sale. Asset distributed.	Merrill Lynch Low Duration
2	Schedule IV	Partial sale. Asset distributed.	Merrill Lynch Low Duration

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

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U.S. HOUSE OF REPRESENTATIVES
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MC

Richard Anthony Keller
(Full Name)

605 E. Robinson St., Suite 650, Orlando, FL 32801
(Mailing Address)

Daytime Telephone (407) 872-1962

Filer Status	[X] Member of the U.S. House of Representatives	State: FL District: 08	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Richard A. Keller	4/28/06

2237

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Hammond & Associates	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A		Block B												Block C							Block D											Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp Stock					X								X										X								P
DC, *Examples*	Simon & Schuster			Indefinite																Royalties								X				
JT	1st Bank of Paducah, KY accounts						X									X										X						
JT	Congressional Federal Credit Union Checking Account				X																X											

For additional assets and unearned income, use next page.

2239

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2006 MAY 12 AM 11:43
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Sue W. Kelly
(Full Name)
187 Jay Street Kingston, NY 10536
(Mailing Address)
Daytime Telephone 202-225-5441

Filer Status	[X] Member of the U.S. House of Representatives — State: New York District: 19	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Sue Kelly	5·12·05

2240

Name Sue W. Kelly | Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	William A. Kelly & Co. Inc.	Spouse Salary	NA
	87 Bedford Road Corp.	Spouse Salary	NA
	90 Maple Ave., LLC	Spouse Salary	NA
	Groton River Office Park	Spouse Salary	NA
	Bedford Road Garage Corp.	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

2241

Name Sue W. Kelly | Page 1 of 1

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C	Speech	Feb. 2, 2005	$2,000
XYZ Magazine	Article	Aug. 13, 2005	$500
Diages	Appearance	3/8/05	$500

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Sue W. Kelly

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, *name the institution holding the account and* provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples: SP Mega Corp. Stock					X								X										X								P
	Simon & Schuster			Indefinite																Royalties								X				
	1st Bank of Paducah, KY accounts						X									X									X							
	Amer Int'l					X								X									X									
	Amer Power Conv				X									X										X								S
	Amer Express				X									X									X									
	Allied Capital Corp				X									X										X								
	Amer Financial Inc			X										X								X										P
	AMGEN				X															NA	X											

For additional assets and unearned income, use next page.

2243

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Sue W Kelly

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Fed Budget Beyond				X															NA	X											
	CISCO Systems					X														NA	X											
	Exxon Mobil				X									X										X								
	Intel				X									X									X									
	Washington Mutual					X								X											X							
	Pepsico, Inc.				X									X											X							P
	Wyeth				X									X										X								
	Alliance Bernstein		X													X						X										
	Nuveen Insg Mutual				X											X							X									
	Costco				X									X								X										
	TIBCO			X										X								X										
	Kidder Morgan			X													X				X											S, P
	101 N. Wagner-Apt Kisco								X						X												X					
	36 Anderson Rd. Katonah							X							X											X						
	10 Winterview Ct. South Salem							X							X												X					
	Bank of New York			X												X							X									
	Federal Credit Union			X												X							X									
	Merrill Lynch CMA			X												X						X										

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name Sue W Kelly

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
SP	Union State Bank	C $15,001 – $50,000	INTEREST	I None; II $1 – $200	
SP	Mahopac Nat Bank	C $1,001 – $15,000	INTEREST	I None; II $1 – $200	
SP	USAA Savings	C $1,001 – $15,000	INTEREST	I None; II $1 – $200	
SP	Merrill Lynch CMA	C $1,001 – $15,000	INTEREST	I None; II $1 – $200	
SP	KED Realty - Katonah	H $500,001 – $1,000,000	DIVIDENDS	III $201 – $1,000	
SP	IRA - RBC	G $250,001 – $500,000	CAPITAL GAINS	Other Type of Income (Specify): NA; I None	
SP	8 Westview Ct. - South Salem	H $500,001 – $1,000,000	CAPITAL GAINS	Other Type of Income (Specify): NA; I None	
SP	Croton River Office Park	I $1,000,001 – $5,000,000	DIVIDENDS	IX $100,001 – $1,000,000	
SP	105 Bedford Rd - Katonah	H $500,001 – $1,000,000	DIVIDENDS	IX $100,001 – $1,000,000	
SP	87 Bedford Rd - Katonah	H $500,001 – $1,000,000	DIVIDENDS	VI $5,001 – $15,000	
SP	90 Maple Ave - Mt. Kisco	H $500,001 – $1,000,000	DIVIDENDS	VII $15,001 – $50,000	
SP	North Salem Center Co.	G $250,001 – $500,000	CAPITAL GAINS	Other Type of Income (Specify): NA; I None	
SP	W.A. Kelly & Co. Inc	G $250,001 – $500,000	CAPITAL GAINS	Other Type of Income (Specify): NA; I None	
SP	W.A. Kelly & Co. Lease Corp	F $100,001 – $250,000	CAPITAL GAINS	Other Type of Income (Specify): NA; I None	
SP	Harris Rd - Bedford Hills	G $250,001 – $500,000	CAPITAL GAINS	Other Type of Income (Specify): NA; I None	
JT	Mass Mutual US Gov't	D $15,001 – $50,000		Other Type of Income (Specify): Insurance; I None	

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2245

SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name Sue H' Kelly

Page 4 of 4

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction P, S, E
SP	McDonalds	D $15,001 – $50,000	DIVIDENDS	III $201 – $1,000	S
SP	Wyeth Corp	C $1,001 – $15,000	DIVIDENDS	III $201 – $1,000	S
SP	AMGEN	D $15,001 – $50,000	DIVIDENDS	III $201 – $1,000	S
SP	General Electric	D $15,001 – $50,000	DIVIDENDS	III $201 – $1,000	S
SP	Freedom CMA	C $1,001 – $15,000	INTEREST	II $1 – $200	
SP	Amer Power Conversion	C $1,001 – $15,000	DIVIDENDS	II $1 – $200	S
SP	American Express	C $1,001 – $15,000	DIVIDENDS	II $1 – $200	P
SP	Amer Financial Inc	B $1 – $1,000	Other Type of Income (Specify): NA	I None	P
SP	Fannie Mae	D $15,001 – $50,000	DIVIDENDS	II $1 – $200	S
SP	Allied Capital Corp	C $1,001 – $15,000	DIVIDENDS	I None	
SP	Bed Bath & Beyond	C $1,001 – $15,000	DIVIDENDS	I None	S
SP	Costco	C $1,001 – $15,000	DIVIDENDS	II $1 – $200	
SP	American Int Group	C $1,001 – $15,000	DIVIDENDS	II $1 – $200	S
SP	Washington Mutual	C $1,001 – $15,000	DIVIDENDS	II $1 – $200	
SP	Kidder Morgan Exp	C $1,001 – $15,000	Other Type of Income (Specify): NA	I None	

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SCHEDULE IV — TRANSACTIONS

Name Sue W. Kelly | Page 1 of 1

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	American Power Con		X		7/26/05		X								
	American Financial Inc	X			10/1/05	X									
	PepsiCo, Inc.	X			8/4/05		X								
	Kidder Morgan	X			10/21/05		X								
	Kidder Morgan		X		12/21/05	X									
SP	McDonalds		X		2/1/05		X								
SP	Wyeth Corp		X		2/1/05	X									
SP	AMGEN		X		2/1/05	X									
SP	Amer Power Conv.		X		2/1/05	X									
SP	American Express	X			1/12/05	X									
SP	American Financial, Inc	X			10/1/05	X									
SP	General Electric		X		2/1/05		X								
SP	Fannie Mae		X		2/1/05 8/3/05		X								
SP	Bed Bath + Beyond		X		2/1/05	X									
SP	American International Group		X		2/1/05	X									

This page may be copied if more space is required.

2247

Name Sue W. Kelly | Page 1 of 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Congressional Institute	Jan. 7-9	Newark, NJ - Scottsdale, AZ, Newark, NJ	Y	Y	Y	None
	Islamic Free Market Institute and the Chamber of Commerce and Industry	March 26-30	Dulles, VA - Dubai, United - Dulles, VA	Y	Y	N	None
	Chicago Board Options Exchange	April 18-19	New York ~~City~~ Chicago - New York	Y	Y	N	None
	The Ripon Society	Sept. 8-9	Wash, DC - Philadelphia - New York	Y	Y	N	None
	The Ripon Society	Oct. 29-30	New York - Dallas - New York	Y	Y	N	None

This page may be copied if more space is required.

Name Sue W Kelly

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	Mahopac Nat Bank	Mortgage - Croton River Park							X			
SP	Union State Bank	Mortgage - 87 Bedford Rd					X					
SP	Union State Bank	Mortgage - 90 Maple Ave				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
N/A		

Use additional sheets if more space is required.

Name Sue Wikelly	Page 1 of 1

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
N/A	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

GPO: 2006 25-628 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2005 MAY 11 PM 12: 14
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Certified Mail Only)

9

MC

MARK R. KENNEDY
(Full Name)

1415 LONGWORTH HOB
WASHINGTON DC 20515
(Mailing Address)

Daytime Telephone: 202-225-2331

Filer Status	[X] Member of the U.S. House of Representatives	State: MN District: 6	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mark R Kennedy	5-11-06

2251

Name Mark R. Kennedy | Page 1 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
	XYZ Magazine	Article	Aug. 13, 2005	$500

This page may be copied if more space is required.

Name Mark R. Kennedy

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B												Block C							Block D											Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:* SP Mega Corp. Stock					X								X										X								P
	Simon & Schuster			Indefinite																Royalties									X			
	1st Bank of Paducah, KY accounts						X									X										X						
SP	Fidelity Diversified Int'l				X									X			X										X					P,S
SP	Sparton 500 Index					X								X			X									X						P,S
SP	Tamarack Ent. Small Cap				X												X										X					P,S
DC	Vanguard GNMA				X									X			X							X								P,S
	Sparton 500 Index					X								X			X								X							P,S
	Contrafund					X								X			X									X						S

For additional assets and unearned income, use next page.

2254

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Mark R. Kennedy

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Fidelity Diversified Int'l					X								X			X							X							P
	Fidelity Low Price Stock					X								X			X							X	X						P
DC	Shopko	X															X								X						S
DC	Fidelity Cash			X																											
	Fidelity Fifty	X															X									X					S
JT	Landrented acreage						X								X								X								

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2255

Name Mark R Kennedy | Page 6 of 9

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	Contrafund		X		12/29/2005	X									
	Fidelity Diversified Int'l	X			12/29/2005	X									
	Fidelity Fifty		X		Various			X							
	Fidelity Low Price Stock	X			12/29/2005	X									
	Sparton 500	X	X		Various	X									
SP	Fidelity Diversified Int'l	X	X		Various		X								
SP	Sparton 500	X	X		Various		X								
SP	Tamarack Enterprise Small Cap	X	X		Various		X								
DC	Shopko		X		12/29/2005	X									
DC	Vanguard GNMA	X	X		Various	X									

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2256

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Chase Manhattan Mortgage Corp	mortgage on 11426 City Rd 13 SE, Watertown					X					
	US Bank	home equity on 11426 City Rd 13 SE, Watertown				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days *not* at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Congressional Institute	Jan 27-30	Wash DC - WV - WDC	Y	Y	Y	none
	Club for Growth	Mar 12-14	WDC - Naples - WDC	Y	Y	Y	none
	Grocery Mfg. of America	June 11-12	WDC - WV - WDC	Y	Y	N	none

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2258

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
	—

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	—	

GPO: 2006 25-628 (mac)

Use additional sheets if more space is required.

MARK R. KENNEDY
6TH DISTRICT, MINNESOTA

Email address: mark.kennedy@mail.house.gov
Web address: www.house.gov/markkennedy

COMMITTEES
TRANSPORTATION AND INFRASTRUCTURE
FINANCIAL SERVICES

Congress of the United States
House of Representatives
Washington, DC 20515

(3)

MC ✓

May 22, 2006

Committee on Standards of Official Conduct
U.S. House of Representatives
HT-2 The Capitol
Washington, DC 20515

To Whom It May Concern:

The enclosed pages are an amendment to my financial disclosure statement for 2005. Enclosed are added notations to eliminate any confusion. Please feel free to contact me or Elisa Aglieco (5-4864) in my office if there are any further questions.

Sincerely,

Mark R. Kennedy
Member of Congress

HAND DELIVERED

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2006 MAY 23 AM 9:53
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U.S. HOUSE OF REPRESENTATIVES

1415 LONGWORTH HOUSE OFFICE BUILDING
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1111 HIGHWAY 25 NORTH
SUITE 204
BUFFALO, MN 55313
PHONE: (763) 684-1600

22 WILSON AVENUE, NE
SUITE 104
P.O. BOX 8610
ST. CLOUD, MN 56302
PHONE: (320) 259-0992

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

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MC

Mark R. Kennedy
(Full Name)

1415 Longworth HOB
Washington DC 20515
(Mailing Address)

Daytime Telephone
202-225-2331

Filer Status	[X] Member of the U.S. House of Representatives	State: MN District 6	[ ] Officer or Employee	Employing Office:
Report Type	[ ] Annual (May 15)	[X] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (*worth more than $305 from one source*)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. *Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?*	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mark R. Kennedy	5-17-06

2261

Name	Page ___ of ___

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
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Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Chase Manhattan Mortgage Corp*	mortgage on 11426 Cty Rd 13 SE, Waverton MN					X					
	US Bank*	home equity on 11426 Cty Rd 13 SE, Waverton MN				X						

* The rented land listed on Schedule III is part of a contiguous parcel totaling 60 acres (30 of which is rented). A personal residence is located on the 30 acres not rented.

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

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Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

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2263

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

Page

Patrick J. Kennedy
(Full Name)

210 Farmlands Drive Portsmouth, RI 02871
(Mailing Address)

(202)225-4911
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: RI District: 01	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☑ No ☐
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Patrick J. Kennedy	5/11/06

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Patrick J. Kennedy

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate *confidential list of charities* receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Society of Professors of Child and Adolescent Psychiatry (SPCAP), Washington, DC	Speech	Apr. 28, 2005	$500

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Patrick J. Kennedy

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Citizens Trust Company Accounts, Providence, RI	$1,001 - $15,000	INTEREST	$1 - $200	
	MFB Northern U.S. Government Money Market Fund Chicago, IL	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	March 4, 1953 Trust, Rose F. Kennedy, Grantor, FBO Edward M. Kennedy New York, NY *		EXCEPTED TRUST	$1 - $200	
	August 31, 1959 Trust, Edward M. Kennedy, Grantor, FBO Patrick J. Kennedy New York, NY		EXCEPTED TRUST	$100,001 - $1,000,000	

* Final Distribution. Trust terminated in 2005.

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Patrick J. Kennedy

	Arctic Royalty Limited Partnership (a limited partnership owning oil & gas royalty interests) New York, NY	$50,001 - $100,000	PARTNERSHIP INCOME	$15,001 - $50,000	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Patrick J. Kennedy

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Harvard University, JFK School of Government Commonwealth Fund	Jan. 14-20	Providence, RI-Ft. Lauderdale, FL-Wash, DC	Y	Y	N	4

SCHEDULE VIII - POSITIONS

Name Patrick J. Kennedy

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Associate Member	Battleship, Massachusetts
Corporate Member	Bradley Hosptial
Member, Advisory Board	March of Dimes-Rhode Island
Honorary Member	Mental Health Association of Rhode Island
Member, Advisory Board	Big Brothers of Rhode Island
Member, Advisory Board	Rhode Island Special Olympics
Member, Advisory Board	National Coalition of Mental Health and Providers
Member, Advisory Board	University of Michigan Depression Center
Associate Trustee	Joseph P. Kennedy, Jr. Foundation
Limited Partner	Arctic Royalty Limited Partnership
Ex-Officio Trustee	Providence Performing Arts
Member, Honorary Board	Recycling for Rhode Island Education

SCHEDULE VIII - POSITIONS

Name Patrick J. Kennedy

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Board of Trustees	Kennedy Center for Performing Arts
Member, Advisory Board	Martin Luther King, Jr. National Memorial Project Foundation, Inc.
Member, Advisory Board	Hispanic Family Literacy Institute

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

5

LEGISLATIVE RESOURCE CENTER

2006 MAY -9 PM 2:56

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Dale Edward Kildee
(Full Name)

516 Kensington Ave
Flint MI 48503
(Mailing Address)

202-225-3611
Daytime Telephone

Filer Status	☒ Member of the U.S. House of Representatives	State: MI District: 5	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☒	No ☐

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dale E Kildee	5-9-06

2270

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Michigan Legislative Retirement System	Legislative Pension	$21,105.48

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B												Block C							Block D											Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:* SP Mega Corp. Stock					X								X										X								P
	Simon & Schuster			Indefinite																Royalties									X			
	1st Bank of Paducah KY accounts						X									X										X						
JT	Wachovia Bank				X											X							X									
JT	Congressional Fed. C.U.			X												X							X									
SP	Congressional Fed. C.U. IRA *			X												X						X		(reinvested)								
SP	Wachovia Bank - SEP C.D.			X												X							X	(reinvested)								
SP	Citigroup Smith Barney SEP *			X												X						X		(reinvested)								

For additional assets and unearned income, use next page.

* not self directed

2272

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Dale Edward Kildee

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Citigroup Smith Barney IRA	E: X	Interest Disbursed -	VI: X	
	COMPRISED OF:				
•	Citigroup Bank Deposit	D: X	INTEREST: X	IV: X	
•	Fremont Investment & Loan (CD)	D: X	NA	I: X (anticipated interest	— 2-28-06)
•	AIG Fed. Sav. Bank - CD	D: X	NA	I: X (anticipated interest	— 3-2-06)
•	Western Bank Puerto Rico (CD)	E: X	NA	I: X (anticipated interest	— 8-16-06)

This page may be copied if more space is required.

2273

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1-1-77	State of Michigan and self	Life insurance and health insurance

GPO: 2006 25-628 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

6

LEGISLATIVE RESOURCE CENTER
2006 MAY 15 PM 3:12
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

Carolyn Checks Kilpatrick
(Full Name)

7445 LaSalle Blvd.
(Mailing Address)

202-225-2261
Daytime Telephone:

Detroit Michigan

Filer Status	[X] Member of the U.S. House of Representatives — State: MI District: 13	[ ] Officer or Employee — Employing Office	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Carolyn C. Kilpatrick	May 15, 2006

2275

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Michigan Legislative Retirement System (for services rendered prior to house employment and is not subject to the outside earned income limit)	Legislative Pension	$47,559.84

For payments to charity in lieu of honoraria, use Schedule II.

Name Carolyn C. Kilpatrick

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP/DC/JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Types of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp Stock					X								X									X									P
DC, *Examples:*	Simon & Schuster		Indefinite																	Royalties								X				
JT	1st Bank of Paducah KY accounts						X									X									X							
	National City Bank				X											X						X										
	Wright Patman Congressional Federal Credit Union				X											X						X										
	Fidelity Diversified Intl.			X										X									X									
	Spartan 500 Index Investor Class				X									X									X									
	Fidelity Cash Reserves			X										X								X										
	Fidelity Mid Cap Stock			X										X								X										

For additional assets and unearned income, use next page.

2277

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Carolyn C. Kilpatrick

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	F $50,001 - $100,000	G $100,001 - $250,000	H $250,001 - $500,000	I $500,001 - $1,000,000	J $1,000,001 - $5,000,000	K $5,000,001 - $25,000,000	L $25,000,001 - $50,000,000	Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Fidelity Short Term Bond			X										X								X										
	Fidelity Fund			X										X								X										
	Fidelity Agressive Growth			X										X											N/A							
	Fidelity OTC PORT			X										X											N/A							
	Ariel Fund			X										X									X									
	Federal Home Ln MTG Corp 4%				X									X									X									
	Fidelity Strategic Income			X										X									X									
	Advantage Primary LIQ FD		X											X								X										
	International Game Technology			X										X								X										
	Nokia Cirp Spons Adr			X										X								X										
	Wells Fargo Co New			X										X								X										
	Ford Motor Cr Co Cont Offer Bd 5.000%	X														N/A					X											E
	General Mtrs Accep CPSMARTNTBE 7.350%	X														N/A					X											E

This page may be copied if more space is required.

2278

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example.* Mega Corporation Common Stock	X			10-12-05		X								
	Ariel Fund			X	02-28-05	X									
	~~Federal Home LN MTG Corp 4.000%~~			X	02-28-05		X								
	Fidelity Strategic Income			X	02-28-05	X									
	Ford Motor Cr Co Cont Offer Bd 5.000%			X	02-28-05		X								
	General Mtrs Accep CPSMARTNTBE 7.350%			X	02-28-05		X								

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
Examples: Roycroft Corporation	Aug. 6-11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
American Arab Chamber of Commerce	Mar. 19-25	Dullas, VA -Cairo, Egypt - Dullas, VA -Detroit, MI	Y	Y	N	None
Congressional Black Caucus Institute	Aug. 9-11	Jackson, MS-Yazoo City, MS-Belzoni, MS- Greenwood, MS-Tunica, MS	Y	Y	N	None
Congressional Black Caucus Institute	Aug. 11-14	Tunica, MS - Detroit, MI * Member already in Tunica for separate event	Y	Y	N	None
African World Expo	Aug. 20-27	Detroit, MI-Abuja, Nigeria-Detroit, MI	Y	Y	N	None

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A For use by Members, officers, and employees

(Office Use Only)

Ronald James Kind
(Full Name)

1406 Longworth House Office Building Washington, DC 20515
(Mailing Address)

202-225-5506
Daytime Telephone:

Filer Status ☑ Member of the U.S. House of Representative State: WI District: 03 ☐ Officer Or Employee Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ron Kind	May 15, 2006

2281

SCHEDULE I - EARNED INCOME

Name Ronald James Kind

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Wisconsin	Spouse Salary	N/A
Self-Employed Court Reporter	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ronald James Kind

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	219 Pearl Street LaCrosse, WI	$50,001 - $100,000	RENT	$5,001 - $15,000	
SP	Putnam INU-IRA	$1,001 - $15,000	N/A	NONE	
	Wisconsin Deferred Compensation Program	$15,001 - $50,000	n/a	NONE	
SP	Wisconsin Deferred Compensation Fund	$50,001 - $100,000	n/a	NONE	P
	Janus Growth and Income Fund --IRA	$15,001 - $50,000	n/a	NONE	
	Janus Twenty Fund	$1,001 - $15,000	n/a	NONE	

2283

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ronald James Kind

SP	Janus Growth and Income Fund	$1,001 - $15,000	n/a	NONE	P
DC	WI EdVest 529 Plan Fidelity	$1,001 - $15,000	n/a	NONE	P
DC	WI EdVest 529 Plan Fidelity	$1,001 - $15,000	n/a	NONE	P
DC	Janus Mercury Education IRA	$1 - $1,000	n/a	NONE	
DC	Putnam Mutual Fund	$1,001 - $15,000	n/a	NONE	
JT	Oakmart Equity and Income fund	$1,001 - $15,000	n/a	NONE	P
JT	Wells Fargo	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Oakmart Select Roth IRA	$1,001 - $15,000	n/a	NONE	P
DC	Janus Gobal Tech Mutual Fund	$1,001 - $15,000	n/a	NONE	
DC	Janus Twenty Fund -- Education IRA	$1 - $1,000	n/a	NONE	
DC	Mass Investors	$1,001 - $15,000	n/a	NONE	
DC	Mass Investors	$1,001 - $15,000	n/a	NONE	
JT	5 Buckhotz Road, Ettrick, WI	$250,001 - $500,000	RENT	$5,001 - $15,000	

SCHEDULE IV - TRANSACTIONS

Name Ronald James Kind

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Wisconsin Deferred Compensation Fund	P	12 monthly payments	$1,001 - $15,000
SP	Janus Growth and Income Fund	P	12 monthly payments	$1,001 - $15,000
DC	WI EdVest 529 Plan Fidelity	P	12 monthly payments	$1,001 - $15,000
DC	WI EdVest 529 Plan Fidelity	P	12 monthly payments	$1,001 - $15,000
JT	Oakmart Equity and Income fund	P	12 monthly payments	$1,001 - $15,000
	Oakmart Select Roth IRA	P	12 monthly payments	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Ronald James Kind

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Wells Fargo Bank	Mortgage on 219 Pearl Street, LaCrosse, WI	$50,001 - $100,000
JT	Wells Fargo Bank	Mortgage on 5 Buckholtz Road, Ettrick, WI	$100,001 - $250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Ronald James Kind

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Democratic Leadership Council	April 29-May 1	DC -- New Orleans -- DC	Y	Y	N	None
Dominion Exploration and Production	April 29	New Orleans -- Devil's Tower, off-shore oil rig -- New Orlease	N	Y	N	None
Faith and Politics Institute	March 4-6	DC -- Birmingham, AL -- Montgomery, AL -- Selma, AL -- DC	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Ronald James Kind

Report all positions, compensated or uncompensated, held *during the current calendar year* as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an *honorary nature; and positions listed on Schedule I.*

Position	Name of Organization
Board of Directors	Greater LaCrosse Boys and Girls Club

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

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U.S. HOUSE OF REPRESENTATIVES
MC

Peter T. King
(Full Name)

1442 Rotn Road
(Mailing Address)

Seaford, NY 11783

Daytime Telephone (202) 225-7896

Filer Status	☒ Member of the U.S. House of Representatives — State: ____ District: ____	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☒	No ☐	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		05/15/2006

2289

Name Peter T. King | Page 2 of 4

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D C	Speech	Feb. 2, 2005	$2,000
XYZ Magazine	Article	Aug. 13, 2005	$500
New York Post (written in 2004)	OP-ED Article	May 13, 2005 ~~[illegible]~~ donation received	$500

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2290

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Nassau County, New York	Spouse Pension	N/A
	State of New York	Pension	$38,422
	University Press of New York, Lanham, MD 20706	Book Royalties	$ 3,070

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Peter T. King

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples: Mega Corp Stock					X								X										X								P
DC	Simon & Schuster		Indefinite																	Royalties								X				
JT	1st Bank of Paducah, KY accounts						X									X										X						
JT	Chase Bank					X										X							X									
SP	New York State Pension				X																X											
JT	Nassau County Credit Union			X												X							X									

For additional assets and unearned income, use next page.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Notre Dame Law Association (Alumni Association of Notre Dame Law School)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2006 25-628 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

MAY 15 2006

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U.S. HOUSE OF REPRESENTATIVES
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MC

Steven Arnold King (Full Name)

PO Box 15619 (Mailing Address)

Washington, DC 20003

202-225-4426 Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: Iowa District: 5	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Steve King	5-15-'06

2294

Name Steve King | Page 2 of 3

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	No Reportable Earned Income	NA	

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
	XYZ Magazine	Article	Aug. 13, 2005	$500
	N/A			

This page may be copied if more space is required.

2296

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or *retirement plan* that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was *purchased* (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	II None	III $1 – $200	IV $201 – $1,000	V $1,001 – $2,500	VI $2,501 – $5,000	VII $5,001 – $15,000	VIII $15,001 – $50,000	IX $50,001 – $100,000	X $100,001 – $1,000,000	XI $1,000,001 – $5,000,000	Over $5,000,000	P, S, E
SP	Examples: Mega Corp. Stock					X								X									X									
DC	Examples: Simon & Schuster		Indefinite																	Royalties								X				P
JT	Examples: 1st Bank of Paducah, KY accounts						X									X									X							
	King Construction																X									X						
	MAINSTAY Corp. Bond Fund				X									X									X									
	Mainstay Corp. Bond Fund			X										X									X									
	Mainstay Total Return "B"			X										X								X										
	Mainstay Value Fund "B"			X										X									X									
	NY Life Ins Cash Value				X											X							X									

For additional assets and unearned income, use next page.

2297

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Steve King

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
SP	NYLife Ins Cash Value	C $1,001 – $15,000	INTEREST	III $201 – $1,000	
SP	Retirement Fund Ipers	D $15,001 – $50,000	INTEREST	III $201 – $1,000	
	Retirement Fund Ipers	D $15,001 – $50,000	INTEREST	III $201 – $1,000	
	~~Mannotay Value Cash~~				
SP	Mannotay high yield Fund	D $15,001 – $50,000	DIVIDENDS	IV $1,001 – $2,500	
	TSP	E $50,001 – $100,000	INTEREST	IV $1,001 – $2,500	

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2298

Name Steve King | Page 6 of 7

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
JT	United Bank of Iowa	R.E. Mortgage		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
N/A	N/A	

Use additional sheets if more space is required.

Name Steve King | Page 7 of 7

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Heritage Foundation	Feb 3-5	DC - Baltimore - DC	Y	Y	Y	None
	American Aust. Assn.	Feb 19-27	DC - Sydney - DC	Y	Y	Y	None
	Eagle Forum	Nov 23-25	DC - St Louis - DC	Y	Y	N	None

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2300

STEVE KING
5TH DISTRICT, IOWA

COMMITTEES:

JUDICIARY

AGRICULTURE

SMALL BUSINESS

Congress of the United States
House of Representatives
Washington, DC 20515-1505

June 7, 2006

The Clerk
U.S. House of Representatives
Legislative Resource Center
B106 Cannon House Office Building
Washington, D.C. 20515-6612

MC

Dear Madam Clerk:

I am writing to correct the Financial Disclosure Statement for Calendar Year 2005 that was mailed on May 15, 2006. On Schedule III, Column G should have been checked for King Construction.

Thank you for your attention to this matter. Please let me know if I can provide any additional information.

Sincerely,

Steve King

STEVE KING
Member of Congress

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2006 JUN -7 AM 9:39
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

COUNCIL BLUFFS OFFICE
40 PEARL STREET
COUNCIL BLUFFS, IA 51503
(712) 325-1404
FAX (712) 325-1406

CRESTON OFFICE
P.O. BOX 901
CRESTON, IA 50801
(641) 782-2495
FAX (641) 782-2497

SIOUX CITY OFFICE
526 NEBRASKA STREET
SIOUX CITY, IA 51101
(712) 224-4882
FAX (712) 224-4893

HTTP://WWW.HOUSE.GOV/STEVEKING/

SPENCER OFFICE
P.O. BOX 550
SPENCER, IA 51301
(712) 580-7754
FAX (712) 580-3354

STORM LAKE OFFICE
607 LAKE AVENUE
STORM LAKE, IA 50588
(712) 732-4187
FAX (712) 732-4217

WASHINGTON, D.C. OFFICE
1432 LONGWORTH
WASHINGTON, D.C. 20515
(202) 225-4426
FAX (202) 225-3193

UNITED STATES HOUSE OF REPRESENTATIVES — FORM A

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR ~~2004~~ 2005 — For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2006 JUN 15 PM 6:19
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

John H. Kingston
(Full Name)

2242 RHOB Washington, DC 20515
(Mailing Address)

202-225-5831
Daytime Telephone

Filer Status: ☑ Member of the U.S. House of Representative — State: GA — District: 01 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John H. Kingston	6/15/06

2302

EATSCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John H. Kingston

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period.* For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
5 Island Drive Savannah, GA	$100,001 - $250,000	RENT	$5,001 - $15,000	
105 18th Street Tybee, GA	$100,001 - $250,000	RENT	$2,501 - $5,000	
111 Jacquelyn Dr Savannah, GA	$100,001 - $250,000	RENT	$2,501 - $5,000	
Ferguson Ave. Savannah, GA	$15,001 - $50,000	RENT	$201 - $1,000	
Suntrust Bank Savannah, GA	$500,001 - $1,000,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$50,001 - $100,000	PS
Wachovia IRA	$50,001 - $100,000	CAPITAL GAINS	$5,001 - $15,000	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John H. Kingston

	Wachovia R/O IRA	$250,001 - $500,000		NONE	PS
JT	Wachovia CAP Money Market	$1,001 - $15,000		NONE	PS
	Wachovia Investment Account	$500,001 - $1,000,000		NONE	PS
DC	Wachovia Custodial John Kingston	$15,001 - $50,000		NONE	PS
DC	Wachovia Custodial Elizabeth Kingston	$1,001 - $15,000		NONE	PS
DC	Wachovia Custodial Ann Kingston	$15,001 - $50,000		NONE	PS
DC	Wachovia Custodial James Kingston	$15,001 - $50,000		NONE	PS
SP	Wachovia SP IRA	$15,001 - $50,000		NONE	PS
DC	Merrill Lynch John AMUL-GA	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	PS
JT	Merrill Lynch -Trustee	$100,001 - $250,000	INTEREST/DIVIDENDS	$5,001 - $15,000	PS
	Kingston Family Trust -- trustee	$500,001 - $1,000,000		NONE	PS

SCHEDULE IV - TRANSACTIONS

Name John H. Kingston

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Suntrust Bank Savannah, GA	PS		
	Wachovia IRA	PS		
	Wachovia R/O IRA	PS		
JT	Wachovia CAP Money Market	PS		
	Wachovia Investment Account	PS		
DC	Wachovia Custodial John Kingston	PS		
DC	Wachovia Custodial Elizabeth Kingston	PS		
DC	Wachovia Custodial Ann Kingston	PS		
DC	Wachovia Custodial James Kingston	PS		
SP	Wachovia SP IRA	PS		
DC	Merrill Lynch John AMUL-GA	PS		
JT	Merrill Lynch -Trustee	PS		

SCHEDULE IV - TRANSACTIONS

Name John H. Kingston

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Kingston Family Trust -- trustee	PS		
	see attached statements			

SCHEDULE VIII: TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John H. Kingston

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
House Leadership Year End Planning Session -- Congressional Institute	Dec 1-2 2005	St. Michaels, MD	Y	Y	N	n/a

SCHEDULE IX - AGREEMENTS

Name John H. Kingston

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1/5/93	State of GA and John H. Kingston	Health Plan

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2006 MAY 12 PM 4:00

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

Mark Steven Kirk
(Full Name)

275 Whittier Road
Highland Park Illinois 60035
(Mailing Address)

(202) 225-4835
Daytime Telephone

Filer Status	☒ Member of the U.S. House of Representatives — State: Illinois District: 10	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mark Kirk	May 15, 2006

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Mark Steven Kirk | Page 2 of 7

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP Examples	Mega Corp Stock					X								X										X								P
DC Examples	Simon & Schuster		Indefinite																	Royalties									X			
JT Examples	1st Bank of Paducah, KY accounts						X									X										X						
	FIDELITY PURITAN FUND				X									X			X				N/A											
	NW MUTUAL LIFE				X									X			X				N/A											
	FIDELITY GROWTH			X										X			X						X									
	MET LIFE			X										X			X						X									
SP	NEWFIELD BANK						X							X			X									X						
SP	KAUFMAN FUND				X									X			X							X								

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Mark Steven Kirk

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	5292 Mt. Vernon Ave. Alex. Va							X							X											X						
	Schwab Index Fund			X										X			X						X									P

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2311

Name Mark Steven Kirk | Page 4 of 7

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
	SCHWAB INDEX FUND - S&P 500 INDEX	X			Monthly	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	GMAC	Mortgage on 3298 Mt. Vernon Ave.				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	U.S. Cmte for UNFPA	Feb 20-25	D.C. - Mexico City - DC	Y	Y	N	None
	Friends of Israeli Def. Forces	Aug 8-12	DC - Tel Aviv - DC	Y	Y	Y	None

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD MEMBER	POPULATION RESOURCE CENTER (NO COMPENSATION)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2006 25-628 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2006 MAY 15 AM 11:37 7
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

MC

Mark Steven Kirk
(Full Name)

275 Whittier Road
(Mailing Address)

(202) 225-4835
Daytime Telephone

Highland Park Illinois 60035

Filer Status	[X] Member of the U.S. House of Representatives — State: Illinois District: 10	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mark Kirk	May 15, 2006

2316

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X										X								P
DC, JT	Examples: Simon & Schuster			Indefinite																Royalties								X				
JT	1st Bank of Paducah, KY accounts						X									X										X						
	Fidelity Cotta Fund				X									X			X					N/A										
	NW Mutual Life				X									X			X					N/A										
	Fidelity Growth			X										X			X							X								
	MET Life			X										X			X							X								
SP	New Field Bank						X							X			X									X						
SP	Kaufman Fund				X									X			X								X							

For additional assets and unearned income, use next page.

2317

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Mack Scruggs Kirk

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	5292 Mt. Vernon Ave. Alex. Va							X							X											X						
	Edward Jones Fund			X										X			X						X									P

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Mark Steven Kirk

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example:*	Mega Corporation Common Stock	X			10–12–05		X								
	SCHWAB INDEX FUND - S&P 500 INDEX		X			Monthly	X									

This page may be copied if more space is required.

Name Mark Steven Kirk

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	GMAC	Mortgage on 3292 Mt. Vernon Ave.				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required

2320

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	U.S. Cmte for UNFPA	Feb 20-25	DC - Mexico City - DC	Y	Y	N	None
	Friends of Israeli Def. Forces	Aug 8-12	DC - Tel Aviv - DC	Y	Y	Y	None

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Population Research Center (No Compensation)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

5

LEGISLATIVE RESOURCE CENTER
2006 MAY 15 PM 3:40
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

John Paul Kline Jr.
(Full Name)

10085 170th St. W.
(Mailing Address)

202-225-2271
Daytime Telephone

Lakeville, MN 55044

Filer Status	☑ Member of the U.S. House of Representatives — State: MN District: 2	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☑
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C app 4, § 104 and 18 U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John Kline	5/15/06

2323

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	SP U.S. Government (DFAS)	Military Retirement	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transactio

Indicate if asset was purchased (P), sold (S) or exchanged (E) in reporting year

	BLOCK A		BLOCK B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	BLOCK D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E: P S E
SP	Examples:	SP	Mega Corp Stock				X								X										X								P
DC			Simon & Schuster	Indefinite																	Royalties									X			
JT			1st Bank of Paducah, KY accounts					X									X									X							
JT	Premier Alpha Unit				X										X									X									
JT	AIM Int'l Global Grth				X										X								X										
JT	Diametrics Medical			X																	NA	X											
JT	Stellent			X																	NA	X											
JT	Franklin Valve				X										X								X										
JT	Fidelity Fixed Income				X												X						X										

For additional assets and unearned income, use next page.

2325

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John Kl...

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transactio P S E
	LPL PREMIER MONEY MARKET			X										X								X										
	PFIZER			X										X								X										
	Selected AMERICAN SHARE				X									X								X										
	AMERICAN EXPRESS ANNUITY			X												X								X								
	SUNRISE MEDICAL GROUP		X																	NA	X											
SP	LPL PREMIER MONEY MARKET			X										X								X										
SP	GROWTH FUND OF AMERICA			X										X										X								
SP	AIM Intl Glbl Growth			X										X										X								
SP	Harbor Capital FUND			X										X								X										
SP	Pioneer High Yield				X									X		X								X								
SP	Third Ave Value			X										X										X								
SP	Tweedy BROWNE FUND			X										X										X								
SP	Sontra Medical Corp		X																	NA	X											
SP	ADVANTAGE INDEX ANNUITY					X														NA	X											
SP	LINCOLN ANNUITY			X																NA	X											
SP	SHELDON FAMILY FARM 534 ACRES, HOUSTON CTY, MN						X								X									X								

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received b you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, an the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directl by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under th Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided t a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not a sponsor's expense
Examples.	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
1	The Heritage Foundation	Feb 3-5, 05	Wash, DC - Baltimore, MD - Wash, DC	Y	Y	N	None
2	Aspen Institute, Ford Foundation, McArthur Foundation, Rockefeller Brothers Fund, Pew Charitable Trusts, Mellon Foundation, Rockefeller Foundation	May 30 - June 5, 05	Minneapolis, MN - Istanbul, Turkey - Minneapolis, MN	Y	Y	Y	None

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2006 MAY 12 PM 12:25

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

Joseph K. Knollenberg
(Full Name)

1130 Park Place Court
Bloomfield Hills, MI 48302
(Mailing Address)

Daytime Telephone
202-225-5802

Filer Status	[X] Member of the U.S. House of Representatives	State: Michigan District: 9th	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5.11.06

2328

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP	Mega Corp. Stock	F	DIVIDENDS	IV	P
DC	Simon & Schuster	Indefinite	Other Type of Income: Royalties	IX	
JT	1st Bank of Paducah, KY accounts	F	INTEREST	VI	
	The PrivateBank Bloomfield Hills, MI	F	INTEREST	V	
	Congressional Fed C.U. Washington, DC	C	Non Interest Bearing Account	I	
	Farm-Thru Helen K. Knollenberg Trust Matoon, IL	C	Farm Interest	IV	
	Research-Electro Optics Boulder, CO (7680 Shs)	F	DIVIDENDS	I	

For additional assets and unearned income, use next page.

2329

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Joseph K. Knollenberg

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Mutual Fund held through Fidelity Brokerage Services, LLC				
	Boston, MA (See Attached YE Stmts)	F	DIVIDENDS; CAPITAL GAINS	VI; VII	*
	(Broker uses National Financial Services, LLC to report activity/income)				
	Securities held through UBS Financial Services, Inc. Retirement				
	Wilson Kemp & Assoc Inc. Investment Counsel Birmingham, MI				
	(See Attached YE Stmts)	I	DIVIDENDS; INTEREST; CAPITAL GAINS	VII	P, S
	Lower Level Rental 304 N. Carolina Washington, DC	F	RENT	VII	
SP	Securities held through Linsco/Private Ledger 9785 Town Centre Drive San Diego, CA				
	(See Attached YE Stmts)	E	DIVIDENDS; CAPITAL GAINS	V	**

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*Additional purchases through dividend reinvestment

**Dividends are reinvested throughout the year as dividends and capital gains are received.

SCHEDULE IV — TRANSACTIONS

Name Joseph K. Knollenberg

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
	Activity through Fidelity Brokerage Services, LLC-Dividends Reinvested	X			* *	X									
	Activity through UBS Financial Services Retirement														
	Wilson, Kemp & Assoc, Inc. Investment Counsel	X			Various				X						
			X		Various			X							
SP	Activity through Linsco/Private Ledger -Dividends Reinvested	X			* *	X									
	(SEE YEAR-END STATEMENT FOR ALL ABOVE TRANSACTIONS)														

This page may be copied if more space is required.

** Dividends are reinvested throughout the year as dividends and capital gains are received.

2331

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	PrivateBank Mortgage Company Bloomfield Hills, MI	Current Mortgage Rental Unit				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

Fidelity INVESTMENTS

Brokerage Name and Address:
FIDELITY BROKERAGE SERVICES LLC
82 DEVONSHIRE STREET
BOSTON, MA 02109

2005 Tax Reporting Statement

Account No.	Taxpayer ID	Page
		1 of 2

Payer's Name and Address:
NATIONAL FINANCIAL SERVICES LLC
200 LIBERTY ST. 5TH FLR
NEW YORK, NY 10281-5500

0055053 02 AV 0.530 **AUTO T8 0 0626 48302-146030
R A 01
JOSEPH K KNOLLENBERG
JOSEPH K KNOLLENBERG LVG TRUST
1130 PARK PLACE CT
BLOOMFIELD WI 48302-1459

Federal ID Number: 04-3523567

Customer Service: 800-544-6666
Visit Us Online: Fidelity.com

D0003

Form 1099-DIV * — 2005 Dividends and Distributions

OMB NO. 1545-0110
Copy B for Recipient

	Amount
1a Total Ordinary Dividends	2,887.61
1b Qualified Dividends	2,026.72
2a Total Capital Gain Distributions (Includes 2b - 2d)	4,832.63
2b Capital Gains that represent Unrecaptured Section 1250 Gain	0.00
2c Capital Gains that represent Section 1202 Gain	0.00
2d Capital Gains that represent Collectibles (28%) Gain	0.00
3 Nondividend Distributions	0.00
4 Federal Income Tax Withheld	**0.00**
5 Investment Expenses	0.00
6 Foreign Tax Paid	0.00
7 Foreign Country or U.S. Possession	
8 Cash Liquidation Distributions	0.00
9 Non-Cash Liquidation Distributions	0.00

Form 1099-INT * — 2005 Interest Income

OMB NO. 1545-0112
Copy B for Recipient

	Amount
1 Interest Income not Included in Line 3	0.00
2 Early Withdrawal Penalty	0.00
3 Interest on U.S. Savings Bonds and Treas. Obligations	0.00
4 Federal Income Tax Withheld	**0.00**
5 Investment Expenses	0.00
6 Foreign Tax Paid	0.00
7 Foreign Country or U.S. Possession	

Form 1099-MISC * — 2005 Miscellaneous Income

OMB NO. 1545-0115
Copy B for Recipient

	Amount
2 Royalties	0.00
4 Federal Income Tax Withheld	**0.00**
8 Substitute Payments in Lieu of Dividends or Interest	0.00

Summary of 2005 Proceeds From Broker and Barter Exchange Transactions

	Amount
Gross Proceeds Less Commissions	0.00†
Federal Income Tax Withheld	**0.00†**

†*Gross Proceeds from each of your security transactions are reported individually to the IRS. Refer to the Form 1099-B section of this statement. Report gross proceeds individually for each security on the appropriate IRS tax return. Do not report gross proceeds in aggregate.*

Summary of 2005 Original Issue Discount

	Amount
Total Original Issue Discount	0.00‡
Total Original Issue Discount on U.S. Treasury Obligations	0.00‡

‡*Original Issue Discount (OID) amounts are reported individually to the IRS. Refer to the Original Issue Discount detail section of this statement.*

*This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Please see the enclosed important instructions.

2005 Tax Reporting Statement

Account No.	Taxpayer ID	Page
		2 of 2

Payer's Name and Address:
NATIONAL FINANCIAL SERVICES LLC
200 LIBERTY ST. 5TH FLR
NEW YORK, NY 10281-5500

Federal ID Number: 04-3523567

JOSEPH K KNOLLENBERG
JOSEPH K KNOLLENBERG LVG TRUST
1130 PARK PLACE CT
BLOOMFIELD MI 48302-1459

Fidelity INVESTMENTS

Brokerage Name and Address:
FIDELITY BROKERAGE SERVICES LLC
82 DEVONSHIRE STREET
BOSTON, MA 02109

Customer Service: 800-544-6666
Visit Us Online: fidelity.com

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*This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Please see the enclosed important instructions.

Fidelity INVESTMENTS

2005 Supplemental Information		
Account Number	Taxpayer ID	Page
		1 of 4

JOSEPH K KNOLLENBERG
JOSEPH K KNOLLENBERG LVG TRUST
1130 PARK PLACE CT
BLOOMFIELD MI 48302-1459

Customer Service: 800-544-6666
Visit Us Online: fidelity.com

Detail Information **1099-DIV — Dividends and Distributions**

Description	CUSIP	Date	Amount	Total
This detail information is not reported to the IRS. It is provided for your assistance in tax return preparation.				
Total Ordinary Dividends - LINE 1a (Includes 1b)				
Dividend Distributions				
FIDELITY HIGH INCOME	316146406	01/31/05	66.45	
		02/28/05	60.15	
		03/31/05	68.71	
		04/29/05	66.62	
		05/31/05	68.14	
		06/30/05	66.44	
		07/29/05	69.41	
		08/31/05	69.24	
		09/30/05	67.49	
		10/31/05	72.22	
		11/30/05	68.26	
		12/30/05	117.76	860.89
		Total Dividend Distributions		860.89
Qualified Dividends - LINE 1b				
FIDELITY EQUITY INCOME II	316145101	01/07/05	37.02	
		04/01/05	149.29	
		07/01/05	149.81	
		10/07/05	169.11	
		12/16/05	169.76	
		12/16/05	18.86	693.85
FIDELITY FUND	316153105	04/01/05	164.14	
		07/01/05	146.35	
		10/07/05	91.71	
		12/16/05	128.61	530.81
VANGUARD WINDSOR II	922018205	06/24/05	381.03	
		12/16/05	421.03	802.06
		Total Qualified Dividend Distributions		2,026.72
		Total Ordinary Dividends - LINE 1a (Includes 1b)		2,887.61 #

Short-term capital gain distributions reported on account statements are included in "Total Ordinary Dividends" on Form 1099-DIV. Such gains may also be included in "Qualified Dividends" on Form 1099-DIV if they may be taxed as qualified dividends. As a result, the reporting of short-term capital gain distributions on account statements and on Form 1099-DIV may not match.

2005 Supplemental Information		
Account No.	Taxpayer ID	Page
		2 of 4

Fidelity INVESTMENTS

JOSEPH K KNOLLENBERG
JOSEPH K KNOLLENBERG LVG TRUST
1130 PARK PLACE CT
BLOOMFIELD MI 48302-1459

Customer Service: 800-544-6666
Visit Us Online: Fidelity.com

Detail Information **1099-DIV — Dividends and Distributions**

Description	CUSIP	Date	Amount	Total

This detail information is not reported to the IRS. It is provided for your assistance in tax return preparation.

Capital Gain Distributions Subject to 15% Rate Gain

The portion of Cap. Gain Dists. subject to 15% Rate Gain is equal to Line 2a less amounts shown on lines 2b - 2d.

FIDELITY EQUITY INCOME II	316145101	01/07/05	314.67	
		12/16/05	3,225.47	3,540.14
FIDELITY MI MUNI MONEY MARKET	316201508	11/25/05	0.29	0.29
FIDELITY MICHIGAN MUNICIPAL INCOME	316412204	02/04/05	70.08	
		12/09/05	165.95	236.03
VANGUARD WINDSOR II	922018205	12/16/05	1,056.17	1,056.17
Total Capital Gain Distributions Subject to 15% Rate Gain				4,832.63
Total Long-Term Capital Gain Distributions - LINE 2a (Includes 2b - 2d)				4,832.63

Fidelity INVESTMENTS

2005 Supplemental Information		
Account No.	Taxpayer ID	Page
		3 of 4

JOSEPH K KNOLLENBERG
JOSEPH K KNOLLENBERG LVG TRUST
1130 PARK PLACE CT
BLOOMFIELD MI 48302-1459

Customer Service: 800-544-6666
Visit Us Online: Fidelity.com

Other Information For Tax Return Preparation

Amount

The information on this and the following pages is not reported to the IRS. It is provided for your assistance in tax return preparation.

Tax-Exempt Dividends and Interest	1,018.45
Margin Interest Paid	0.00
Return of Principal	0.00
Accrued Interest Paid on Purchases	0.00
Short-Term Realized Gain/Loss	0.00
Long-Term Realized Gain/Loss	0.00

Detail Information — Tax-Exempt Dividends and Interest

This information is not reported to the IRS. It is provided for your assistance in tax return preparation.

Description	CUSIP	Date	Tax-Exempt Amount	Total
Tax-Exempt Dividends				
FIDELITY MI MUNI MONEY MARKET	316201508	01/31/05	3.46	
		02/28/05	3.11	
		03/31/05	3.55	
		04/29/05	4.68	
		05/31/05	5.91	
		06/30/05	4.64	
		07/29/05	4.48	
		08/31/05	4.86	
		09/30/05	4.92	
		10/31/05	5.43	
		11/30/05	5.80	
		12/30/05	6.49	57.33 ‡‡
FIDELITY MICHIGAN MUNICIPAL INCOME	316412204	01/31/05	81.73	
		02/28/05	73.29	
		03/31/05	81.00	
		04/29/05	78.53	
		05/31/05	80.79	
		06/30/05	78.40	
		07/29/05	81.67	
		08/31/05	81.76	
		09/30/05	78.00	
		10/31/05	82.06	
		11/30/05	80.16	

2005 Supplemental Information		
Account No.	Taxpayer ID	Page
		4 of 4

Fidelity INVESTMENTS

JOSEPH K KNOLLENBERG
JOSEPH K KNOLLENBERG LVG TRUST
1130 PARK PLACE CT
BLOOMFIELD MI 48302-1459

Customer Service: 800-544-6666
Visit Us Online: Fidelity.com

Detail Information — Tax-Exempt Dividends and Interest

Description	CUSIP	Date	Tax-Exempt Amount	Total
This information is not reported to the IRS. It is provided for your assistance in tax return preparation.				
Tax-Exempt Dividends				
FIDELITY MICHIGAN MUNICIPAL INCOME	316412204	12/30/05	83.73	961.12 ‡‡
			Total Tax-Exempt Dividends	1,018.45
			Total Tax-Exempt Dividends and Interest	1,018.45

‡‡ - See additional details in Tax-Exempt Income from Fidelity Funds section which follows.

Detail Information — Tax-Exempt Income from Fidelity Funds

Description / CUSIP	Federal Tax-Exempt Dividends	State/Local Tax-Exempt Dividends	State/Local Taxable Dividends	Federal Alternative Minimum Taxable Dividends
This information is not reported to the IRS. It is provided for your assistance in tax return preparation.				
FIDELITY MI MUNI MONEY MARKET / 316201508	57.33	56.90	0.43	16.49
FIDELITY MICHIGAN MUNICIPAL INCOME / 316412204	961.12	961.12	0.00	20.19
Totals	1,018.45	1,018.02	0.43	36.68

Fidelity INVESTMENTS

FIDELITY **PREFERRED RETIREMENT** SERVICES℠

Envelope 235136048

JOSEPH K KNOLLENBERG
JOSEPH K KNOLLENBERG LVG TRUST
1130 PARK PLACE CT
BLOOMFIELD MI 48302-1459

2005 Investment Report

January 1, 2005 - December 31, 2005

Online	Fidelity.com
FAST(sm)-Automated Telephone	800-544-5555
Preferred Retirement Services	800-544-6565

Your Asset Allocation on Dec 31, 2005

Stock	78%
Bond	20%
Short-term	2%

▶ This Investment Report summarizes activity in your Fidelity accounts for the past year. We hope you find it helpful, however,keep in mind, it is not intended for tax reporting purposes. To assist you with completing your tax returns, we mail a Tax Reporting Statement in late January. The supplemental information section of that Statement contains;such items as realized gain/loss information, cost basis information, and tax-exempt income from Fidelity Funds. Your IRA summary statement and certain other tax forms, such as Form 1099-R and Form 5498, are mailed under separate cover.

Fidelity Account℠

JOSEPH K KNOLLENBERG LVG TRUST U/A 06/25/71 JOSEPH K KNOLLENBERG TRUSTEE

2005 Account Summary

Beginning value as of Jan 1	$176,045.52
Withdrawals	-1,000.00
Change in investment value	9,854.09
Ending value as of Dec 31	**$184,899.61**
Your commission schedule	Silver
Account eligible trades from Jan 2005 - Dec 2005	0

Income Summary

Taxable	
Ordinary Dividends	
Dividends	$2,887.61
Lt cap gain	4,832.63
Tax-exempt	
Dividends	1,018.45
Total	**$8,738.69**

2339

Fidelity INVESTMENTS

FIDELITY **PREFERRED RETIREMENT** SERVICES℠

2005 Investment Report

January 1, 2005 - December 31, 2005

Fidelity Account℠

JOSEPH K KNOLLENBERG LVG TRUST U/A 06/25/71 JOSEPH K KNOLLENBERG TRUSTEE

Holdings (Symbol) as of 12/31	% of Holdings	Performance December 31, 2005	Income Earned	Quantity	Price per Unit	Total Cost Basis	Total Value
Stock Funds							
M FIDELITY EQUITY INCOME II (FEQTX)	25%		$4,233.99	2,034.100	$22.860	$48,146.99	$46,499.53
M FIDELITY FUND (FFIDX)	32%		530.81	1,841.362	31.820	49,344.79	58,592.14
M VANGUARD WINDSOR II (VWNFX)	21%		1,858.23	1,249.781	31.330	30,361.04	39,155.63
Bond Funds							
M FIDELITY HIGH INCOME (SPHIX)	7%	*30-day yield: 6.75%*	860.89	1,469.057	8.780	16,244.41	12,898.32
M FIDELITY MICHIGAN MUNICIPAL INCOME (FMHTX)	13%	*30-day yield: 3.59%*	1,197.15	2,095.512	11.840	23,919.16	24,810.86
Core Account							
FIDELITY MI MUNI MONEY MARKET (FMIXX)	2%	*7-day yield: 2.88%*	57.62	2,943.130	1.000	not applicable	2,943.13
Total Market Value as of December 31, 2005							**$184,899.61**
2005 Income Earned			**$ 8,738.69**				

All positions held in cash account unless indicated otherwise.
M - Position held in margin account.

Transaction Details of Core Account

Core Account *- Fidelity MI Muni Money Market*

Description	Amount	Balance
Beginning		**$3,885.51**
Investment Activity		
Securities bought	-$8,681.07	
Core account income	57.33	
Income	8,681.36	
Subtotal of Investment Activity	$57.62	
Cash Management Activity		
Checking activity	-1,000.00	
Subtotal of Cash Management Activity	- $1,000.00	
Ending		**$2,943.13**

2340

Wilson, Kemp & Associates Inc.
PORTFOLIO SUMMARY
JOSEPH K. KNOLLENBERG, IRA ROLLOVER
A/C #1
December 31, 2005

Security Type	Total Cost	Market Value	Pct Assets	Est. Annual Income
Equities				
Common Stock				
Cyclical Industries	144,951	288,731	27.17	5,744
Finance	14,394	39,718	3.74	756
Science & Technology	182,148	198,742	18.70	3,034
Service & Consumer	177,487	228,784	21.53	4,544
Utilities	52,600	64,462	6.07	1,680
	571,580	820,438	77.20	15,759
	571,580	820,438	77.20	15,759
Bonds				
Government Bonds				
Bonds - Government	201,017	198,281	18.66	9,692
	201,017	198,281	18.66	9,692
Accrued Interest		2,805	0.26	
	201,017	201,086	18.92	9,692
Cash Equivalents				
Cash	41,170	41,170	3.87	1,799
	41,170	41,170	3.87	1,799
TOTAL PORTFOLIO	**813,767**	**1,062,694**	**100.00**	**27,250**

Wilson, Kemp & Associates Inc.
PORTFOLIO APPRAISAL
JOSEPH K. KNOLLENBERG, IRA ROLLOVER
A/C #1
December 31, 2005

Quantity	Security	Total Cost	Market Value	Annual Income
Common Stock				
Cyclical Industries				
500	Emerson Electric Company	24,927	37,350	890
1,000	Engelhard Corporation	21,245	30,150	480
450	Kimberly Clark Corp.	21,302	26,842	882
1,000	Marathon Oil Corp.	22,455	60,970	1,320
900	Plum Creek Timber Co. LP	20,593	32,445	1,440
1,000	Rockwell Automation Inc	14,233	59,160	660
600	Transocean Inc.	20,196	41,814	72
		144,951	288,731	5,744
Finance				
700	Morgan Stanley	14,394	39,718	756
Science & Technology				
1,000	Intel Corporation	19,492	24,960	400
400	International Business Machines	38,484	32,880	288
600	Johnson & Johnson	20,755	36,060	684
500	Medtronic Inc.	26,060	28,785	192
500	Merck & Company	29,753	15,905	760
1,000	Microsoft Corporation	29,955	26,150	360
350	Schlumberger Ltd.	17,650	34,002	350
		182,148	198,742	3,034
Service & Consumer				
700	Cintas Corporation	28,179	28,791	245
700	Costco Corporation	20,042	34,629	280
1,000	Disney (Walt) Company	20,842	23,970	270
1,125	Paychex Inc.	20,914	42,885	720
600	Pepsico, Incorporated	19,724	35,448	624
1,100	Sara Lee Corporation	21,707	20,790	869
2,100	ServiceMaster Company	20,690	25,095	924
800	Vodafone Group PLC	25,388	17,176	612
		177,487	228,784	4,544
Utilities				
700	CINergy Corp.	27,275	29,722	438

Wilson, Kemp & Associates Inc.

PORTFOLIO APPRAISAL

JOSEPH K. KNOLLENBERG. IRA ROLLOVER

A/C #

December 31, 2005

Quantity	Security	Total Cost	Market Value	Annual Income
450	Dominion Resources	25,325	34,740	1,242
		52,600	64,462	1,680
Total Common Stock		571,580	820,438	15,759
Government Bonds				
Bonds - Government				
50,000	U.S. Treasury Notes 7.000% Due 07-15-06	50,517	50,656	3,500
50,000	Federal Home Loan Banks 3.060% Due 09-28-07	50,000	48,625	1,530
50,000	Federal Farm Cr Bks Cons 4.700% Due 10-06-08	50,500	49,656	2,350
50,000	Federal Home Loan Bank 4.625% Due 11-24-09	50,000	49,344	2,312
		201,017	198,281	9,692
	Accrued Interest		2,805	
Total Government Bonds		201,017	201,086	9,692
Cash				
	Cash & Equivalents	41,170	41,170	1,799
TOTAL PORTFOLIO		**813,767**	**1,062,694**	**27,250**

Wilson, Kemp & Associates Inc.

PURCHASE AND SALE

JOSEPH K. KNOLLENBERG, IRA ROLLOVER

A/C #1

From 01-01-05 To 12-31-05

Trade Date	Settle Date	Quantity	Security	Unit Price	Amount
PURCHASES					
05-12-05	05-16-05	50,000	Federal Farm Cr Bks Cons 4.700% Due 10-06-08	101.00	50,500
06-22-05	06-27-05	50	International Business Machines	78.20	3,910
06-22-05	06-27-05	500	Medtronic Inc.	52.12	26,060
10-24-05	10-27-05	700	Cintas Corporation	40.26	28,179
					108,650
SALES					
02-07-05	02-10-05	500	Rockwell Automation Inc	59.17	29,584
04-21-05	04-26-05	13	Neenah Paper Inc.	27.51	358
06-22-05	06-27-05	1,000	Honeywell Int'l Inc.	37.80	37,795
					67,737

Wilson, Kemp & Associates Inc.

REALIZED GAINS AND LOSSES

JOSEPH K. KNOLLENBERG. IRA ROLLOVER

A/C #1

From 12-31-04 Through 12-31-05

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
10-30-01	02-07-05	500	Rockwell Automation Inc	7,116	29,584		22,467
09-18-97	04-21-05	10	Neenah Paper Inc.	249	274		25
05-15-98	04-21-05	3	Neenah Paper Inc.	82	83		2
10-18-02	06-22-05	1,000	Honeywell Int'l Inc.	22,255	37,795		15,540
TOTAL GAINS						0	38,034
TOTAL LOSSES						0	0
				29,703	67,737	0	38,034

TOTAL REALIZED GAIN/LOSS 38,034

Wilson, Kemp & Associates Inc.

INCOME AND EXPENSES

JOSEPH K. KNOLLENBERG, IRA ROLLOVER

A/C #1

From 01-01-05 Through 12-31-05

Ex-Date	Pay-Date	Security	Amount
Common Stock			
01-03-05	01-03-05	Merck & Company	190
01-03-05	01-03-05	Pepsico, Incorporated	138
01-03-05	01-03-05	Sara Lee Corporation	217
01-04-05	01-04-05	Kimberly Clark Corp	180
01-06-05	01-06-05	Disney (Walt) Company	240
01-07-05	01-07-05	Schlumberger Ltd.	66
01-31-05	01-31-05	Morgan Stanley	189
02-04-05	02-04-05	Vodafone Group PLC	285
02-15-05	02-15-05	CINergy Corp.	336
02-15-05	02-15-05	Paychex Inc.	146
02-25-05	02-25-05	Costco Corporation	70
02-28-05	02-28-05	ServiceMaster Company	231
03-01-05	03-01-05	Intel Corporation	80
03-02-05	03-02-05	Neenah Paper Inc.	1
03-07-05	03-07-05	Rockwell Automation Inc	165
03-08-05	03-08-05	Johnson & Johnson	171
03-08-05	03-08-05	Plum Creek Timber Co. LP	342
03-10-05	03-10-05	Emerson Electric Company	207
03-10-05	03-10-05	Honeywell Int'l Inc.	206
03-10-05	03-10-05	International Business Machines	63
03-10-05	03-10-05	Marathon Oil Corp.	280
03-10-05	03-10-05	Microsoft Corporation	80
03-20-05	03-20-05	Dominion Resources	301
03-31-05	03-31-05	Engelhard Corporation	120
03-31-05	03-31-05	Pepsico, Incorporated	138
04-01-05	04-01-05	Merck & Company	190
04-01-05	04-01-05	Sara Lee Corporation	217
04-04-05	04-04-05	Kimberly Clark Corp.	202
04-08-05	04-08-05	Schlumberger Ltd.	73
04-29-05	04-29-05	Morgan Stanley	189
05-15-05	05-15-05	CINergy Corp.	336
05-16-05	05-16-05	Paychex Inc.	146
05-27-05	05-27-05	Costco Corporation	80
05-31-05	05-31-05	ServiceMaster Company	231
06-01-05	06-01-05	Intel Corporation	80
06-03-05	06-03-05	Plum Creek Timber Co. LP	342
06-06-05	06-06-05	Rockwell Automation Inc	225

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Wilson, Kemp & Associates Inc.

INCOME AND EXPENSES

JOSEPH K. KNOLLENBERG IRA ROLLOVER

A/C #

From 01-01-05 Through 12-31-05

Ex-Date	Pay-Date	Security	Amount
06-07-05	06-07-05	Johnson & Johnson	198
06-09-05	06-09-05	Microsoft Corporation	80
06-10-05	06-10-05	Emerson Electric Company	207
06-10-05	06-10-05	Honeywell Int'l Inc.	206
06-10-05	06-10-05	International Business Machines	70
06-10-05	06-10-05	Marathon Oil Corp.	280
06-20-05	06-20-05	Dominion Resources	301
06-30-05	06-30-05	Engelhard Corporation	120
06-30-05	06-30-05	Pepsico, Incorporated	156
07-01-05	07-01-05	Merck & Company	190
07-05-05	07-05-05	Kimberly Clark Corp.	202
07-08-05	07-08-05	Schlumberger Ltd.	73
07-08-05	07-08-05	Sara Lee Corporation	217
07-29-05	07-29-05	Medtronic Inc.	48
07-29-05	07-29-05	Morgan Stanley	189
08-05-05	08-05-05	Vodafone Group PLC	302
08-15-05	08-15-05	CINergy Corp.	336
08-15-05	08-15-05	Paychex Inc.	146
08-26-05	08-26-05	Costco Corporation	80
08-31-05	08-31-05	Plum Creek Timber Co. LP	342
08-31-05	08-31-05	ServiceMaster Company	231
09-01-05	09-01-05	Intel Corporation	80
09-06-05	09-06-05	Rockwell Automation Inc	225
09-08-05	09-08-05	Microsoft Corporation	80
09-09-05	09-09-05	Emerson Electric Company	207
09-10-05	09-10-05	International Business Machines	80
09-12-05	09-12-05	Marathon Oil Corp.	330
09-13-05	09-13-05	Johnson & Johnson	198
09-20-05	09-20-05	Dominion Resources	301
09-30-05	09-30-05	Engelhard Corporation	120
09-30-05	09-30-05	Pepsico, Incorporated	156
10-03-05	10-03-05	Merck & Company	190
10-04-05	10-04-05	Kimberly Clark Corp.	202
10-07-05	10-07-05	Schlumberger Ltd.	73
10-07-05	10-07-05	Sara Lee Corporation	217
10-28-05	10-28-05	Medtronic Inc.	48
10-31-05	10-31-05	Morgan Stanley	189
11-15-05	11-15-05	CINergy Corp.	336

Wilson, Kemp & Associates Inc.

INCOME AND EXPENSES

JOSEPH K. KNOLLENBERG, IRA ROLLOVER

A/C #1

From 01-01-05 Through 12-31-05

Ex-Date	Pay-Date	Security	Amount
11-15-05	11-15-05	Paychex Inc.	180
11-30-05	11-30-05	Plum Creek Timber Co. LP	342
11-30-05	11-30-05	ServiceMaster Company	231
12-01-05	12-01-05	Intel Corporation	80
12-02-05	12-02-05	Costco Corporation	80
12-05-05	12-05-05	Rockwell Automation Inc	225
12-08-05	12-08-05	Microsoft Corporation	80
12-09-05	12-09-05	Emerson Electric Company	222
12-10-05	12-10-05	International Business Machines	80
12-12-05	12-12-05	Marathon Oil Corp.	330
12-13-05	12-13-05	Johnson & Johnson	198
12-20-05	12-20-05	Dominion Resources	301
12-30-05	12-30-05	Engelhard Corporation	120
			15,240
Government Bonds			
01-15-05	01-15-05	U.S. Treasury Notes 7.000% Due 07-15-06	1,750
03-28-05	03-28-05	Federal Home Loan Banks 3.060% Due 09-28-07	765
05-12-05	05-16-05	Federal Farm Cr Bks Cons 4.700% Due 10-06-08	-261
05-24-05	05-24-05	Federal Home Loan Bank 4.625% Due 11-24-09	1,156
07-15-05	07-15-05	U.S. Treasury Notes 7.000% Due 07-15-06	1,750
09-28-05	09-28-05	Federal Home Loan Banks 3.060% Due 09-28-07	765
10-06-05	10-06-05	Federal Farm Cr Bks Cons 4.700% Due 10-06-08	1,175
11-24-05	11-24-05	Federal Home Loan Bank 4.625% Due 11-24-09	1,156
			8,256
Cash			
01-03-05	01-03-05	Cash & Equivalents	21
01-25-05	01-25-05	Cash & Equivalents	108
02-22-05	02-22-05	Cash & Equivalents	157

2348

Wilson, Kemp & Associates Inc.

INCOME AND EXPENSES

JOSEPH K. KNOLLENBERG, IRA ROLLOVER

A/C #:

From 01-01-05 Through 12-31-05

Ex-Date	Pay-Date	Security	Amount
03-24-05	03-24-05	Cash & Equivalents	213
04-25-05	04-25-05	Cash & Equivalents	212
05-24-05	05-24-05	Cash & Equivalents	168
06-24-05	06-24-05	Cash & Equivalents	113
07-25-05	07-25-05	Cash & Equivalents	137
08-25-05	08-25-05	Cash & Equivalents	152
09-26-05	09-26-05	Cash & Equivalents	158
10-25-05	10-25-05	Cash & Equivalents	163
11-23-05	11-23-05	Cash & Equivalents	113
12-23-05	12-23-05	Cash & Equivalents	123
			1,837
Expense Accounts			
04-04-05	04-04-05	Bank Fee	25
09-06-05	09-06-05	Bank Fee	40
			65
After Fee Performance Expense Accounts			
05-27-05	05-27-05	Wilson, Kemp & Associates Fee	4,905
12-27-05	12-27-05	Wilson, Kemp & Associates Fee	10,116
			15,021
NET INCOME			**11,248**

4313-259-3020 T-848 P.010/010 F-211

Linsco/Private Ledger
Member NASD/SIPC
9785 Towne Centre Drive
San Diego, CA 92121-1968
Federal ID No: 95-2834236

LPL FINANCIAL SERVICES

Recipient's
Tax Identification Number

Account Number:

TAX INFORMATION STATEMENT
Tax Year 2005 Copy B For Recipient

Department of the Treasury - Internal Revenue Service
(keep for your records)

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

AT 02 062615 85983H309 A**3DGT

SANDRA R KNOLLENBERG TTEE
SANDRA R KNOLLENBERG LIVING TR
U/A 4/19/00
1130 PARK PLACE CT
BLOOMFIELD HILLS MI 48302-1459

Your Financial Advisor

JASON SHERMAN
38505 WOODWARD, STE. 1300
BLOOMFIELD HILL MI 48304
(248)644-2301

ID: 1P18

1099-DIV

IRS BOX	FORM 1099-DIV - DIVIDENDS AND DISTRIBUTIONS TOTALS FOR TAX YEAR 2005	OMB #1545-0110
1A.	TOTAL ORDINARY DIVIDENDS	$792.08
1B.	QUALIFIED DIVIDENDS	$549.84
2A.	TOTAL CAPITAL GAIN DISTRIBUTION	$3,154.69
2B.	UNRECAP. SEC. 1250 GAIN	$0.00
2C.	SECTION 1202 GAIN	$0.00
2D.	COLLECTIBLES (28%) GAIN	$0.00
3.	NONDIVIDEND DISTRIBUTIONS	$0.00
4.	FEDERAL INCOME TAX WITHHELD	$0.00
5.	INVESTMENT EXPENSES	$0.00
6.	FOREIGN TAX PAID	$0.00
7.	FOREIGN COUNTRY OR U.S. POSSESSION	N/A
8.	CASH LIQUIDATION DISTRIBUTIONS	$0.00
9.	NONCASH LIQUIDATION DISTRIBUTIONS	$0.00

DIVIDENDS AND DISTRIBUTIONS

DESCRIPTIONS	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	COUNTRY
DU PONT E.I.DE NEMOUR&CO	03/14/05	02/11/05	QUALIFIED DIVIDEND	$14.35	1B	
DU PONT E.I.DE NEMOUR&CO	06/13/05	05/11/05	QUALIFIED DIVIDEND	$15.17	1B	
DU PONT E.I.DE NEMOUR&CO	09/12/05	08/11/05	QUALIFIED DIVIDEND	$15.17	1B	
DU PONT E.I.DE NEMOUR&CO	12/14/05	11/10/05	QUALIFIED DIVIDEND	$15.17	1B	
GABELLI VALUE FUND INC A	12/30/05		QUALIFIED DIVIDEND	$12.33	1B	
GABELLI VALUE FUND INC A	12/30/05		QUALIFIED DIVIDEND	$11.72	1B	
GENERAL ELECTRIC COMPANY	01/25/05	12/24/04	QUALIFIED DIVIDEND	$18.48	1B	
GENERAL ELECTRIC COMPANY	04/25/05	02/24/05	QUALIFIED DIVIDEND	$18.48	1B	
GENERAL ELECTRIC COMPANY	07/25/05	06/23/05	QUALIFIED DIVIDEND	$18.48	1B	
GENERAL ELECTRIC COMPANY	10/25/05	09/22/05	QUALIFIED DIVIDEND	$18.48	1B	
HANCOCK INVT TR REG BK A	03/28/05	03/22/05	QUALIFIED DIVIDEND	$75.75	1B	
HANCOCK INVT TR REG BK A	06/24/05	06/21/05	QUALIFIED DIVIDEND	$71.76	1B	
HANCOCK INVT TR REG BK A	09/23/05	09/20/05	QUALIFIED DIVIDEND	$71.08	1B	
HANCOCK INVT TR REG BK A	12/23/05	12/20/05	QUALIFIED DIVIDEND	$83.95	1B	
HANCOCK INVT TR REG BK A	12/23/05	12/20/05	QUALIFIED DIVIDEND	$29.37	1B	
MICROSOFT CORP	03/10/05	02/15/05	QUALIFIED DIVIDEND	$5.60	1B	
MICROSOFT CORP	06/09/05	05/16/05	QUALIFIED DIVIDEND	$5.60	1B	
MICROSOFT CORP	09/08/05	08/15/05	QUALIFIED DIVIDEND	$5.60	1B	
MICROSOFT CORP	12/08/05	11/15/05	QUALIFIED DIVIDEND	$5.60	1B	
WAL-MART STORES INC	01/03/05	12/15/04	QUALIFIED DIVIDEND	$8.45	1B	
WAL-MART STORES INC	04/04/05	03/18/05	QUALIFIED DIVIDEND	$9.75	1B	
WAL-MART STORES INC	06/06/05	05/18/05	QUALIFIED DIVIDEND	$9.75	1B	
WAL-MART STORES INC	09/06/05	08/17/05	QUALIFIED DIVIDEND	$9.75	1B	
PREMIER MMKT SHS PORT	01/31/05		DIVIDEND	$11.47	1A	

CONTINUED ON THE NEXT PAGE

If you held a CMO/CDO/REMIC in 2005, this statement is incomplete.
You will receive an additional statement from us detailing the taxable information for these assets.
If you held these types of investments please do not file your tax return until you receive this additional statement.

Recyclable

Linsco/Private Ledger
Member NASD/SIPC
9785 Towne Centre Drive
San Diego, CA 92121-1968
Federal ID No: 95-2834238

FINANCIAL SERVICES

Recipient's
Tax Identification Number

Account Number:

TAX INFORMATION STATEMENT

Tax Year 2005 Copy B For Recipient

Department of the Treasury - Internal Revenue Service
(Keep for your records)

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported

Your Financial Advisor

SANDRA R KNOLLENBERG TTEE
SANDRA R KNOLLENBERG LIVING TR
U/A 4/19/00
1130 PARK PLACE CT
BLOOMFIELD HILLS MI 48302

JASON SHERMAN
38505 WOODWARD, STE. 1300
BLOOMFIELD HILL MI 48304
(248)644-2301

ID: 1P1B

1099-DIV

CONTINUED FROM PREVIOUS PAGE

DIVIDENDS AND DISTRIBUTIONS

DESCRIPTIONS	DATE	EX-DIVIDEND DATE	ACTIVITY	AMOUNT	IRS BOX	COUNTRY
PREMIER MMKT SHS PORT	02/28/05		DIVIDEND	$12.22	1A	
PREMIER MMKT SHS PORT	03/31/05		DIVIDEND	$15.08	1A	
PREMIER MMKT SHS PORT	04/29/05		DIVIDEND	$15.99	1A	
PREMIER MMKT SHS PORT	05/31/05		DIVIDEND	$19.00	1A	
PREMIER MMKT SHS PORT	06/30/05		DIVIDEND	$18.58	1A	
PREMIER MMKT SHS PORT	07/29/05		DIVIDEND	$19.83	1A	
PREMIER MMKT SHS PORT	08/31/05		DIVIDEND	$24.49	1A	
PREMIER MMKT SHS PORT	09/30/05		DIVIDEND	$23.73	1A	
PREMIER MMKT SHS PORT	10/31/05		DIVIDEND	$25.90	1A	
PREMIER MMKT SHS PORT	11/30/05		DIVIDEND	$26.47	1A	
PREMIER MMKT SHS PORT	12/30/05		DIVIDEND	$29.48	1A	
TOTAL DIVIDENDS				$792.08		
FID ADV VII HLTH CARE A	12/05/05		CAPITAL GAIN	$28.43	2A	
GABELLI VALUE FUND INC A	12/30/05		CAPITAL GAIN	$1,351.87	2A	
HANCOCK INVT TR REG BK A	12/23/05		CAPITAL GAIN	$1,774.39	2A	
TOTAL CAPITAL GAINS				$3,154.69		

If you held a CMO/CDO/REMIC in 2005, this statement is incomplete.
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Account Transactions - Between 1/1/2005 and 12/31/2005

Date	Activity	Quantity	Description	SecurityID	Price	Amo
01/03/05	CASH DIVIDEND		WAL-MART STORES INC 010305 65 AS OF 01/03/05	WMT		8.45
01/03/05	LONG TERM CAP GAIN		GABELLI VALUE FD INC CL A 122904 1,019.20000 AS OF 12/29/04	GABVX		733.37
01/03/05	LT CAP GAIN REINVEST	37.7250	GABELLI VALUE FD INC CL A	GABVX		733.37
01/03/05	SHORT TERM CAP GAIN		GABELLI VALUE FD INC CL A 122904 1,019.20000 AS OF 12/29/04	GABVX		25.19
01/03/05	ST CAP GAIN REINVEST	1.2960	GABELLI VALUE FD INC CL A	GABVX		25.19
01/04/05	BUY		PREMIER MMKT SHS PORT			8.45
01/25/05	CASH DIVIDEND		GENERAL ELECTRIC COMPANY 012505 84 AS OF 01/25/05	GE		18.48
01/26/05	BUY		PREMIER MMKT SHS PORT			18.48
01/31/05	CASH DIVIDEND		PREMIER MMKT SHS PORT 013105 10,296 AS OF 01/31/05	9999428		11.47
01/31/05	DIVIDEND REINVEST		PREMIER MMKT SHS PORT			11.47
02/28/05	CASH DIVIDEND		PREMIER MMKT SHS PORT 022805 10,309 AS OF 02/28/05	9999428		12.22
02/28/05	DIVIDEND REINVEST		PREMIER MMKT SHS PORT			12.22
03/10/05	CASH DIVIDEND		MICROSOFT CORP 031005 70 AS OF 03/10/05	MSFT		5.60
03/11/05	BUY		PREMIER MMKT SHS PORT			5.60
03/14/05	CASH DIVIDEND		DU PONT E.I.DE NEMOUR & COMPANY 031405 41 AS OF 03/14/05	DD		14.35
03/15/05	BUY		PREMIER MMKT SHS PORT			14.35
03/28/05	CASH DIVIDEND		HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A 032805 419.28700 AS OF 03/28/05	FRBAX		75.75
03/28/05	DIVIDEND REINVEST	1.9050	HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A	FRBAX		75.75
03/31/05	CASH DIVIDEND		PREMIER MMKT SHS PORT 033105 10,342 AS OF 03/31/05	9999428		15.08
03/31/05	DIVIDEND REINVEST		PREMIER MMKT SHS PORT			15.08
04/04/05	CASH DIVIDEND		WAL-MART STORES INC 040405 65 AS OF 04/04/05	WMT		9.75
04/05/05	BUY		PREMIER MMKT SHS PORT			9.75
04/25/05	CASH DIVIDEND		GENERAL ELECTRIC COMPANY 042505 84 AS OF 04/25/05	GE		18.48
04/26/05	BUY		PREMIER MMKT SHS PORT			18.48
04/29/05	CASH DIVIDEND		PREMIER MMKT SHS PORT 042905 10,385 AS OF 04/29/05	9999428		15.99
04/29/05	DIVIDEND REINVEST		PREMIER MMKT SHS PORT			15.99

Date	Activity	Quantity	Description	SecurityID	Price	Amo
05/31/05	CASH DIVIDEND		PREMIER MMKT SHS PORT 053105 10,401 AS OF 05/31/05	9999428		19.00
05/31/05	DIVIDEND REINVEST		PREMIER MMKT SHS PORT			19.00
06/06/05	CASH DIVIDEND		WAL-MART STORES INC 060605 65 AS OF 06/06/05	WMT		9.75
06/07/05	BUY		PREMIER MMKT SHS PORT			9.75
06/09/05	CASH DIVIDEND		MICROSOFT CORP 060905 70 AS OF 06/09/05	MSFT		5.60
06/10/05	BUY		PREMIER MMKT SHS PORT			5.60
06/13/05	CASH DIVIDEND		DU PONT E.I.DE NEMOUR & COMPANY 061105 41 AS OF 06/11/05	DD		15.17
06/14/05	BUY		PREMIER MMKT SHS PORT			15.17
06/24/05	CASH DIVIDEND		HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A 062405 421.02100 AS OF 06/24/05	FRBAX		71.76
06/24/05	DIVIDEND REINVEST	1.7340	HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A	FRBAX		71.76
06/30/05	CASH DIVIDEND		PREMIER MMKT SHS PORT 063005 10,450 AS OF 06/30/05	9999428		18.58
06/30/05	DIVIDEND REINVEST		PREMIER MMKT SHS PORT			18.58
07/25/05	CASH DIVIDEND		GENERAL ELECTRIC COMPANY 072505 64 AS OF 07/25/05	GE		18.48
07/28/05	BUY		PREMIER MMKT SHS PORT			18.48
07/29/05	CASH DIVIDEND		PREMIER MMKT SHS PORT 072905 10,487 AS OF 07/29/05	9999428		19.83
07/29/05	DIVIDEND REINVEST		PREMIER MMKT SHS PORT			19.83
08/31/05	CASH DIVIDEND		PREMIER MMKT SHS PORT 083105 10,507 AS OF 08/31/05	9999428		24.49
08/31/05	DIVIDEND REINVEST		PREMIER MMKT SHS PORT			24.49
09/06/05	CASH DIVIDEND		WAL-MART STORES INC 090605 65 AS OF 09/06/05	WMT		9.75
09/07/05	BUY		PREMIER MMKT SHS PORT			9.75
09/08/05	CASH DIVIDEND		MICROSOFT CORP 090805 70 AS OF 09/08/05	MSFT		5.60
09/09/05	BUY		PREMIER MMKT SHS PORT			5.60
09/12/05	CASH DIVIDEND		DU PONT E.I.DE NEMOUR & COMPANY 091205 41 AS OF 09/12/05	DD		15.17
09/13/05	BUY		PREMIER MMKT SHS PORT			15.17
09/23/05	CASH DIVIDEND		HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A 092305 422.75300 AS OF 09/23/05	FRBAX		71.08
09/23/05	DIVIDEND REINVEST	1.7320	HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A	FRBAX		71.08
Date	Activity	Quantity	Description	SecurityID	Price	Amo
09/30/05	CASH DIVIDEND		PREMIER MMKT SHS PORT	9999428		23.73

			093005 10.582 AS OF 09/30/05		
09/30/05	DIVIDEND REINVEST		PREMIER MMKT SHS PORT		23.73
10/25/05	CASH DIVIDEND		GENERAL ELECTRIC COMPANY 102505 84 AS OF 10/25/05	GE	18.48
10/28/05	BUY		PREMIER MMKT SHS PORT		18.48
10/31/05	CASH DIVIDEND		PREMIER MMKT SHS PORT 103105 10,605 AS OF 10/31/05	9999428	25.90
10/31/05	DIVIDEND REINVEST		PREMIER MMKT SHS PORT		25.90
11/30/05	CASH DIVIDEND		PREMIER MMKT SHS PORT 113005 10,630 AS OF 11/30/05	9999428	26.47
11/30/05	DIVIDEND REINVEST		PREMIER MMKT SHS PORT		26.47
12/05/05	LONG TERM CAP GAIN		FIDELITY ADV SER VII HLTH CARE FD CL A 120506 711.94400 AS OF 12/05/05	FACDX	28.43
12/05/05	LT CAP GAIN REINVEST	1.1790	FIDELITY ADV SER VII HLTH CARE FD CL A	FACDX	28.43
12/08/05	CASH DIVIDEND		MICROSOFT CORP 120805 70 AS OF 12/08/05	MSFT	5.60
12/09/05	BUY		PREMIER MMKT SHS PORT		5.60
12/14/05	CASH DIVIDEND		DU PONT E.I DE NEMOUR & COMPANY 121405 41 AS OF 12/14/05	DD	15.17
12/15/05	BUY		PREMIER MMKT SHS PORT		15.17
12/23/05	CASH DIVIDEND		HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A 122305 471.35500 AS OF 12/23/05	FRBAX	83.95
12/23/05	DIVIDEND REINVEST	2.1810	HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A	FRBAX	83.95
12/23/05	LONG TERM CAP GAIN		HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A 122305 471.35500 AS OF 12/23/05	FRBAX	1,774.39
12/23/05	LT CAP GAIN REINVEST	45.6850	HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A	FRBAX	1,774.39
12/23/05	SHORT TERM CAP GAIN		HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A 122305 471.35500 AS OF 12/23/05	FRBAX	29.37
12/23/05	ST CAP GAIN REINVEST	0.7560	HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A	FRBAX	29.37
12/30/05	CASH DIVIDEND		GABELLI VALUE FD INC CL A 122805 1,095.05000 AS OF 12/28/05	GABVX	12.33
12/30/05	CASH DIVIDEND		PREMIER MMKT SHS PORT 123005 10,678 AS OF 12/30/05	9999428	29.48
12/30/05	DIVIDEND REINVEST	0.6800	GABELLI VALUE FD INC CL A	GABVX	12.33
12/30/05	DIVIDEND REINVEST		PREMIER MMKT SHS PORT		29.48
12/30/05	LONG TERM CAP GAIN		GABELLI VALUE FD INC CL A	GABVX	1,351.57

			122805 1,095.05000 AS OF 12/28/03			
12/30/05	LT CAP GAIN REINVEST	74.5240	GABELLI VALUE FD INC CL A	GABVX		1,351.87

Date	Activity	Quantity	Description	SecurityID	Price	Amo
12/30/05	SHORT TERM CAP GAIN		GABELLI VALUE FD INC CL A 122805 1,095.05000 AS OF 12/28/05	GABVX		11.72
12/30/05	ST CAP GAIN REINVEST	0.8460	GABELLI VALUE FD INC CL A	GABVX		11.72

Securities Offered Through
Linsco/Private Ledger
Member NASD/SIPC
6785 Towne Centre Drive, San Diego, CA 92121-1958
One Beacon Street, 22nd Floor, Boston, MA 02108-3106

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Investment Account Statement

Account Number:
-

Statement Period:
December 1, 2005 to December 31, 2005

Messages

YEAR-END ACCOUNT STATEMENTS/MULTIPLE FORM 1099s

This statement reflects only business conducted with your financial advisor during the time LPL acted as broker/dealer. If you transferred an account to LPL from another broker/dealer during 2005, your previous firm(s) should send you one or more Form 1099s summarizing any account activity that took place before your account was transferred to LPL. Please be sure to include all Form 1099s when completing your tax returns.

DECEMBER DIVIDENDS FOR CERTAIN MUTUAL FUNDS

This statement may not reflect dividends paid in late December 2005 on certain mutual fund shares that may be held in your LPL account. These dividends will be shown on your next month's statements. For tax purposes, these dividends will be reported on your annual 1099-DIV statement as income earned during 2005. You will not receive a 1099-DIV statement for any dividends paid to a retirement account because such income is tax-deferred.

Securities Offered Through
Linsco/Private Ledger
Member NASD/SIPC
9785 Towne Centre Drive, San Diego, CA 92121-1968
One Beacon Street, 22nd Floor, Boston, MA 02108-3106

1307 IP1B BMD: 1818 * 01307 *
SANDRA R KNOLLENBERG TTEE
SANDRA R KNOLLENBERG LIVING TR
U/A 4/19/00
1130 PARK PLACE CT
BLOOMFIELD HILLS MI 48302

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WEALTH MANAGEMENT GROUP
located at
THE PRIVATE BANK

Investment Account Statement

Account Number:

Statement Period:
December 1, 2005 to December 31, 2005

Your Account Executive:
Jason Sherman
(248)644-2301

Total Portfolio Holdings: $77,180.32

Portfolio Summary

	Current Period		Prior Period	
	Value	% of Assets	Value	% of Assets
Cash Balance				
Money Market Funds	10,707.63	13.87	10,657.38	13.80
Equities and Options	11,504.40	14.91	11,802.51	15.28
Mutual Funds	54,968.29	71.22	54,768.95	70.92
Corporate Bonds				
Government and Agency Bonds				
Municipal Bonds				
TOTAL PORTFOLIO HOLDINGS	$77,180.32	100.00%	$77,228.84	100.00%

Portfolio Activity

	Current Period	Year-to-Date
Securities Sold		
Securities Purchased		
Money Market Fund Transactions	(20.77)	(193.88)
Reinvestments	(3,321.54)	(4,511.45)
Deposits		
Withdrawals		
Dividends and Interest	146.53	750.99
Distributions	3,195.78	3,954.34
NET PORTFOLIO ACTIVITY	$0.00	$0.00

Linsco/Private Ledger ("LPL") is not a bank, savings and loan nor credit union. Securities bought and sold through LPL are not FDIC insured, not endorsed or guaranteed by LPL nor any other financial institution or affiliate of LPL, and involve investment risk including possible loss of principal.

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Investment Account Statement

Account Number:

Statement Period:
December 1, 2005 to December 31, 2005

Transaction Detail

Date	Activity Type	Description/ Security ID	Quantity	Price	Accrued Interest	Debit Amount	Credit Amount	Account Type
12/05/05	LONG TERM CAP GAIN	FIDELITY ADV SER VII HLTH CARE FD CL A 120505 711.94400 315918847					28.43	CASH
12/05/05	LT CAP GAIN REINVEST	FIDELITY ADV SER VII HLTH CARE FD CL A REINVEST AT 24.110 FACDX	1.17900			(28.43)		CASH
12/08/05	CASH DIVIDEND	MICROSOFT CORP 120805 70 594918104					5.60	CASH
12/09/05	PURCHASE	PREMIER MMKT SHS PORT		1.00		(5.60)		CASH
12/14/05	CASH DIVIDEND	DU PONT E I DE NEMOUR & COMPANY 121405 41 263534109					15.17	CASH
12/15/05	PURCHASE	PREMIER MMKT SHS PORT		1.00		(15.17)		CASH
12/23/05	SHORT TERM CAP GAIN	HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A 122305 471.35500 409905106					29.37	CASH
12/23/05	LONG TERM CAP GAIN	HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A 122305 471.35500 409905106					1,774.39	CASH
12/23/05	CASH DIVIDEND	HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A 122305 471.35500 409905106					83.95	CASH
12/23/05	LT CAP GAIN REINVEST	HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A	45.68500			(1,774.39)		CASH

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Investment Account Statement

Account Number:

Statement Period:
December 1, 2005 to December 31, 2005

Transaction Detail *(continued)*

Date	Activity Type	Description/ Security ID	Quantity	Price	Accrued Interest	Debit Amount	Credit Amount	Account Type
		REINVEST AT 38.840 FRBAX						
12/23/05	DIVIDEND REINVEST	HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A REINVEST AT 38.840 FRBAX	2.18100			(83.95)		CASH
12/23/05	ST CAP GAIN REINVEST	HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A REINVEST AT 38.840 FRBAX	0.75600			(29.37)		CASH
12/30/05	SHORT TERM CAP GAIN	GABELLI VALUE FD INC CL A 122805 1,095.05000 36240H106 AS OF 12/28/05					11.72	CASH
12/30/05	LONG TERM CAP GAIN	GABELLI VALUE FD INC CL A 122805 1,095.05000 36240H106 AS OF 12/28/05					1,351.87	CASH
12/30/05	CASH DIVIDEND	GABELLI VALUE FD INC CL A 122805 1,095.05000 36240H106 AS OF 12/28/05					12.33	CASH
12/30/05	LT CAP GAIN REINVEST	GABELLI VALUE FD INC CL A REINVEST AT 18.140 GABVX	74.52400			(1,351.87)		CASH
12/30/05	DIVIDEND REINVEST	GABELLI VALUE FD INC CL A REINVEST AT 18.140 GABVX	0.68000			(12.33)		CASH

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Investment Account Statement

Account Number:

Statement Period:
December 1, 2005 to December 31, 2005

Transaction Detail *(continued)*

Date	Activity Type	Description/ Security ID	Quantity	Price	Accrued Interest	Debit Amount	Credit Amount	Account Type
12/30/05	ST CAP GAIN REINVEST	GABELLI VALUE FD INC CL A REINVEST AT 18.140 GABVX	0.64600			(11.72)		CASH
12/30/05	CASH DIVIDEND	PREMIER MMKT SHS PORT 123005 10,678					29.48	CASH
12/30/05	DIVIDEND REINVEST	PREMIER MMKT SHS PORT				(29.48)		CASH
Total Transactions						($3,342.31)	$3,342.31	

Income and Securities Not Yet Received

Dividends, Interest and Distributions

Record Date	Payable Date	Type	Description/ Security ID	Quantity Held	Rate	Amount of Payment
12/27/05	01/25/06	DIVIDEND	GENERAL ELECTRIC COMPANY GE	84	0.25000	21.00
12/16/05	01/03/06	DIVIDEND	WAL-MART STORES INC WMT	65	0.15000	9.75
Total Payment						$30.75

Securities Offered Through
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Investment Account Statement

Account Number:

Statement Period:
December 1, 2005 to December 31, 2005

Portfolio Holdings

Cash and Equivalents

Description	Current Value
MONEY MARKET FUNDS	10,707.83
Total Cash and Equivalents	$10,707.83

Equities and Options

Quantity	Description/ Security ID	Market Value	Price	Account Type	Dividends	Annual Income / Est. 30-Day Yield
96	CISCO SYSTEMS INC CSCO	1,643.52	17.12	CASH	CASH	
41	DU PONT E.I.DE NEMOUR & COMPANY DD	1,742.50	42.50	CASH	CASH	60 3.44%
84	GENERAL ELECTRIC COMPANY GE	2,944.20	35.05	CASH	CASH	84 2.85%
70	MICROSOFT CORP MSFT	1,830.50	26.15	CASH	CASH	22 1.22%
72	SUN MICROSYSTEMS INC SUNW	301.68	4.19	CASH	CASH	
65	WAL-MART STORES INC WMT	3,042.00	46.80	CASH	CASH	39 1.28%
Total Equities and Options		$11,504.40				$265

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Securities Offered Through
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9785 Towne Centre Drive, San Diego, CA 92121-1968
One Beacon Street, 22nd Floor, Boston, MA 02108-3108

Investment Account Statement

Account Number:

Statement Period:
December 1, 2005 to December 31, 2005

Portfolio Holdings *(continued)*

Mutual Funds

Quantity	Description/ Security ID	Market Value	Price	Account Type	Dividends/ Capital Gains	Annual Income / Est. 30-Day Yield
711.94400	FIDELITY ADV SER VII HLTH CARE FD CL A FACDX	17,051.05	23.95	CASH	REINVEST REINVEST	
1,095.05000	GABELLI VALUE FD INC CL A GABVX	19,831.35	18.11	CASH	REINVEST REINVEST	13 0.07%
471.35500	HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A FRBAX	18,085.89	38.37	CASH	REINVEST REINVEST	339 1.88%
Total Mutual Funds		$54,968.29				$352

TOTAL PORTFOLIO HOLDINGS

Market Value: $77,180.32

Estimated Annual Income: $357.00

COPY

Securities Offered Through
Linsco/Private Ledger
Member NASD/SIPC
9785 Towne Centre Drive, San Diego, CA 92121-1968
One Beacon Street, 22nd Floor, Boston, MA 02108-3106

Investment Account Statement

Account Number:

Statement Period:
December 1, 2005 to December 31, 2005

Money Market Fund Detail

Premier Money Market Shares - Money Market Portfolio

Date	Activity Type	Description	Debit Amount	Credit Amount	Share Balance
12/01/05	OPENING BALANCE				10,657.38
12/09/05	SHARES PURCHASED	PREMIER MMKT SHS PORT		5.50	10,662.88
12/15/05	SHARES PURCHASED	PREMIER MMKT SHS PORT		15.17	10,678.15
12/30/05	DIVIDEND REINVEST	PREMIER MMKT SHS PORT		29.48	10,707.63
12/31/05	CLOSING BALANCE				10,707.63
Total Premier Money Market Shares - Money Market Portfolio		*30-Day Yield: 3.249%*			$10,707.63
TOTAL MONEY MARKET FUNDS					$10,707.63

Dividend, Interest and Distribution Summary

Dividends and Interest

Description	Current Period	Year-to-Date
Money Market Funds	29.48	242.24
Equities	20.77	193.88
Mutual Funds	96.28	314.87
Total Dividends and Interest	$146.53	$750.99

Distributions

Description	Current Period	Year-to-Date
Long Term Capital Gains	3,154.69	3,888.06
Short Term Capital Gains	41.09	66.28
Total Distributions	$3,195.78	$3,954.34

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(Office Use Only)

2364

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A

For use by Members, officers, and employees

James Thomas Kolbe
(Full Name)

237 Cannon House Office Building Washington, DC 20515-0308
(Mailing Address)

202-225-2542
Daytime Telephone:

Filer Status ☑ Member of the U.S House of Representatives State: AZ District: 8 ☐ Officer Or Employee Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jim Kolbe	APRIL 25, 2006

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
1/3 interest in 300 acres of land, Sonoita, AZ	$100,001 - $250,000	Grazing Fee	$1 - $200	
Wright Patman FCU - Checking & Savings, Washington, DC	$1,001 - $15,000	INTEREST	$1 - $200	
Compass Bank - Checking & Savings, Tucson, AZ	$1,001 - $15,000	INTEREST	$1 - $200	
Exeter Real Estate Investors XX - Limited Partners	$15,001 - $50,000		NONE	
Rental of Efficiency Apartment attached to Residence, Washington, DC	$500,001 - $1,000,000	RENT	$5,001 - $15,000	
Wood Canyon Corp. - 5% of outstanding stock	$50,001 - $100,000		NONE	

2365

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

Kollington Limited - 24.9% interest	$100,001 - $250,000		NONE	
ABN AMRO Holding (ABM)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
Accredo Health (ACDO)	None	CAPITAL GAINS	$201 - $1,000	S
Aegon NV (AEG)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
AFLAC (AFL)	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
Airgas (ARG)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Akzo Nobel NV (AKZOY)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Albertsons (ABS)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Alcatel (ALA)	$1,001 - $15,000		NONE	P
Alliance Data Systems (ADS)	$1,001 - $15,000		NONE	
Altria Group (MO)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
American Healthways (AMHC)	$1,001 - $15,000		NONE	P
American International Group (AIG)	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
American Medical Systems Holdings (AMMD)	$1,001 - $15,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

Amgen (AMGN)	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	S
AmSurg (AMSG)	None		NONE	S
Anheuser Busch (BUD)	None	CAPITAL GAINS	$1 - $200	S
Aquantive (AQNT)	$1,001 - $15,000		NONE	P
Arthrocare (ARTC)	$1,001 - $15,000		NONE	P
AT&T (T) (formerly SBC Communications)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	E
Avocent (AVCT)	None		NONE	S
BAE Systems PLC (BAESY)	None	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	S
Baker Hughes (BHI)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Banco Bilbao Vizcaya Argenatria SA (BBV)	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
Banco Santander Central Hispano SA (STD)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	S
Bank of New York (BK)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Bayerische Hypo-und Vereinsbank AG (HVMGY)	None	CAPITAL GAINS	$1,001 - $2,500	S
Bed Bath & Beyond (BBBY)	$1,001 - $15,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

BellSouth (BLS)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
Benchmark Electronics (BHE)	None		NONE	PS
Brasil Telecom Participacoes SA (BRP)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Bright Horizons Family Solutions (BFAM)	$1,001 - $15,000		NONE	P
Bristol-Myers Squibb (BMY)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
BT Group PLC (BT)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
CACI International (CAI)	None	CAPITAL GAINS	$201 - $1,000	S
Carnival Corp. (CCL)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Centrais Electricas Brasileiras SA (CAIFY)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Central Garden & Pet (CENT)	$1,001 - $15,000		NONE	P
Church & Dwight (CHD)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Cintas Corp. (CTAS)	$1,001 - $15,000		NONE	P
Cisco Systems (CSCO)	$1,001 - $15,000		NONE	
Citigroup (C)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

Cognizant Technology Solutions (CTSH)	$1,001 - $15,000		NONE	
Colgate-Palmolive (CL)	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
Cooper Companies (COO)	None	DIVIDENDS	$1 - $200	S
Costar Group (CSGP)	None		NONE	S
CRA International (CRAI) (formerly Charles River Associates)	$1,001 - $15,000		NONE	PE
Cree Inc. (CREE)	None	CAPITAL GAINS	$201 - $1,000	S
CTI Molecular Imaging (CTMI)	None	CAPITAL GAINS	$201 - $1,000	PS
Dade Behring Holdings (DADE)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DaimlerChrysler AG (DCX)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Dell Computer (DELL)	$1,001 - $15,000		NONE	
Denbury Resources (DNR)	$1,001 - $15,000		NONE	
Deutsche Telekom AG (CT)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Direct General (DRCT)	None		NONE	S
Disney Walt Co. (DIS)	$1,001 - $15,000		NONE	P

Doral Financial (DRL)	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
E.On AG (EON)	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
Eastman Kodak (EK)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
East West Bancorp (EWBC)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
Electronic Data Systems (EDS)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
El Paso (EP)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Emerson Electric (EMR)	None	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS
Engineered Support Systems (EASI)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Financing Corp. CPN FICO Series 14	$1,001 - $15,000		NONE	
Financing Corp. CPN FICO Series 15	$1 - $1,000		NONE	
Fisher Scientific International (FSH)	None	CAPITAL GAINS	$1,001 - $2,500	S
Ford Motor (F)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
France Telecom SA (FTE)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Fuji Photo Film LTD (FUJIY)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

Gannett (GCI)	None	DIVIDENDS	$1 - $200	S
Gardner Denver (GDI)	$1,001 - $15,000		NONE	P
General Electric (GE)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
General Motors (GM)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
GlaxoSmithKline (GSK)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
Global Payments (GPN)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Goodyear Tire & Rubber (GT)	$1 - $1,000		NONE	
Guitar Center (GTRC)	$1,001 - $15,000		NONE	P
Haemonetics (HAE)	$1,001 - $15,000		NONE	P
Harley Davidson (HDI)	None	DIVIDENDS	$1 - $200	PS
HCC Insurance Holdings (HCC)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Healthextras (HLEX)	$1,001 - $15,000		NONE	P
Hewlett-Packard (HPQ)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
Hitachi LTD (HIT)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

Honeywell International (HON)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
HUB Group (HUBG)	$1,001 - $15,000		NONE	P
Hypo Real Estate Holdings (HREHY)	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
Idex Corp. (IEX)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Illinois Tool Works (ITW)	$1,001 - $15,000		NONE	P
Informatica (INFA)	$1,001 - $15,000		NONE	P
ING Group NV (ING)	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
Integra Lifesciences (IART)	None		NONE	PS
Intel (INTC)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
International Business Machines (IBM)	None	DIVIDENDS	$1 - $200	S
J 2 Global Communication (JCOM)	$1,001 - $15,000		NONE	P
Jarden Corp. (JAH)	$1,001 - $15,000		NONE	P
J Jill Group (JILL)	None		NONE	S
Johnson & Johnson (JNJ)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

J P Morgan Chase & Co. (JPM)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
J Sainsbury PLC (JSAIY)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Knight Transportation (KNGT)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Kohls Corp. (KSS)	$1,001 - $15,000		NONE	P
Koninklihke Ahold NV (AHO)	$1,001 - $15,000		NONE	
Kroger (KR)	$1,001 - $15,000		NONE	
Kronos (KRON)	None	CAPITAL GAINS	$1 - $200	S
KT (KTC)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Laureate Education (LAUR)	$1,001 - $15,000		NONE	
Legg Mason (LM)	None	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	S
Lilly Eli & Co. (LLY)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Linear Technology Corp. (LLTC)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
LKQ Corp. (LKQX)	$1,001 - $15,000		NONE	P
Loews (LTR)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

Lowes Companies (LOW)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
Lucent Technologies (LU)	$1,001 - $15,000		NONE	P
Marks & Spencer Group PLC (MAKSY)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Matria Healthcare (MATR)	$1,001 - $15,000		NONE	P
Matsushita Electric Industrial LTD (MC)	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
MBNA (KRB)	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
Medco Health Solutions (MHS)	$1,001 - $15,000		NONE	P
Medtronic (MDT)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Merck (MRK)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Merge Technologies (MRGE)	$1,001 - $15,000		NONE	P
Micron Technology (MU)	$1,001 - $15,000		NONE	
Microsemi Crop. (MSCC)	$1,001 - $15,000		NONE	P
Microsoft (MSFT)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Micros Systems (MCRS)	$1,001 - $15,000		NONE	P

Millea Holdings (MLEA)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
Mitsubishi UFJ Financial (MTU) (formerly Mitsubishi)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	SE
Morgan Stanley (MWD)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
Navigant Consulting (NCI)	None		NONE	S
Nestle SA (NSRGY)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Nippon Telegraph & Telephone (NTT)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Nortel Networks (NT)	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	PS
Novartis AG (NVS)	$1,001 - $15,000		NONE	P
Oil Co Lukoil (LUKOY)	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
Omnicom Group (OMC)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Option Care (OPTN)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Oracle (ORCL)	$1,001 - $15,000		NONE	P
Palomar Medical Technologies (PMTI)	None		NONE	P
Paxar Corp. (PXR)	None		NONE	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

Peets Coffee & Tea (PEET)	$1,001 - $15,000		NONE	P
Pepsico (PEP)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
Petroleo Brasileiro SA (PBRA)	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
Pfizer (PFE)	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
Portfolio Recovery Associates (PRAA)	$1,001 - $15,000		NONE	
Portugal Telecom SGPS (PT)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Procter & Gamble (PG)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
Progress Software (PRGS)	$1,001 - $15,000		NONE	P
Qualcomm (QCOM)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
Quicksilver Resources (KWK)	$1,001 - $15,000		NONE	P
Rogers Corp. (ROG)	None		NONE	S
Safeway (SWY)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Sanofi Aventis (SNY)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Scansource (SCSC)	None	CAPITAL GAINS	$201 - $1,000	S

Schering Plough (SGP)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SFBC International (SFCC)	None		NONE	PS
Sony (SNE)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Southwestern Energy (SWN)	$1,001 - $15,000	CAPITAL GAINS	$2,501 - $5,000	S
Spartech (SEH)	None	DIVIDENDS	$1 - $200	S
SRA International (SRX)	$1,001 - $15,000		NONE	
SS&C Technologies (SSNC)	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
Starbucks Corp. (SBUX)	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	PS
Stericycle (SRCL)	$1,001 - $15,000		NONE	
STMicroelectronics (STM)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Stratasys (SSYS)	None		NONE	S
Stryker (SYK)	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
Sumitomo Mitsui Financial (SMFJY)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
Sun Microsystems (SUNW)	$1,001 - $15,000		NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

Symmetry Medical (SMA)	$1,001 - $15,000		NONE	P
Sysco (SYY)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Tamarack Investment Funds Money Market (TMP)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
Target (TGT)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Techne (TECH)	None	CAPITAL GAINS	$1 - $200	S
Telecom Italia SPA (TI)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Telecomunicacoes Brasileiras SA (TBH)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Telefonica SA (TEF)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Telefonos de Mexico SA de CV (TMX)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Tenet Healthcare (THC)	$1,001 - $15,000		NONE	
Texas Instruments (TXN)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
3M (MMM)	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
Total SA (TOT)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Toys R Us (TOY)	None	CAPITAL GAINS	$201 - $1,000	S

Trimble Navigation (TRMB)	None		NONE	PS
Unilever NV (UN)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Unisys (UIS)	$1 - $1,000		NONE	
United Health Group (UNH)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
United Natural Foods (UNFI)	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	S
United Technologies (UTX)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
UST (UST)	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
UTI Worldwide (UTIW)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Verint Systems (VRNT)	$1,001 - $15,000		NONE	
Verizon Communications (VZ)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Volkswagen AG (VLKAY)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Wal-Mart Stores (WMT)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Waste Connections (WCN)	$1,001 - $15,000		NONE	
Wells Fargo (WFC)	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

Wolverine World Wide (WWW)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Xerox (XRX)	$1,001 - $15,000		NONE	P
Zimmer Holdings (ZMH)	None		NONE	PS
Zurich Financial Services (ZFSVY)	$1,001 - $15,000		NONE	
Alliance Bernstein Capitol Growth Fund (Class B) (formerly Alliance Bernstein Premier Growth Fund)	$15,001 - $50,000		NONE	
Alliance Bernstein International Growth Fund (formerly Alliance Bernstein Worldwide Privatization Fund)	$15,001 - $50,000		NONE	
Arizona State University COP Bond	$1,001 - $15,000	INTEREST	$201 - $1,000	P
Banco Popular de Puerto Rico CD	$15,001 - $50,000	INTEREST	$201 - $1,000	
BHP Billiton Limited (BHP)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Easton Vance Tax Managed Growth Fund	None	CAPITAL GAINS	$201 - $1,000	S
Esterline Technologies (ESL)	$15,001 - $50,000		NONE	
Federal Home Loan Mortgage Corp.	$15,001 - $50,000		NONE	
Federal National Mortgage Association	$15,001 - $50,000		NONE	

First Bank Beverly Hills CD	$15,001 - $50,000		NONE	P
Freescale Semiconductor (FSLB) (Motorola spinoff)	$1,001 - $15,000		NONE	
GMAC Automotive Bank CD	$1,001 - $15,000		NONE	P
Household Finance Bond	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
Maricopa County Unified School District Bond	$15,001 - $50,000	INTEREST	$201 - $1,000	P
Merrill Lynch Bank USA Retirement Account	$1,001 - $15,000	INTEREST	$1 - $200	
Merrill Lynch Banking Advantage	$1,001 - $15,000	INTEREST	$201 - $1,000	
Merrill Lynch Basic Value Fund Mutual Fund (Class A)	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
Merrill Lynch Basic Value Fund Mutual Fund (Class B)	None		NONE	E
Merrill Lynch Bond Fund High Income Portfolio	$1,001 - $15,000		NONE	
Merrill Lynch Global Allocation	$15,001 - $50,000		NONE	
Motorola (MOT)	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
Muniyield AZ Fund	$15,001 - $50,000	CAPITAL GAINS/INTEREST	$1,001 - $2,500	
Pima County Unified School District Bond	None	INTEREST	$201 - $1,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Thomas Kolbe

South Dakota Housing Development Bond	$15,001 - $50,000	INTEREST	$201 - $1,000	
Venture III Annuity Account	$100,001 - $250,000		NONE	
Western Bank of Puerto Rico CD	None		NONE	S
Scudder Latin America Fund	$1,001 - $15,000		NONE	

SCHEDULE IV - TRANSACTIONS

Name James Thomas Kolbe

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	ABN AMRO Holding (ABN)	P	6/1	>$1,000
	Accredo Health (ACDO)	S	8/2	$1,001 - $15,000
	Aegon NV (AEG)	P	5/17, 9/23	>$1,000
	Aegon NV (AEG)	S	11/16	$1,001 - $15,000
	AFLAC (AFL)	S	5/25, 6/16	$1,001 - $15,000
	Alcatel (ALA)	P	5/2	$1,001 - $15,000
	Altria Group (MO)	S	1/10	$1,001 - $15,000
	American Healthways (AMHC)	P	6/21	$1,001 - $15,000
	American International Group (AIG)	S	11/15	$1,001 - $15,000
	Amgen (AMGN)	S	9/14	$1,001 - $15,000
	AmSurg (AMSG)	S	2/3	$1,001 - $15,000
	Anheuser Busch (BUD)	S	2/2	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Aquantive (AQNT)	P	12/14	$1,001 - $15,000
	Arthrocare (ARTC)	P	1/3	$1,001 - $15,000
	AT&T (T) (formerly SBC Communications)	E	11/21	$1,001 - $15,000
	Avocent (AVCT)	S	4/14/	$1,001 - $15,000
	BAE Systems PLC (BAESY)	S	9/15, 10/4	$1,001 - $15,000
	Baker Hughes (BHI)	P	9/14	$1,001 - $15,000
	Banco Bilbao Vizcaya Argentaria SA (BBV)	S	6/28, 11/14	$1,001 - $15,000
	Banco Santander Central Hispano SA (STD)	S	2/25	$1,001 - $15,000
	Bayerische Hypo-und Vereinsbank AG (HVMGY)	S	7/21	$1,001 - $15,000
	BellSouth (BLS)	P	2/8	$1,001 - $15,000
	Benchmark Electronics (BHE)	P	1/19	>$1,000
	Benchmark Electronics (BHE)	S	6/6	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Bright Horizons Family Solutions (BFAM)	P	6/8	$1,001 - $15,000
	Bristol-Myers Squibb (BMY)	P	12/15	$1,001 - $15,000
	CACI International (CAI)	S	4/19	$1,001 - $15,000
	Carnival Corp. (CCL)	P	11/8	$1,001 - $15,000
	Central Garden & Pet (CENT)	P	6/13, 6/24	$1,001 - $15,000
	Cintas Corp. (CTAS)	P	10/12	$1,001 - $15,000
	Citigroup (C)	S	12/9	$1,001 - $15,000
	Colgate-Palmolive (CL)	S	2/23	$1,001 - $15,000
	Cooper Companies (COO)	S	12/13	$1,001 - $15,000
	Costar Group (CSGP)	S	4/20	$1,001 - $15,000
	CRA International (CRAI) (formerly Charles River Associates)	P	1/10	>$1,000
	CRA International (CRAI) (formerly Charles River Associates)	E	5/17	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name James Thomas Kolbe

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Cree Inc. (CREE)	S	2/2	$1,001 - $15,000
	CTI Molecular Imaging (CTMI)	P	3/16	$1,001 - $15,000
	CTI Molecular Imaging (CTMI)	S	5/4	$1,001 - $15,000
	Deutsche Telekom AG (DT)	P	10/26	$1,001 - $15,000
	Direct General (DRCT)	S	1/27	>$1,000
	Disney Walt Co. (DIS)	P	5/25, 7/14	$1,001 - $15,000
	Doral Financial (DRL)	S	3/15, 4/14	$1,001 - $15,000
	E.On AG (EON)	S	2/1, 5/17	$1,001 - $15,000
	Eastman Kodak (EK)	P	9/26	$1,001 - $15,000
	East West Bancorp (EWBC)	S	1/28	$1,001 - $15,000
	Emerson Electric (EMR)	P	2/2	$1,001 - $15,000
	Emerson Electric (EMR)	S	10/5	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name James Thomas Kolbe

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Fisher Scientific International (FSH)	S	10/13	$1,001 - $15,000
	Ford Motor (F)	P	6/29, 11/29, 12/8	$1,001 - $15,000
	Fuji Photo Film LTD (FUJIY)	P	7/27	$1,001 - $15,000
	Gannett (GCI)	S	1/26	$1,001 - $15,000
	Gardner Denver (GDI)	P	5/2, 6/1, 11/21	$1,001 - $15,000
	General Motors (GM)	P	2/2, 11/23, 11/29, 12/15	$1,001 - $15,000
	GlaxoSmithKline PLC (GSK)	S	4/29, 11/18	$1,001 - $15,000
	Guitar Center (GTRC)	P	8/9, 8/10	$1,001 - $15,000
	Haemonetics (HAE)	P	4/18, 4/28	$1,001 - $15,000
	Harley Davidson (HDI)	P	4/5	$1,001 - $15,000
	Harley Davidson (HDI)	S	11/3	$1,001 - $15,000
	Healthextras (HLEX)	P	1/28	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name James Thomas Kolbe

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Hewlett-Packard (HPQ)	S	9/7	$1,001 - $15,000
	Hitachi LTD (HIT)	P	7/29	$1,001 - $15,000
	Honeywell International (HON)	P	8/25	$1,001 - $15,000
	HUB Group (HUBG)	P	4/6	$1,001 - $15,000
	Hypo Real Estate Holdings (HREHY)	S	12/8	$1,001 - $15,000
	Illinois Tool Works (ITW)	P	12/9	$1,001 - $15,000
	Informatica (INFA)	P	11/23	$1,001 - $15,000
	ING Group NV (ING)	S	2/8, 5/23	$1,001 - $15,000
	Integra Lifesciences (IART)	P	2/11, 3/14	$1,001 - $15,000
	Integra Lifesciences (IART)	S	6/20	$1,001 - $15,000
	International Business Machines (IBM)	S	7/14, 9/20	$1,001 - $15,000
	J 2 Global Communication (JCOM)	P	9/21, 10/11	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Jarden Corp. (JAH)	P	1/18, 1/27	$1,001 - $15,000
	J Jill Group (JILL)	S	1/18	$1,001 - $15,000
	Johnson & Johnson (JNJ)	P	1/26	$1,001 - $15,000
	J P Morgan Chase & Co. (JPM)	P	2/28	$1,001 - $15,000
	Kohls Corp. (KSS)	P	11/30, 12/28	$1,001 - $15,000
	Kronos (KRON)	S	6/15	$1,001 - $15,000
	Legg Mason (LM)	S	5/31, 7/26	$1,001 - $15,000
	Linear Technology Corp. (LLTC)	P	1/19	$1,001 - $15,000
	LKQ Corp. (LKQX)	P	11/28	$1,001 - $15,000
	Loews (LTR)	S	2/25, 6/28	$1,001 - $15,000
	Lowes Companies (LOW)	S	12/28	$1,001 - $15,000
	Lucent Technologies (LU)	P	5/18, 5/31	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Matria Healthcare (MATR)	P	9/15, 9/28	$1,001 - $15,000
	Matsushita Electric Industrial LTD (MC)	S	12/13	$1,001 - $15,000
	MBNA (KRB)	S	1/12, 7/6	$1,001 - $15,000
	Medco Health Solutions (MHS)	P	10/10	$1,001 - $15,000
	Merck (MRK)	P	12/7	$1,001 - $15,000
	Merge Technologies (MRGE)	P	12/16	$1,001 - $15,000
	Microsemi Corp. (MSCC)	P	11/22, 12/5	$1,001 - $15,000
	Microsoft (MSFT)	P	2/2	$1,001 - $15,000
	Micros Systems (MCRS)	P	4/26, 7/15	$1,001 - $15,000
	Millea Holdings (MLEA)	S	11/28	$1,001 - $15,000
	Mitsubishi UFJ Financial (MTU) (formerly Fimsubishi)	E	10/3	$1,001 - $15,000
	Mitsubishi UFJ Financial (MTU)	S	11/29	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Morgan Stanley (MWD)	S	2/23	$1,001 - $15,000
	Navigant Consulting (NCI)	S	7/18	$1,001 - $15,000
	Nippon Telegraph & Telephone (NTT)	P	2/15	$1,001 - $15,000
	Nortel Networks (NT)	P	2/28, 5/25	$1,001 - $15,000
	Nortel Networks (NT)	S	10/25	$1,001 - $15,000
	Novartis AG (NVS)	P	10/11	$1,001 - $15,000
	Oil Co Lukoil (LUKOY)	S	6/22, 7/18	$1,001 - $15,000
	Option Care (OPTN)	P	4/14, 4/27	$1,001 - $15,000
	Oracle (ORCL)	P	7/14, 9/20	$1,001 - $15,000
	Palomar Medical Technologies (PMTI)	P	4/21, 8/17	$1,001 - $15,000
	Paxar Corp. (PXR)	S	4/8	$1,001 - $15,000
	Peets Coffee & Tea (PEET)	P	8/17	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name James Thomas Kolbe

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Pepsico (PEP)	S	10/20	$1,001 - $15,000
	Petroleo Brasileiro SA (PBRA)	S	2/1, 2/25	$1,001 - $15,000
	Pfizer (PFE)	P	11/16, 11/18, 12/14	$1,001 - $15,000
	Pfizer (PFE)	S	1/26, 6/29	$1,001 - $15,000
	Procter & Gamble (PG)	P	2/23	$1,001 - $15,000
	Procter & Gamble (PG)	S	10/4	$1,001 - $15,000
	Progress Software (PRGS)	P	5/31, 6/1, 7/25	$1,001 - $15,000
	Qualcomm (QCOM)	P	2/2, 7/14	$1,001 - $15,000
	Qualcomm (QCOM)	S	11/8	$1,001 - $15,000
	Quicksilver Resources (KWK)	P	4/15	$1,001 - $15,000
	Rogers Corp. (ROG)	S	1/14	$1,001 - $15,000
	Sanofi Aventis (SNY)	P	1/28, 3/2	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Scansource (SCSC)	S	8/25	$1,001 - $15,000
	SFBC International (SFCC)	P	11/2	$1,001 - $15,000
	SFBC International (SFCC)	S	11/28	$1,001 - $15,000
	Sony (SNE)	P	9/9	$1,001 - $15,000
	Southwestern Energy (SWN)	S	10/3	$1,001 - $15,000
	Spartech (SEH)	S	1/28, 1/31	$1,001 - $15,000
	SS&C Technologies (SSNC)	P	6/15, 6/20, 6/21	$1,001 - $15,000
	SS&C Technologies (SSNC)	S	11/25	$1,001 - $15,000
	Starbucks Corp. (SBUX)	P	8/10	$1,001 - $15,000
	Starbucks Corp. (SBUX)	S	11/8	$1,001 - $15,000
	STMicroelectronics (STM)	P	2/28, 5/18	$1,001 - $15,000
	Stratasys (SSYS)	S	7/28	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Stryker (SYK)	P	1/26	$1,001 - $15,000
	Stryker (SYK)	S	10/11	$1,001 - $15,000
	Sumitomo Mitsui Financial (SMFJY)	S	12/2	$1,001 - $15,000
	Sun Microsystems (SUNW)	P	7/22	$1,001 - $15,000
	Symmetry Medical (SMA)	P	8/19, 9/15	$1,001 - $15,000
	Target (TGT)	P	12/28	$1,001 - $15,000
	Techne (TECH)	S	2/11	$1,001 - $15,000
	3M (MMM)	P	6/23	$1,001 - $15,000
	3M (MMM)	S	4/14, 8/8	$1,001 - $15,000
	Total SA (TOT)	P	11/9	$1,001 - $15,000
	Toys R Us (TOY)	S	7/21	$1,001 - $15,000
	Trimble Navigation (TRMB)	P	3/16, 3/21	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Trimble Navigation (TRMB)	S	10/14	$1,001 - $15,000
	United Health Group (UNH)	P	10/10	$1,001 - $15,000
	United Natural Foods (UNFI)	S	3/1	$1,001 - $15,000
	UST (UST)	S	5/17	$1,001 - $15,000
	Xerox (XRX)	P	6/27, 9/12	$1,001 - $15,000
	Zimmer Holdings (ZMH)	P	1/12	$1,001 - $15,000
	Zimmer Holdings (ZMH)	S	10/11	$1,001 - $15,000
	Arizona State University COP Bond	P	2/14	$1,001 - $15,000
	BHP Billiton Limited (BHP)	P	3/28, 9/30	>$1,000
	Easton Vance Tax Managed Growth Fund	S	2/14	$1,001 - $15,000
	First Bank Beverly Hills CD	P	2/14	$15,001 - $50,000
	GMAC Automotive Bank CD	P	12/12	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Maricopa County Unified School District Bond	P	12/12	$15,001 - $50,000
	Merrill Lynch Basic Value Fund Mutual Fund (Class B) (converted to Merrill Lynch Basic Value Fund Mutual Fund (Class A)	E	1/10	$1,001 - $15,000
	Motorola (MOT)	P	1/18, 4/18, 7/18, 10/17	>$1,000
	Pima County Unified School District Bond	S	7/1	$1,001 - $15,000
	Western Bank of Puerto Rico CD	S	11/8	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name James Thomas Kolbe

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Chase Manhattan Bank	Mortgage on Residence, Washington, DC	$250,001 - $500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name James Thomas Kolbe

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Leaders Project	February 25-27	Tucson, AZ - Jacksonville, FL - Washington, DC	Y	Y	N	N/A
Kennedy School of Government, Harvard University	March 6-8	Tucson, AZ - Boston, MA - Washington, DC	Y	Y	N	N/A
Aspen Institute/German Marshall Fund	March 25-April 4	Tucson, AZ - Shanghai, Nanjing, Xian & Beijing, China - Nagoya, Japan - Washington, DC	Y	Y	N	N/A
Minneapolis Foundation/Humphrey Institute Policy Forum	April 24-25	Washington, DC - Minneapolis, MN - Washington, DC	Y	Y	N	N/A
Transatlantic Policy Network	May 13-16	Washington, DC - Salt Lake City, UT - Washington, DC	Y	Y	N	N/A
Australian American Leadership Dialogue	August 13-21	Tucson, AZ - Sydney, Australia - Tucson, AZ	Y	Y	N	3 Days
Clinton Foundation/Clinton Global Initiative	September 16	Washington, DC - New York City, NY - Tucson, AZ	Y	Y	N	N/A
Renaissance Weekend	December 28-January 1	Tucson, AZ - Charleston, SC - Washington, DC (transportation NOT furnished)	Y	Y	N	N/A

SCHEDULE VIII - POSITIONS

Name James Thomas Kolbe

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an *honorary nature; and positions listed on Schedule I.*

Position	Name of Organization
Trustee	Embry-Riddle Aeronautical University, Daytona Beach, FL
Board of Visitors	Weinberg College of Arts & Sciences, Northwestern University, Evanston, IL
Board of Directors	Community Food Bank, Tucson, AZ
Board of Directors	Wright Flight, Tucson, AZ
Board of Directors	International Republican Institute, Washington, DC

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2006 MAY 15 PM 2:05
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

DENNIS J. KUCINICH
(Full Name)

14400 DETROIT AVE.
CLEVELAND, OHIO
(Mailing Address)

(216) 228-8850
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: OHIO District: 10	☐ Officer or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dennis J. Kucinich	5/11/06

2400

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	AVALON PUBLISHING GROUP EMERYVILLE, CA. 94608	BOOK SALES	$2,305

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name DENNIS J. KUCINICH

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B											Block C							Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples	SP	Mega Corp. Stock				X								X										X							P
DC			Simon & Schuster	Indefinite																	Royalties									X		
JT			1st Bank of Paducah, KY accounts					X									X									X						
	OHIO RED BOND RET SVC			✓																	(OTHER)	✓										(S)*
	AM. CROATIAN LODGE				✓																											
	THIRD FEDERAL SVL					✓																										
	CONG. FED. CREDIT UNION			✓																												
	HANA HOLDING LLC (A)																					✓										
	DIGITAL NEWS REEL LLC (B)								✓													✓										

(A) HANA HOLDING IS AN INTELLECTUAL PROPERTY INCORPORATOR AND LICENSOR A NEW TECHNOLOGY FOR WHICH NO FAIR MARKET VALUE EXISTS.

(B) DIGITAL NEWS REEL IS A LICENSEE OF HANA HOLDING'S.

For additional assets and unearned income, use next page.

* CASHED OUT PENSION PLAN

2402

SCHEDULE IV — TRANSACTIONS

Name DENNIS J. KUCINICH

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
	OHIO PUBLIC EMPLOYES RET SYC.*		✓		10-1-05	✓									

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* CASHED OUT PENSION $10,781.00

Name DENNIS J. KUCINICH | Page 5 of 7

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	AMERICAN EXPRESS	CREDIT CARD	✓									
	CONG. CREDIT UNION	LOAN		✓								
	THRIFT SAVINGS PROGRAM	LOAN		✓								
	MUSTARD SEED CATERING	DEBT		✓								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NONE		

Use additional sheets if more space is required.

2404

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
SPEECH:	MONTANA HUMAN RIGHTS NETWORK	1/4/05-1/5/05	ATL - GREAT FALLS - CLEVE.	Y	Y	N	NONE
SPEECH:	PIERCE CTY. CONT. LABOR COUNCIL	1/29/05-1/31/05	CLV. - SEATTLE - CLV.	N	Y	N	NONE
SPEECH:	PEACE ALLIANCE FOUNDATION	2/20/05-2/24/05	DC - S.FRAN - ALBUQUERQUE	N	N	N	ONE
SPEECH:	UNITY ON THE RIVER	3/12/05-3/13/05	CLV - BOSTON - CLV	Y	N	N	NONE
SPEECH:	ETHICAL SOCIETY OF ST. LOUIS	4/3/05	CLV - ST. LOUIS - CLV	N	Y	N	NONE
SPEECH:	UNIV. UTAH, STUDENT ORG. HUMAN RIGHTS	4/13/05	CLV - SALT LAKE CITY	N	Y	N	NONE
SPEECH:	ARTIST FILM FESTIVAL	4/22/05-4/24/05	SALT LAKE CITY - LA - PORTLAND OR	N	N	N	ONE
SPEECH:	UNITARIAN UNIV. CHURCH AT SHELTER ROCK	4/29/05-4/30/05	CLV - NYC - CLV	Y	Y	N	NONE
SPEECH:	MAINE PEOPLE'S ALLIANCE	5/6/05	CLV - PORTLAND ME.	Y	Y	N	NONE
SPEECH:	BOWDOIN COLLEGE DEMOCRATS	5/7/05-5/8/05	BANGOR - CLV	Y	Y	N	NONE
SPEECH:	INT. LONGSHORE + WAREHOUSE UNION	5/14/05-5/15/05	DC - LA - CLV	Y	Y	N	NONE
SPEECH:	UN. PARLIAMENT. NETW. NUCLEAR DISARM	5/22/05-5/23/05	CLV - NYC - DC	Y	N	N	NONE
SPEECH:	INT. CINEMATOGRAPHERS GUILD	6/11/05-6/12/05	CLV - LA - DC	Y	N	N	NONE
SPEECH:	JAIN CENTER OF N. CALIF.	7/1/05-7/4/05	CLV - SF - CLV	Y	N	N	NONE
SPEECH:	RUSH INSTITUTE	7/30/05-7/31/05	CLV - ALBANY - CLV	Y	Y	N	NONE
SPEECH:	THE DEMOCRACY COLLABORATIVE	9/9/05-9/12/05	DC - PARIS - DC	Y	Y	WIFE - SELF FUNDED	NONE
SPEECH:	MAINE DREAM FARMERS	9/24/05-9/26/05	DC - PORTLAND - DC	Y	Y	Y	NONE
SPEECH:	BE THE CHANGE	10/4/05-10/6/05	CLV - DENVER - CLV	Y	Y	Y	NONE
SPEECH:	ALLIANCE FOR A NEW HUMANITY	12/8/05-12/11/05	DC - SAN JUAN PR - CLV	Y	Y	Y	NONE

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
MEMBER	DIGITAL NEWS REEL, LLC
PARTNER	HANA HOLDING, LLC

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 2006 25-828 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2006 MAY 16 PM 4:31
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only) MC

JOHN R. KUHL, JR.
(Full Name)

12262 West Lake Road
(Mailing Address)

607 - 776-9142
Daytime Telephone

Hammondsport, New York 14840

Filer Status	[X] Member of the U.S. House of Representatives	State: New York District: 29th	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John R. Kuhl, Jr.	MAY 15, 2006

2407

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	*Ontario County Board of Education	Spouse Salary	NA
	U.S. House of Representatives	legislative salary	129,691.14
	NY State & Local Employees Retirement System	pension	71,160.36

For payments to charity in lieu of honoraria, use Schedule II.

Name JOHN R. KUHL, JR

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B												Block C							Block D											Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:* Mega Corp Stock					X								X										X								P
	Examples: Simon & Schuster		Indefinite																	Royalties									X			
	Examples: 1st Bank of Paducah, KY accounts						X									X										X						
JT	10 Gansevoort St. Bath						X								X										X							
JT	Crantelete Condo Haines City, Fla.					X																										
	Johnson & Johnson			X													X									X						

For additional assets and unearned income, use next page.

2409

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHN R. KUHL, JR

SP, OC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	T ROWE PRICE EQUITY				X												X				X											S part
	Fidelity Magellan				X												X				X											S "
	Fidelity OTC				X												X				X											S "
	Janus Fund				X												X				X											S "

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SCHEDULE IV — TRANSACTIONS

Name JOHN R. KUHL, JR

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example.* Mega Corporation Common Stock	X			10–12–05		X								
	T. Rowe Price Equity		X				X								
	Fidelity Magellan		X				X								
	Fidelity OTC		X				X								
	Janus Fund		X				X								

This page may be copied if more space is required.

2411

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Bank of America / VISA	credit card - revolving account	X									
	Bath National Bank	line of credit				X						
	Equitable Life Insurance (AXA)	life ins. policy - loans		X								
	MBNA America	credit card - revolving acct		X								
	CHASE BANK	credit card loan		X								
	Bank One	credit card loan		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example. Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
not applicable (none)		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	American Israel Education Foundation	8/21-8/28 2005	New York - Israel - New York	Y	Y	Y	none

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President, Bd of Directors	Reginald Wood Scout Memorial, Inc.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NOT APPLICABLE (none)	

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

8

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OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES

(Office Use Only)

Ray H. LaHood
(Full Name)

1424 Longworth Building Washington, DC 20515
(Mailing Address)

202-225-6201
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: IL District: 18	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. A, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/11/06

2415

SCHEDULE I - EARNED INCOME

Name Ray H. LaHood

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Goodwill Industries of Central Illinois	Spouse Salary	N/A

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Ray H. LaHood

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Salvation Army Tree of Lights	Speech	Dec. 2, 2005	$250

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ray H. LaHood

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	National City Savings & Investment Plan	$50,001 - $100,000	Deferred/Not self directed	NA/Retirement	
SP	National City Retirement Plan	$15,001 - $50,000	Deferred/Not self directed	NA/Retirement	
SP	TIAA-CREF	$100,001 - $250,000	Deferred/Not self directed	NA/Retirement	
SP	CEFCU Credit Union (Money Market Account)	$50,001 - $100,000	Interest	$1 - $200	

2418

SCHEDULE V - LIABILITIES

Name Ray H. LaHood

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Direct Loans-U.S. Department of Education	Student Loan	$15,001 - $50,000
	Mid-American Bank, Bloomington, IL	Short Term/Misc. Loan	$50,001 - $100,000
	Heritage Bank, Peoria, IL	Personal Loan	$15,001 - $50,000

SCHEDULE VI - GIFTS

Name Ray H. LaHood

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
IL College	Travel Gift Certificate won in a raffle	$1,600

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Ray H. LaHood

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Aspen Institute	Mar. 25-Apr. 3, 2005	Chicago, IL--Beijing, China--Chicago, IL	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Ray H. LaHood

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee (Unpaid)	Gallaudet University
Trustee (Unpaid)	Bradley University (Terminated 3/15/06)
Board of Reference (Unpaid)	Chicago Executive Forum

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

Ray H. LaHood
(Full Name)

1424 Longworth Building Washington, DC 20515
(Mailing Address)

202-225-6201
Daytime Telephone

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: IL District: 18	☐ Officer Or Employee	Employing Office:
Report Type	☐ Annual (May 15)	☑ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth *more than $1,000 at the end of the period*? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☑ No ☐	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		6/7/06

2423

SCHEDULE I - EARNED INCOME

Name Ray H. LaHood

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
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SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Ray H. LaHood

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Source	Activity	Date	Amount
Pekin Life Ins. Co.	Speech	Dec. 2, 2005	$250

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ray H. LaHood

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
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SP	TIAA-CREF	$100,001 - $250,000	Deferred/Not self directed	NA/Retirement	
SP	CEFCU Credit Union (Money Market Account)	$50,001 - $100,000	Interest	$1 - $200	

SCHEDULE V - LIABILITIES

Name Ray H. LaHood

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

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SCHEDULE VI - GIFTS

Name Ray H. LaHood

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Source	Description	Value
IL College	Travel Gift Certificate won in a raffle	$1,600

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Ray H. LaHood

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Aspen Institute	Mar. 25-Apr. 3, 2005	Chicago, IL--Beijing, China--Chicago, IL	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Ray H. LaHood

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
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Trustee (Unpaid)	Bradley University (Terminated 3/15/06)
Board of Reference (Unpaid)	Chicago Executive Forum

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

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James R. Langevin
(Full Name)

109 Cannon Washington, DC 20515
(Mailing Address)

202-225-2735
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative	State: RI District: 02	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jim Langevin	5·15·06

2431

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James R. Langevin

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Eaton Vance Nat'l Muni Fund	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	
Investment Company of America	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	$201 - $1,000	
MS Aggressive Equity Fund B	$50,001 - $100,000	CAPITAL GAINS	$1 - $200	
American WA Mutual	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
MS Global Utilities Fund A (note: this was listed as Fund B in previous filings - it was changed to A on 4/25/05	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James R. Langevin

	Muni Enhanced Fund	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
	MFS Municipal Income Trust	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Int'l Value Equity B (in Roth IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	M.S. Charter Campbell (in Roth IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	M.S. Charter MSFCM (in Roth IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	M.S. Charter Graham (in Roth IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Managed Municipals Portfolio	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Munivest Fund II, Inc.	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Putnam Voyager A	$100,001 - $250,000	None	NONE	S
	Putnam Growth and Income A	$50,001 - $100,000	None	NONE	S
	Dreyfus Strategic Mun Bd FT	$15,001 - $50,000	None	NONE	S
	Van Kamp Muni Invest SBI	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	S
	Bank RI (savings)	$1,001 - $15,000	INTEREST	$1 - $200	
	Citizens Bank (savings)	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James R. Langevin

	SunLife of Canada (result of demutualization of company)	$50,001 - $100,000	Other: (Please specify)	$1,001 - $2,500	
	SunLife of Canada (insurance policy)	$500,001 - $1,000,000	INTEREST (from principal on premium)	$5,001 - $15,000	
	US Savings Bonds	$1,001 - $15,000	None	NONE	
	340 Shawmut Ave, Warwick, RI	$100,001 - $250,000	RENT	$5,001 - $15,000	
	15 Jefferson St, Warwick, RI	$100,001 - $250,000	RENT	$5,001 - $15,000	
	Bonnet Shores Beach Cabana	$15,001 - $50,000	None	NONE	
	65 Chesterfield, Warwick, RI	$100,001 - $250,000	RENT	$5,001 - $15,000	
	17 Scott Ave, Warwick, RI	$100,001 - $250,000	RENT	$5,001 - $15,000	
	25 Scott Ave, Warwick, RI	$100,001 - $250,000	RENT	$5,001 - $15,000	
	170 Shannon Dr, Warwick, RI *note: this property has been transferred to Dean Realty LLC	$100,001 - $250,000	RENT/None	NONE	
	14901 Park Lane Dr #101, Ft. Meyers, FL	$100,001 - $250,000	None	NONE	
	1065 Frenchtown Rd, East Greenwich, RI	$250,001 - $500,000	None	NONE	
	Muniyield Quality Fund	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James R. Langevin

	MS Value Fund A	$100,001 - $250,000	CAPITAL GAINS/DIVIDENDS	$5,001 - $15,000	
	MS Aggressive Equity Fund A	$100,001 - $250,000	CAPITAL GAINS/DIVIDENDS	$5,001 - $15,000	
	9 Jefferson St, Warwick, RI	$100,001 - $250,000	RENT	$15,001 - $50,000	

SCHEDULE IV - TRANSACTIONS

Name James R. Langevin

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Dreyfus Strategic Mun Bd FT	S	2-7-05	$15,001 - $50,000
	Putnam Growth and Income A	S	2-7-05	$50,001 - $100,000
	Putnam Voyager A	S	6-22-05	$100,001 - $250,000
	Van Kamp Muni Invest SBI	S	12-30-05	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name James R. Langevin

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Morgan Stanley	Margin Debt	$250,001 - $500,000
	Washington Mutual Home Loans	Mortgage on 340 Shawmut Ave, Warwick, RI	$250,001 - $500,000
	Washington Mutual Home Loans	Mortgage on 9 Jefferson, Warwick, RI	$100,001 - $250,000
	ABN AMRO Mortgage	Mortgage on 25 Scott St, Warwick, RI	$100,001 - $250,000
	Countrywide Home Loans	Mortgage on 17 Scott St, Warwick, RI	$50,001 - $100,000
	American Express	Credit Card debt	$15,001 - $50,000
	Discover	Credit Card debt	$10,001 - $15,000
	Chase Visa	Credit Card debt	$10,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name James R. Langevin

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under *the Foreign Gifts and Decorations Act (5 U.S.C § 7342)*; *political travel that is required to be reported under the Federal Election Campaign Act*; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
American Israel Education Foundation	August 8-15	Providence - Newark - Israel - Newark - Providence	Y	Y	N	N/A
American Shipbuilding Assn	November 28 - December 3	Providence - Naples, FL - Providence	Y	Y	N	3 days

SCHEDULE VIII - POSITIONS

Name James R. Langevin

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	Future Realty Company
Trustee	Trust held by June Langevin (mother)
Director	Langevin Foundation
Board Member	Langevin Family Trust

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

6

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2006 MAY 10 PM 4:41
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Thomas Peter Lantos
(Full Name)

2413 RHOB
(Mailing Address)

225-3531
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: CA District: 12	[ ] Officer or Employee	Employing Office
Report Type	[ ] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Tom Lantos	5/9/06

2440

SCHEDULE I – EARNED INCOME

Source	Type	Amount
State of California Public Systems	Pension	14,150
California Teachers Association	Pension	15,046

SCHEDDULE III – ASSETS AND "UNEARNED" INCOME

	Asset and or/ Income Source	Value of Asset	Type of Income	Amount of Income	Transaction
	Keogh Retirement – Merrill Lynch (consists of;)				
	(1) Allstate Corp. Del	C	Dividends	III	
	(2) Morgan Stanley Dean Witter Disc.	C	Dividends	II	
	(3) Sears Roebuck	A	N/A	I	Exchanged for cash by fund manager on April 1, 2005 as part of K-Mart purchase of Sears Roebuck
	(4) ML USA Gov't Reserves	F	Dividends	VI	
JT	Merrill Lynch - CMA	D	Interest	II	
	Merrill Lynch IRA (Consists of;)				
	ML USA Gov't Reserves	D	Dividends	II	
SP	Merrill Lynch IRA (consists of;)				
	(1) Boeing	D	Dividends	III	
	(2) ML USA Gov't Reserves	B	Dividends	II	
	(3) Cash Account	A	N/A	I	
JT	Wright-Patman Congressional Federal Credit Union – Money Market, Checking and Savings, Account	B	N/A	I	

JT	Charles Schwab Account (Consists of;)				
	(1) AMR Corp	F	N/A	I	
	(2) Apple Computer Inc.	A	Capital Gains	VIII	S
	(3) Boeing Co	I	Dividends	VI	
	(4) Cisco Systems	D	N/A	I	P
	(5) Disney Walt Hld. Co	E	Dividends	III	
	(6) Eastman Kodak	E	Dividends	IV	
	(7) Ford Motor Co.	C	Dividends	II	P
	(8) General Electric	F	Dividends	V	
	(9) Merck & Co.	D	Dividends	III	P
	(10) Northwest Airlines	C	N/A	I	
	(11) Oracle Corp.	C	N/A	I	
	(12) Pfizer	D	Dividends	IV	P
	(13) United Airline Corp. New	D	Capital Gains	IV	S
	(14) Xerox Corp.	F	N/A	I	
	(15) Schwab Money Market Fund	E	Dividends	V	
SP	Multi Unit Apartment Building, 5940 Balzam Vancouver, B.C.	H	Gross Rent	IX	
			Net Rent	VIII	

SP	4596 Perry Street, Denver, Colorado	G	Gross Rent	VII	
			Net Rent	I (expenses exceeded rents)	
SP	35 River Walk Way Lowell, MA	F	N/A	I	P
SP	Wachovia Checking & Money Market	C	Interest	II	

SCHEDULE IV – TRANSACTIONS

	Asset	Type of Transaction	Date	Amount of Transaction
	Apple Computer Inc.	S	1/03/05	C
	Cisco Systems	P	3/07/05	C
	Ford Motor Company	P	6/15/05	B
	Merck & Co.	P	4/8/05	B
	Merck & Co.	P	4/11/05	C
	Pfizer Inc.,	P	4/8/05	B
	Pfizer Inc.,	P	4/11/05	C
	Pfizer Inc.,	P	5/02/05	C
	Sears and Roebuck	S (exchanged for Cash as part of purchase of Sears by K-Mart)	4/1/05	B
	United Airline Corp. New	S	12/23/05	B
SP	35 River Walk Way Lowell, MA	P	12/15/05	E

SCHEDULE V – LIABILITIES

	Creditor	Type of Liability	Amount of Liability
SP	Countrywide Home Loans	Mortgage on 4596 Perry Street, Denver, Colorado	F
SP	First Horizon Home Loans	Mortgage on 35 River Walk Way, Lowell, MA	E

SCHEDULE VII – TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Dates	Point of Departure – Point of Return	Lodging	Food	Family Member	Any time not at sponsor's expense
William Davidson Institute – University of Michigan	June 20	Washington, DC – Ann Arbor, MI – Washington, DC	N	N	N	N
American Jewish Congress	July 31 to Aug. 13	Washington, DC – Zagreb, Croatia – Opatija, Croatia – Belgrade, Serbia & Montenegro – Budapest, Hungry – Washington, DC	Y	Y	Y	Y (Aug. 6 to Aug 12)
American Jewish Congress	Sept. 17 to Sept. 19	Washington, DC – New York, NY – Washington, DC	Y	N	Y	N
Computer Generated Solutions	Sept. 24 to Sept. 27	Washington, DC – Las Vegas, NV – Washington, DC	Y	N	Y	N
New Hampshire Supreme Court – King Lecture Fund	Oct 23 to Oct 25	Washington, DC – Manchester, NH – Washington, DC	N	N	N	N

Schedule VIII – Positions

International Advisory Board (uncompensated)	Peres Peace Center
Board Member (uncompensated)	William Davidson Institute - University of Michigan Business School

LEGISLATIVE RESOURCE CENTER
2006 MAY 10 PM 3:04
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

DATE: May 10, 2006

TO: Committee on Standards of Official Conduct

FROM: Richard R. Larsen, United States Representative, WA-02

SUBJECT: Financial Disclosure Statement for Calendar Year 2005

MC
5

Attached please find my Financial Disclosure Statement for Calendar Year 2005. The purpose of this memo is to point out a change from the Calendar Year 2004 statement.

On or about October 1, 2005, American Express Financial Advisors was spun off from American Express Financial Services. As part of that spin-off, all American Express mutual funds (listed as AXP funds on the 2004 statement) were renamed Riversource funds (listed as RVS funds on the 2005 statement).

Therefore, I wanted to point out that the name change reflected on the 2005 disclosure statement to RVS funds from AXP funds does not reflect a purchase, sale, or exchange on my part but a corporate change by American Express. I hope this memo is sufficient to explain that change on the 2005 disclosure statement.

Please contact me with any questions.

LEGISLATIVE RESOURCE CENTER
2006 MAY 10 PM 3:04
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2006 MAY 10 PM 3:03
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Richard R Larsen
(Full Name)

9411 Kingsley Avenue Bethesda, MD 20814
(Mailing Address)

Daytime Telephone
202-225-2605

Filer Status	☐ Member of the U.S. House of Representatives — State: WA District: 02	☐ Officer or Employee — Employing Office	
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
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2448

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B	Block C							Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp. Stock				X								X										X									P
			Simon & Schuster		Indefinite																Royalties									X				
			1st Bank of Paducah, KY accounts					X									X										X							
JT	AE ONE Acct / CHECKING				X												X						X											
JT	Long Fd Credit Union / CHECKING			X													X						X											
JT	Long Fd Credit Union / SAVINGS			X													X						X											
JT	Southwestern Ky Pub Credit Union / Svgs			X													X						X											
JT	AE Highfield Savings Acct / SAVINGS				X												X						X											

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Richard R. Larsen

Page 3 of 4

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	RVS Dividend Opp A				X									X							X											
JT	RVS Diversified Eq Inc A			X										X							X											
JT	RVS Small Cap Value A				X									X			X				X											
S	Richard R. Larsen IRA																															
	RVS Fundamental Value B			X																NONE		N/A		IRA FUND								
	RVS Diversified Eq Inc B			X																NONE		N/A		IRA FUND								
S	Richard R. Larsen Roth IRA																															
	RVS Small Cap Value B			X																NONE		N/A		IRA FUND								
SP	Trie Ingrid Karlen IRA																															
	RVS Large Cap Equity B			X																NONE		N/A		IRA FUND								
SP	Trie Ingrid Karlen TSA																															
	RVS Fundamental Value B			X																NONE		N/A		IRA FUND								
S	Flexible Annuity			X																NONE												
S	Variable Life Insurance				X															NONE												

X All Dividends + gains are reinvested automatically back into funds

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2450

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Democratic Leadership Council	Ap 28-May 1	WA DC - New Orleans - WA DC	Y	Y	Y	None

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LEGISLATIVE RESOURCE CENTER

2006 MAY 23 PM 1:28

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

DATE: May 23, 2006

TO: Committee on Standards of Official Conduct

FROM: Richard R. Larsen, United States Representative, WA-02

SUBJECT: Amended Financial Disclosure Statement for Calendar Year 2005

The purpose of this memo is to inform the office that I am amending my Financial Disclosure Statement for Calendar Year 2005.

After speaking with Committee staff, I am submitting an amended copy of the statement. I have made changes in red on a copy of the original forms. If you need a new set of forms, please contact me.

Changes:

1. Preliminary Information #IV: I neglected to answer this question. I have marked it "no."
2. Preliminary Information #V: I marked the wrong box. The correct box is "no." I originally marked it "yes," thinking that I was required to report second mortgages on homes. As we are not renting out any part of either residence, there is no need to report these liabilities and should have marked the "no" box.
3. On schedule III, both the Flexible Annuity and the Variable Life Insurance are Riversource funds. I have marked each as such on the amendment.
4. On schedule III, none of IRA's produce income; therefore I have marked "none" under Block D.

Please contact me with any questions.

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2006 MAY 23 PM 1:29
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

DATE: May 10, 2006

TO: Committee on Standards of Official Conduct

FROM: Richard R. Larsen, United States Representative, WA-02

SUBJECT: Financial Disclosure Statement for Calendar Year 2005

Attached please find my Financial Disclosure Statement for Calendar Year 2005. The purpose of this memo is to point out a change from the Calendar Year 2004 statement.

On or about October 1, 2005, American Express Financial Advisors was spun off from American Express Financial Services. As part of that spin-off, all American Express mutual funds (listed as AXP funds on the 2004 statement) were renamed Riversource funds (listed as RVS funds on the 2005 statement).

Therefore, I wanted to point out that the name change reflected on the 2005 disclosure statement to RVS funds from AXP funds does not reflect a purchase, sale, or exchange on my part but a corporate change by American Express. I hope this memo is sufficient to explain that change on the 2005 disclosure statement.

Please contact me with any questions.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

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2006 MAY 23 PM 1:28

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Full Name) Richard R. Larsen

(Mailing Address) 9411 Kingsley Avenue Bethesda, MD 20814

Daytime Telephone: 202-225-2605

Filer Status	☐ Member of the U.S. House of Representatives	State: WA District: 02	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

(Office Use Only)

A *$200 penalty* shall be assesse against anyone who files more th 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more *than $305 and not otherwise exempt*)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the *appropriate* schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☐
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☐

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting pers upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifie or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 100

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Richard R. Larsen	5/10/06

2454

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Richard R. Larsen

Page 2

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the Instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income. Check "None" if no income was received.

BLOCK E — Transa

Indicat asset v purcha (P), sol or exchan (E) in reportir year.

			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	E
SP, DC, JT	Examples:	SP	Mega Corp. Stock					X							X										X								P
			Simon & Schuster		Indefinite																Royalties									X			
			1st Bank of Paducah, KY accounts					X									X									X							
JT	AE ONEACCT/CHECKING				X												X						X										
JT	Cong Fd Credit Union/CHECKING			X													X						X										
JT	Cong Fd Credit Union/SAVINGS			X													X						X										
JT	Southern Ill. Pub Credit Union/Svgs			X													X						X										
JT	AE Highland Savings Acct/SAVINGS				X												X						X										

For additional assets and unearned income, use next page.

2455

ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Richard R. Larsen

Page 3

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transa
SP	RVS Dividend Opp A				X									X							X											
SP	RVS Diversified Eq Inc A			X										X							X	All dividends + gains rec										
SP	RVS Small Cap Value A				X									X			X				X	eliminated certainly back										
S	Richard R. Larsen IRA																															
	RVS Fundamental Value B			X																NONE	X	N/A		IRA FUND								
	RVS Diversified Eq Inc B			X																NONE	X	N/A		IRA FUND								
S	Richard R. Larsen Roth IRA																															
	RVS Small Cap Value B			X																NONE	X	N/A		IRA FUND								
SP	Tina Ingrid Karlin IRA																															
	RVS Large Cap Equity Fund B			X																NONE	X	N/A		IRA FUND								
SP	Tina Ingrid Karlin TSA																															
	RVS Fundamental Value B			X																NONE	X	N/A		IRA FUND								
S	Flexible Annuity - RVS			X																NONE	X											
S	Variable Life Insurance - RVS				X															NONE	X											

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2456

Name Richard R. Larson | Page 4 c

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 receive you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid dir by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported unde Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provid a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days sponsor's expe
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Democratic Leadership Council	Ap. 28-May 1	WA DC - New Orleans - WA DC	Y	Y	Y	N-US

2457

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

John B. Larson
(Full Name)

1887 Old Main Street
(Mailing Address)

202-225-2265
Daytime Telephone:

East Hartford, CT 06108

Filer Status	☒ Member of the U.S. House of Representatives — State: CT District: 1st	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☒	No ☐	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jon B. Larson	May 11th 2006

2458

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Networking Concepts, LLC	Insurance Commissions for Past Services	Gross $54,167 Net $50,590
	Aero-Med, LTD	Spouse Salary	$16,540

For payments to charity in lieu of honoraria, use Schedule II.

Name John B. Larson | Page 3 of 6

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
XYZ Magazine	Article	Aug. 13, 2005	$500
External Affairs Group of Diageo, North America	Appearance	March 8, 2005	$1,000

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	F $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E
SP	Examples: Mega Corp. Stock					X								X										X								P
DC	Examples: Simon & Schuster			Indefinite																Royalties								X				
JT	Examples: 1st Bank of Paducah, KY accounts						X									X									X							
	Fleet Bank CD				X											X							X									
	Fleet Bank Checking			X												X						X										
	Fleet Bank IRA			X												X						X										
SP	Fleet Bank IRA			X												X						X										
	Fidelity Inv. SEP						X							X		X	X									N/A						
	Networking Concepts LLC					X								See Schedule I																		

For additional assets and unearned income, use next page.

2461

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John B. Larson

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Congressional F.C.U.			X												X															
	Galaxy Money Market F.D.	X														X					X										

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	The Old State House
Member	River Front Recapture
Member	Networking Concepts, LLC

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2006 25-428 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2006 MAY 12 PM 5:51
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

8
MC

Thomas P. Latham
(Full Name)

178-180 th Street
(Mailing Address)

Alexander, Iowa 50420

Daytime Telephone 202-225-5476

Filer Status: [X] Member of the U.S. House of Representatives — State ___ District ___ | [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) | [ ] Amendment | [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Thomas P. Latham	May 12, 2006

2464

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	*Spouse Speech*	*$1,000*
	Ontario County Board of Education	Spouse Salary	NA
	Latham Seed Co. Alexander, Iowa	Salary	6,115

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: *Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.*

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	Examples	SP	Mega Corp. Stock					X							X										X								P
			Simon & Schuster		Indefinite																Royalties									X			
		JT	1st Bank of Paducah, KY accounts						X								X										X						
JT	Modderman Farm Alexander, Iowa 110.7A									X																		X					
JT	Latimer Farm Latimer, Iowa 93.7A.									X																	X						
JT	Blom Farm Alexander, Iowa 76.5A.							X																			X						

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Thomas P. Latham

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction P, S, E
	401K Pension Plan American Funds	D $15,001 – $50,000	EXCEPTED TRUST: N/A	VI $5,001 – $15,000: N/A	
	Individual Retirement Acct Smith Barney Fundamental Value Fund CL-C	D $15,001 – $50,000	EXCEPTED TRUST: N/A	VI $5,001 – $15,000: N/A	
	First Citizens Natl. Bank Clarion, Iowa	E $50,001 – $100,000	INTEREST	III $201 – $1,000	
	Green Circle Investments Inc Common Stock - Bank Holding Co. for Peoples Trust + Savings Bank, Adel, Iowa	I $1,000,001 – $5,000,000	DIVIDENDS	I None	
	Congressional Federal Credit Union	C $1,001 – $15,000	INTEREST	II $1 – $200	
	Peoples Trust + Savings Bank Adel, Iowa	B $1 – $1,000	INTEREST	I None	

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2467

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Thomas P. Latham

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENTS, ROYALTIES, PROFITS	INTEREST	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK F Transaction: P, S, E
	G.E. Life + Annuity Co. Annuity Contract					X													N/A					N/A							
	Latham Seed Co. 1/3 Interest Common Stock, Seed Sales Alexander, Iowa																		N/A	X											
	Farm Buildings Alexander, Iowa				X																			X							
	DTB Farms, LLC Farm Operate Partnership Alexander, Iowa						X												Partnership									X			
	Latham Farms, LLC Farm Operation Partnership Alexander, Iowa					X													Partnership						X						

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Peoples Trust + Savings Bank	Unsecured		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
None		

Use additional sheets if more space is required.

Name Thomas P. Latham | Page 7 of 8

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	*Chicago Chamber of Commerce*	*Mar. 2*	*Wash., D.C.—Chicago—Wash., D.C.*	*N*	*N*	*N*	*None*
	Roycroft Corporation	*Aug. 6–11*	*Wash., D.C.—Los Angeles—Cleveland*	*Y*	*Y*	*Y*	*2 Days*
	Chicago Mercantile Exchange	Mar. 18-20	Wash., D.C.- Boca Raton, Fla. - Wash., D.C.	Y	Y	N	None

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Consultant	Latham Seed Co., Alexander, Iowa

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

GPO: 2006 25-628 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2006 MAY 15 PM 3:58
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

STEVEN C. LATOURETTE
(Full Name)

2453 RAYBURN HOB
(Mailing Address)

WASHINGTON D.C.

Daytime Telephone 202-225-5731

Filer Status	[X] Member of the U.S. House of Representatives — State: OHIO District: 14	[ ] Officer or Employee — Employing Office	A *$200 penalty* shall be assessed against anyone who files more than 30 days late.
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [X]	No [ ]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	S C LaTourette	5/15/06

2472

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Van Scoyoc and Associates	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B												Block C							Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp. Stock				X								X										X								P
			Simon & Schuster	Indefinite																	Royalties												
			1st Bank of Paducah, KY accounts					X									X										X				X		
	THRIFT SAVINGS							X									X							X									
SP	CONGRESSIONAL FCU							X									X							X									

For additional assets and unearned income, use next page.

2474

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	BNSF	June 10-12	D.C. - Seattle - D.C.	Y	Y	Y	None
	Nuclear Energy Institute	Nov. 19-22	D.C. - Las Vegas - Newark	Y	Y	Y	None

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2006 MAY 31 PM 3:24
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

STEVEN C. LATOURETTE
(Full Name)

2453 RAYBURN HOB
WASHINGTON D.C.
(Mailing Address)

Daytime Telephone: 225-5731

Filer Status	[X] Member of the U.S. House of Representatives — State: ____ District: ____	[ ] Officer or Employee	Employing Office: ____
Report Type	[X] Annual (May 15)	[X] Amendment	[ ] Termination — Termination Date: ____

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [X]	No [ ]
EXEMPTION—*Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?*	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	S. Latourette	5/30/06

2476

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Steven LaTourette

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP	Examples:	SP Mega Corp. Stock					X								X										X								P
DC		Simon & Schuster		Indefinite																	Royalties									X			
JT		1st Bank of Paducah, KY accounts						X									X										X						
SP	Congressional FCU							X									X							X									
SP	Family Trust (excepted trust)							X											X			X											

For additional assets and unearned income, use next page.

2477

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515

LEGISLATIVE RESOURCE CENTER
2006 APR 21 PM 3:21
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

March 1, 2006

The Honorable Steven C. LaTourette
U.S. House of Representatives
2453 Rayburn House Office Building
Washington, D.C. 20515

MC

Dear Colleague:

This responds to your letter of February 17, 2006, requesting, pursuant to § 102(a)(2)(C) of the Ethics in Government Act, a waiver of the financial disclosure reporting requirements for gifts received in connection with the upcoming birth of your baby.

On behalf of the Committee, we grant your request. The Legislative Resource Center will be notified in accordance with the statutory requirement that waiver requests be made a part of the public record.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103

Sincerely,

Doc Hastings
Chairman

Alan B. Mollohan
Ranking Minority Member

DH/ABM:hbs

Copy to: Legislative Resource Center
B-106 Cannon House Office Building
ATTENTION: Janice Glosson

COMMITTEE ON TRANSPORTATION
AND INFRASTRUCTURE
CHAIRMAN, SUBCOMMITTEE ON RAILROADS

COMMITTEE ON
FINANCIAL SERVICES

COMMITTEE ON
GOVERNMENT REFORM

Steven C. LaTourette
Congress of the United States
14th District, Ohio

February 17, 2006

RECEIVED
2006 FEB 22 AM 11:47
COMMITTEE ON STANDARDS

The Honorable Doc Hastings
Chairman
Committee on Standards of Official Conduct
HT2 – The Capitol
Washington, DC 20515

Dear Chairman Hastings:

I am writing to request a waiver of the requirement to report, on my Financial Disclosure Statement, the gift that is referenced in the Committee's letter to me of February 1, 2006.

Thank you for your immediate attention to this matter. With warm personal regards, I remain

Sincerely,

Steven C. LaTourette
Member of Congress

ROOM 2463
RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-5731

1 VICTORIA PLACE
ROOM 320
PAINESVILLE, OH 44077
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1-800-447-0529

MORELAND HILLS VILLAGE HALL
4350 SOM CENTER ROAD
MORELAND HILLS, OH 44022
(440) 542-6900

P.O. BOX 1132
TWINSBURG, OH 44087
(330) 425-9291

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2006 MAY 15 PM 2:55

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U.S. HOUSE OF REPRESENTATIVES

MC

46

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

Honorable James A. S. Leach
(Full Name)

2186 Rayburn House Office Building Washington, DC 20515-3205
(Mailing Address)

202-225-6576
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: IA District: 02	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	James Leach	5/15/06

2480

SCHEDULE I - EARNED INCOME

Name Honorable James A.S. Leach

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Prestel Verlag GMBH & Co. KG	Spouse Book Royalty	$1,820

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A.S. Leach

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
TR A	Adobe Sys Del Pv$0.001	None	DIVIDENDS/ CAPITAL GAINS	$201 - $1,000	PS
TR A	Altria Group Inc	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
TR A	Aetna Inc New	$15,001 - $50,000	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	PS
TR A	AT&T Inc	$1,001 - $15,000	NONE	NONE	E
TR A	AT&T Corp	None	DIVIDENDS	$1,001 - $2,500	PE
TR A	Block H&R Inc	None	DIVIDENDS	$201 - $1,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A.S. Leach

TR A	CSX Corp	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
TR A	CVS Corp Delaware Com	None	DIVIDENDS/ CAPITAL GAINS	$5,001 - $15,000	S
TR A	Cendant Corp %0.01	None	DIVIDENDS	$201 - $1,000	PS
TR A	Costoco Wholesale Crp Del	None	DIVIDENDS/ CAPITAL GAINS	$1,001 - $2,500	PS
TR A	ChevronTexaco Corp	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
TR A	ConocoPhillips	$15,001 - $50,000	DIVIDENDS/ CAPITAL GAINS	$5,001 - $15,000	PS
TR A	Cigna Corp	$15,001 - $50,000	DIVIDENDS/ CAPITAL GAINS	$201 - $1,000	PS
TR A	Clorox Co Del Com	None	DIVIDENDS/ CAPITAL GAINS	$201 - $1,000	PS
TR A	Danaher Corp Del Com	None	DIVIDENDS/ CAPITAL GAINS	$5,001 - $15,000	S
TR A	Exxon Mobil Corp Com	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	P
TR A	Fedrtd Dpt Stors Del New	None	DIVIDENDS/ CAPITAL GAINS	$15,001 - $50,000	PS
TR A	Gannett C o	None	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	S
TR A	Gap Inc Delaware	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
TR A	General Electric	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A.S. Leach

TR A	General Mills	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PS
TR A	Harman Intl Inds Inc New	None	DIVIDENDS	$1 - $200	PS
TR A	Hewlett Packard Co Del	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	P
TR A	Ingersoll Rand Co Ltd A	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
TR A	Intl Business Machines Corp IBM	None	DIVIDENDS	$1 - $200	S
TR A	Johnson and Johnson Com	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	P
TR A	Lockheed Martin Corp	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
TR A	Monsanto Co New Del Com	$15,001 - $50,000	DIVIDENDS/ CAPITAL GAINS	$1 - $200	PS
TR A	McDonalds Corp Com	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
TR A	Microsoft Corp	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
TR A	Motorola Inc Com	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	P
TR A	Nordstrom Inc	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
TR A	Nucor Corporation	$15,001 - $50,000	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	PS
TR A	Occidental Pete Corp Cal	$15,001 - $50,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A.S. Leach

TR A	J C Penny Co Com	$15,001 - $50,000	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	PS
TR A	Phelps DodgePV6 25	$15,001 - $50,000	DIVIDENDS/ CAPITAL GAINS	$5,001 - $15,000	PS
TR A	PPH Corp	None	NONE	NONE	S
TR A	Pfizer Inc Del PV$0.05	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	P
TR A	Rockwell Automation Inc	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
TR A	Sprint Nextel Corp	None	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	PS&E
TR A	Staples Inc	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	P
TR A	Symantec Corp	None	CAPITAL GAINS	$15,001 - $50,000	PS
TR A	Texas Instruments	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
TR A	United Techs Corp Com	None	DIVIDENDS/ CAPITAL GAINS	$5,001 - $15,000	S
TR A	Valero Energy Corp New	$15,001 - $50,000	DIVIDENDS CAPITAL GAINS	$15,001 - $50,000	PS
TR A	Wal-Mart Stores Inc	None	DIVIDENDS	$201 - $1,000	S
TR A	Whole Foods Mkt Inc Com	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
TR A	Merrill Lynch CMA Money Market (Formerly CMA Money Fund)	$100,001 - $250,000	INTEREST	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A.S. Leach

TR A	Accenture Ltd	$15,001 - $50,000	NONE	NONE	P
TR A	Express Scripts Inc Com	$15,001 - $50,000	CAPITAL GAINS	$5,001 - $15,000	PS
TR A	TXU Corp	$15,001 - $50,000	CAPITAL GAINS	$2,501 - $5,000	PS
TR A	Wellpoint Inc	$15,001 - $50,000	CAPITAL GAINS	$2,501 - $5,000	PS
TR A	Nextell Communicatons A	None	CAPITAL GAINS	$5,001 - $15,000	PS&E
TR A	Apple Computer Inc	$15,001 - $50,000	NONE	NONE	P
TR A	Cisco Systems Inc Com	$15,001 - $50,000	NONE	NONE	P
TR A	Dell Inc	$15,001 - $50,000	NONE	NONE	P
TR A	Intuit Inc Com	$15,001 - $50,000	NONE	NONE	P
TR A	McKesson Corporation Com	$15,001 - $50,000	NONE	NONE	P
TR A	Safeway Inc New	$1,001 - $15,000	NONE	NONE	P
TR B	Michigan Muni Bond Auth Rev	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
TR B	Agere Sys Inc	$1 - $1,000	NONE	NONE	
TR B	AT&T Corp	None	NONE	NONE	PE

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A.S. Leach

TR B	AT&T Inc	$15,001 - $50,000	NONE	NONE	E
TR B	Avaya Inc	$1 - $1,000	NONE	NONE	
TR B	BellSouth Corp	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
TR B	Boeing Company	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
TR B	Bristol-Myers Squibb Co	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
TR B	Domtar Inc Canada Com	$1,001 - $15,000	DIVIDENDS	$1 - $200	
TR B	Ericsson LM Tel Cl B Adr Sek 10 New	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
TR B	FPL Group Inc	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
TR B	Freescale Semiconductor Inc Cl B	$1,001 - $15,000	NONE	NONE	
TR B	Gatx Corporation	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
TR B	General Electric	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
TR B	Great Plains Energy Inc	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
TR B	Intl Flavors&Fragrnc	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
TR B	Lee Enterprises Inc Cl B	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A.S. Leach

TR B	Lee Enterprises Inc	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
TR B	Lucent Technologies Inc	$1,001 - $15,000	NONE	NONE	
TR B	Mattel Inc Com	$1,001 - $15,000	DIVIDENDS	$1 - $200	
TR B	Medco Health Solutions I	$1,001 - $15,000	NONE	NONE	
TR B	Mosaic Co	$1,001 - $15,000	NONE	NONE	
TR B	Motorola Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	
TR B	Nextel Communications	None	NONE	NONE	PS&E
TR B	Nestle S A Rep Rg Sh Adr	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
TR B	Potash Corp Saskatchewan	$15,001 - $50,000	DIVIDENDS	$1 - $200	
TR B	Regal Beloit Corp Wis	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
TR B	SBC Communications Inc PV$1	None	DIVIDENDS	$1,001 - $2,500	E
TR B	Sprint Nextel	None	NONE	NONE	PS&E
TR B	Sara Lee Corp Com	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
TR B	Standard & Poors Dep Rcpt	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A.S. Leach

TR B	Sysco Corporation	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
TR B	Verizon Communications Com	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
TR B	Vodafone Grp Plc Spn Adr	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
TR B	ML Eurofund Cl I	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
TR B	Templeton Foreign Fund Class A	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	
TR B	ToysR Us	None	CAPITAL GAINS	$1,001 - $2,500	S
TR B	Zimmer Holdings Inc Com	$1,001 - $15,000	NONE	NONE	
TR B	Merrill Lynch Bank Deposit Program Money Market (Formerly CMA Money Fund)	$50,001 - $100,000	INTEREST	$1 - $200	
DC	Gatx Corporation	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Lee Enterprises Inc	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
DC	Merrill Lynch Bank Deposit Program Money Market (Formerly CMA Money Fund)	$1,001 - $15,000	INTEREST	$1 - $200	
DC	Lee Enterprises Inc	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
DC	Merrill Lynch Bank Deposit Program Money Market (Formerly CMA Money Fund)	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A.S. Leach

DC	Goldman Sachs	$50,001 - $100,000	NONE	NONE	
IRA	Merrill Lynch Bank USA RASP (Formerly CMA Money Fund)	$1 - $1,000	INTEREST	$1 - $200	
IRA	Lee Enterprises Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP IRA	Danaher Corp Del Com	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP IRA	Harley Davidson Inc Wis	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP IRA	Johnson and Johnson Com	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP IRA	Merrill Lynch Bank USA RASP (Formerly CMA Money Fund)	$1 - $1,000	INTEREST	$1 - $200	
	Accenture LTD Cl A	$1,001 - $15,000	NONE	NONE	P
	Adode Sys Del Pv$0.001	None	DIVIDENDS/ CAPITAL GAINS	$201 - $1,000	S
	Altria Group Inc	$1,001 - $15,000	DIVIDENDS CAPITAL GAINS	$1,001 - $2,500	PS
	Aetna Inc New	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$1,001 - $2,500	PS
	Apple Computer Inc	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$201 - $1,000	PS
	AT&T Inc	$1,001 - $15,000	DIVIDENDS	$5,001 - $15,000	E
	AT&T Corp	None	DIVIDENDS	$5,001 - $15,000	PE

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A.S. Leach

	CSX Corp	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$1 - $200	PS
	CVS Corp Delaware Com	None	DIVIDENDS/ CAPITAL GAINS	$1,001 - $2,500	S
	Costco Wholesale Crp Del	None	DIVIDENDS/ CAPITAL GAINS	$1 - $200	PS
	ChevronTexaco Corp	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	PS
	ConocoPhillips	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	PS
	Cigna Corp	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	S
	Cisco Systems Inc	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	PS
	Clorox Co Del Com	None	DIVIDENDS/ CAPITAL GAINS	$1 - $200	PS
	Danaher Corp Del Com	None	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	PS
	Dell Inc	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	PS
	Express Scripts Inc Com	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	PS
	Exxon Mobil Corp Com	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	PS
	Fedrld Dpt Stors Del New	None	DIVIDENDS/ CAPITAL GAINS	$5,001 - $15,000	PS
	Gannett Co	None	DIVIDENDS/ CAPITAL GAINS	$1,001 - $2,500	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A.S. Leach

	Gap Inc Delaware	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	General Electric	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$1,001 - $2,500	PS
	General Mills	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Harman Intl Inds Inc New	None	DIVIDENDS	$1 - $200	PS
	Hewlett Packard Co Del	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	PS
	Ingersoll Rand Co Ltd A	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$1 - $200	PS
	Intuit Inc	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	PS
	Intl Business Machines Corp IBM	None	DIVIDEND/ CAPITAL GAINS	$1 - $200	PS
	Johnson and Johnson Com	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$1,001 - $2,500	PS
	J C Penny Co Com	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	PS
	Lockheed Martin Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Monsanto Co New Del Com	None	DIVIDENDS	$201 - $1,000	PS
	McDonalds Corp Com	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$1,001 - $2,500	PS
	McKesson Corporation Com	$1,001 - $15,000	NONE	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A.S. Leach

	Microsoft Corp	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$201 - $1,000	PS
	Monsanto Co New Del Com	$1,001 - $15,000	NONE	NONE	PS
	Motorola Inc	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$1,001 - $2,500	PS
	Nordstrom Inc	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$201 - $1,000	PS
	Nucor Corporation	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	PS
	Occidental Pete Corp Cal	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
	Phelps DodgePV6 25	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	PS
	Pfizer Inc Del PV$0.05	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS
	Rockwell Automation Inc	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$201 - $1,000	PS
	Safeway Inc	$1,001 - $15,000	NONE	NONE	P
	Sprint Nextel Corp	None	DIVIDENDS/ CAPITAL GAINS	$201 - $1,000	PS&E
	Staples Inc	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	PS
	Symantec Corp	None	CAPITAL GAINS	$5,001 - $15,000	PS
	Texas Instruments	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$1 - $200	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A.S. Leach

	TXU Corp	$1,001 - $15,000	CAPITAL GAINS	$2,501 - $5,000	PS
	3M Company	None	DIVIDENDS/ CAPITAL GAINS	$1,001 - $2,500	S
	United Techs Corp Com	None	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	PS
	Valero Energy Corp New	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$5,001 - $15,000	S
	Wal-Mart Stores Inc	None	DIVIDENDS	$1 - $200	S
	Wellpoint Inc	$1,001 - $15,000	CAPITAL GAINS	$5,001 - $15,000	PS
	Whole Foods Mkt Inc Com	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$201 - $1,000	PS
	Merrill Lynch CMA Money Fund (Formerly CMA Money Fund)	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Nextel Communications	None	CAPITAL GAINS	$2,501 - $5,000	PS&E
	UnitedHealth Group Inc	None	CAPITAL GAINS	$2,501 - $5,000	S
SP	Accenture Ltd	$1,001 - $15,000	NONE	NONE	P
SP	Adobe Sys Del PV$0.001	None	DIVIDENDS	$1 - $200	PS
SP	Altria Group Inc	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	Apple Computer Inc	$1,001 - $15,000	NONE	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A.S. Leach

SP	Aetna Inc New	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$201 - $1,000	PS
SP	AT&T Inc	$1,001 - $15,000	DIVIDENDS	$5,001 - $15,000	E
SP	AT&T Corp	None	DIVIDENDS	$5,001 - $15,000	PE
SP	CSX Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	CVS Corp Delaware Com	None	DIVIDENDS/ CAPITAL GAINS	$1,001 - $2,500	S
SP	Costco Wholesale Crp Del	None	DIVIDENDS/ CAPITAL GAINS	$1 - $200	S
SP	ChevronTexaco Corp	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	ConocoPhillips	$15,001 - $50,000	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	PS
SP	Cigna Corp	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$201 - $1,000	PS
SP	Cisco Systems Inc Com	$15,001 - $50,000	NONE	NONE	P
SP	Clorox Co Del Com	None	DIVIDENDS/ CAPITAL GAINS	$1 - $200	PS
SP	Dell Inc	$1,001 - $15,000	NONE	NONE	P
SP	Danaher Corp Del Com	None	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	PS
SP	Express Scripts Inc Com	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A.S. Leach

SP	Exxon Mobil Corp Com	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Fedrtd D pt Stors Del New	None	DIVIDENDS/ CAPITAL GAINS	$5,001 - $15,000	PS
SP	Gannett Co	None	DIVIDENDS CAPITAL GAINS	$201 - $1,000	S
SP	Gap Inc Delaware	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
SP	General Electric	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	General Mills	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	Harman Intl Inds Inc New	None	DIVIDENDS	$1 - $200	PS
SP	Hewlett Packard Co Del	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	Ingersol Rand Co Ltd A	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Intuit Inc	$1,001 - $15,000	NONE	NONE	P
SP	Intl Business Machines Corp IBM	None	DIVIDENDS	$1 - $200	PS
SP	Johnson and Johnson Com	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Lockheed Martin Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Lucent Technologies Inc	None	NONE	NONE	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A.S. Leach

SP	Monsanto Co New Del Com	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$1 - $200	PS
SP	McDonalds Corp Com	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Microsoft Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	McKesson Corportaion Com	$1,001 - $15,000	NONE	NONE	P
SP	Motorola Inc Com	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	Nextel Communications A	None	CAPITAL GAINS	$2,501 - $5,000	PS&E
SP	Nordstrom Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Nucor Corporation	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Occidental Pete Corp Cal	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	J C Penny Co Com	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$1,001 - $2,500	PS
SP	Phelps D odgePV6 25	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	PS
SP	Pfizer Inc Del PV$0.05	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Rockwell Automation Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Safeway Inc New	$1,001 - $15,000	NONE	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A.S. Leach

SP	Sprint Nextel Corp	None	DIVIDENDS/ CAPITAL GAINS	$1,001 - $2,500	PS&E
SP	Staples Inc	$15,001 - $50,000	DIVIDENDS	$1 - $200	PS
SP	Symantec Corp	None	NONE	NONE	PS
SP	Texas Instruments	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	TXU Corp	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	PS
SP	3M Company	None	DIVIDENDS/ CAPITAL GAINS	$1,001 - $2,500	S
SP	UnitedHealth Group Inc	None	CAPITAL GAINS	$2,501 - $5,000	S
SP	United Techs Corp Com	None	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	PS
SP	Valero Energy Corp New	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$5,001 - $15,000	S
SP	Wal-Mart Stores Inc	None	DIVIDENDS	$1 - $200	S
SP	Whole Foods Mkt Inc Com	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Wellpoint Inc	$1,001 - $15,000	CAPITAL GAINS	$5,001 - $15,000	PS
SP	Merrill Lynch CMA Money Market Fund (Formerly CMA Money Fund)	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Leach Family Farms, Inc.-Farm land in Dallas and Mitchell Counties, Iowa	$250,001 - $500,000 BV	DIVIDENDS	$15,001 - $50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Honorable James A.S. Leach

SP	Foxley Note Receivable-Sales Contract	$15,001 - $50,000	CONTRACT PAYMENTS, INTEREST, AND CAPITAL GAINS	$100,001 - $1,000,000	
	Mehta tech, Inc.-maker of fault event recorders in Eldridge, Iowa	$1,000,001 - $5,000,000	NONE	NONE	
	Mehta Tech Holdings, LLC-holder of assets fo Mehta Tech Inc-Eldridge, Iowa	$50,001 - $100,000	DIVIDENDS	$1 - $200	
SP	The Adams Express Company	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	Merrill Lynch Bank Deposit Program Money Money (Formerly CMA Money Fund)	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	ML Institutional Tax Exempt Fund (Formerly CMA Money Fund)	$500,001 - $1,000,000	Municipal Interest	$15,001 - $50,000	
TR A	Merrill Lynch Bank Deposit Program Money Market (Formerly CMA Money Fund)	$1,001 - $15,000	INTEREST	$1 - $200	
	Merrill Lynch Bank Deposit Program Money Market (Formerly CMA Money Fund)	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Honorable James A.S. Leach

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
TR A	Altria Group Inc	P	03-17-05 08-25-05	$1,001 - $15,000
TR A	Accenture LTD	P	12-14-05	$15,001 - $50,000
TR A	Adobe Sys Inc	PS	03-17-05 P 08-10-05 S	$15,001 - $50,000
TR A	Aetna Inc-New	PS	03-17-05 P 08-25-05 P 05-09-05 S	$15,001 - $50,000
TR A	Apple Computer	P	11-01-05	$15,001 - $50,000
TR A	AT&T Inc	E	11-09-05 12-01-05	$15,001 - $50,000
TR A	AT&T Corp	PE	11-09-05 P 11-18-05 E	$15,001 - $50,000
TR A	Block H&R Inc	S	03-17-05	$15,001 - $50,000
TR A	Cendant Corp	PS	08-25-05 P 09-27-05 S 12-13-05 S	$15,001 - $50,000
TR A	Chevron Corp	P	08-25-05	$1,001 - $15,000
TR A	Cigna Corp	PS	03-17-05 P 08-25-05 P 04-18-08 S	$15,001 - $50,000

2500

SCHEDULE IV - TRANSACTIONS

Name Honorable James A.S. Leach

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
TR A	Cisco Systems	P	08-24-05	$1,001 - $15,000
TR A	CSX Corp	P	08-01-05 08-25-05	$15,001 - $50,000
TR A	Clorox Co	PS	03-11-05 P 08-25-05 P 12-23-05 S	$15,001 - $50,000
TR A	ConocoPhillips	PS	03-01-05 S 08-25-05 P	$15,001 - $50,000
TR A	Costco Wholesale	PS	08-24-05 P 03-17-05 P 09-07-05 S 09-20-05 S	$15,001 - $50,000
TR A	CVS Corp Delaware	S	02-02-05	$15,001 - $50,000
TR A	Danaher Corp	S	07-06-05	$15,001 - $50,000
TR A	Dell Inc	P	03-17-05 08-24-05	$1,001 - $15,000
TR A	Express Scripts Inc	PS	06-14-05 P 08-24-05 P 10-11-05 S 12-05-05 S	$15,001 - $50,000
TR A	Exxon Mobil Corp	P	08-25-05	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Honorable James A.S. Leach

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
TR A	Federated Dept Unrestrict	PS	08-25-05 P 07-05-05 S 11-23-05 S	$15,001 - $50,000
TR A	Gannett Co	S	02-24-05	$15,001 - $50,000
TR A	General Mills Inc	PS	03-17-05 P 08-25-05 P 09-27-05 S	$15,001 - $50,000
TR A	General Mills	P	02-02-05 P	$15,001 - $50,000
TR A	General Electric	P	03-17-05 08-25-05	$1,001 - $15,000
TR A	Harman Intl	PS	02-28-05 P 06-15-05 S	$15,001 - $50,000
TR A	Hewlett-Parckard Co	P	04-06-05 08-25-05	$15,001 - $50,000
TR A	Ingersoll-Rand Co-A	P	05-19-05 08-25-05	$15,001 - $50,000
TR A	Intl Bus Machine	S	05-02-05	$15,001 - $50,000
TR A	Intuit Inc	P	06-05-05 08-24-05	$15,001 - $50,000
TR A	JC Penney Co Inc	PS	03-17-05 P 08-25-05 P 05-23-05 S	$15,001 - $50,000

2502

SCHEDULE IV - TRANSACTIONS

Name Honorable James A.S. Leach

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
TR A	Johnson & Johnson	P	03-17-05 08-25-05	$1,001 - $15,000
TR A	Lockheed Martin	P	11-28-05	$15,001 - $50,000
TR A	Lucent Tech Inc	S	04-06-05	$15,001 - $50,000
TR A	McDonalds Corp	P	08-25-05	$1,001 - $15,000
TR A	McKesson Corp	P	12-24-05	$15,001 - $50,000
TR A	Microsoft C P	P	08-24-05	$1,001 - $15,000
TR A	Monsanto Co	PS	04-20-05 P 08-25-05 P 09-26-05 S 10-17-05 S	$15,001 - $50,000
TR A	Motorola Inc	P	08-25-05 03-17-05	$1,001 - $15,000
TR A	Nextel Communication	E S	07-18-05 S 08-12-05 S 08-12-05 E	$15,001 - $50,000
TR A	Nordstrom Inc	P	11-02-05 10-19-05	$15,001 - $50,000
TR A	Nucor Corp	PS	02-25-05 S 08-25-05 P	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Honorable James A.S. Leach

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
TR A	Occidental Pete	P	07-19-05 08-25-05 10-17-05	$15,001 - $50,000
TR A	Pfizer Inc	P	03-17-05 08-25-05	$1,001 - $15,000
TR A	Phelps Dodge	PS	03-17-05 P 08-25-05 P 10-03-05 S	$15,001 - $50,000
TR A	PHH Corp	S	02-10-05	$1,001 - $15,000
TR A	Rockwell Automation Inc	P	07-07-05 08-25-05	$15,001 - $50,000
TR A	Safeway Inc	P	09-22-05	$1,001 - $15,000
TR A	Staples Inc	P	08-24-05	$1,001 - $15,000
TR A	Symantec Corp	PS	03-17-05 P 08-24-05 P 10-31-05 S 12-12-05 S	$15,001 - $50,000
TR A	Texas Instrument	P	08-09-05 08-25-05 09-21-05	$15,001 - $50,000
TR A	The Gap Inc	P	08-25-05	$1,001 - $15,000

2504

SCHEDULE IV - TRANSACTIONS

Name Honorable James A.S. Leach

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
TR A	TXU Corp	PS	03-17-05 P 04-25-05 S 08-25-05 P	$15,001 - $50,000
TR A	United Tech Corp	S	07-26-05	$15,001 - $50,000
TR A	Valero Energy	PS	02-10-05 S 04-04-05 S 08-25-05 P 09-06-05 S	$15,001 - $50,000
TR A	Wal-Mart Stores	S	04-18-05	$15,001 - $50,000
TR A	Wellpoint Inc	PS	02-28-05 P 03-17-05 P 08-25-05 P 10-24-05 S	$15,001 - $50,000
TR A	Whole Foods Mkt	P	09-08-05	$15,001 - $50,000
	3M Co	S	03-17-05 02-11-05	$15,001 - $50,000
	Costco Wholesale Crp Del	PS	03-17-05 P 04-29-05 P 06-13-05 S 09-07-05 S 09-20-05 S	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Honorable James A.S. Leach

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Chevron Corp	PS	05-02-05 P 06-14-05 S 11-07-05 S 11-22-05 S	$1,001 - $15,000
	ConocoPhillips	PS	05-02-05 P 02-28-05 S 06-14-05 S 11-07-05 S 11-22-05 S	$15,001 - $50,000
	Cigna Corp	S	04-18-05 06-14-05 11-07-05 11-22-05	$1,001 - $15,000
	Clorox Co Del Com	PS	03-11-05 P 05-02-05 P 08-24-05 P 06-14-05 S 11-07-05 S 11-22-05 S 12-23-05 S	$1,001 - $15,000
	Danaher Corp Del Com	PS	05-02-05 P 06-14-05 S 07-06-05 S	$1,001 - $15,000
	Exxon Mobil Corp Com	PS	05-02-05 P 06-14-05 S 11-07-05 S 11-22-05 S	$1,001 - $15,000

2506

SCHEDULE IV - TRANSACTIONS

Name Honorable James A.S. Leach

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Fedrtd Dpt Stors Del New	PS	05-02-05 P 08-24-05 P 06-14-05 S 07-05-05 S 11-07-05 S 11-23-05 S	$15,001 - $50,000
	Gannett Co	S	02-24-05	$1,001 - $15,000
	The Gap Inc	PS	03-17-05 P 05-02-05 P 06-14-05 S 11-07-05 S 11-22-05 S	$1,001 - $15,000
	General Electric	PS	05-02-05 P 08-24-05 P 06-14-05 S 11-07-05 S 11-22-05 S	$1,001 - $15,000
	General Mills	PS	03-17-05 P 05-02-05 P 02-02-05 P 08-24-05 P 06-14-05 S 09-27-05 S 11-07-05 S 11-22-05 S	$1,001 - $15,000
	Harman Intl Inds Inc New	PS	02-28-05 P 05-02-05 P 06-15-05 S	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Honorable James A.S. Leach

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Hewlett Packard Co Del	PS	04-06-05 P 05-02-05 P 08-24-05 P 06-14-05 S 11-07-05 S 11-22-07 S	$1,001 - $15,000
	Ingersoll Rand Co Ltd A	PS	05-19-05 P 06-14-05 S 11-07-05 S 11-22-05 S	$1,001 - $15,000
	Intl Business Machines Corp IBM	PS	05-02-05 P 05-02-05 S	$1,001 - $15,000
	Johnson and Johnson Com	PS	03-17-05 P 05-02-05 P 06-14-05 S 11-07-05 S 11-22-05 S	$1,001 - $15,000
	Lockheed Martin Corp	P	11-28-05	$1,001 - $15,000
	Monsanto Co New Del Com	PS	04-20-05 P 05-02-05 P 08-24-05 P 06-14-05 S 09-26-05 S 10-17-05 S 11-07-05 S 11-22-05 S	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Honorable James A.S. Leach

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	McDonalds Corp Com	PS	03-17-05 P 05-02-05 P 06-14-05 S 11-07-05 S 11-22-05 S	$1,001 - $15,000
	Microsoft Corp	PS	03-17-05 P 04-29-05 P 08-24-05 P 06-13-05 S 11-07-05 S 11-22-05 S	$1,001 - $15,000
	Motorola Inc	PS	02-11-05 S 03-17-05 P 05-02-05 P 06-11-05 S 08-24-05 P 11-07-05 S 11-22-05 S	$1,001 - $15,000
	Nordstrom Inc	PS	10-19-05 P 11-02-05 P 11-07-05 S 11-22-05 S	$1,001 - $15,000
	Nucor Corporation	PS	05-02-05 P 06-14-05 S 11-07-05 S 11-22-05 S	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Occidental Pete Corp Cal	PS	07-19-05 P 10-17-05 P 11-07-05 S 11-22-05 S	$1,001 - $15,000
	J C Penney Co Com	PS	03-17-05 P 05-02-05 P 08-24-05 P 05-23-05 S 06-14-05 S 11-07-05 S 11-22-05 S	$1,001 - $15,000
	Phelps DodgePV6 25	PS	05-02-05 P 06-14-05 S 10-03-05 S 11-07-05 S 11-22-05 S	$1,001 - $15,000
	Pfizer Inc Del PV$0.05	PS	03-17-05 P 05-02-05 P 06-14-05 S 11-07-05 S 11-22-05 S	$1,001 - $15,000
	Rockwell Automation Inc	PS	07-07-05 P 08-24-05 P 11-07-05 S 11-22-05 S	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Honorable James A.S. Leach

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Staples Inc	PS	03-17-05 P 04-29-05 P 06-13-05 S 11-07-05 S 11-22-05 S	$1,001 - $15,000
	Texas Instruments	PS	08-09-05 P 09-21-05 P 11-07-05 S 11-22-05 S	$1,001 - $15,000
	United Techs Corp Com	PS	05-02-05 P 06-14-05 S 07-26-05 S	$1,001 - $15,000
	Valero Energy Corp New	S	02-11-05 04-04-05 06-14-05 09-06-05 11-07-05 11-22-05	$15,001 - $50,000
	Wal-Mart Stores Inc	S	04-18-05	$1,001 - $15,000
	Whole Foods Mkt Inc Com	PS	09-08-05 P 11-07-05 S 11-22-05 S	$1,001 - $15,000
	Intuit Inc	PS	05-06-05 P 08-24-05 P 06-13-05 S 11-07-05 S 11-22-05 S	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Honorable James A.S. Leach

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Lucent Technologies	S	04-06-05 02-11-05	$1,001 - $15,000
	McKesson Corp	P	12-14-05	$1,001 - $15,000
	Safeway Inc	PS	09-22-05 P 11-07-05 S 11-22-05 S	$1,001 - $15,000
	Symantec Corp	PS	04-29-05 P 08-24-05 P 06-13-05 S 10-31-05 S 11-07-05 S 11-22-05 S 12-12-05 S	$1,001 - $15,000
	TXU Corp	PS	04-25-05 S 02-11-05 P 06-14-05 S 11-07-05 S 11-22-05 S	$1,001 - $15,000
	UnitedHealth Group Inc	S	03-17-05	$1,001 - $15,000
	Wellpoint Inc	PS	05-02-05 P 08-24-05 P 02-11-05 S 06-14-05 S 10-24-05 S 11-07-05 S 11-22-05 S	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Honorable James A.S. Leach

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Accenture Ltd	P	12-14-05	$1,001 - $15,000
	Adobe Sys Inc	PS	03-14-05 P 04-29-05 P 06-13-05 S 08-10-05 S	$15,001 - $50,000
	Aetna Inc New	PS	03-17-05 P 05-02-05 P 05-09-05 S 06-14-05 S 11-07-05 S 11-22-05 S	$15,001 - $50,000
	Altria Group Inc	PS	03-17-05 P 05-02-05 P 08-24-05 P 06-14-05 S 11-07-05 S 11-22-05 S	$1,001 - $15,000
	Apple Computer	PS	11-01-05 P 11-07-05 S 11-22-05 S	$1,001 - $15,000
SP	Altria Group Inc	P	02-11-05 05-02-05 08-24-05	$1,001 - $15,000
SP	Aetna Inc New	PS	03-17-05 P 05-02-05 P 08-24-05 P 05-09-05 S	$15,001 - $50,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	CSX Corp	P	08-01-05 08-24-05	$1,001 - $15,000
SP	CVS Corp Delaware Com	S	02-03-05	$1,001 - $15,000
SP	Costoc Wholesale Crp Del	PS	03-17-05 P 04-29-05 P 09-07-05 S 09-20-05 S	$1,001 - $15,000
SP	ChevronTexaco Corp	P	05-02-05 08-24-05	$1,001 - $15,000
SP	ConocoPhillips	PS	05-02-05 P 02-28-05 S	$1,001 - $15,000
SP	Cigna Corp	PS	08-24-05 P 04-18-05 S	$1,001 - $15,000
SP	Clorox Co Del Com	PS	03-11-05 P 05-02-05 P 08-24-05 P 12-23-05 S	$1,001 - $15,000
SP	Danaher Corp Del Com	PS	05-02-05 P 07-06-05 S	$1,001 - $15,000
SP	Exxon Mobil Corp Com	P	05-*02-05 08-24-05	$1,001 - $15,000
SP	Fedrtd D pt Stors Del New	PS	05-02-05 P 08-24-05 P 07-05-05 S 11-23-05 S	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Gannett Co	S	02-24-05	$1,001 - $15,000
SP	Gap Inc Delaware	PS	02-11-05 S 03-17-05 P 05-02-05 P 08-24-05 P	$1,001 - $15,000
SP	General Electric	P	05-02-05 08-24-05	$1,001 - $15,000
SP	General Mills	PS	02-02-05 P 02-11-05 P 03-17-05 P 05-02-05 P 09-27-05 S	$1,001 - $15,000
SP	Harman Intl Inds Inc New	PS	02-28-05 P 06-15-05 S	$1,001 - $15,000
SP	Hewlett Packard Co Del	P	04-06-05 05-02-05 08-24-05	$1,001 - $15,000
SP	Ingersol Rand Co Ltd A	P	05-19-05 08-04-05	$1,001 - $15,000
SP	Intl Business Machines Corp IBM	PS	05-02-05 P 05-02-05 S	$1,001 - $15,000
SP	Johnson and Johnson Com	P	03-17-05 05-02-05	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Lockheed Martin Corp	P	11-28-05	$1,001 - $15,000
SP	Monsanto Co New Del Com	PS	04-20-05 P 05-02-05 P 08-24-05 P 09-26-05 S 10-17-05 S	$1,001 - $15,000
SP	McDonalds Corp Com	P	03-17-05 05-02-05 08-24-05	$1,001 - $15,000
SP	Motorola Inc Com	P	05-02-05 08-24-05	$1,001 - $15,000
SP	Nordstrom Inc	P	10-19-05 11-02-05	$1,001 - $15,000
SP	Nucor Corporation	P	05-02-05 08-24-05	$1,001 - $15,000
SP	Occidental Pete Corp Cal	P	07-19-05 08-24-05 10-17-05	$1,001 - $15,000
SP	J C Penny Co Com	PS	03-17-05 P 05-02-05 P 08-24-05 P 05-23-05 S	$1,001 - $15,000
SP	Phelps D odgePV6 25	PS	05-02-05 P 10-03-05 S	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Honorable James A.S. Leach

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Pfizer Inc Del PV$0.05	P	03-17-05 05-02-05	$1,001 - $15,000
SP	Rockwell Automation Inc	P	07-07-05 08-24-05	$1,001 - $15,000
SP	Sprint Nextel Corp	PS&E	04-29-05 E 08-24-05 P 08-23-05 S 11-07-05 S	$1,001 - $15,000
SP	Texas Instruments	P	08-09-05 08-24-05 09-21-05	$1,001 - $15,000
SP	3M Company	S	03-17-05 02-11-05	$15,001 - $50,000
SP	United Techs Corp Com	PS	05-02-05 P 07-26-05 S	$1,001 - $15,000
SP	Valero Energy Corp New	S	04-04-05 09-06-05 02-11-05	$1,001 - $15,000
SP	Wal-Mart Stores Inc	S	04-18-05	$1,001 - $15,000
SP	Whole Foods Mkt Inc Com	P	09-08-05	$1,001 - $15,000
SP	Nextel Communications A	PS&E	04-29-05 P 08-12-05 E 07-18-05 S 08-12-05 S	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	UnitedHealth Group Inc	S	03-17-05	$1,001 - $15,000
SP	Accenture Ltd	P	12-14-05	$1,001 - $15,000
SP	Adobe Systems Inc	PS	03-17-05 P 04-29-05 P 08-10-05 S	$1,001 - $15,000
SP	Apple Computer	P	11-01-05	$1,001 - $15,000
SP	Cisco Systems	P	03-17-05 04-29-05 08-24-05	$1,001 - $15,000
SP	Dell Inc	P	02-11-05 03-17-05 04-29-05 08-24-05	$1,001 - $15,000
SP	Express Scripts Inc	PS	06-14-05 P 08-24-05 P 10-11-05 S 12-05-05 S	$1,001 - $15,000
SP	Intuit Inc	P	05-06-05	$1,001 - $15,000
SP	Symantec Corp	PS	04-29-05 P 08-24-05 P 10-31-05 S 12-12-05 S	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	McKesson Corp	P	12-14-05	$1,001 - $15,000
SP	TXU Corp	PS	02-11-05 P 03-17-05 P 04-2505 S	$1,001 - $15,000
SP	Wellpoint Inc	PS	02-11-05 S 10-24-05 S 05-02-05 P	$1,001 - $15,000
TR B	Toys R Us	S	07-21-05	$1,001 - $15,000
	Cisco Systems	PS	03-17-05 P 04-29-05 P 08-24-05 P 06-13-05 S 11-07-05 S 11-22-05 S	$1,001 - $15,000
	CSX Corp	PS	08-01-05 P 08-24-05 p 11-07-05 S 11-22-05 S	$1,001 - $15,000
	CVS Corp Delaware	S	02-03-05	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Honorable James A.S. Leach

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Dell Inc	PS	02-11-05 p 03-17-05 P 04-29-05 P 08-24-05 P 06-13-05 S 11-07-05 S 11-22-05 S	$1,001 - $15,000
	Express Scripts Inc	PS	06-14-05 P 08-24-05 P 10-11-05 S 11-07-05 S 11-22-05 S 12-05-05 S	$1,001 - $15,000
SP	Lucent Tech Inc	S	04-06-05	$1,001 - $15,000
SP	Safeway Inc	P	09-22-05	$1,001 - $15,000
SP	Microsoft CP	P	04-29-05 08-24-05	$1,001 - $15,000
SP	Staples Inc	PS	03-17-05 P 04-29-05 P 08-24-05 P 04-18-05 S	$1,001 - $15,000
SP	AT&T Inc	E	11-09-05 12-01-05	$1,001 - $15,000
SP	AT&T Corp	PE	11-09-05 P 11-22-05 E	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Honorable James A.S. Leach

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	SBC Communications Inc	E	11-09-05	$1,001 - $15,000
	SBC Communications Inc	E	11-09-05	$1,001 - $15,000
	AT&T Inc	E	11-09-05 11-22-05	$1,001 - $15,000
	At&T Corp	PE	11-09-05 P 11-18-05 E	$1,001 - $15,000
	Nextel Communications	PS&E	04-29-05 P 08-12-05 E 06-13-05 S 07-18-05 S 08-12-05 S	$1,001 - $15,000
	Sprint Nextel Communications	E S	04-29-05 E 08-23-05 E 08-23-05 S 11-07-05 S	$1,001 - $15,000
TR A	SBC Communications Inc	E	11-09-05 11-22-05	$1,001 - $15,000
TR A	Sprint Nextel Communications	E S	04-29-05 E 08-23-05 E 08-23-05 S 11-07-05 S	$1,001 - $15,000
TR A	AT&T Inc	E	11-09-05 11-22-05	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
TR A	AT&T Corp	PE	11-09-05 P 11-22-05 E	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Honorable James A. S. Leach

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
World Economic Forum, Davos, Switzerland	January 27-January 30, 2005	Washington, D.C. - Davos, Switzerland - Cedar Rapids, IA	N	N	N	N/A
Trustee Meeting, Princeton University, Princeton, NJ	April 15-April 16, 2005	Washington, D.C. - Princeton, NJ - Cedar Rapids, IA	Y	Y	N	N/A
Board Meeting, Century Foundation, New York City, NY	May 13-May 14, 2005	Washington, D.C. - New York City, NY - Washington, D.C.	Y	Y	N	N/A
Trustee Meeting, Princeton University, Princeton, NJ	May 29 - 31, 2005	Washington, D.C. - Princeton, NJ - Washington, D.C.	Y	Y	N	N/A
Trustee Meeting, Princeton University, Princeton, NJ	September 23, 2005	Washington, D.C. - Princeton, NJ - Des Moines, IA	Y	Y	N	Return flight to Iowa not paid for by sponsor
Yale University Conference on Climate Change, Denver, CO	Ocotber 7 - October 10, 2005	Washington, D.C. - Denver, CO - Cedar Rapids, IA	Y	Y	N	N/A
Trustee Meeting, Princeton University, Princeton, NJ	November 11 - November 12, 2005	Washington D.C. - Princeton, NJ - Washington DC	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name Honorable James A. S. Leach

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Vice Chair of the Board (unpaid)	The Century Foundation (a non-profit educational foundation)
Member of the Board (unpaid)	Johns Hopkins University School of Advanced International Studies Advisory Council
Member of the Board (unpaid)	Project Vote Smart (non-profit voter educational foundation)
Trustee (unpaid)	Princeton University, Princeton, N.J.
Member of the Board (unpaid)	Internews
Member of the Congressional Advisory Committee (unpaid)	John E. Moss Foundation (non-profit foundation promoting the public's interest in Congress)
Member of Congressional Advisory Board (unpaid)	The Population Institute (non-profit educational foundation)
Member, Board of Governors (unpaid)	Partnership for Public Service (non-profit educational foundation)
Member (unpaid, Congressman Leach became a Member in February 2006)	Steering Committee for Heinz Center Project titled "The Nation's Coast: A Vision for the Future"

FOOTNOTES

Name Honorable James A.S. Leach

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	TR A is a designation for assets held in the James A. Leach Trust of which James A.S. Leach is beneficiary	Refers to items on Schedule III and Schedule IV
2	Schedule III	TR B is a designation for assets held in the Lois H. Leach Trust of which James A. S. Leach is beneficiary.	Refers to items on Schedule III and Schedule IV.
3	Schedule III	IRA is a designation for assets held in individual retirement accounts.	Refers to items on Schedule III and Schedule IV.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A — For use by Members, officers, and employees

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U.S. HOUSE OF REPRESENTATIVES

MC

Barbara Lee
(Full Name)

1724 Longworth House Office Building Washington, DC 20215 — (202) 225-2661
(Mailing Address) — Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representative — State: CA District: 9	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
I.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
II.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
V.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
/.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Barbara Lee	May 12, 2006

2526

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Barbara Lee

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $6,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Merrill Lynch Cash Mangement Account	$1 - $1,000	INTEREST	$1 - $200	
	Charles Schwab Money Market Account	$1,001 - $15,000	INTEREST	$1 - $200	
	State of California Savings Plus Deferred Compensation Program held in the following funds:				
	Philadelphia International Fund (previously named Glenmede Inst. International Fund)	$1,001 - $15,000	None	NONE	
	T. Rowe Price Mid Cap Growth Fund	$1,001 - $15,000	None	NONE	

2527

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Barbara Lee

	Savings Plus Socially Responsible Fund	$15,001 - $50,000	None	NONE	E
	Vanguard Total Bond Market Index Fund	$15,001 - $50,000	None	NONE	
	Domini Social Equity Fund	None	None	NONE	E
	1250 4th Street SW, Apt. W 400, Washington, DC Rental income for parking space (personal residence)	$100,001 - $250,000	RENT	$1,001 - $2,500	
	Merrill Lynch Retirement Account - includes the following assets:				
	Merrill Lynch Money Market Account	$1 - $1,000	INTEREST	$1 - $200	
	Xerox Corporation Stock	$15,001 - $50,000	None	NONE	
	Pimco Small Cap Value Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Delaware Trend Fund	$1,001 - $15,000	None	NONE	
	Mass. Investors Growth Fund	$1,001 - $15,000	None	NONE	
	Van Kamp Growth Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	California State Municipal Bonds (held in Merrill Lynch Cash Management Account)	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Barbara Lee

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Domini Social Equity Fund (fund shares transferred to Savings Plus Socially Responsible Fund)	E	8-24-05	$15,001 - $50,000
	Savings Plus Socially Responsible Fund	E	8-24-05	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Barbara Lee

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Faith and Politics Institute (Participate in Congressional Civil Rights Pilgrimage	Mar. 4-6	Wash., D.C.–Selma, AL–Wash., D.C.	N	N	N	None
New York Stock Exchange (fact-finding trip)	Mar. 7-8	Wash., D.C.–New York, NY–Wash., D.C.	Y	Y	N	None
American Arab Chamber of Commerce (fact-finding trip)	Mar. 19-25	Wash., D.C.–Cairo, Egypt–Los Angeles, CA	Y	Y	N	None
Congressional Black Caucus Institute (Participate in Southern Regional Issue Forum)	Aug. 9-11	Jackson, MI–Greenwood, MI	Y	Y	N	None
National Association of People with AIDS (Receive award and deliver speech)	Aug. 15	Oakland, CA--Burbank, CA	N	N	N	None
West Indian American Day Carnival Assoc., Inc. (Accept award and deliver speech)	Sept. 4-5	Jacksonville, FL--New York, NY--Wash., D.C.	Y	Y	N	None
New York Stock Exchange (meetings with NYSE officials re financial services issues)	Sept. 12	New York, NY--Wash., D.C.	Y	Y	N	None

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Barbara Lee

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Smith College (Deliver keynote address at conference)	Nov. 12-13	Wash., D.C.–Hartford/Boston–Wash., D.C.	Y	N	N	None
The Black AIDS Institute (Participate in panel discussion and deliver remarks at conference)	Dec. 5	Oakland, CA–Los Angeles, CA–Oakland, CA	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Barbara Lee

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	WILL/WAND (Nonprofit, Public Benefit Corporation)
Board Member	Project Vote Smart (Nonprofit, Public Benefit Corporation)
Trustee	Ghana Children's Fund (Nonprofit, Public Benefit Corporation, a Project of the East Bay Community Foundation)
Honorary Chairman	The Global AIDS Alliance (Nonprofit, Public Benefit Corporation)

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

Sander M. Levin
(Full Name)

2300 Rayburn House Office Bldg. Washington, DC 20515
(Mailing Address)

202-225-4961
Daytime Telephone:

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representative	State: MI District: 12	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Sander M. Levin	5-11-06

2533

SCHEDULE I - EARNED INCOME

Name Sander M. Levin

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Victoria Levin, National Institute of Health	Spouse Salary	$103,142

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Sander M. Levin

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Wright Patman Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	
JT	1/6 interest in 18 acres, Tyrone Township, Michigan	$15,001 - $50,000	N/A	NONE	
JT	1/2 interest in 78 acres, Tyrone Township, Michigan	$259,000	N/A	NONE	
	1/9 undivided interest in Levinson-Levin Properties, L.L.C., commercial real estate, West Maple, Birmingham, Michigan	$347,100	RENT	$15,001 - $50,000	

2535

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Sander M. Levin

	5.3% interest in Michigan mineral rights previously held by LNS Co., a general partnership now liquidated (see attached)	$15,001 - $50,000	Royalties	$5,001 - $15,000	
	5.3% interest in LRS Co., a general partnership owning real estate in Romulus and Pontiac Townships, Michigan and accounts receivable	$1,001 - $15,000	None	NONE	
JT	House, Lot 4, West Tisbury, Mass.	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
JT	Martha's Vineyard Cooperative Bank	$1,001 - $15,000	INTEREST	$1 - $200	
	Wachovia Bank	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Loan: Clark and Bonnie Barnes, Victoria Rd., Tyrone Township, Livingston Co., Michigan	$1,001 - $15,000	N/A	NONE	
	Merrill Lynch IRA. Contains: American Income Fund of America CL C; American Capital Income Builder CL C; American Bond Fund of America CL C	$61,382.06	DIVIDENDS	$2,501 - $5,000 Reinvest	S
SP	Merrill Lynch CMA Account. Contains: American Income Fund of America CL C; American Capital Income Builder CL C; American Mututal Fund Class C; American Tax Exempt Fund of Maryland CL C	$265,588.20	DIVIDENDS/INTEREST	$5,001 - $15,000 Reinvest	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

ATTACHMENT:

5.3% interest in Michigan mineral rights previously held by LNS Co., a general partnership now liquidated: Quicksilver Pipeline LLC, assignee from previous lease - income - $1,144; Dominion Midwest Energy, assignee from previous lease - income - $10,702; Merit Energy - $56 and Muskegon Development Co., an assignee from previous lease - income - $248. The year-end value of these interests are estimated herein based on latest available information and technical advice.

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Sander M. Levin

SP	Merrill Lynch IRA. Contains: American Income Fund of America CL C; American Capital Income Building CL C; American Bond Fund of America CL C	$19,746.08	DIVIDENDS	$201 - $1,000	Reinvested

SCHEDULE IV - TRANSACTIONS

Name Sander M. Levin

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Merrill Lynch CMA Account: American Income Fund of America CL C	S	04-07-05	$15,031.13
SP	Merrill Lynch CMA Account: American Capital Income Builder CL C	S	04-07-05	$14,970.31

SCHEDULE V - LIABILITIES

Name Sander M. Levin

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Countywide Home Loans	Mortgage, West Tisbury, Mass.	$250,001 - $500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Sander M. Levin

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Aspen institute	Jan. 9-13	Wash., DC-Puerto Vallarta, Mexico-Wash., DC	Y	Y	Y	NA
Aspen Institute	Mar.25-Apr. 3	Detroit-Chicago, IL-Shanghai-Beijing, People's Republic of China-Wash., DC	Y	Y	Y	N/A
Americans United to Protect Social Security	Apr. 4	Wash., DC-Philadelphia, PA-Wash., DC	N	N	N	N/A
Americans United to Protect Social Security	May 16	Wash., DC-Erie, PA-Wash., DC	N	N	N	N/A

SCHEDULE VIII - POSITIONS

Name Sander M. Levin

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	LRS Company
Member	Levinson-Levin Properties, L.L.C.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED
26 LEGISLATIVE RESOURCE CENTER
2006 MAY 11 PM 3:13
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U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Jerry Lewis
(Full Name)
2112 Rayburn House Office Bldg. (202)225-5861
(Mailing Address) (Daytime Telephone)
Wash. D.C. 20515

Filer Status	[X] Member of the U.S. House of Representatives — State: CA District: 41	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

copy of Financial Disclosure Stmt. & Spouse is attached

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jerry Lewis	5/12/06

2543

Name Jerry Lewis | Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Legislator's Retirement System, State of CA	Legislative Pension	$3,922.92
	Penn Mutual Life Insurance Co. Agents' Retirement Plan	Agents' Retirement	5,288.62

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
	XYZ Magazine	Article	Aug. 13, 2005	$500
	NONE			

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Name Jerry Lewis

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock					X								X										X								P
			Simon & Schuster		Indefinite																	Royalties									X			
			1st Bank of Paducah, KY accounts						X									X										X						
			Charles Schwab IRA Distribution					X														Distribution					X							
			Charles Schwab IRA Money Mkt. Fund					X								X									X									
			Lewis Family Trust 1/4/58 copy attached				X															Trust distribution					X							
			Congressional Federal Credit Union					X										X							X									

For additional assets and unearned income, use next page.

2546

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jerry Lewis

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Parcel 13 as shown on Map 4416 recorded in BK 47, Pg. 65 & 66, County of San Bernardino, St of CA Acquired 12/28/84 - Sold 3/31/05 $32,000.	NONE	X	X	S
	Penn Mutual Life Insurance Co. Retirement Plan	X	Distribution	X	
	Legislators Retirement System, St of CA	X	Pension	X	
	Arrowhead Credit Union San Bernardino, CA.	X	X	X	
	Security Bank of California Purchase of 2520 shs at $10. Paid off on 3/24/05. Bank notified on 5/22/05 it was overfunded returned $3,000 from $25,000 for initial purchase + interest of $12.98	X	X	X	P

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2547

SCHEDULE IV — TRANSACTIONS

Name Jerry Lewis

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: Purchase	Type: Sale	Type: Exchange	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	Parcel 13 as shown on map 44W6 Rosedale BK 47, Pg. 65 + 66, County of San Bernardino, State of CA. Acquired 12/18/89 - Sold 3/31/05		X		3/31/05		X								
	Security Bank of California (an organization) Purchased 2500 shs. @ $10.00 and 5/27/05 Bank notified overstricted could sell 2200 shs. - refunded $3,000 + interest a letter attached.	X			3/24/05		X								

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Name Jerry Lewis | Page 1 of

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NONE											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NONE		

Use additional sheets if more space is required.

2549

Name	Jerry Lewis	Page 1 of ___

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	BNSF Railway	Aug. 17	Helicopter tour of Rail & Port Facilities San Bernardino, CA. Los Angeles-Long Beach	N	N	Y	2 hours

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2550

Name	Page ___ of ___

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors (Honorary)	Loma Linda University Children's Hospital
Vice Pres. (Honorary)	Muscular Dystrophy Association

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
July 1959	Penn Mutual Life Insurance Co.	Agent Retirement Plan
1969	Legislators' Retirement System	State of CA.

GPO 2006 25-628 (mac)

Use additional sheets if more space is required.

2551

charles SCHWAB

Account Statement
Retain for Your Records

Statement Period: December 1, 2005 to December 31, 2005
Last Statement: November 30, 2005

Schwab Independent Investing | Foundational™

Contributory IRA
Account Number: 5571-4615

Going paperless is easy. Log on to:
www.schwab.com/estatements
Questions? Call 1-800-435-4000
Banking Inquiries: Call 1-800-435-4000

Account Opened In: 1996
Page 1

30012-CNC-2001-012220-SML-923736506009 42398 *1-2
JERRY LEWIS
UTA CHARLES SCHWAB & CO INC
IRA CONTRIBUTORY DTD 11/12/96
1294 W SUNSET DR
REDLANDS CA 92373-6506

012220

C00012220012 2N

Account Value Summary

Cash, Money Market, and Deposit Accounts	$ 78,591.68
Investments	$ 0.00
Total Account Value	**$ 78,591.68**

Change in Value Summary

Change in Value Since November 30, 2005:	$ 340.97
Change in Value Since January 1, 2005:	$ (980.41)

Rate Summary

Deposit Accounts: Interest rate as of 12/30 *(Z)*	0.80%
Schwab MMF	3.58%

Investment Detail

Description	*Symbol*	*Quantity Long/Short*		*Price*	*Market Value*
Cash, Money Market, and Deposit Accounts					
SCHWAB MONEY MARKET FUND	SWMXX	78,591.6800	L	$ 1	$ 78,591.68
	Total Account Value				**$ 78,591.68**

Transaction Detail

Settle Date	*Trade Date*	*Transaction*	*Description*	*Quantity*	*Price*	*Total*
Cash, Money Market, and Deposit Accounts Activity						
12/31	12/31	Dividend	SCHWAB MONEY MARKET FUND			$ 340.97

Money Funds Detail

Settle Date	*Transaction*	*Quantity*	*Price*	*Purchase/Debit*	*Sale/Credit*
Money Market Funds (Sweep) Activity					
SCHWAB MONEY MARKET FUND Activity					
Opening Shares of SCHWAB MONEY MARKET FUND were 78,250.71					
12/31	Dividend	340.9700	$ 1	$ 340.97	
Closing Shares of SCHWAB MONEY MARKET FUND were 78,591.68					
	Total Money Market Funds (Sweep) Activity			**$ 340.97**	**$ 0.00**

SCHWAB MMF 3.460%

Please see "Footnotes for Your Account" section for an explanation of the footnote codes and symbols on this statement.

PENN MUTUAL AGENTS RETIREMENT PLAN
600 DRESHER ROAD
HORSHAM PA 19044

INVESTMENT SERVICES PROVIDED IN PARTNERSHIP WITH Penn Mutual
A better way of life

YOUR ACCOUNT STATEMENT
PENN MUTUAL AGENTS RETIREMENT PLAN

Charles J Lewis
2112 Rayburn Bldg.
Washington DC 20515-0541

For the period October 1, 2005 to December 31, 2005

PLEASE REVIEW THIS STATEMENT CAREFULLY. Please notify your plan administrator who will notify Sagys, in writing, of any errors or inaccuracies reflected in this statement within (30) days following receipt of this statement, otherwise it will be deemed correct and accurate.

This section provides a summary of your account balances and activity during the reporting period.

SUMMARY OF ACTIVITY - ALL SOURCES

Balance as of 10/01/2005	**$142,925.45**
*Investment Gains/(Losses)	5,759.11
Total Withdrawals	*(5,288.62)*
Balance as of 12/31/2005	**$143,395.94**
Vested Balance as of 12/31/2005	**$143,395.94**

*Net of Expenses

When accessing your account through the IVR line (1-877-819-7221) you may be asked for the Companies six digit client number. The six digit client number for the Agents' Retirement Plan is 710905.

This section summarizes your account activity by each of your account sources.

Investment/ Market Value/ Shares/Units	Beginning Balance 10/01/2005	Period Contributions	Period Forfeitures	*Investment Gains/ (Losses)	Period Transfers	Period Withdrawals	Ending Balance 12/31/2005	Ending Vested Balance
EMPLOYEE AFTER TAX - 100%								
T. Rowe Price Growth Stock								
Market Value	39,146.51	0.00	0.00	1,566.49	0.00	(1,437.63)	39,275.37	39,275.37
Shares/Units	8,850.099	.000	.000	(3.784)	.000	(313.738)	8,532.577	
Total EMPLOYEE AFTER TAX								
Market Value	$39,146.51	$0.00	$0.00	$1,566.49	$0.00	($1,437.63)	$39,275.37	$39,275.37

For current account information, investment changes, transfers, and loan information please call the Employee Benefit Hotline at 1-877-819-7221 or visit our web site at www.bisys-plans.com/rplink.

Charles J Lewis
For the period October 1, 2005 to December 31, 2005

This section summarizes your account activity by each of your account sources.

Investment/ Market Value/ Shares/Units	Beginning Balance 10/01/2005	Period Contributions	Period Forfeitures	*Investment Gains/ (Losses)	Period Transfers	Period Withdrawals	Ending Balance 12/31/2005	Ending Vested Balance
MONEY PURCHASE PLAN - 100%								
T. Rowe Price Growth Stock								
Market Value	103,778.94	0.00	0.00	4,192.62	0.00	(3,850.99)	104,120.57	104,120.57
Shares/Units	23,461.961	.000	.000	(1.347)	.000	(840.411)	22,620.203	
Total MONEY PURCHASE PLAN								
Market Value	$103,778.94	$0.00	$0.00	$4,192.62	$0.00	($3,850.99)	$104,120.57	$104,120.57
TOTAL SOURCES								
T. Rowe Price Growth Stock								
Market Value	142,925.45	0.00	0.00	5,759.11	0.00	(5,288.62)	143,395.94	143,395.94
Shares/Units	32,312.060	.000	.000	(5.131)	.000	(1,154.149)	31,152.780	
Total Sources								
Market Value	$142,925.45	$0.00	$0.00	$5,759.11	$0.00	($5,288.62)	$143,395.94	$143,395.94

*Net of Expenses

CURRENT INVESTMENT MIX

This section shows how your investments were allocated at the end of the period.

Asset Class/ Investments	Balance 12/31/2005	Unit/Share Price	% Current Holdings
Equity	143,395.94		100.0%
T. Rowe Price Growth Stock	143,395.94	4.603	100.0%
Total	$143,395.94		100.0%

% Current Holdings

Holdings of less than 1% are not reflected in this chart.

CONTINUATION OF EDWARD R. LEWIS AND ELAINE L. LEWIS TRUST

The undersigned, Richard J. Lewis, Edward R. Lewis, Jr., C. Jeremy Lewis, and John C. Lewis are the vested sole beneficiaries of the Edward R. Lewis and Elaine L. Lewis Trust executed June 15, 1983, a copy of which is attached hereto. Following the deaths of Edward R. Lewis and Elaine L. Lewis, outright distribution has been made of some of the assets of this Trust, but the assets listed on Schedule A attached hereto continue to be held by C. Jeremy Lewis as Trustee of said Trust for the benefit of all of the undersigned.

The undersigned hereby agree that said property shall continue to be held, administered, and distributed by Trustee of said Trust in accordance with the provisions of said Trust as modified by this instrument.

1. Purpose. The purpose of this Trust as hereby extended shall be for Trustee to manage the assets of this Trust, collect all payments on obligations owed, divide and distribute the proceeds equally between us, liquidate all tangible assets of this Trust, and make distribution of the net proceeds to us.

2. Term. The term of this Trust shall be for so long as necessary to sell the real property and obtain complete payment on all notes and contracts which are the subject of this Trust, or for 10 years from the date of this instrument, whichever first occurs. At the expiration of this Trust, outright distribution shall be made by Trustee to the undersigned in equal shares, if all of them living, or to the successor beneficiaries of each of us as designated on the beneficiary designation of each, if any of us is not living at the time a distribution is to be made.

3. Beneficiary Designations. For so long as each of us are living, all distributions shall be made in equal shares to each of us, with Trustee holding such reserves as Trustee may deem appropriate for the management of the Trust estate. Upon the death of any of us prior to the termination of this Trust, the share of this Trust allocable to such deceased person and all distributions being made for such person shall be delivered to the beneficiaries designated for such person in accordance with the Beneficiary Designation of such deceased person delivered to Trustee. If no Beneficiary Designation has been executed by any of us at the time of the death of any of us or Trustee has not received the original of such Beneficiary Designation when a distribution is to be made, distribution of such deceased person's share shall be made without the need for any probate administration to the beneficiaries of such deceased person's last Will, or if there is no Will, to such deceased beneficiary's intestate heirs according to California's laws of intestate succession. If Trustee has any doubt as to the appropriate distribution to be made, Trustee may, in Trustee's sole discretion, make distribution to the estate of the one of us as to

when the ambiguity exists or may petition the Court for instructions as to the appropriate distribution. Each of us may modify his Beneficiary Designation at any time by sending to Trustee a duly executed revision and, upon receipt of such change of Beneficiary Designation by Trustee, such change shall be effective.

3.1 Each of us shall be considered as the primary beneficiary of his share of this Trust, and the designated successor beneficiaries shall have no rights or powers concerning this Trust until the interests of such successor beneficiaries vest upon the death of one of us.

3.2 Notwithstanding anything set forth above, Trustee may, in Trustee's sole discretion, at any time elect to distribute all of the assets of this Trust in undivided shares equally between the four primary beneficiaries of the Trust; provided, however, if any of us is then deceased, the distribution of the share of such deceased one of us shall be made to the designated beneficiaries of such deceased person.

4. Additional modifications of Trust. This Trust may be amended at any time by written document executed by the four of us and may be revoked by written document executed by the four of us. It may not be amended or revoked in any other manner.

Executed effective December 1, 1989.

Richard J. Lewis

Edward R. Lewis, Jr.

C. Jeremy Lewis

John C. Lewis

Approved as to form:
GRESHAM, WARNER, SAVAGE, NOLAN & TILDEN

By: Philip M. Savage, III

All assets in the Edward R. and Elaine L. Lewis Trust dated June 15, 1983 remaining following outright distribution after the death of Edward R. Lewis on May 13, 1988, including but not limited to the following, as of December 31, 2005:

Lot 32 Area 43,608 sq. ft. in Block 265 as delineated on the map entitled Hawaiian Ocean View Estates which said map was filed in the Bureau of Conveyances of the State of Hawaii 4-5-62 as File Plan 787.

Real property in San Bernardino County, CA., subject to contract for sale dated November 5, 1999 for $39,000 by David T. Kupfer.

Real property in San Bernardino County, CA., subject to contract for sale dated 3, 1997, for $30,000 by Robert and Betty Lou Haisch.

Real property in San Bernardino County, CA., subject to contract for sale dated February 22, 2005, for $40,000 by Helena Bongartz.

Real property in San Bernardino County, Ca., subject to contract for sale dated February 22, 2005, for $30,000 by Helena Bongartz.

Real property in San Bernardino County, Ca., subject to contract for sale dated May 1, 2000, for $32,000 by Timothy and Florance Haisch.

SECURITY BANK
OF CALIFORNIA
IN ORGANIZATION

NOTICE OF PARTIAL ACCEPTANCE

SECURITY BANK OF CALIFORNIA
(IN ORGANIZATION)
3403 Tenth Street, Suite 100
Riverside, California 92501
(Organization Mailing Address)
(951) 368-2265

May 27, 2005

Jerry Lewis & Arlene M. Lewis-Willis, Trustees
Jerry Lewis & Arlene M. Lewis-Willis 2003 Family Trust
1150 Brookside Avenue, Ste J-5
Redlands, CA 92373

Dear Subscriber:

Thank you for your offer to purchase 2,500 shares of Security Bank of California (In Organizati ("Bank") common stock.

Because of the overwhelming interest in the Bank's shares, we were over-subscribed and forced the offered shares among the prospective purchasers. The Bank is therefore pleased to accept your offer as to 2,200 shares only for a total cost of $22,000.00.

This limited acceptance of your offer is conditioned on the satisfaction of all applicable terms a conditions of the Offering as described in the Bank's Offering Circular dated February 28, 2005.

Pacific Coast Bankers' Bank, as Impound Agent, has been directed to return to you the unaccep $3,000.00 of your payment for shares, plus any interest actually earned thereon.

Very truly yours,

SECURITY BANK OF CALIFORNIA
(IN ORGANIZATION)

Michael T Vanderpool

Michael T Vanderpool
President

Sub 229

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

Arlene M. Willis
(Full Name)

2112 Rayburn H.O.B.
(Mailing Address)

(202) 225-5861
Daytime Telephone

Wash. D.C. 20515

(Office Use Only)

Filer Status	☐ Member of the U.S. House of Representatives — State: ____ District: ____	☒ Officer or Employee	Employing Office: Jerry Lewis
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date: ____

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

copy of Financial Disclosure Stmt. of Spouse is attached

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒ No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒ No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒ No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒ No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒ No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Arlene M. Willis	5/12/06

2559

Name	Page 1 of 1
Arlene M. Willis	

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Only as shown on Statement of Spouse - copy attached hereto.		

For payments to charity in lieu of honoraria, use Schedule II.

2560

Name Arlene M. Willis | Page 1 of 1

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
	XYZ Magazine	Article	Aug. 13, 2005	$500
	NONE			

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Name Arlene M. Willis

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X										X								P
	Simon & Schuster			Indefinite																Royalties									X			
	1st Bank of Paducah, KY accounts						X									X										X						
	Charles Schwab IRA attached - and as shown on Statement of Spouse attached hereto					X								X									X									

For additional assets and unearned income, use next page.

2562

SCHEDULE IV — TRANSACTIONS

Name Arlene M. Willis | Page 1 of 1

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
	Only as shown on Statement of Spouse attached hereto														

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Name Arlene M. Willis | Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NONE											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NONE		

Use additional sheets if more space is needed

2564

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Only as shown on Statement of Spouse attached hereto							

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Name	Page	of
Arlene M. Willis	2	2

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Co-Chair-Steering Committee	N Street Village, a ministry founded by Luther Place Memorial Church

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

Use additional sheets if more space is required.

charles SCHWAB

Account Statement
Retain for Your Records

Schwab Independent Investing | Foundational™

Statement Period: October 1, 2005 to December 31, 2005
Last Statement: September 30, 2005

Contributory IRA
Account Number: 9449-2769

Going paperless is easy. Log on to:
www.schwab.com/estatements
Questions? Call 1-800-435-4000
Banking Inquiries: Call 1-800-435-4000

Account Opened in: 1998

30/13-CNC-12001-012221-SML-92373650600943402 *1-2
ARLENE WILLIS-LEWIS
UTA CHARLES SCHWAB & CO INC
IRA CONTRIBUTORY DTD 11/13/96
1294 W SUNSET DR
REDLANDS CA 92373-6506

012221

C00012221010 2N

Account Value Summary

Cash, Money Market, and Deposit Accounts	$ 81,544.09
Investments	$ 0.00
Total Account Value	**$ 81,544.09**

Change in Value Summary

Change in Value Since September 30, 2005:	$ 775.10
Change in Value Since January 1, 2005:	$ 2,034.31

Rate Summary

Deposit Accounts: Interest rate as of 12/30 *(Z)*	0.80%
Schwab MMF	3.58%

Investment Detail

Description	Symbol	Quantity Long/Short		Price	Market Value
Cash, Money Market, and Deposit Accounts					
SCHWAB MONEY MARKET FUND	SWMXX	81,544.0900	L	$ 1	$ 81,544.09
		Total Account Value			**$ 81,544.09**

Transaction Detail

Settle Date	Trade Date	Transaction	Description	Quantity	Price	Total
Cash, Money Market, and Deposit Accounts Activity						
10/17	10/17	Dividend	SCHWAB MONEY MARKET FUND			$ 214.04
11/15	11/15	Dividend	SCHWAB MONEY MARKET FUND			207.31
12/31	12/31	Dividend	SCHWAB MONEY MARKET FUND			353.75

Please see "Footnotes for Your Account" section for an explanation of the footnote codes and symbols on this statement.

charles SCHWAB

Account Statement
Retain for Your Records

Contributory IRA
Account Number: 9449-2789

Statement Period: October 1, 2005 to December 31, 2005
Page 2

Money Funds Detail

Settle Date	Transaction	Quantity	Price	Purchase/Debit	Sale/Credit
Money Market Funds (Sweep) Activity					
SCHWAB MONEY MARKET FUND Activity					
Opening Shares of SCHWAB MONEY MARKET FUND were 80,768.99					
10/17	Dividend	214.0400	$ 1	$ 214.04	
11/15	Dividend	207.3100	1	207.31	
12/31	Dividend	353.7500	1	353.75	
Closing Shares of SCHWAB MONEY MARKET FUND were 81,544.09					
	Total Money Market Funds (Sweep) Activity			**$ 775.10**	**$ 0.00**

SCHWAB MMF 3.020%

Charles Schwab Bank, N.A. Deposit Accounts Activity

Trans Date	Transaction	Description	Withdrawal	Deposit
		Opening Balance *(X,Z)*		**$ 0.00**
		Total Activity	**$ 0.00**	**$ 0.00**
		Ending Balance *(X,Z)*		**$ 0.00**

Deposit Accounts: Interest rate as of 12/30 was 0.80%. Your interest period was 11/16/05 - 12/15/05. (Z)

Contribution Summary

	2004	2005
Traditional IRA	$ 0.00	$ 0.00
Year to Date Total:	**$0.00**	**$0.00**

Income Summary

Description	This Period	Year to Date
Money Funds Dividends	$ 775.10	$ 2,034.31
Total Income	**$ 775.10**	**$ 2,034.31**

Please see "Footnotes for Your Account" section for an explanation of the footnote codes and symbols on this statement.

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

John Lewis
(Full Name)

219 3rd street Washington Dc, DC 20003
(Mailing Address)

202-544-2905
Daytime Telephone

(Office Use Only)

Filer Status: ☑ Member of the U.S. House of Representative — State: GA District: 05 | Officer Or Employee — Employing Office.

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John Lewis	05/15/06

2569

SCHEDULE I - EARNED INCOME

Name John Lewis

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Clark Atlanta Univerisy	Pension	N/A

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name John Lewis

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Soujorn to the past	speech	April 3, 2005	$2,000
Geneva College	Speech	June 12, 2005	$500

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Lewis

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Bank of America	1,001-15,000	INTEREST	201-1,000	
Bank of America	1,001-15,000	INTEREST	201-1,000	
Bank of America	1,001-15,000	INTEREST	201-1,000	
Bank of America	1,001-15,000	INTEREST	201-1,000	
Fedelity Investments	1,001-15,000	INTEREST	210-1,000	

2572

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John Lewis

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Lambada Legal	Jan. 15-16	Atl. -Newark, NJ	Y	Y	N	None
UAW	Jan. 16	Newark, NJ--Hazlewood, MO-Atl	N	Y	N	none
American Baptist College	Jan. 27	Atlanta, GA-Nasville, TN-Atlanta, GA	N	N	N	none
Lawerance University	Feb. 8	Wash DC--Wisconsin--Wash DC	N	Y	N	none
Shoals Interfaith Council	Feb.11	Atl, GA-Huntsville, Al-Atl, GA	N	Y	N	none
Goldman Sachs	Feb. 28-March 1	Wash DC-New York, NY-Wash DC	Y	Y	N	none
Faith and Politics	March 4-6	Wash DC-Montgomery, AL-Wash DC	Y	Y	N	none
University of Texas	April 29-30	Atl, GA-Austin, TX-Atl, GA	Y	Y	N	none
Shaw University	May 7	Atl, GA-Shaw, NC-Atl, GA	N	Y	N	none
Maryville College	May 22-23	Atl, GA-Knoxville, TN-Atl, GA	Y	Y	N	none
Penn Center	May 28-30	Atl. GA-Beaufort, SC-Atl, GA	Y	Y	N	none

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John Lewis

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
City Year	June 2-3	Atl, GA-Little Rock, AK-Atl, GA	Y	Y	N	none
Childerns Defensive Fund	June 10-11	Atl, GA-Knoxville, TN-Atl, GA	Y	Y	N	none
Lawyers Committee Civil Rights	June 13-14	Atl, GA-New York, NY-Wash DC	Y	Y	N	none
Good Street Baptist Church	July 23-24	Atl, GA-Dallas, TX-Atl, GA	Y	Y	N	none
Corner Stone Baptist Church	Aug. 20	Atl, GA-Greenville, NC-Atl. GA	N	N	N	none
College of William and Mary	Aug. 26	Atl, GA-Williamsburg, VA-Atl, GA	N	N	N	none
Charleston NAACP	Sep. 17-18	Atl. GA-Charlston, SC-Atl, GA	Y	Y	N	none
University of Arkansaw	Oct. 13-14	Atl, GA-Little Rock, AK, Atl, GA	Y	Y	N	none
Mass Foundation for the Humanites	Oct. 29-30	Atl, GA-Bos., MA-Wash DC	Y	Y	N	none
National Equal Justice	Nov. 3-4	Wash DC-New York, NY-Wash DC	Y	Y	N	none
Civil Rights Institute	Nov. 19-20	Atl, GA-Birmingham, AL-Atl, GA	Y	Y	N	none

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John Lewis

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
NAACP Jamaica Branch	Dec. 9-10	Wash DC-New York, NY	Y	Y	N	none
Florida Democratic Party	Dec. 10-11	New York, NY-Orlando, FL-	Y	Y	N	none
Timberland	Dec. 11-13	Orlando, FL-Fort Meyer, FL-Wash DC	Y	Y	N	none

SCHEDULE VIII - POSITIONS

Name John Lewis

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Faith and Politics
Board Member	Stennis Center For Public Service

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2006 MAY 11 AM 10: 33
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

2577

Ronald Edward Lewis
(Full Name)

553 Lombardy Dr Cecilia, KY 42724
(Mailing Address)

202-225-3501
Daytime Telephone

Office Use Only

Filer Status ☑ Member of the U.S House of Representatives — State KY District: 02 — ☐ Officer Or Employee — Employing Office

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☑ No ☐
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month Day Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Ron Lewis	5-11-06

SCHEDULE I - EARNED INCOME

Name Ronald Edward Lewis

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Ron Lewis for Congress	Spouse Salary	N/A
The Bank of New York	Spouse Pension	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ronald Edward Lewis

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Duplex Apartment: Mockingbird Drive, Radcliff, Kentucky	None	RENT	$5,001 - $15,000	N/A
JT	Duplex Apartment: Mockingbird Drive, Radcliff, Kentucky	None	Property Sold	$100,001 - $1,000,000	S
SP	Alliance Capital Management Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
SP	Lord Abbett Affiliated (Mutual Fund)	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
SP	Lord Abbett Bond (Mutual Fund)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
SP	Munder Future Technology Fund (Mutual Fund)	$1,001 - $15,000	N/A	NONE	N/A

2579

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ronald Edward Lewis

SP	New Economy (Mutual Fund)	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
SP	Nortel Networks Corp Comon Stock	$1 - $1,000	None	NONE	N/A
SP	Oppenheimer Main Street Fund (Mutual Fund)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
SP	Oppenheimer Global Growth (Mutual Fund)	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
SP	Templetom Developing Markets Trust Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
SP	Van Kampen Select 10 Industrial Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
SP	Van Kampen Strategic 10 Stock	None	CAPITAL GAINS	$201 - $1,000	S
SP	Large Scale Biology Corporation	$1 - $1,000	NONE	NONE	N/A
SP	Lowes Companies Incorporated	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	S
SP	Oppenheimer Champion Income Fund	$1 - $1,000	DIVIDENDS	$1 - $200	N/A
SP	Hartford Capital Appreciation	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P

SCHEDULE IV - TRANSACTIONS

Name Ronald Edward Lewis

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Duples Apartment: Mockingbird Drive, Radcliff Kentucky	S	09/30/05	$100,001 - $250,000
SP	Hartford Capital Appreciation	P	10/24/05	$1,001 - $15,000
SP	Van Kampen Strategic 10 Stock	S	1/6/05	$1,001 - $15,000
SP	Lowes Companies Incorporated	S	10/24/05	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Ronald Edward Lewis

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	First Federal Savings Bank	Mortgage on rental property located at Mockingbird Drive, Radcliff KY	$100,001 - $250,000

SCHEDULE VI - GIFTS

Name Ronald Edward Lewis

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Mr Bill Selvidge	Tickets to Kentucky Raceway & Derby	$500

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

(For Office Use Only)

A $200 penalty shall be assessed against anyone who files more than 30 days late.

John Linder
(Full Name)

Post Office Box 1869 Duluth, GA 30096
(Mailing Address)

202-225-4272
Daytime Telephone:

Filer Status: ☑ Member of the U.S. House of Representative — State: GA — District 7 — ☐ Officer Or Employee — Employing Office

Report Type: ☑ Annual (May 15) — ☐ Amendment — Termination — Termination Date

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTION

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	John Linder	5/12/06

SCHEDULE I - EARNED INCOME

Name John Linder

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Georgia	Legislative Pension	$4,800

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Linder

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Note for sale of Linder Financial Corp	None	CAPITAL GAINS	$100,001 - $1,000,000	S
	Grayling Industries, Inc.	$5,000,001 - $25,000,000	DIVIDENDS	$1,000,001 - $5,000,000	
SP	Cash Fund	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
SP	Cedarwild Resort	$500,001 - $1,000,000	RENT	$50,001 - $100,000	
JT	Suntrust Money Market Fund	$15,001 - $50,000	INTEREST	$2,501 - $5,000	
JT	Profit Sharing Trust (see below)		DIVIDENDS/INTEREST	$15,001 - $50,000	

2586

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Linder

JT	Time Warner	$15,001 - $50,000			
JT	Emdeon (formerly WebMD)	$1,001 - $15,000			
JT	Schwab 1000	$1,000,001 - $5,000,000			P
JT	Cash	$15,001 - $50,000			
	Profit Sharing Trust (see below)		DIVIDENDS/CAPITAL GAINS	$15,001 - $50,000	
	ATT Corp	$1,001 - $15,000			
	Comcast	$15,001 - $50,000			
	Coca-Cola	$15,001 - $50,000			
	Emdeon (formerly WebMD)	$15,001 - $50,000			
	Fidelity Blue Chip Growth	$50,001 - $100,000			
	General Electric	$15,001 - $50,000			
	Home Depot	$100,001 - $250,000			P
	IBM	$100,001 - $250,000			
	Intel Corp	$100,001 - $250,000			

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Linder

	Microsoft	$100,001 - $250,000			
	Pfizer	$50,001 - $100,000			
	Schwab 1000	None			S
	Wachovia	$50,001 - $100,000			
	Cash	$1,001 - $15,000			
	Note Receivable, ARCOM commerical lending	None	CAPITAL GAINS	$15,001 - $50,000	S
SP	Profit Sharing Trust (see below)		DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
SP	Cisco	$15,001 - $50,000			
SP	Vanguard Growth Index	$1,001 - $15,000			
SP	Qualcomm	$50,001 - $100,000			
SP	Vanguard Health Care	$15,001 - $50,000			
SP	Vanguard US Growth	$1,001 - $15,000			
SP	Cash	$1,001 - $15,000			

SCHEDULE IV - TRANSACTIONS

Name John Linder

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transactio
	Schwab 1000	S	9/8/05	$50,001 - $100,000
	Home Depot	P	9/8/05	$50,001 - $100,000
JT	Schwab 1000	P	11/8/05	$250,001 - $500,000
	Note for sale of Linder Financial Corp	S	6/22/05	$500,001 - $1,000,000
	Note Receivable, ARCOM commerical lending	S	6/22/05	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John Linder

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Ripon Educational Foundation	Jan 12-15	Atlanta--Key Biscayne--Atlanta	Y	Y	Y	
National Small Business Association	Feb 11-12	Atlanta--Sarasota--Atlanta	Y	Y	Y	
Public Governance Institute	Mar 7-8	Washington, DC--Queenstown, MD--Washington, DC	Y	Y	N	
Tax Leadership Council	Apr 11	ATL-IAH-IAD	N	N	N	
Americans for Fair Taxation	Jul 25	ATL-DEN-DCA	N	N	N	

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

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OFFICE OF THE CLERK
(HOUSE OF REPRESENTATIVES)

Daniel William Lipinski
(Full Name)

4501 Grand Avenue Unit 2 Western Springs, IL 60558
(Mailing Address)

3128860481
Daytime Telephone

Filer Status: ☑ Member of the U.S. House of Representatives — State: IL — District: 03 ☐ Officer Or Employee — Employing Office.

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	**Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?**	Yes ☐ No ☑
Exemptions--	**Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?**	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Dan Lipinski	5/15/2006

2591

SCHEDULE I - EARNED INCOME

Name Daniel William Lipinski

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Coventry Health Care	Spouse Salary	N/A

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Daniel William Lipinski

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
PI Sigma Alpha to American Cancer Society	Speech	8/24/05	$500
PI Sigma Alpha to National MS Society	Speech	824/05	$500
IL Food Retailers Association to SW Side Senior Service Organization	appearance	5/05	$100

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Daniel William Lipinski

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Vanguard 500	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	IRA Vanguard 500 Index Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Corus Bank	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
	401K Fidelity Contrafund	$1,001 - $15,000	None	NONE	
	401K Fidelity Puritan Fund	$15,001 - $50,000	None	NONE	
JT	First National Bank of LaGrange	$1,001 - $15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Daniel William Lipinski

	Retirement TIAA Traditional	$1,001 - $15,000	None	NONE	
	Retirement CRFF Stock	$1,001 - $15,000	None	NONE	
	Retirement CRFF Bond Mkt	$1,001 - $15,000	None	NONE	
	Retirement CRFF Growth	$1,001 - $15,000	None	NONE	
	Retirement CRFF Equity Index	$1,001 - $15,000	None	NONE	
SP	MetLife TCA Money Market Account	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
SP	Wachovia Bank	$50,001 - $100,000	INTEREST	$1 - $200	
SP	March & McLennan Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Coventry Healthcare Stock	$1,001 - $15,000	None	NONE	
SP	MetLife Stock	$15,001 - $50,000	None/DIVIDENDS	$1,001 - $2,500	
SP	IRA Waterhouse Bank Money Market	$1,001 - $15,000	DIVIDENDS/INTEREST	$1 - $200	
SP	IRA Caldwell & Orkin Market Opp Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	IRA Eaton Vance Institutional	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
SP	IRA Eaton Vance Sr. Floating Rate, Inst	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Daniel William Lipinski

SP	TD Waterhouse Money Market Portfolio	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Metropolitan West Strategic Income	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
SP	Arbitrage F. Class R	$15,001 - $50,000	None	NONE	
SP	Caldwell & Orkin Money Market Opp Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	First Eagle Global Fund CLI	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
SP	Hussman Int'l TR Strategic Growth Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
SP	Leuthlod Core Int'l Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
SP	Mercer Fund SBI	$15,001 - $50,000	DIVIDENDS/INTEREST	$1,001 - $2,500	
SP	Pimco All Asset Fund Inst Class	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
SP	IRA Arbitrage Funds Class R	$15,001 - $50,000	None	NONE	
SP	IRA Eaton Vance Sr Floating Rate IST	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	401K American Fund Growth Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	401K Coventry Stock	$15,001 - $50,000	None	NONE	P&S
SP	401K Equity Index Trust	$1,001 - $15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Daniel William Lipinski

SP	401K Fidelity Low Price Stock Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
SP	401K Netuberger Genesis Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
SP	401K Tempiton Foreign Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
SP	401K TRP Midcap	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500
SP	401K VanGuard Growth & Income	$1,001 - $15,000	DIVIDENDS	$1 - $200
SP	401K VanGuard Midcap Stock Index	$15,001 - $50,000	DIVIDENDS	$201 - $1,000
SP	401K VanGuard Prime Cap	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
SP	401K Pimco Total Return Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200
SP	401K Summit Cash Reserve	$1,001 - $15,000	DIVIDENDS	$1 - $200
SP	401K March & McLennan Stock Fund	$15,001 - $50,000	None	NONE
SP	401K Putnam S&P 500 Index Fund	$1,001 - $15,000	None	NONE

SCHEDULE IV - TRANSACTIONS

Name Daniel William Lipinski

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	IRA Eaton Vance Institutional	P	1/28/05	$15,001 - $50,000
SP	IRA Eaton Vance Sr. Floating Rate, Inst	P	1/28/05	$1,001 - $15,000
SP	Metropolitan West Strategic Income	P	1/26/05	$1,001 - $15,000
SP	IRA Eaton Vance Sr Floating Rate IST	P	1/28/05	$1,001 - $15,000
SP	401K Coventry Stock	P	P biweekly contribution	$1,001 - $15,000
SP	401K Contry Stock	S	07/05/05	$50,001 - $100,000
SP	IRA Franklin Intl TR Global Long Short	S	1/28/05	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Daniel William Lipinski

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Rothermore American Institute RAI at Oxford Univerity	April 1-4	Chicago, IL-London, England-Washington, D.C.	Y	Y	Y	4 days
IGS at University of CA, Berkley	April 1-4	Chicago, IL-London, England-Washington, D.C.	N	N	N	4 days
Howard H. Baker Jr Center for Public Policy	June 2-7	Chicago, IL-Knoxville, TN-Washington, DC	Y	Y	Y	N/A

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

9 **HAND DELIVERED**
LEGISLATIVE RESOURCE CENTER
2006 MAY 12 AM 10: 39 MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Frank A. LoBiondo
(Full Name)

8 S. Somerset Avenue
(Mailing Address)

Ventnor, New Jersey 08406-2846

Daytime Telephone (202) 225-6572

Filer Status	[X] Member of the U.S. House of Representatives — State: NJ District: 02	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This *Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended.* The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Frank A. LoBiondo	

2600

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or *more during the preceding calendar year. For a* spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Onterio County Board of Education	Spouse Salary	NA
	Borgata - Hotel, Casino & Spa	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C	Speech	Feb. 2, 2005	$2,000
XYZ Magazine	Article	Aug. 13, 2005	$500
N/A			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may *indicate that an* asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B												Block C							Block D										Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	E P, S, E
SP, DC, JT	*Examples.* SP	Mega Corp. Stock					X								X										X							P
		Simon & Schuster		Indefinite																	Royalties								X			
		1st Bank of Paducah, KY accounts						X									X									X						
		LoBiondo Brothers Motor Express Inc Common Stk						X													N/A	X										
		New York Life Insurance Policy/GE Annuity			X										X										X							
		Hudson United Bank Common Stock						X													N/A	X										
		Wachovia Capital Mgmt. Group IRA Account				X									X		X									X						
		Wachovia Bank Capital Management Account						X							X		X										X					

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Frank A. LoBiondo

SP DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Franklin Growth Class I IRA Account					X										X						X										
	LoBiondo Bros. Motor Express 401(k) Profit Sharing Plan American Fund Group (invested in Washington Mutual Investors Fund; self-directed						X							X		X										X						
SP	PNC Investments (money market)			X												X							X									
JT	Ocean City Home Bank						X									X								X								
	Wright Patman Federal Credit Union Account			X																	X											

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2604

Name Frank A. LoBiondo

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
	N/A														

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2605

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	N/A											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
N/A		

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
N/A							

2607

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Vineland Young Men's Christian Association

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
10-14-1996	LoBiondo Brothers Motor Express, Inc.	Continuing interest in company 401(k) profit sharing plan (self-directed)

Use additional sheets if more space is required.

35 HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees.

LEGISLATIVE RESOURCE CENTER 35
2006 JUN 14 PM 5: 15
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC

Zoe Lofgren
(Full Name)

895 South 16th Street San Jose, CA 95112
(Mailing Address)

(408) 275-1648
Daytime Telephone

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representative — State: CA, District: 16	☐ Officer Or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑ No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	**Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?**	Yes ☐ No ☑
Exemptions--	**Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?**	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		June 14, 2006

2609

SCHEDULE I - EARNED INCOME

Name Zoe Lofgren

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
John Marshall Collins, P.C.	Spouse Salary	N/A
Collins + Day	Spouse Partnership	N.A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Bank of America Checking	$15,001 - $50,000	None	NONE	
	Bank of America Savings	$50,001 - $100,000	INTEREST	$201 - $1,000	
	California Public Employee Retirement System	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
SP	Charles Schwab IRA - Money Market Fund	None	INTEREST	$1 - $200	Account Closed
SP	Charles Schwab IRA - MMF	None	INTEREST	$1,001 - $2,500	Account Closed
SP	Charles Scwab: Applied Materials Stock	$1,001 - $15,000	DIVIDENDS	NONE	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

	Charles Schwab MMF Capital	None	INTEREST	$1 - $200	Account Closed
	Charles Schwab MMFunds	None	INTEREST	$1 - $200	Account Closed
JT	Wells Fargo Checking	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
	Greater Bay Bancorp Stock	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	Partial Sale
SP	John Marshall Collins PC Profit Sharing/JDS Uniphase Corp Stock	$1 - $1,000	DIVIDENDS	NONE	S
SP	John Marshall Collins PC Profit Sharing/Antigenics	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC Profit Sharing/Applied Materials	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC Profit Sharing/Ballard Power Systems	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC Profit Sharing/Chiron	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC Profit Sharing/CISCO	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC Profit Sharing/Dell	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC Profit Sharing/General Electric	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
SP	John Marshall Collins PC Profit Sharing/Home Depot	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
SP	John Marshall Collins PC Profit Sharing/IDT Corp	None	DIVIDENDS	NONE	S

SP	John Marshall Collins PC Profit Sharing/Intel Corp	None	DIVIDENDS	NONE	S
SP	John Marhall Collins PC Profit Sharing/Janus Small Cap Value	None	DIVIDENDS/CAPI TAL GAINS	$201 - $1,000	S
SP	John Marshall Collins PC Profit Sharing/Millenium Cell, Inc.	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC/MMFund	$100,001 - $250,000	INTEREST	$2,501 - $5,000	Account Closed
SP	John Marshall Collins PC Profit Sharing/Motorola	$1,001 - $15,000	DIVIDENDS	$1 - $200	Partial Sale
SP	John Marshall Collins PC Profit Sharing/Oracle	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC Profit Sharing/Pfizer	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC Profit Sharing/Savient Pharma	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC Profit Sharing/SBS Technologies	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC Profit Sharing/Sun Microsystems	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC Profit Sharing/Qualcom, Inc.	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC Profit Sharing/Texas Instruments	None	DIVIDENDS	NONE	S
	John Marshall Collins PC - Stock-	$250,001 - $500,000	DIVIDENDS	NONE	
	CMA Deferred Compensation CSs	$100,001 - $250,000	INTEREST	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

	Wright Patman Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	
SP	John Marshall Collins PC Profit Sharing/Superconductor Tech	None	DIVIDENDS	NONE	S
SP	John Marshall Collins PC Profit Sharing/Free Scale Semiconductor B	None	DIVIDENDS	NONE	S
	WFB IRA C/F Zoe Lofren/Evergreen Intl Balanced	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	WFB IRA C/F Zoe Lofgren/Fdlt New Insights FD A	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	A/C Zoe Lofgren/Eaton Vance Tax Global	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	A/C Zoe Lofgren/Fidelity New Insights	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	A/C HSBC Hldg ADR A 6.2%	$15,001 - $50,000	INTEREST	$201 - $1,000	P
	Merrill Lynch & Co PFD 2	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	John Marshall Collins PC Ret Plan/DWS Commodity Secs	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	P
SP	John Marshall Collins Ret Plan/Eaton Vance Tax GLBL	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	P
SP	John Marshall Collins Ret Plan/Evergreen Intl Balanced	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	John Marshall Collins Ret Plan/Geron Corp	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	John Marshall Collins Ret Plan/ISHS RSSLL 2000	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

SP	John Marshall Collins Ret Plan/RSSLL 2000 Growth	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	John Marshall Collins Ret Plan/ISHRS S&P LATN AM	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	John Marshall Collins Ret Plan/ISHARES TR MSCI Emerging	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	John Marshall Collins Ret Plan/Bear Stearns 0.0	$1,001 - $15,000	INTEREST	NONE	P
SP	John Marshall Collins A/C 9965/Eaton Vance Tax Gibl	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	JMC PC Ret Plan 401K/Adtran Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Alcon Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Alpharma Inc CL A	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Arch Coal	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Autodesk Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Baker Hughes	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Building Matl Hldg Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Canadian Natural Res LTD	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

SP	JMC PC Ret Plan 401K/Champion Enterprises Inc.	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K/Columbus McKinnon Corp	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K/Consol Energy Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Corning	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K/Encana Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Express Scripts Inc	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K/Frontier Oil Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Genl Cable Corp	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K/GTECH Holdings	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Halliburton Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Humana Inc	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K/Imperial Oil LTD	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Itron Inc	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K/JLG Industries	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

SP	JMC PC Ret Plan 401K/Joy Global Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Jupitermedia Corp	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K/Kaman Corp Cl A	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/King Pharmaceuticals	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K/Lamson & Sessions	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K/Lone Star Tech Inc	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K/Mcafee Inc	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K/McDermott Intl	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K/Netflix Inc	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K/Nabors Industries	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K/Nexen Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Pathmark Stores	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K/Peabody Energy Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Ryerson Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

SP	JMC PC Ret Plan 401K/Southwestern Energy	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K/Sunoco Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Talisman Energy	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Tesoro Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Tetra Technologies	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K/Valero Energy Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/AT&T	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Aegon N V Ord Amer	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Alumina LTD Spons ADR	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	JMC PC Ret Plan 401K/Anglo American PLC ADR	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	JMC PC Ret Plan 401K/Anglogold Ashanti LTD ADR	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	JMC PC Ret Plan 401K/Barrick Gold Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/CLP Hldgs Spon ADR	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	JMC PC Ret Plan 401K/Chunghwa Telecom	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

SP	JMC PC Ret Plan 401K/Dai Nippon Prntg LTD ADR	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	JMC PC Ret Plan 401K/ E N I SPA Sons ADR	$1,001 - $15,000	INTEREST	NONE	P
SP	JMC PC Ret Plan 401K/EDP Enegrias De Portugal	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K/Fuji Photo Film	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/J Sainsbury PLC ADR	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	JMC PC Ret Plan 401K/KT Corp Spons ADR	$1,001 - $15,000	INTEREST	$201 - $1,000	P
SP	JMC PC Ret Plan 401K/Kirin Brewery Spons ADR	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	JMC PC Ret Plan 401K/Korea Electric Spons ADR	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	JMC PC Ret Plan 401K/LIHIR Gold LTD Spons ADR	$1,001 - $15,000	INTEREST	NONE	P
SP	JMC PC Ret Plan 401K/Lonmin PLC Spon ADR New	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	JMC PC Ret Plan 401K/Matsushita Elec Ind ADR	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	JMC PC Ret Plan 401K/NEC Electronics	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Nintendo LTD ADR	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	JMC PC Ret Plan 401K/Nippon Tel&Tel Spons ADR	$1,001 - $15,000	INTEREST	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

SP	JMC PC Ret Plan 401K/Promise Co LTD ADR	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	JMC PC Ret Plan 401K/Royal Dutch Shell ADR B	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	JMC PC Ret Plan 401K/Shiseido LTD Spons ADR	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	JMC PC Ret Plan 401K/Sekisui House LTD ADR	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	JMC PC Ret Plan 401K/Stora Enso Corp ADR	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	JMC PC Ret Plan 401K/Suncor Energy Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Swisscom Spons ADR	$1,001 - $15,000	INTEREST	NONE	P
SP	JMC PC Ret Plan 401K/Telcm Italia ADR REP SVG	$1,001 - $15,000	INTEREST	$201 - $1,000	P
SP	JMC PC Ret Plan 401K/TNT N V Spons ADR	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	JMC PC Ret Plan 401K/Tomkins PLC Spons ADR	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	JMC PC Ret Plan 401K/United Utilities PLC ADR	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Advanced Micro Devices	$1 - $1,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K PSP/Allstate Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Amer Elec Pwr Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

SP	JMC PC Ret Plan 401K PSP/Amer Express Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/American Intl Group Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Boeing Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/CNF inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/CSX Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Chevron Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Chubb Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Clear Channel Comm inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Comcast Corp Cl A	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K PSP/Conocophillips	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Electronic Data Sys Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Fannie Mae	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Federated Dept Stores	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Flower Foods Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

SP	JMC PC Ret Plan 401K PSP/General Motors Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/HCA inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Hewlett-Packard Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Honeywell Intl inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Intl Business Mach Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Intersil Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/JPMORGAN Chase & Co	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	JMC PC Ret Plan 401K PSP/Kimberly-Clark Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Kraft Foods Cl A	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Lucent Tech	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K PSP/Mattel Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Meadwestvaco Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Medco Health Solutions	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K PSP/ML & Co Inc	$1 - $1,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

SP	JMC PC Ret Plan 401K PSP/Millennnium Pharm Inc	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K PSP/Office Depot, Inc.	$15,001 - $50,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K PSP/Qwest Comm Intl Inc	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K PSP/Readers Digest Assn Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/St Paul Trvlrs Cos	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Sears Holdings Corp	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K PSP/Solectron Corp	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K PSP/Sony Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Sun Microsystems	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K PSP/Tenet Healthcare Corp	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K PSP/Time Warner Inc New	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Tribune Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/Tyson Foods Inc Cl A	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K PSP/United Sts Steel Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zoe Lofgren

SP	JMC PC Ret Plan 401K PSP/Watson Pharmaceuticals	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	JMC PC Ret Plan 401K PSP/Wyeth	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	JMC PC Ret Plan 401K/Advanced Neuromodulation	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	PS
SP	IRA John Marshall Collins/ISHS RSSLL 2000 Val Indx	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	PS
SP	IRA John Marshall Collins/ISHS RSSLL 2000 Grth IDX	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	PS
SP	JMC Ret Plan 401K/Deutsche Bank AG	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	PS
SP	JMC Ret Plan 401K/Falconbridge LTD New	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	PS
SP	JMC Ret Plan 401K/Falconbridge LTD New	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	PS
SP	JMC Ret Plan 401K/Matsushita Electric Inds	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	PS
SP	JMC Ret Plan 401K/Placer Dome Inc	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	PS
SP	JMC Ret Plan 401K PSP/Honeywell Intl	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	PS
SP	JMC Ret Plan 401K PSP/United Technologies	$1,001 - $15,000	DIVIDENDS	NONE	PS
SP	JMC Ret Plan 401K PSP/Mattel Inc	$1,001 - $15,000	DIVIDENDS	NONE	PS

SCHEDULE IV - TRANSACTIONS

Name Zoe Lofgren

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	WFB IRA C/F Zoe Lofren/Evergreen Intl Balanced	P	10-26-05	$1,001 - $15,000
	A/C Zoe Lofgren/Eaton Vance Tax Glocal	P	9-27-05	$1,001 - $15,000
	A/C Zoe Lofgren/Fidelity New Insights	P	10-12-05	$1,001 - $15,000
	A/C Zoe Lofgren/Fidelity New Insights	P	10-2-05	$1,001 - $15,000
	A/C HSBC Hldg ADR A 6.2%	P	9-15-05	$1,001 - $15,000
	Merrill Lynch & Co PFD 2	P	9-12-05	$1,001 - $15,000
	John Marshall Collins PC Ret Plan/DWS Commodity Secs	P	9-21-05	$15,001 - $50,000
	John Marshall Collins Ret Plan/Eaton Vance Tax GLBL	P	9-27-05	$15,001 - $50,000
SP	John Marshall Collins Ret Plan/Evergreen Intl Balanced	P	10-26-05	$1,001 - $15,000
SP	John Marshall Collins Ret Plan/Geron Corp	P	11-3-05	$15,001 - $50,000
SP	John Marshall Collins Ret Plan/ISHS RSSLL 2000	P	9-21-05	$15,001 - $50,000
SP	John Marshall Collins Ret Plan/RSSLL 2000 Growth	P	9-21-05	$15,001 - $50,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	John Marshall Collins Ret Plan/ISHRS S&P LATN AM	P	9-21-05	$15,001 - $50,000
SP	Charles Schwab IRA - Money Market Fund	Other	8-15-05	$1,001 - $15,000
SP	Charles Schwab IRA - MMF	Other	8-15-05	$100,001 - $250,000
SP	Charles Scwab: Applied Materials Stock	S	10-12-05	$1,001 - $15,000
SP	Charles Schwab MMF Capital	Other	10-12-05	$1,001 - $15,000
	Charles Schwab MMFunds	Other	8-15-05	$15,001 - $50,000
SP	Greater Bay Bancorp Stock	S	9-23-05	$1,001 - $15,000
SP	John Marshall Collins PC Profit Sharing/JDS Uniphase Corp Stock	S	9-23-05	Under Limit
SP	John Marshall Collins PC Profit Sharing/Antigenics	S	9-23-05	Under Limit
SP	John Marshall Collins PC Profit Sharing/Applied Materials	S	9-23-05	$15,001 - $50,000
SP	John Marshall Collins PC Profit Sharing/Ballard Power Systems	S	9-23-05	Under Limit
SP	John Marshall Collins PC Profit Sharing/Chiron	S	9-23-05	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	John Marshall Collins PC Profit Sharing/CISCO	S	9-23-05	$15,001 - $50,000
SP	John Marshall Collins PC Profit Sharing/Dell	S	9-23-05	$15,001 - $50,000
SP	John Marshall Collins PC Profit Sharing/General Electric	S	9-23-05	$1,001 - $15,000
SP	John Marshall Collins PC Profit Sharing/Home Depot	S	9-23-05	$1,001 - $15,000
SP	John Marshall Collins PC Profit Sharing/IDT Corp	S	9-23-05	$1,001 - $15,000
SP	John Marshall Collins PC Profit Sharing/Intel Corp	S	9-23-05	$1,001 - $15,000
SP	John Marhall Collins PC Profit Sharing/Janus Small Cap Value	S	9-21-05	$1,001 - $15,000
SP	John Marshall Collins PC Profit Sharing/Millenium Cell, Inc.	S	9-23-05	Under Limit
SP	John Marshall Collins PC/MMFund	Other	8-15-05	$100,001 - $250,000
SP	John Marshall Collins PC Profit Sharing/Motorola	S	9-23-05	$1,001 - $15,000
SP	John Marshall Collins PC Profit Sharing/Oracle	S	9-23-05	$1,001 - $15,000
SP	John Marshall Collins PC Profit Sharing/Oracle	S	9-23-05	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	John Marshall Collins PC Profit Sharing/Savient Pharma	S	9-23-05	$1,001 - $15,000
SP	John Marshall Collins PC Profit Sharing/SBS Technologies	S	9-23-05	Under Limit
SP	John Marshall Collins PC Profit Sharing/Sun Microsystems	S	9-23-05	$1,001 - $15,000
SP	John Marshall Collins PC Profit Sharing/Qualcom, Inc.	S	9-23-05	$15,001 - $50,000
SP	John Marshall Collins PC Profit Sharing/Texas Instruments	S	9-23-05	$1,001 - $15,000
SP	John Marshall Collins PC Profit Sharing/Superconductor Tech	S	9-23-05	Under Limit
SP	John Marshall Collins PC Profit Sharing/Free Scale Semiconductor B	S	9-23-05	$1,001 - $15,000
SP	John Marshall Collins Ret Plan/ISHARES TR MSCI Emerging	P	9-21-05	$15,001 - $50,000
SP	John Marshall Collins Ret Plan/Bear Stearns 0.0	P	9-21-05	$15,001 - $50,000
SP	John Marshall Collins A/C 9965/Eaton Vance Tax Gibl	P	9-27-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Adtran Inc	P	9-22-05	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	JMC PC Ret Plan 401K/Alcon Inc	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Alpharma Inc CL A	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Arch Coal	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Autodesk Inc.	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Baker Hughes	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Building Matl Hldg Corp	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Canadian Natural Res LTD	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Champion Enterprises Inc.	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Columbus McKinnon Corp	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Consol Energy Inc	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K.Corning	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Encana Corp	P	9-22-05	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	JMC PC Ret Plan 401K/Express Scripts Inc	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Frontier Oil Corp	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Genl Cable Corp	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/GTECH Holdings	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Halliburton Co	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Humana Inc	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Imperial Oil LTD	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Itron Inc	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/JLG Industries	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Joy Global Inc	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Jupitermedia Corp	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Kaman Corp Cl A	P	9-22-05	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	JMC PC Ret Plan 401K/King Pharmaceuticals	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Lamson & Sessions	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Lone Star Tech Inc	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Mcafee Inc	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/McDermott Intl	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Netflix Inc	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Nabors Industries	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Nexen Inc	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Pathmark Stores	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Peabody Energy Corp	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Ryerson Inc	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Southwestern Energy	P	9-22-05	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	JMC PC Ret Plan 401K/Sunoco Inc	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Talisman Energy	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Tesoro Corp	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Tetra Technologies	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Valero Energy Corp	P	9-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Aegon N V Ord Amer	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Alumina LTD Spons ADR	P	9-27-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Anglo American PLC ADR	P	10-10-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Anglogold Ashanti LTD ADR	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Barrick Gold Corp	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/CLP Hldgs Spon ADR	P	9-27-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Chunghwa Telecom	P	9-23-05	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	JMC PC Ret Plan 401K/Dai Nippon Prntg LTD ADR	P	9-27-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/ E N I SPA Sons ADR	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/EDP Enegrias De Portugal	P	9-27-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Fuji Photo Film	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/J Sainsbury PLC ADR	P	9-27-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/KT Corp Spons ADR	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Kirin Brewery Spons ADR	P	9-27-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Korea Electric Spons ADR	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/LIHIR Gold LTD Spons ADR	P	9-27-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Lonmin PLC Spon ADR New	P	9-27-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Matsushita Elec Ind ADR	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/NEC Electronics	P	9-30-05	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	JMC PC Ret Plan 401K/Nintendo LTD ADR	P	9-27-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Nippon Tel&Tel Spons ADR	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Promise Co LTD ADR	P	10-31-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Royal Dutch Shell ADR B	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Shiseido LTD Spons ADR	P	9-27-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Sekisui House LTD ADR	P	9-27-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Stora Enso Corp ADR	P	9-27-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Suncor Energy Inc	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Swisscom Spons ADR	P	9-27-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Telcm Italia ADR REP SVG	P	9-27-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/TNT N V Spons ADR	P	9-27-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/Tomkins PLC Spons ADR	P	9-27-05	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	JMC PC Ret Plan 401K/United Utilities PLC ADR	P	9-27-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/AT&T Inc	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Allstate Corp	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Amer Elec Pwr Co	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Amer Express Co	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/American Intl Group Inc	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Boeing Co	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K/CNF inc	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/CSX Corp	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Chevron Corp	P	12-16-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Chubb Corp	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Clear Channel Comm inc	P	9-23-05	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	JMC PC Ret Plan 401K PSP/Comcast Corp Cl A	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Conocophillips	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Electronic Data Sys Corp	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Federated Dept Stores	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Fannie Mae	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Flower Foods Inc	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/General Motors Corp	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/HCA inc	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Hewlett-Packard Co	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Honeywell Intl inc	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Intl Business Mach Corp	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Intersil Corp	P	9-23-05	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	JMC PC Ret Plan 401K PSP/JPMORGAN Chase & Co	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Kimberly-Clark Corp	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Kraft Foods Cl A	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Lucent Tech	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Mattel Inc	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Meadwestvaco Corp	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Medco Health Solutions	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/ML & Co Inc	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Millennnium Pharm Inc	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Office Depot, Inc.	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Qwest Comm Intl Inc	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Readers Digest Assn Inc	P	9-23-05	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	JMC PC Ret Plan 401K PSP/St Paul Trvlrs Cos	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Sears Holdings Corp	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Solectron Corp	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Sony Corp	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Sun Microsystems	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Tenet Healthcare Corp	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Time Warner Inc New	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Tribune Co	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Tyson Foods inc Cl A	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/United Sts Steel Corp	P	12-22-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Watson Pharmaceuticals	P	9-23-05	$1,001 - $15,000
SP	JMC PC Ret Plan 401K PSP/Wyeth	P	9-23-05	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	JMC PC Ret Plan 401K/Advanced Neuromodulation	PS	9-22-05 12-30-05	$1,001 - $15,000
SP	IRA John Marshall Collins/ISHS RSSLL 2000 Val Indx	PS	9-21-05 11-3-05	$1,001 - $15,000
SP	IRA John Marshall Collins/ISHS RSSLL 2000 Grth IDX	PS	9-21-05 11-3-05	$1,001 - $15,000
SP	JMC Ret Plan 401K/Deutsche Bank AG	PS	9-23-05 11-2-05	$1,001 - $15,000
SP	JMC Ret Plan 401K/Falconbridge LTD New	PS	9-23-05 10-04-05	$1,001 - $15,000
SP	JMC Ret Plan 401K/Falconbridge LTD New	PS	9-23-05 11-08-05	$1,001 - $15,000
SP	JMC Ret Plan 401K/Matsushita Electric Inds	PS	9-23-05 11-22-05	$1,001 - $15,000
SP	JMC Ret Plan 401K/Placer Dome Inc	PS	9-23-05 11-2-05	$1,001 - $15,000
SP	JMC Ret Plan 401K PSP/Honeywell Intl	PS	9-23-05 12-16-05	$1,001 - $15,000
SP	JMC Ret Plan 401K PSP/United Technologies	PS	9-23-05 11-14-05	$1,001 - $15,000
SP	JMC Ret Plan 401K PSP/Mattel Inc	PS	9-23-05 11-03-05	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Zoe Lofgren

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Public Governance Institute	March 7-8, 2005	Washington, D.C- Wye River Institute - to Washington, D.C..	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Zoe Lofgren

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Mother	Lofgren-Collins Household
Member	Santa Clara University School of Law Board of Visitors
Member	Santa Clara University School of Law Dean's High Tech Advistory Council
Co-Chair	Housing Leadership Counceil; Silicon Valley Leadership Group
Board of Directors	The Tech Museum of Innovation
Special Advisor to the Board of Directors	Children's Discovery Museum
Advisory Board	San Jose Day Nursery
Honorary Board	Viet Heritage Society
Advisory Board	Franklin-McKinley School District

SCHEDULE IX - AGREEMENTS

Name Zoe Lofgren

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	California Public Employees Retirement System (through County of Santa Clara)	Continuing Membership (vested), no continuing contribution by employer or employee.
	Wells Fargo Bank & ICMA (through County of Santa Clara)	Savings Plan, no contributions by employer or employee

FOOTNOTES

Name Zoe Lofgren

Number	Section / Schedule	Footnote	This note refers to the following item
	Schedule III	"DC" Accounts previously disclosed passed to to the legal control of formerly Dependant Children upon their reaching the age of majority.	
	Schedule IV	Transactions marked "other" refer to accounts that were closed.	Items 14, 15, 17, 18, & 33

LEGISLATIVE RESOURCE CENTER
2006 MAY 15 PM 1:54
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

(Office Use Only)

NITA M. LOWEY
(Full Name)

2329 RAYBURN HOUSE OFFICE BUILDING WASHINGTON, DC 20515
(Mailing Address)

202-225-6506
Daytime Telephone:

Filer Status	☑ Member of the U.S House of Representatives	State: NY District: 18	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Nita M. Lowey	5/12/06

2644

SCHEDULE I - EARNED INCOME

Name NITA M. LOWEY

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Lowey Dannenberg Bemporad & Selinger, P.C.	Spouse Salary	N/A
NY State & Local Employees Retirement System	NML Pension	$9,437

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name NITA M. LOWEY

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	3M Co*	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	3M or Minnesota Mining**	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Acme Comm. Inc.*	$15,001 - $50,000	N/A	NONE	S
SP	Acme Comm. Inc.*	$15,001 - $50,000	None	NONE	P
JT	AIG**	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Alliance Muni Tr*	$100,001 - $250,000	INTEREST	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name NITA M. LOWEY

JT	American Express**	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Ameriprise Fin'l Inc** - see footnote	$1,001 - $15,000	DIVIDENDS	$1 - $200	Other
JT	Amgen**	$50,001 - $100,000	None	NONE	
SP	Anheuser Busch*	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Anheuser Busch**	None	CAPITAL GAINS	$15,001 - $50,000	S
SP	Arbiter Partners	$1,000,001 - $5,000,000	None	NONE	
	Bear Stearns (muni bonds, attached)	$500,001 - $1,000,000	Interest	$50,001 - $100,000	
SP	Brownstone Catalyst Fund - IRA	$500,001 - $1,000,000	None	NONE	P
JT	Cadbury-Schweppes**	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Cadigan Partners	$500,001 - $1,000,000	None	NONE	
JT	Canadian Natural Resources**	$15,001 - $50,000	None	NONE	
JT	Chase Bank (checking)	$1,001 - $15,000	Interest	$1 - $200	
JT	Cisco Systems**	None	N/A	NONE	S
JT	Citibank Savings	$1,000,001 - $5,000,000	INTEREST	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name NITA M. LOWEY

JT	Citicorp Investment Services/Fiserv/Landmark Fund	$100,001 - $250,000	Interest	$1,001 - $2,500	
JT	Citigroup**	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
JT	Coach, Inc**	$15,001 - $50,000	None	NONE	
JT	Coca-Cola Co**	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Crowley Maritime*	$1,001 - $15,000	None	NONE	
SP	Diker Micro-Value Fund	$250,001 - $500,000	CAPITAL GAINS	$15,001 - $50,000	
JT	Ebay**	None	CAPITAL GAINS	$5,001 - $15,000	S
JT	EMC Corp.-Mass.**	$15,001 - $50,000	None	NONE	
SP	Federated (mutual fund, formerly The Kaufmann Fund - IRA	$1,000,001 - $5,000,000	CAPITAL GAINS	$50,001 - $100,000	
JT	FedHomeLoan Mtg Corp Disc Note**	$50,001 - $100,000	INTEREST	$1,001 - $2,500	P
JT	Gannett**	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Heinz HJ Company*	$1,001 - $15,000	None	NONE	P
SP	Ingalls & Snyder Value Fund	$1,000,001 - $5,000,000	Interest/Capital Gains	$100,001 - $1,000,000	
JT	Lebenthal NY Muni Bond Fund	$100,001 - $250,000	Interest	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name NITA M. LOWEY

JT	Lebenthal Portfolio of NY Tax-Free Bonds (attached)	$500,001 - $1,000,000	Interest	$50,001 - $100,000	
SP	LivePerson	$50,001 - $100,000	None	NONE	
SP	Lowey Dannenberg Bemporad & Selinger Profit Sharing Plan	$1,000,001 - $5,000,000	None	N/A	
SP	Lowey Dannenberg Bemporad & Selinger, P.C.	$1,000,001 - $5,000,000	None	N/A	
SP	Lowey Family Investment LLC (see footnote)	$1,000,001 - $5,000,000	Capital Gains	$50,001 - $100,000	
SP	M.D. Sass Financial Strategies	$250,001 - $500,000	None	NONE	
JT	M.D. Sass Multistrategy	$1,000,001 - $5,000,000	None	N/A	
JT	M.D. Sass Reenterprise Fund - see footnote	None	None	NONE	Other
JT	Microsoft**	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	MMI Investments/ Millcap Advisors	$1,000,001 - $5,000,000	INTEREST/CAPITAL GAINS	$100,001 - $1,000,000	
SP	Newell Rubbermaid*	$1,001 - $15,000	/DIVIDENDS	$201 - $1,000	
SP	Newmont Mining*	None	CAPITAL GAINS	$15,001 - $50,000	S
	NYS Def. Compensation Plan	$50,001 - $100,000	N/A	NONE	
	NYS Retirement Plan	N/A	Retirement plan payments	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name NITA M. LOWEY

SP	Oppenheimer & Close Limited Partnership	$250,001 - $500,000	CAPITAL GAINS	$5,001 - $15,000	
SP	Oppenheimer & Spence Investment Pp.	$500,001 - $1,000,000	Dividends/Capital Gains	$15,001 - $50,000	
SP	P. Oppenheimer Investment Pp.	$1,000,001 - $5,000,000	Dividends/Capital Gains	$15,001 - $50,000	
	Salomon Smith Barney - IRA - see attached	$100,001 - $250,000	Dividends/Interest	$2,501 - $5,000	
SP	Santa Monica Ptnrs	$500,001 - $1,000,000	Capital Gains	$15,001 - $50,000	
SP	Seacor Smit*	None	CAPITAL GAINS	$5,001 - $15,000	S
JT	Teppco Partners LP**	$15,001 - $50,000	CAPITAL GAINS	$1,001 - $2,500	P
JT	Time Warner**	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Vodafone Gp ADR**	None	N/A	NONE	S
JT	Walgreen**	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
JT	Walmart**	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Wells Fargo**	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	Wellsford Rl Ppty* see footnote	None	CAPITAL GAINS	$15,001 - $50,000	Other

SCHEDULE IV - TRANSACTIONS

Name NITA M. LOWEY

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Anheuser Busch**	S	4-13-05	$15,001 - $50,000
JT	Cadbury-Schweppes**	P	4-13-05	$15,001 - $50,000
JT	Cisco Systems**	S	12-13-05	$15,001 - $50,000
JT	Coca-Cola Co**	P	2-4-05	$15,001 - $50,000
JT	Ebay Inc.**	S	3-14-05	$15,001 - $50,000
JT	Teppco Partners LP**	P	5-5-05	$15,001 - $50,000
JT	Vodafone GP ADR**	S	12-13-05	$15,001 - $50,000
JT	Fed Home Loan Mtg Corp Disc Note**	P	12-28-05	$50,001 - $100,000
JT	Ameriprise Fin'l Inc** (See footnote)	Other	10/3/05	$1,001 - $15,000
SP	Acme Comm. Inc.*	S	10/27/05	$15,001 - $50,000
SP	Acme Comm. Inc.*	P	10/29/05	$15,001 - $50,000
SP	Heinz HJ Company*	P	12/7/05	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name NITA M. LOWEY

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Anheuser Busch*	P	9/8/05	$1,001 - $15,000
SP	3M Co*	P	8/8/05	$1,001 - $15,000
SP	Newmont Mining*	S	10/12/05	$50,001 - $100,000
SP	Seacor Holdings Inc.*	S	3/4/05	$1,001 - $15,000
SP	Wellsford Real Pptys	Other	12/14/05	$15,001 - $50,000
SP	Brownstone Catalyst Fund - IRA	P	2/3/05	$500,001 - $1,000,000
SP	M.D. Sass Re-enterprise Fund	Other	12/31/05	NONE

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name NITA M. LOWEY

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Congres-sional Program of the Aspen Institute	3/19/05-4/4/05	NY-China-NY	Y	Y	Y	7 days

FOOTNOTES

Name NITA M. LOWEY

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Spinoff from American Express 10/3/05	Ameriprise Fin'l Inc see footnote
2	Schedule III	Explanation of asterisks: *Oppenheimer & Close Acct. **Lehman Brothers/Neuberger & Berman Acct. (N&B was acquired by Lehman Brothers)	
3	Schedule III	Other -Cash Liquidation Distribution	Wellsford RI Ppty* see footnote
4	Schedule III	SP owns an interest in Lowey Family Investment LLC which is invested in Brownstone Investment Group LLC, a privately owned broker-dealer firm.	Lowey Family Investment LLC (see footnote)
5	Schedule III	M.D. Sass Re-Enterprise Fund - fund assets written down to zero as of 12/31/05	M.D. Sass Reenterprise Fund - see footnote

PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

2005 CONSOLIDATED FORM 1099
Page 1

Telephone Number: (212) 272-0495

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOWEY
[redacted]

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number	Account Executive
017-30-2729	75M

Copy B for Recipient
Original 12/30/05 ☐ 2nd TIN Notice

PLEASE READ THE ENCLOSED TAX GUIDE FOR A COMPLETE EXPLANATION OF THE TAX INFORMATION ON YOUR 2005 CONSOLIDATED 1099. INCLUDED WITH THE CONSOLIDATED FORM 1099 IS AN INCOME SUMMARY STATEMENT OF YOUR 1099 REPORTABLE AND NONREPORTABLE INVESTMENT ACTIVITY. ALL AMOUNTS ON THE CONSOLIDATED FORM 1099 WILL BE REPORTED TO THE IRS. KINDLY CONTACT YOUR ACCOUNT EXECUTIVE WITH QUESTIONS CONCERNING THE COST BASIS OR ANY OTHER INFORMATION.

2005 FORM 1099-INT: INTEREST INCOME (OMB NO. 1545-0112)

(BOX)		(AMOUNT)
1.	Interest Income not Included in Box 3	598.58
2.	Early Withdrawal Penalty	0.00
3.	Interest on US Savings Bonds & Treas. Obligations	0.00
4.	Federal Income Tax Withheld	0.00
5.	Investment Expenses	0.00
6.	Foreign Tax Paid	0.00

2005 FORM 1099-DIV: DIVIDENDS & DISTRIBUTIONS (OMB NO. 1545-0110)

(BOX)		(AMOUNT)
1a.	Total Ordinary Dividends	0.00
1b.	Qualified Dividends	0.00
2a.	Total Capital Gain Distributions	16.93
2b.	Unrecaptured Section 1250 Gain	0.00
2c.	Section 1202 Gain	0.00
2d.	Collectibles (28%) Gain	0.00
3.	Nondividend Distributions	0.00
4.	Federal Income Tax Withheld	0.00
5.	Investment Expenses	0.00
6.	Foreign Tax Paid	0.00
8.	Cash Liquidation Distributions	0.00
9.	Noncash Liquidation Distributions	0.00

- CONTINUED -

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED

PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

2005 DETAILED INCOME STATEMENT

Page 2

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOWEY
[redacted]

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number	Account Executive
017-30-2729	75M

Original 12/30/05 ☐ 2nd TIN Notice

DATE	DESCRIPTION	DISTRIBUTION	AMOUNT	COMMENT
10/03	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
11/01	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
12/01	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
12/30	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
04/01	LONG ISLAND PWR AUTH N Y ELEC DUE 04/01/2006 AT 5.000%	INTEREST	375.00	
10/03	LONG ISLAND PWR AUTH N Y ELEC DUE 04/01/2006 AT 5.000%	INTEREST	375.00	
04/01	METROPOLITAN TRANSN AUTH N Y DUE 04/01/2007 AT 5.000%	INTEREST	625.00	
10/03	METROPOLITAN TRANSN AUTH N Y DUE 04/01/2007 AT 5.000%	INTEREST	625.00	
05/16	METROPOLITAN TRANSN AUTH N Y DUE 11/15/2018 AT 4.500%	INTEREST	1,350.00	
11/15	METROPOLITAN TRANSN AUTH N Y DUE 11/15/2018 AT 4.500%	INTEREST	1,350.00	
05/16	METROPOLITAN TRANSN AUTH N Y DUE 11/15/2019 AT 5.000%	INTEREST	1,250.00	
11/15	METROPOLITAN TRANSN AUTH N Y DUE 11/15/2019 AT 5.000%	INTEREST	1,250.00	
02/01	NEW YORK N Y G/O SER G DUE 02/01/2010 AT 5.750%	INTEREST	1,437.50	
08/01	NEW YORK N Y G/O SER G DUE 02/01/2010 AT 5.750%	INTEREST	1,437.50	

- CONTINUED -

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

2005 DETAILED INCOME STATEMENT
Page 1

Telephone Number: (212) 272-0495

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOWEY
[redacted]

287-61

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number	Account Executive
017-30-2729	75M

Original 12/30/05 ☐ 2nd TIN Notice

2005 REPORTABLE AND NONREPORTABLE INCOME ACTIVITY IS SHOWN BELOW. KINDLY CONTACT YOUR ACCOUNT EXECUTIVE WITH ANY QUESTIONS.

DATE	DESCRIPTION	DISTRIBUTION	AMOUNT	COMMENT
	DIVIDENDS			
10/03	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	L/T CAP GAINS	16.93	
	TOTAL DIVIDENDS			
	LONG-TERM CAPITAL GAINS		16.93	
	TOTAL CAPITAL GAINS		16.93	
	INTEREST DETAILS			
	TOTAL CREDIT INTEREST		598.58	
	NON REPORTABLE INCOME			
02/01	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
03/01	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
04/01	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
05/02	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
06/01	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
07/01	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
08/01	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
09/01	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	

- CONTINUED -

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PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

2005 CONSOLIDATED FORM 1099
Page 2

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOWEY
[redacted]

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number	Account Executive
017-30-2729	75M

Copy B for Recipient
Original 12/30/05 ☐ 2nd TIN Notice

2005 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCH. TRANS. **(OMB NO. 1545-0715)**

(Box 1a) DATE OF SALE OR EXCH	(Box 7) DESCRIPTION	(Box 1b) CUSIP NO.	(Box 2) STOCKS, BONDS, ETC. (See note on back)	(Box 4) FEDERAL INC. TAX WITHHELD	
08/01	NEW YORK CITY G/O SER-H DUE 08/01/2005 05.000% QUANTITY: 30,000	649665V60	30,000.00	0.00	REDEMPTION
11/15	NEW YORK N Y CITY TRANSITIONAL DUE 11/15/2005 04.125% QUANTITY: 50,000	649716BE6	50,000.00	0.00	REDEMPTION
	2 ITEMS - Total		80,000.00	0.00	

2005 FORM 1099-OID: ORIGINAL ISSUE DISCOUNT **(OMB NO. 1545-0117)**

*** NO REPORTABLE 2005 FORM 1099-OID INCOME ***

2005 FORM 1099-MISC: MISCELLANEOUS INCOME **(OMB NO. 1545-0115)**

*** NO REPORTABLE 2005 FORM 1099-MISC INCOME ***

END OF 2005 CONSOLIDATED FORM 1099

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED

PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number	Account Executive
017-30-2729	75M

2005 DETAILED INCOME STATEMENT

Page 3

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOWEY
[redacted]

Original 12/30/05 ☐ 2nd TIN Notice

DATE	DESCRIPTION	DISTRIBUTION	AMOUNT	COMMENT
08/01	NEW YORK N Y G/O PREREFUNDED DUE 08/01/2009 AT 5.300%	INTEREST	530.00	
08/01	NEW YORK N Y G/O UNREFUNDED DUE 08/01/2009 AT 5.300%	INTEREST	530.00	
02/01	NEW YORK CITY G/O NEW MONEY DUE 08/01/2009 AT 5.300%	INTEREST	1,060.00	
02/01	NEW YORK CITY G/O SER-H DUE 08/01/2005 AT 5.000%	INTEREST	750.00	
08/01	NEW YORK CITY G/O SER-H DUE 08/01/2005 AT 5.000%	INTEREST	750.00	
02/01	NEW YORK N Y G/O SER A DUE 08/01/2006 AT 4.600%	INTEREST	1,150.00	
08/01	NEW YORK N Y G/O SER A DUE 08/01/2006 AT 4.600%	INTEREST	1,150.00	
02/01	NEW YORK N Y G/O SER A DUE 08/01/2010 AT 5.250%	INTEREST	1,181.25	
08/01	NEW YORK N Y G/O SER A DUE 08/01/2010 AT 5.250%	INTEREST	1,181.25	
06/15	NEW YORK N Y CITY MUN WTR FIN DUE 06/15/2025 AT 5.250%	INTEREST	656.25	
12/15	NEW YORK N Y CITY MUN WTR FIN DUE 06/15/2025 AT 5.250%	INTEREST	656.25	
05/16	NEW YORK N Y CITY TRANSITIONAL DUE 11/15/2005 AT 4.125%	INTEREST	1,031.25	
11/15	NEW YORK N Y CITY TRANSITIONAL DUE 11/15/2005 AT 4.125%	INTEREST	1,031.25	

- CONTINUED -

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PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

2005 DETAILED INCOME STATEMENT

Page 4

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOWEY
[redacted]

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number	Account Executive
017-30-2729	75M

Original 12/30/05 ☐ 2nd TIN Notice

DATE	DESCRIPTION	DISTRIBUTION	AMOUNT	COMMENT
02/01	NEW YORK N Y CITY TRANSITIONAL DUE 02/01/2011 AT 4.375%	INTEREST	437.50	
08/01	NEW YORK N Y CITY TRANSITIONAL DUE 02/01/2011 AT 4.375%	INTEREST	437.50	
03/01	NEW YORK ST G/O SER B DUE 03/01/2008 AT 5.000%	INTEREST	625.00	
09/01	NEW YORK ST G/O SER B DUE 03/01/2008 AT 5.000%	INTEREST	625.00	
05/27	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.500%	INTEREST	36.46	
06/03	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.400%	INTEREST	35.00	
06/10	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.400%	INTEREST	35.00	
06/17	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.200%	INTEREST	32.08	
06/24	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.050%	INTEREST	29.90	
07/01	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.050%	INTEREST	29.90	
07/08	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 1.800%	INTEREST	28.29	
07/15	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 1.800%	INTEREST	26.25	
07/22	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 1.950%	INTEREST	28.44	
07/29	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.050%	INTEREST	26.98	
08/05	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.050%	INTEREST	29.90	
08/12	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 1.950%	INTEREST	27.71	

- CONTINUED -

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BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

2005 DETAILED INCOME STATEMENT
Page 5

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOWEY
[redacted]

Payer's Federal ID Number	Account Number
13-3604093	042-44287
Recipient's ID Number	Account Executive
017-30-2729	75M

Original 12/30/05 ☐ 2nd TIN Notice

DATE	DESCRIPTION	DISTRIBUTION	AMOUNT	COMMENT
08/19	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 1.950%	INTEREST	28.44	
08/26	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.150%	INTEREST	29.90	
09/02	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.150%	INTEREST	31.35	
09/09	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.150%	INTEREST	31.35	
09/16	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.150%	INTEREST	31.35	
09/23	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.400%	INTEREST	32.08	
09/30	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.400%	INTEREST	35.00	
10/07	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.250%	INTEREST	33.54	
10/14	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.250%	INTEREST	32.81	
10/21	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.250%	INTEREST	32.81	
10/28	DORMITORY AUTHORITY OF NY DUE 01/15/2030	INTEREST	32.81	
11/04	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.300%	INTEREST	32.81	
11/14	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.300%	INTEREST	47.92	
11/18	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.300%	INTEREST	19.17	
11/25	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.850%	INTEREST	40.10	
12/02	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.850%	INTEREST	41.56	
12/09	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.750%	INTEREST	40.10	

- CONTINUED -

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BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

2005 DETAILED INCOME STATEMENT

Page 6

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOWEY
[redacted]

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number	Account Executive
017-30-2729	75M

Original 12/30/05 ☐ 2nd TIN Notice

DATE	DESCRIPTION	DISTRIBUTION	AMOUNT	COMMENT
12/16	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.750%	INTEREST	40.10	
12/23	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.850%	INTEREST	41.56	
12/30	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.850%	INTEREST	41.56	
05/16	NEW YORK STATE DORM AUTH REV DUE 05/15/2008 AT 5.500%	INTEREST	1,375.00	
11/15	NEW YORK STATE DORM AUTH REV DUE 05/15/2008 AT 5.500%	INTEREST	1,375.00	
01/03	NEW YORK ST DORM AUTH REVS DUE 07/01/2006 AT 4.900%	INTEREST	612.50	
07/01	NEW YORK ST DORM AUTH REVS DUE 07/01/2006 AT 4.900%	INTEREST	612.50	
06/15	NEW YORK ST ENVIRONMENTAL FACS DUE 06/15/2013 AT 4.550%	INTEREST	1,820.00	
12/15	NEW YORK ST ENVIRONMENTAL FACS DUE 06/15/2013 AT 4.550%	INTEREST	1,820.00	
04/01	NEW YORK ST TWY AUTH SVC DUE 04/01/2013 AT 5.375%	INTEREST	1,343.75	
10/03	NEW YORK ST TWY AUTH SVC DUE 04/01/2013 AT 5.375%	INTEREST	1,343.75	
04/01	NEW YORK ST TWY AUTH SVC DUE 04/01/2015 AT 5.750%	INTEREST	1,437.50	
10/03	NEW YORK ST TWY AUTH SVC DUE 04/01/2015 AT 5.750%	INTEREST	1,437.50	
01/03	NEW YORK ST URBAN DEV CORP REV DUE 01/01/2017 AT 5.000%	INTEREST	875.00	

- CONTINUED -

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BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

2005 DETAILED INCOME STATEMENT
Page 7

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOWEY
[redacted]

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number	Account Executive
017-30-2729	75M

Original 12/30/05 ☐ 2nd TIN Notice

DATE	DESCRIPTION	DISTRIBUTION	AMOUNT	COMMENT
07/01	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2017 AT 5.000%		875.00	
01/03	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.500%		14.10	
01/10	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.500%		14.58	
01/18	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.400%		15.56	
01/24	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.520%		12.08	
01/31	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.500%		14.78	
02/07	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.500%		14.58	
02/14	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.470%		14.58	
02/22	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.470%		16.33	
02/28	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.600%		13.33	
03/07	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030		15.07	
03/14	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.700%		15.56	
03/21	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.800%		16.53	
03/28	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030		17.50	
04/04	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030		18.47	
04/11	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.000%		19.44	
04/18	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.000%		19.44	

- CONTINUED -

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BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

2005 DETAILED INCOME STATEMENT
Page 8

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOWEY
[redacted]

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number	Account Executive
017-30-2729	75M

Original 12/30/05 ☐ 2nd TIN Notice

DATE	DESCRIPTION	DISTRIBUTION	AMOUNT	COMMENT
04/25	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.000%		21.39	
05/02	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.500%		24.31	
05/09	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.640%		25.67	
05/16	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.700%		26.25	
05/23	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.400%		24.31	
05/31	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.400%		26.67	
06/06	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.150%		19.58	
06/13	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.150%		20.90	
06/20	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.050%		17.01	
06/27	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.990%		19.93	
07/05	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.070%		22.11	
07/11	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.950%		17.25	
07/18	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.950%		18.96	
07/25	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.970%		18.96	
08/01	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.050%		19.15	
08/08	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.950%		19.93	
08/15	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.080%		18.96	

- CONTINUED -

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Street Address, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number	Account Executive
017-30-2729	75M

2005 DETAILED INCOME STATEMENT
Page 9

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOWEY
[redacted]

Original 12/30/05 ☐ 2nd TIN Notice

DATE	DESCRIPTION	DISTRIBUTION	AMOUNT	COMMENT
08/22	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.100%		20.22	
08/29	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030		20.42	
09/06	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.150%		24.22	
09/12	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.150%		17.92	
09/19	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.200%		20.90	
09/26	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.300%		21.39	
10/03	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.350%		22.36	
10/11	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.300%		26.11	
10/17	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.250%		19.17	
10/24	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.250%		21.88	
10/31	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.350%		21.88	
11/07	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.400%		22.85	
11/14	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.450%		23.33	
11/21	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.550%		23.82	
11/28	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.630%		24.79	
12/05	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.630%		25.57	
12/12	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.630%		25.57	

- CONTINUED -

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PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
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ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

2005 DETAILED INCOME STATEMENT
Page 10

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOWEY
[redacted]

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number	Account Executive
017-30-2729	75M

Original 12/30/05 ☐ 2nd TIN Notice

DATE	DESCRIPTION	DISTRIBUTION	AMOUNT	COMMENT
12/19	NEW YORK ST URBAN DEV CORP REV DUE 01/01/2030 AT 2.700%	INTEREST	25.57	
12/27	NEW YORK ST URBAN DEV CORP REV DUE 01/01/2030	INTEREST	30.00	
01/03	PUERTO RICO ELEC PWR AUTH PWR DUE 07/01/2007 AT 5.200%	INTEREST	780.00	
07/01	PUERTO RICO ELEC PWR AUTH PWR DUE 07/01/2007 AT 5.200%	INTEREST	780.00	
01/03	TRIBOROUGH BRDG & TUNL AUTH DUE 01/01/2023 AT 5.000%	INTEREST	1,250.00	
07/01	TRIBOROUGH BRDG & TUNL AUTH DUE 01/01/2023 AT 5.000%	INTEREST	1,250.00	
	TOTAL NON REPORTABLE INCOME			
	OTHER NON REPORTABLE INCOME		47,433.47	

DATE	DESCRIPTION	TRANSACTION	PRICE	CUSIP	AMOUNT	COMMENT
	INVESTMENT ACTIVITY DETAILS					
05/19	DORMITORY AUTHORITY OF NY 2001 2-4 N/A 5/26/2005 7 DAY SAVER DUE 01/15/2030 02.500% QUANTITY: 75,000	BOUGHT	100	64982PSJ2	75,000.00	

DESCRIPTION	DAYS HELD	QUANTITY	OID RATE / TYPE	OID AMOUNT
NON-TAXABLE ORIGINAL ISSUE DISCOUNT DETAILS				
NEW YORK N Y G/O SER G DUE 02/01/2010 AT 5.750%	01/01 - 01/02	20,000	.0085562 / DAILY	
	01/03 - 01/31	50,000	.0085562 / DAILY	
	02/01 - 07/31	50,000	.0088206 / DAILY	
	08/01 - 12/31	50,000	.0090932 / DAILY	
			SECURITY TOTAL	162.13

- CONTINUED -

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PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

2005 CONSOLIDATED FORM 1099
Page 1

Telephone Number: (212) 272-0495

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOWEY
[redacted]

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number	Account Executive
Redacted----	75H

Copy B for Recipient
Original 12/30/05 ☐ 2nd TIN Notice

PLEASE READ THE ENCLOSED TAX GUIDE FOR A COMPLETE EXPLANATION OF THE TAX INFORMATION ON YOUR 2005 CONSOLIDATED 1099. INCLUDED WITH THE CONSOLIDATED FORM 1099 IS AN INCOME SUMMARY STATEMENT OF YOUR 1099 REPORTABLE AND NONREPORTABLE INVESTMENT ACTIVITY. ALL AMOUNTS ON THE CONSOLIDATED FORM 1099 WILL BE REPORTED TO THE IRS. KINDLY CONTACT YOUR ACCOUNT EXECUTIVE WITH QUESTIONS CONCERNING THE COST BASIS OR ANY OTHER INFORMATION.

2005 FORM 1099-INT: INTEREST INCOME (OMB NO. 1545-0112)

(BOX)		(AMOUNT)
1.	Interest Income not Included in Box 3	598.58
2.	Early Withdrawal Penalty	0.00
3.	Interest on US Savings Bonds & Treas. Obligations	0.00
4.	Federal Income Tax Withheld	0.00
5.	Investment Expenses	0.00
6.	Foreign Tax Paid	0.00

2005 FORM 1099-DIV: DIVIDENDS & DISTRIBUTIONS (OMB NO. 1545-0110)

(BOX)		(AMOUNT)
1a.	Total Ordinary Dividends	0.00
1b.	Qualified Dividends	0.00
2a.	Total Capital Gain Distributions	16.93
2b.	Unrecaptured Section 1250 Gain	0.00
2c.	Section 1202 Gain	0.00
2d.	Collectibles (28%) Gain	0.00
3.	Nondividend Distributions	0.00
4.	Federal Income Tax Withheld	0.00
5.	Investment Expenses	0.00
6.	Foreign Tax Paid	0.00
8.	Cash Liquidation Distributions	0.00
9.	Noncash Liquidation Distributions	0.00

- CONTINUED -

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

1 F0014 L OMB CL T F 027 PAGE[redacted] BH/ACCT 042-44287 01/21 12:22 L0121014R2

PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

2005 CONSOLIDATED FORM 1099
Page 2

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOWEY
[redacted]

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number	Account Executive
Redacted	758

Copy B for Recipient
Original 12/30/05 ☐ 2nd TIN Notice

2005 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCH. TRANS. (OMB NO. 1545-0715)

(Box 1a) DATE OF SALE OR EXCH	(Box 7) DESCRIPTION	(Box 1b) CUSIP NO.	(Box 2) STOCKS, BONDS, ETC. (See note on back)	(Box 4) FEDERAL INC. TAX WITHHELD	
08/01	NEW YORK CITY G/O SER-H DUE 08/01/2005 05.000% QUANTITY: 30,000	649665V60	30,000.00	0.00	REDEMPTION
11/15	NEW YORK N Y CITY TRANSITIONAL DUE 11/15/2005 04.125% QUANTITY: 50,000	649716BE6	50,000.00	0.00	REDEMPTION
	2 ITEMS - Total		80,000.00	0.00	

2005 FORM 1099-OID: ORIGINAL ISSUE DISCOUNT (OMB NO. 1545-0117)

*** NO REPORTABLE 2005 FORM 1099-OID INCOME ***

2005 FORM 1099-MISC: MISCELLANEOUS INCOME (OMB NO. 1545-0115)

*** NO REPORTABLE 2005 FORM 1099-MISC INCOME ***

END OF 2005 CONSOLIDATED FORM 1099

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED

TRDIM LONG CL1 / 027 PAGEBREAKD BR/ACCT 042-4287 01/21 12:22 L0131191-482

PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

2005 DETAILED INCOME STATEMENT
Page 1

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NISA LONEY
[redacted]

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number: Redacted	Account Executive: 75M

Original 12/30/05 ☐ 2nd TIN Notice

2005 REPORTABLE AND NONREPORTABLE INCOME ACTIVITY IS SHOWN BELOW. KINDLY CONTACT YOUR ACCOUNT EXECUTIVE WITH ANY QUESTIONS.

DATE	DESCRIPTION	DISTRIBUTION	AMOUNT	COMMENT
	DIVIDENDS			
10/03	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	L/T CAP GAINS	16.93	
	TOTAL DIVIDENDS			
	LONG-TERM CAPITAL GAINS		16.93	
	TOTAL CAPITAL GAINS		16.93	
	INTEREST DETAILS			
	TOTAL CREDIT INTEREST		598.58	
	NON REPORTABLE INCOME			
02/01	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
03/01	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
04/01	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
05/02	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
06/01	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
07/01	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
08/01	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
09/01	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	

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THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

FM0014 LONG CL T 027 PAGE000030 BRI/ACCT 042-44287 01/21 12:22 L013191482

PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

2005 DETAILED INCOME STATEMENT

Page 2

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOWEY
[redacted]

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number	Account Executive
Redacted	75M

Original 12/30/05 ☐ 2nd TIN Notice

DATE	DESCRIPTION	DISTRIBUTION	AMOUNT	COMMENT
10/03	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
11/01	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
12/01	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
12/30	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	DIVIDEND	206.25	
04/01	LONG ISLAND PWR AUTH N Y ELEC DUE 04/01/2006 AT 5.000%	INTEREST	375.00	
10/03	LONG ISLAND PWR AUTH N Y ELEC DUE 04/01/2006 AT 5.000%	INTEREST	375.00	
04/01	METROPOLITAN TRANSN AUTH N Y DUE 04/01/2007 AT 5.000%	INTEREST	625.00	
10/03	METROPOLITAN TRANSN AUTH N Y DUE 04/01/2007 AT 5.000%	INTEREST	625.00	
05/16	METROPOLITAN TRANSN AUTH N Y DUE 11/15/2018 AT 4.500%	INTEREST	1,350.00	
11/15	METROPOLITAN TRANSN AUTH N Y DUE 11/15/2018 AT 4.500%	INTEREST	1,350.00	
05/16	METROPOLITAN TRANSN AUTH N Y DUE 11/15/2019 AT 5.000%	INTEREST	1,250.00	
11/15	METROPOLITAN TRANSN AUTH N Y DUE 11/15/2019 AT 5.000%	INTEREST	1,250.00	
02/01	NEW YORK N Y G/O SER G DUE 02/01/2010 AT 5.750%	INTEREST	1,437.50	
08/01	NEW YORK N Y G/O SER G DUE 02/01/2010 AT 5.750%	INTEREST	1,437.50	

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THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

T400141 ENG CL 74 077 PAGE0086029 BRVACCT 042-44287 01/21 12:22 L0131919482

Payer's Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

2005 DETAILED INCOME STATEMENT

Page 3

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOWEY
[redacted]

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number	Account Executive
Redacted	75M

Original 12/30/05 ☐ 2nd TIN Notice

DATE	DESCRIPTION	DISTRIBUTION	AMOUNT	COMMENT
08/01	NEW YORK N Y G/O PREREFUNDED DUE 08/01/2009 AT 5.300%	INTEREST	530.00	
08/01	NEW YORK N Y G/O UNREFUNDED DUE 08/01/2009 AT 5.300%	INTEREST	530.00	
02/01	NEW YORK CITY G/O NEW MONEY DUE 08/01/2009 AT 5.300%	INTEREST	1,060.00	
02/01	NEW YORK CITY G/O SER-H DUE 08/01/2005 AT 5.000%	INTEREST	750.00	
08/01	NEW YORK CITY G/O SER-H DUE 08/01/2005 AT 5.000%	INTEREST	750.00	
02/01	NEW YORK N Y G/O SER A DUE 08/01/2006 AT 4.600%	INTEREST	1,150.00	
08/01	NEW YORK N Y G/O SER A DUE 08/01/2006 AT 4.600%	INTEREST	1,150.00	
02/01	NEW YORK N Y G/O SER A DUE 08/01/2010 AT 5.250%	INTEREST	1,181.25	
08/01	NEW YORK N Y G/O SER A DUE 08/01/2010 AT 5.250%	INTEREST	1,181.25	
06/15	NEW YORK N Y CITY MUN WTR FIN DUE 06/15/2025 AT 5.250%	INTEREST	656.25	
12/15	NEW YORK N Y CITY MUN WTR FIN DUE 06/15/2025 AT 5.250%	INTEREST	656.25	
05/16	NEW YORK N Y CITY TRANSITIONAL DUE 11/15/2005 AT 4.125%	INTEREST	1,031.25	
11/15	NEW YORK N Y CITY TRANSITIONAL DUE 11/15/2005 AT 4.125%	INTEREST	1,031.25	

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THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

TKD14 LONG CLT# 027 PAGE[illegible] BR/ACCT 0G-4287 01/21 12:22 L0[illegible]

Payer's name, street, city, state, zip code, and telephone number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number	Account Executive
RekaGed	75M

2005 DETAILED INCOME STATEMENT

Page 4

RECIPIENT'S Name, Street Address, City, State, and Zip Code
RITA LOWEY
[redacted]

Original 12/30/05 ☐ 2nd TIN Notice

DATE	DESCRIPTION	DISTRIBUTION	AMOUNT	COMMENT
02/01	NEW YORK N Y CITY TRANSITIONAL DUE 02/01/2011 AT 4.375%	INTEREST	437.50	
08/01	NEW YORK N Y CITY TRANSITIONAL DUE 02/01/2011 AT 4.375%	INTEREST	437.50	
03/01	NEW YORK ST G/O SER B DUE 03/01/2008 AT 5.000%	INTEREST	625.00	
09/01	NEW YORK ST G/O SER B DUE 03/01/2008 AT 5.000%	INTEREST	625.00	
05/27	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.500%	INTEREST	36.46	
06/03	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.400%	INTEREST	35.00	
06/10	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.400%	INTEREST	35.00	
06/17	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.200%	INTEREST	32.08	
06/24	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.050%	INTEREST	29.90	
07/01	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.050%	INTEREST	29.90	
07/08	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 1.800%	INTEREST	28.29	
07/15	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 1.800%	INTEREST	26.25	
07/22	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 1.950%	INTEREST	28.44	
07/29	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.050%	INTEREST	26.98	
08/05	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.050%	INTEREST	29.90	
08/12	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 1.950%	INTEREST	27.71	

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TKOH LONG CL76 027 PAGE0002A1 BRVACCT 042 4387 01/21 12:22 L0131N-482

Payer's Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

2005 DETAILED INCOME STATEMENT
Page 5

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOWEY
[redacted]

Payer's Federal ID Number	Account Number
13-3604093	042-44287
Recipient's ID Number	Account Executive
P.COLCKO	75M

Original 12/30/05 ☐ 2nd TIN Notice

DATE	DESCRIPTION	DISTRIBUTION	AMOUNT	COMMENT
08/19	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 1.950%	INTEREST	28.44	
08/26	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.150%	INTEREST	29.90	
09/02	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.150%	INTEREST	31.35	
09/09	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.150%	INTEREST	31.35	
09/16	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.150%	INTEREST	31.35	
09/23	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.400%	INTEREST	32.08	
09/30	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.400%	INTEREST	35.00	
10/07	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.250%	INTEREST	33.54	
10/14	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.250%	INTEREST	32.81	
10/21	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.250%	INTEREST	32.81	
10/28	DORMITORY AUTHORITY OF NY DUE 01/15/2030	INTEREST	32.81	
11/04	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.300%	INTEREST	32.81	
11/14	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.300%	INTEREST	47.92	
11/18	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.300%	INTEREST	19.17	
11/25	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.850%	INTEREST	40.10	
12/02	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.850%	INTEREST	41.56	
12/09	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.750%	INTEREST	40.10	

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THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

1 FORM LONG CL T1 027 PAGE0085240 BAVACCT 042-44287 01/21 12 22 L013191482

Payer's Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

2005 DETAILED INCOME STATEMENT

Page 6

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOWEY
[redacted]

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number Redacted	Account Executive 75H

Original 12/30/05 ☐ 2nd TIN Notice

DATE	DESCRIPTION	DISTRIBUTION	AMOUNT	COMMENT
12/16	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.750%	INTEREST	40.10	
12/23	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.850%	INTEREST	41.56	
12/30	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 2.850%	INTEREST	41.56	
05/16	NEW YORK STATE DORM AUTH REV DUE 05/15/2008 AT 5.500%	INTEREST	1,375.00	
11/15	NEW YORK STATE DORM AUTH REV DUE 05/15/2008 AT 5.500%	INTEREST	1,375.00	
01/03	NEW YORK ST DORM AUTH REVS DUE 07/01/2006 AT 4.900%	INTEREST	612.50	
07/01	NEW YORK ST DORM AUTH REVS DUE 07/01/2006 AT 4.900%	INTEREST	612.50	
06/15	NEW YORK ST ENVIRONMENTAL FACS DUE 06/15/2013 AT 4.550%	INTEREST	1,820.00	
12/15	NEW YORK ST ENVIRONMENTAL FACS DUE 06/15/2013 AT 4.550%	INTEREST	1,820.00	
04/01	NEW YORK ST TWY AUTH SVC DUE 04/01/2013 AT 5.375%	INTEREST	1,343.75	
10/03	NEW YORK ST TWY AUTH SVC DUE 04/01/2013 AT 5.375%	INTEREST	1,343.75	
04/01	NEW YORK ST TWY AUTH SVC DUE 04/01/2015 AT 5.750%	INTEREST	1,437.50	
10/03	NEW YORK ST TWY AUTH SVC DUE 04/01/2015 AT 5.750%	INTEREST	1,437.50	
01/03	NEW YORK ST URBAN DEV CORP REV DUE 01/01/2017 AT 5.000%	INTEREST	875.00	

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THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

T4014 LONG CL 1 F 027 PAGEBREAK1 BRVACCT 042-44287 01/21 12:22 L01:3191482

Payer's Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

2005 DETAILED INCOME STATEMENT

Page 7

RECIPIENT's Name, Street Address, City State, and Zip Code
NITA LOWEY
[redacted]

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number	Account Executive
Redacted	75M

Original 12/30/05 ☐ 2nd TIN Notice

DATE	DESCRIPTION	DISTRIBUTION	AMOUNT	COMMENT
07/01	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2017 AT 5.000%		875.00	
01/03	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.500%		14.10	
01/10	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.500%		14.58	
01/18	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.400%		15.56	
01/24	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.520%		12.08	
01/31	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.500%		14.78	
02/07	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.500%		14.58	
02/14	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.470%		14.58	
02/22	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.470%		16.33	
02/28	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.600%		13.33	
03/07	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030		15.07	
03/14	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.700%		15.56	
03/21	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.800%		16.53	
03/28	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030		17.50	
04/04	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030		18.47	
04/11	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.000%		19.44	
04/18	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.000%		19.44	

- CONTINUED -

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

F80014 LONG CLT# 027 PAGE#0004 RA/ACCT 042-4287 01/21 12:22 L#3191482

PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

2005 DETAILED INCOME STATEMENT

Page 8

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOWEY
[redacted]

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number	Account Executive
Redacted	75H

Original 12/30/05 ☐ 2nd TIN Notice

DATE	DESCRIPTION	DISTRIBUTION	AMOUNT	COMMENT
04/25	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.000%		21.39	
05/02	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.500%		24.31	
05/09	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.640%		25.67	
05/16	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.700%		26.25	
05/23	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.400%		24.31	
05/31	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.400%		26.67	
06/06	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.150%		19.58	
06/13	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.150%		20.90	
06/20	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.050%		17.01	
06/27	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.990%		19.93	
07/05	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.070%		22.11	
07/11	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.950%		17.25	
07/18	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.950%		18.96	
07/25	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.970%		18.96	
08/01	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.050%		19.15	
08/08	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 1.950%		19.93	
08/15	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.080%		18.96	

- CONTINUED -

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

1 80014 LONG C2 T 0 027 PAGE0068245 BR/ACCT 042-44287 01/21 12:22 L013:01482

Payer's name, street address, city, state, zip code, and telephone number:
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number	Account Executive
Redacted	75H

2005 DETAILED INCOME STATEMENT

Page 9

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOWEY
[redacted]

Original 12/30/05 ☐ 2nd TIN Notice

DATE	DESCRIPTION	DISTRIBUTION	AMOUNT	COMMENT
08/22	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.100%		20.22	
08/29	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030		20.42	
09/06	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.150%		24.22	
09/12	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.150%		17.92	
09/19	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.200%		20.90	
09/26	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.300%		21.39	
10/03	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.350%		22.36	
10/11	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.300%		26.11	
10/17	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.250%		19.17	
10/24	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.250%		21.88	
10/31	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.350%		21.88	
11/07	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.400%		22.85	
11/14	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.450%		23.33	
11/21	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.550%		23.82	
11/28	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.630%		24.79	
12/05	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.630%		25.57	
12/12	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.630%		25.57	

- CONTINUED -

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

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PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number	Account Executive
Redacted	75M

2005 DETAILED INCOME STATEMENT

Page 10

RECIPIENT'S Name, Street Address, City, State, and Zip Code
RITA LONEY
[redacted]

Original 12/30/05 ☐ 2nd TIN Notice

DATE	DESCRIPTION	DISTRIBUTION	AMOUNT	COMMENT
12/19	NEW YORK ST URBAN DEV CORP REV DUE 01/01/2030 AT 2.700%	INTEREST	25.57	
12/27	NEW YORK ST URBAN DEV CORP REV DUE 01/01/2030	INTEREST	30.00	
01/03	PUERTO RICO ELEC PWR AUTH PWR DUE 07/01/2007 AT 5.200%	INTEREST	780.00	
07/01	PUERTO RICO ELEC PWR AUTH PWR DUE 07/01/2007 AT 5.200%	INTEREST	780.00	
01/03	TRIBOROUGH BRDG & TUNL AUTH DUE 01/01/2023 AT 5.000%	INTEREST	1,250.00	
07/01	TRIBOROUGH BRDG & TUNL AUTH DUE 01/01/2023 AT 5.000%	INTEREST	1,250.00	
	TOTAL NON REPORTABLE INCOME			
	OTHER NON REPORTABLE INCOME		47,433.47	

DATE	DESCRIPTION	TRANSACTION	PRICE	CUSIP	AMOUNT	COMMENT
	INVESTMENT ACTIVITY DETAILS					
05/19	DORMITORY AUTHORITY OF NY 2001 2-4 N/A 5/26/2005 7 DAY SAVER DUE 01/15/2030 02.500% QUANTITY: 75,000	BOUGHT	100	64982PSJ2	75,000.00	

DESCRIPTION	DAYS HELD	QUANTITY	OID RATE / TYPE	OID AMOUNT
NON-TAXABLE ORIGINAL ISSUE DISCOUNT DETAILS				
NEW YORK N Y G/O SER G DUE 02/01/2010 AT 5.750%	01/01 - 01/02	20,000	.0085562 / DAILY	
	01/03 - 01/31	50,000	.0085562 / DAILY	
	02/01 - 07/31	50,000	.0088206 / DAILY	
	08/01 - 12/31	50,000	.0090932 / DAILY	
			SECURITY TOTAL	162.13

- CONTINUED -

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

TRCUM LONG CL T# 027 PAGE/DIRECT BR/ACCT 042-44287 01/21 12:22 L013191482

PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: (212) 272-0495

2005 DETAILED INCOME STATEMENT
Page 11

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOWEY
[redacted]

Payer's Federal ID Number	Account Number
13-3604093	[redacted]
Recipient's ID Number	Account Executive
Redacted	75M

Original 12/30/05 ☐ 2nd TIN Notice

DESCRIPTION	DAYS HELD	QUANTITY	OID RATE / TYPE	OID AMOUNT
NEW YORK N Y G/O SER G DUE 02/01/2010 AT 5.750%	01/01 - 01/02	30,000	.0085562 / DAILY SECURITY TOTAL	0.51
TOTAL NON-TAXABLE OID				162.64

END OF 2005 DETAILED INCOME STATEMENT

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

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LEBENTHAL
A Division of Advest, Inc.

2005 ENHANCED 1099

017247 03 3DG 135/5 AUTO** 5-DIGIT 10580
STEPHEN LOWEY &
NITA M LOWEY JT TEN
[redacted]

Your Financial Advisor:
MICHAEL COBB
120 BROADWAY
12TH FLOOR
NEW YORK NY 10271
212-482-4973

This package contains your Form(s) 1099 (Copy B for Recipient). Please retain this package for tax preparation purposes.

Enclosed within this package

TAX STATEMENT
- Summary of Reportable Tax Information 3
- 1099-B Proceeds from Broker and Barter Exchange Transactions 4
- Details of Reportable Tax Information 5
- Federal Non-Reportable Information 6

ANNUAL STATEMENT INFORMATION
- Summary Page 9
- Activity Detail 11

Accounts are carried by First Clearing, LLC (FCC), member NYSE/SIPC

2680

LEBENTHAL
A Division of Advest, Inc.

2005 ENHANCED 1099

Sub / Branch / Rep / Account No
179 / AWXA / XA11 / [redacted]

Your Financial Advisor:
MICHAEL COBB
120 BROADWAY
12TH FLOOR
NEW YORK NY 10271
212-482-4073

Payer:
FIRST CLEARING LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID # 23-2384840

As of Date, 1/20/06

STEPHEN LOWEY &
NITA M LOWEY JT TEN
[redacted]

Your Federal Identification Number: Redacted

Summary of Reportable Tax Information

1099-DIV Dividends and Distributions for 2005 — OMB No. 1545-0110

IRS Box		Amount
1a	Total Ordinary Dividends	475.20
1b	Qualified Dividends	0.00
2a	Total Capital Gain Distributions	4,319.74
2b	Unrecaptured Sec. 1250 Gain	0.00
2c	Section 1202 Gain	0.00
2d	Collectibles (28%) Gain	0.00
3	Nondividend Distributions	0.00
4	Federal Income Tax Withheld	0.00
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
7	Foreign Country or U.S. Possession	See Detail Section
8	Cash Liquidation Distributions	0.00
9	Noncash Liquidation Distributions	0.00

1099-INT Interest Income for 2005 — OMB No. 1545-0112

IRS Box		Amount
1	Interest Income Not Included in Box 3	11.26
3	Interest on U.S. Savings Bonds and Treasury Obligations	0.00
4	Federal Income Tax Withheld	0.00
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
7	Foreign Country or U.S. Possession	See Detail Section

1099-B Summary of Proceeds from Broker and Barter Exchange for 2005

IRS Box		Amount
1a	Date of Sale or Exchange	See Detail Section
1b	CUSIP Number	See Detail Section
2	Gross Proceeds Less Commissions and Option Premiums	530,000.00
4	Federal Income Tax Withheld	0.00
5	Number of Shares Exchanged	Not Applicable
6	Classes of Stock Exchanged	Not Applicable
7	Description	See Detail Section
12	Cannot take loss on amount in box 2 if box is checked	Not Applicable

The amount in IRS Box 2 is composed of the item(s) reported on the FORM 1099-B on a later page in this package. Each item is reported to the Internal Revenue Service individually

THIS PACKAGE INCLUDES YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

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LEBENTHAL

A Division of Advest, Inc.

2005 ENHANCED 1099

Sub / Branch / Rep / Account No
179 / AWXA / XA11 / [redacted]

Your Financial Advisor:
MICHAEL COBB
120 BROADWAY
12TH FLOOR
NEW YORK NY 10271
212-482-4973

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN VA 23060
Payer ID # 23-2384840

As of Date: 1/20/06

STEPHEN LOWEY &
NITA M LOWEY JT TEN

Details of Reportable Tax Information

While only the Form 1099 box totals are reported to the IRS, the following specific transaction detail is being provided to you for courtesy purposes. The IRS box numbers referenced for individual items listed below comprise the totals which appear on the actual Forms 1099, shown on the first page of this package. Please consult with your Financial Advisor or tax advisor regarding specific questions.

Tax reporting requirements can create differences with the amounts previously reported in monthly client statements. If you have an investment in a mutual fund, regulated investment company (RIC), real estate investment trust (REIT), or unit investment trust (UIT), some of those issuers provide reclassification information after the original tax form is printed. We will issue an amended form for information received after your original tax forms are generated.

1099-DIV Dividends and Distributions

Description	Notes	Payment Date	Ex-Div Date	Activity	Amount	IRS Box	Country
LEBENTHAL N Y MUNI BD FD	&	01/03/2006		SHORT TERM CAP GAIN	478.20	1a	
TOTAL ORDINARY DIVIDENDS (INCLUDING QUALIFIED DIVS AND SHORT TERM CAP GAINS)					*478.20*	*1a*	
LEBENTHAL N Y MUNI BD FD	&	01/03/2006		CAPITAL GAINS	4,319.74	2a	
TOTAL CAPITAL GAIN DISTRIBUTIONS (INCLUDING BOXES 2B, 2C, AND 2D, IF ANY)					*4,319.74*	*2a*	

& This January 2005 distribution is reported as 2005 income according to IRS regulations. This distribution does not appear on your 2005 monthly statements. (RICs)

1099-INT Interest Income

Date	Description	Notes	Activity	Amount	IRS Box	Country
02/22/05	CREDIT INTEREST		CREDIT INTEREST	11.26	1	
	TOTAL INTEREST INCOME NOT INCLUDED IN BOX 3			*11.26*	*1*	

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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LEBENTHAL
A Division of Advest, Inc.

2005 ENHANCED 1099

Sub / Branch / Rep / Account No
179 / AWXA / XA11 [redacted]

As of Date: 1/20/06

Your Financial Advisor:
MICHAEL COBB
120 BROADWAY
12TH FLOOR
NEW YORK NY 10271
212-482-4973

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID # 23-2384840

STEPHEN LOWEY &
NITA M LOWEY JT TEN

Federal Non-Reportable Information

Miscellaneous Activity Detail *Continued*

Description	Notes	Date	Activity	Amount	Line Ref
NEW YORK S 25% 5/15/2014		11/15/2005	INTEREST	525.00	2
NY ST DORM 6% 7/1/2013		07/01/2005	INTEREST	1,200.00	2
NY ST 4.0 061514		06/15/2005	INTEREST	1,000.00	2
NY ST 4.0 061514		12/15/2005	INTEREST	1,000.00	2
NY ST 5.1 121508		06/15/2005	INTEREST	1,275.00	2
NY ST 5.1 121508		12/15/2005	INTEREST	1,275.00	2
PT NY&NJCONS5 2%7/1/2012		07/01/2005	INTEREST	910.00	2
TRIBOUROUGH5.25%1/1/2013		07/01/2005	INTEREST	656.25	2
WESTCHEST NY5 125 110108		05/02/2005	INTEREST	896.88	2
WESTCHEST NY5 125 110108		11/01/2005	INTEREST	896.88	2
WESTCHESTERNY5%11/1/2030		05/02/2005	INTEREST	1,200.00	2
WESTCHESTERNY6%11/1/2030		11/01/2005	INTEREST	1,200.00	2
TOTAL FEDERALLY TAX-EXEMPT MUNICIPAL BOND PAYMENTS				29,534.13	2
BOSTON ADV NY TAX FREE		03/15/2005	DIVIDEND	83.52	3
BOSTON ADV NY TAX FREE		04/15/2005	DIVIDEND	116.31	3
BOSTON ADV NY TAX FREE		05/13/2005	DIVIDEND	142.72	3
BOSTON ADV NY TAX FREE		06/15/2005	DIVIDEND	143.00	3
BOSTON ADV NY TAX FREE		06/20/2005	DIVIDEND	16.42	3
FEDERATED NY MUNI CS(CII		06/30/2005	DIVIDEND	62.34	3
FEDERATED NY MUNI CS(CII		07/29/2005	DIVIDEND	472.34	3
FEDERATED NY MUNI CS(CII		08/24/2005	DIVIDEND	534.76	3
FEDERATED NY MUNI CS(CII		08/31/2005	DIVIDEND	0.19	3
FEDERATED NY MUNI CS(CII		09/30/2005	DIVIDEND	0.62	3
FEDERATED NY MUNI CS(CII		10/31/2005	DIVIDEND	1.86	3
FEDERATED NY MUNI CS(CII		11/30/2005	DIVIDEND	7.43	3
FEDERATED NY MUNI CS(CII		12/30/2005	DIVIDEND	12.85	3
LEBENTHAL N Y MUNI BD FD		03/14/2005	DIVIDEND	684.89	3
LEBENTHAL N Y MUNI BD FD		04/12/2005	DIVIDEND	704.20	3
LEBENTHAL N Y MUNI BD FD		05/12/2005	DIVIDEND	694.01	3

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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LEBENTHAL
A Division of Advest, Inc.

2005 ENHANCED 1099

Sub / Branch / Rep / Account No
179 / AWXA / XA11 / [redacted]

As of Date: 1/20/06

STEPHEN LOWEY &
NITA M LOWEY JT TEN

Annual Statement Information

The information on the following pages is from your monthly client statements and is as of the end of the year. This information may not match the tax information presented on preceding pages due to changes that occur after year end as well as special tax reporting requirements. This information is not reported to the IRS. If you receive an Amendment to this form, NO Annual Statement information will be included in that package.

Portfolio Summary

Portfolio Assets	Value on Dec 31
Cash and Money Market	$7,514.17
Stocks and Options	$0.00
Preferred Stocks	$0.00
Fixed Income Securities	$606,933.50
Open End Mutual Funds	$200,419.25
Closed End Mutual Funds	$0.00
Unit Investment Trusts	$0.00
Managed Futures/Hedge Funds	$0.00
Annuities/Insurance	$0.00
Total Assets	**$814,866.92**
Outstanding Margin Balance	$0.00
Net Portfolio Value	**$814,866.92**

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the new portfolio value, and are not protected by SIPC

	Value on Dec 31
Direct Investments	$0.00
Special Products	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00
Total Other Assets	**$0.00**

Selected Detail Activity Summary

	Total as of Dec 31
Deposits/Withdrawals	$91,228.31
ATM and CheckCard activity*	$0.00
ACH activity	$0.00
Wire transfers	$0.00
Withdrawals by Check	-$951,080.32

* This total will not match the total presented for this category on your December monthly statement if you had ACH or Wire Transfer activity prior to July.

2684

LEBENTHAL
A Division of Advest, Inc.

2005 ENHANCED 1099

Sub / Branch / Reg / Account No
179 / AWXA / XA11 / [redacted]

As of Date: 1/20/06

STEPHEN LOWEY &
NITA M LOWEY JT TEN

Activity Detail by Type

Deposits and Withdrawals

Date	Account Type	Transaction	Quantity	Description	Amount
2/18	Cash	ASSET TRF		CASH BALANCE TRANSFER FROM WEXFORD	$5,539.96
2/19	Cash	ASSET TRF		CONV BALANCE TRF OLD ACCOUNT: WXA23518	$85,887.81
2/19	Cash	ASSET TRF	23,573.00000	LEBENTHAL NEW YORK MUNI BD FD	$0.00
2/19	Cash	ASSET TRF	0.39200	LEBENTHAL NEW YORK MUNI BD FD	$0.00
2/19	Cash	ASSET TRF	25,000.00000	NEW YORK ST MTG AGY REV HOMEOWNER MTG SER 98 B/E CPN 5.050% DUE 10/01/17 DTD 06/01/01 FC 10/01/01 CALL 04/01/11 @ 100.000	$0.00
2/19	Cash	ASSET TRF	25,000.00000	METROPOLITAN TRANSN AUTH NY REV SER U B/E OID OY=5.45% CPN 5.125% DUE 11/15/31 DTD 05/30/02 FC 11/15/02 CALL 11/15/12 @ 100.000	$0.00
2/19	Cash	ASSET TRF	25,000.00000	MUNICIPAL ASST CORP FOR THE CITY OF NEW YORK SER J REV OID B/E GO OF CORP CPN 5.125% DUE 07/01/05 DTD 04/15/97 FC 01/01/98	$0.00
2/19	Cash	ASSET TRF	25,000.00000	NEW YORK NY SER F G/O UNLTD B/E OY=5.3% CPN 5.300% DUE 01/15/26 DTD 01/22/03 FC 07/15/03 CALL 01/15/13 @ 100.000	$0.00

LEBENTHAL
A Division of Advest, Inc.

2005 ENHANCED 1099

Sub / Branch / Rep / Account No
179 / AWXA / XA11 / [redacted]

As of Date: 1/20/06

STEPHEN LOWEY &
NITA M LOWEY JT TEN

Activity Detail by Type *Continued*

Deposits and Withdrawals *Continued*

Date	Account Type	Transaction	Quantity	Description	Amount
2/19	Cash	ASSET TRF	40,000.00000	NEW YORK ST DORM AUTH REVS MOUNT SINAI HEALTH SER-A B/E OID CPN 6.000% DUE 07/01/13 DTD 04/15/00 FC 01/01/01 CALL 07/01/10 @ 101.000	$0.00
2/19	Cash	ASSET TRF	50,000.00000	NEW YORK ST ENV'RONMENTAL FACS CRP PCR ST WTR RVLVNG FD SER CPN 5.100% DUE 12/15/08 DTD 03/01/97 FC 06/15/97 CALL 12/15/07 @ 102.000	$0.00
2/19	Cash	ASSET TRF	35,000.00000	NEW YORK ST DA REVS RFDG MONTEFIORE MC AMBAC B/E FHA SJ SPC RDMPT OID5.53 CPN 5.250% DUE 02/01/15 DTD 12/01/96 FC 08/01/97 CALL 02/01/07 @ 102.000	$0.00
2/19	Cash	ASSET TRF	25,000.00000	NEW YORK NY SER E CPN 3.300% DUE 08/01/09 DTD 10/30/03 FC 02/01/04 CPN 3.300% DUE 08/01/09 DTD 10/30/03 FC 02/01/04	$0.00
2/19	Cash	ASSET TRF	25,000.00000	TRIBOUROUGH BRDG & TUNL AUTH NY SPL OBLIG RFDG SER A FGIC OY=5.013% CPN 5.250% DUE 01/01/13 DTD 07/15/98 FC 01/01/99 CALL 01/01/08 @ 101.000	$0.00
2/19	Cash	ASSET TRF	35,000.00000	WESTCHESTER CNTY NY B/E CPN 5.125% DUE 11/01/08 DTD 11/01/99 FC 05/01/00	$0.00

Y*333022 0*7247 022110335333 YNNNYNNNNNNNNNN 000007

2005 Capital Expenses

Security Description	CUSIP	Purchase Date	Quantity	Amount	Transaction Type
LEBENTHAL NEW YORK *	523216208	01/12/05	82.058	684.36	Reinvestment Disbursement
MUNI BD FD		02/14/05	84.413	717.51	Reinvestment Disbursement
			Sub-total:	1,401.87	

2005 Tax-Exempt Interest

Security Description	CUSIP	State	AMT	Date	Credit	Debit	Transaction Type
MUNICIPAL ASST CORP FOR THE CITY OF NEW YORK SER J REV OID B/E GO OF CORP	626190V33	NY	N	01/03/05	660.63	0.00	Tax-Exempt Interest
NEW YORK N Y RFDG SER A CY=4.275% UT GENERAL OBLIGATION	6496646D6	NY	N	02/01/05	3,000.00	0.00	Tax-Exempt Interest
NEW YORK NY SER F G/O UNLTD B/E CY=5 3%	64966B4M2	NY	N	01/18/05	662.50	0.00	Tax-Exempt Interest
NEW YORK NY SER G G/O UNLTD B/E OID CY=3.82%	64966B5B5	NY	N	02/01/05	475.00	0.00	Tax-Exempt Interest
NEW YORK NY SER E CPN 3.300% DUE 08/01/09 DTD 10/30/03 FC 02/01/04	64966CVK1	NY	N	03/01/05	412.50	0.00	Tax-Exempt Interest
NEW YORK ST DA REVS RFDG MONTEFIORE MC AMBAC B/E FKA SJ SPC RDMPT OID5.53	649836T36	NY	N	02/01/05	918.75	0.00	Tax-Exempt Interest
NEW YORK ST DORM AUTH REVS MOUNT SINAI HEALTH SER-A B/E OID	64983WT30	NY	N	01/03/05	1,200.00	0.00	Tax-Exempt Interest
NEW YORK ST MED CARE FACS FIN AGY RV NY DOWNTOWN HSP SER A B/E	64988XGG6	NY	N	02/15/05	2,437.50	0.00	Tax-Exempt Interest

First Clearing, LLC | Account No: [redacted] | Tax Payer ID: Redacted | 2005 Tax Information Statement | Page 2 of 6

2005 1099-B* | Proceeds from Broker and Barter Exchange Transactions | OMB No. 1545-0715

Security Description Box 7	CUSIP No. Box 1b	Date of Sale Box 1a	Quantity Box 5	Gross Proceeds+ Box 2	Fed. Tax Withheld Box 4	Transaction Type
NEW YORK ST MED CARE FACS FIN AGY RV NY DOWNTOWN REP SER A B/E	64988KGG6	02/15/05	75,000.000	76,500.00	0.00	Redemption
NEW YORK ST URBAN DEV CORP REV CORRECTIONAL CAP FACS SER 5 DY=6.05%	650033W90	01/03/05	150,000.000	153,000.00	0.00	Redemption
			Total:	229,500.00	0.00	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

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LEBENTHAL

A Division of Advest, Inc.

2005 AMENDED FORMS 1099

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STEPHEN LOWEY &
NITA M LOWEY JT TEN

Your Financial Advisor:
MICHAEL COBB
120 BROADWAY
12TH FLOOR
NEW YORK NY 10271
212-482-4973

AMENDMENT TO FORMS 1099

This package contains amendments to your Form 1099. These changes are usually caused by dividend reclassification information received since your original form, or previously amended form, was printed.

All corrections are marked with an "X" for your convenience. Please retain this package for tax preparation purposes.

Please consult with your tax advisor concerning the tax reporting requirements of this information. If you have questions, contact your Financial Advisor.

Accounts are carried by First Clearing, LLC (FCC), member NYSE/SIPC

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2691

LEBENTHAL
A Division of Advest, Inc.

STEPHEN LOWEY &
NITA M LOWEY JT TEN
[redacted]

2005 AMENDED FORMS 1099

60 685

Sub / Branch / Rep / Account No
179 / AWXA / XA11 / [redacted]

Your Financial Advisor:
MICHAEL COBB
120 BROADWAY
12TH FLOOR
NEW YORK NY 10271
212-482-4973

Payer:
FIRST CLEARING LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN VA 23060
Payer ID # 23-2384840

Amended Date: 2/23/06

Your Federal Identification Number: Redaction

Summary of Reportable Tax Information

1099-DIV Dividends and Distributions for 2005 — OMB No. 1545-0110

IRS Box		Amount
X 1a	Total Ordinary Dividends	493.11
1b	Qualified Dividends	0.00
2a	Total Capital Gain Distributions	4,319.74
2b	Unrecaptured Sec. 1250 Gain	0.00
2c	Section 1202 Gain	0.00
2d	Collectibles (28%) Gain	0.00
3	Nondividend Distributions	0.00
4	Federal Income Tax Withheld	0.00
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
7	Foreign Country or U.S. Possession	See Detail Section
8	Cash Liquidation Distributions	0.00
9	Noncash Liquidation Distributions	0.00

1099-INT Interest Income for 2005 — OMB No. 1545-0112

IRS Box		Amount
1	Interest Income Not Included in Box 3	11.26
3	Interest on U.S. Savings Bonds and Treasury Obligations	0.00
4	Federal Income Tax Withheld	0.00
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
7	Foreign Country or U.S. Possession	See Detail Section

1099-B Summary of Proceeds from Broker and Barter Exchange for 2005

IRS Box		Amount
1a	Date of Sale or Exchange	See Detail Section
1b	CUSIP Number	See Detail Section
2	Gross Proceeds Less Commissions and Option Premiums	530,000.00
4	Federal Income Tax Withheld	0.00
5	Number of Shares Exchanged	Not Applicable
6	Classes of Stock Exchanged	Not Applicable
7	Description	See Detail Section
12	Cannot take loss on amount in box 2 if box is checked	Not Applicable

The amount in IRS Box 2 is composed of the item(s) reported on the FORM 1099-B on a later page in this package. Each item is reported to the Internal Revenue Service individually.

2692

THIS PACKAGE INCLUDES YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

Y7311055 017832 C55111654311 NNNNN NNNNN NNNNNN 000002

LEBENTHAL
A Division of Advest, Inc.

2005 AMENDED FORMS 1099

Sub / Branch / Rep / Account No
179 / AWXA / XA11 / [redacted]

Amended Date: 2/23/06

STEPHEN LOWEY &
NITA M LOWEY JT TEN

Your Financial Advisor:
MICHAEL COBB
120 BROADWAY
12TH FLOOR
NEW YORK NY 10271
212-482-4973

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

Details of Reportable Tax Information

While only the Form 1099 box totals are reported to the IRS, the following specific transaction detail is being provided to you for courtesy purposes. The IRS box numbers referenced for individual items listed below comprise the totals which appear on the actual Forms 1099, shown on the first page of this package. Please consult with your Financial Advisor or tax advisor regarding specific questions.

Tax reporting requirements can create differences with the amounts previously reported in monthly client statements. If you have an investment in a mutual fund, regulated investment company (RIC), real estate investment trust (REIT), or unit investment trust (UIT), some of those issuers provide reclassification information after the original tax form is printed. We will issue an amended form for information received after your original tax forms are generated.

1099-DIV Dividends and Distributions

	Description	Notes	Payment Date	Ex-Div Date	Activity	Amount	IRS Box	Country
X	LEBENTHAL N Y MUNI BD FD		03/14/2005		DIVIDEND	1.81	1a	
X	LEBENTHAL N Y MUNI BD FD		04/12/2005		DIVIDEND	1.84	1a	
X	LEBENTHAL N Y MUNI BD FD		05/12/2005		DIVIDEND	1.82	1a	
X	LEBENTHAL N Y MUNI BD FD		06/14/2005		DIVIDEND	2.04	1a	
X	LEBENTHAL N Y MUNI BD FD		07/12/2005		DIVIDEND	1.63	1a	
X	LEBENTHAL N Y MUNI BD FD		08/12/2005		DIVIDEND	1.79	1a	
X	LEBENTHAL N Y MUNI BD FD		09/13/2005		DIVIDEND	1.81	1a	
X	LEBENTHAL N Y MUNI BD FD		10/12/2005		DIVIDEND	1.78	1a	
X	LEBENTHAL N Y MUNI BD FD		11/14/2005		DIVIDEND	1.94	1a	
X	LEBENTHAL N Y MUNI BD FD		12/13/2005		DIVIDEND	1.45	1a	
	LEBENTHAL N Y MUNI BD FD	&	01/03/2006		SHORT TERM CAP GAIN	475.20	1a	
	TOTAL ORDINARY DIVIDENDS (INCLUDING QUALIFIED DIVS AND SHORT TERM CAP GAINS)					*493.11*	*1a*	
	LEBENTHAL N Y MUNI BD FD	&	01/03/2006		CAPITAL GAINS	4,319.74	2a	
	TOTAL CAPITAL GAIN DISTRIBUTIONS (INCLUDING BOXES 2B, 2C, AND 2D, IF ANY)					*4,319.74*	*2a*	

& The January 2006 distribution is reported as 2005 income according to IRS regulations. This distribution does not appear on your 2005 monthly statements (RICs)

1099-INT Interest Income

Date	Description	Notes	Activity	Amount	IRS Box	Country
02/22/05	CREDIT INTEREST		CREDIT INTEREST	11.26	1	
	TOTAL INTEREST INCOME NOT INCLUDED IN BOX 3			*11.26*	*1*	

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

Y7311056 017832 055111654311 NNNNN NNNNN NNNNNN 000003

LEBENTHAL
A Division of Advest, Inc.

2005 AMENDED FORMS 1099

Sub / Branch / Rep / Account No
179 / AWXA / XA11 / [redacted]

Amended Date: 2/23/06

STEPHEN LOWEY &
NITA M LOWEY JT TEN

Your Financial Advisor:
MICHAEL COBB
120 BROADWAY
12TH FLOOR
NEW YORK NY 10271
212-482-4973

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

Federal Non-Reportable Information

Miscellaneous Activity Detail *Continued*

	Description	Notes	Date	Activity	Amount	Line Ref
	NEW YORK 5 25% 8/15/2014		11/15/2005	INTEREST	525.00	2
	NY ST DORM 6% 7/1/2013		07/01/2005	INTEREST	1,200.00	2
	NY ST 4 0 061814		06/15/2005	INTEREST	1,000.00	2
	NY ST 4 0 061814		12/15/2005	INTEREST	1,000.00	2
	NY ST 5 1 121508		06/15/2005	INTEREST	1,275.00	2
	NY ST 5 1 121508		12/15/2005	INTEREST	1,275.00	2
	PT NY&NJCONS6 2%7/1/2012		07/01/2005	INTEREST	910.00	2
	TRIBOUROUGH5 25%7/1/2013		07/01/2005	INTEREST	656.25	2
	WESTCHEST NY5 125 110108		05/02/2005	INTEREST	896.88	2
	WESTCHEST NY5.125 110108		11/01/2005	INTEREST	896.88	2
	WESYCHESTERNY6%11/1/2030		05/02/2005	INTEREST	1,200.00	2
	WESTCHESTERNY6%11/1/2030		11/01/2005	INTEREST	1,200.00	2
	TOTAL FEDERALLY TAX-EXEMPT MUNICIPAL BOND PAYMENTS				*29,538.15*	2
	BOSTON ADV NY TAX FREE		03/15/2005	DIVIDEND	63.52	3
	BOSTON ADV NY TAX FREE		04/15/2005	DIVIDEND	116.31	3
	BOSTON ADV NY TAX FREE		05/13/2005	DIVIDEND	142.72	3
	BOSTON ADV NY TAX FREE		06/15/2005	DIVIDEND	143.00	3
	BOSTON ADV NY TAX FREE		06/20/2005	DIVIDEND	18.42	3
	FEDERATED NY MUNI CS/CII		06/30/2005	DIVIDEND	52.55	3
	FEDERATED NY MUNI CS/CII		07/29/2005	DIVIDEND	472.34	3
	FEDERATED NY MUNI CS/CII		08/24/2005	DIVIDEND	534.76	3
	FEDERATED NY MUNI CS/CII		08/31/2005	DIVIDEND	0.19	3
	FEDERATED NY MUNI CS/CII		09/30/2005	DIVIDEND	0.82	3
	FEDERATED NY MUNI CS/CII		10/31/2005	DIVIDEND	1.86	3
	FEDERATED NY MUNI CS/CII		11/30/2005	DIVIDEND	7.43	3
	FEDERATED NY MUNI CS/CII		12/30/2005	DIVIDEND	12.85	3
X	LEBENTHAL N Y MUNI BD FD		03/14/2005	DIVIDEND	677.41	3
X	LEBENTHAL N Y MUNI BD FD		04/12/2005	DIVIDEND	696.53	3
X	LEBENTHAL N Y MUNI BD FD		05/12/2005	DIVIDEND	686.44	3

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

LEBENTHAL
A Division of Advest, Inc.

2005 AMENDED FORMS 1099

Sub / Branch / Rep / Account No
179 / AWXA / XA11 / [redacted]

Amended Date: 2/23/06

STEPHEN LOWEY &
NITA M LOWEY JT TEN

Your Financial Advisor:
MICHAEL COBB
120 BROADWAY
12TH FLOOR
NEW YORK NY 10271
212-482-4973

Payer:
FIRST CLEARING LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23 2364640

Federal Non-Reportable Information

Purchase Activity Detail *Continued*

Description	Cusip	Date	Activity	Quantity	Price	Amount
LEBENTHAL N Y MUNI BD FD	523216208	06/14/2005	REINVEST DIVIDEND	91.01500		-772.72
LEBENTHAL N Y MUNI BD FD	523216208	07/12/2005	REINVEST DIVIDEND	72.35300		-615.00
LEBENTHAL N Y MUNI BD FD	523216208	08/12/2005	REINVEST DIVIDEND	80.94400		-683.17
LEBENTHAL N Y MUNI BD FD	523216208	09/13/2005	REINVEST DIVIDEND	80.15800		-685.35
LEBENTHAL N Y MUNI BD FD	523216208	10/12/2005	REINVEST DIVIDEND	80.72500		-682.13
LEBENTHAL N Y MUNI BD FD	523216208	11/14/2005	REINVEST DIVIDEND	87.63600		-731.76
LEBENTHAL N Y MUNI BD FD	523216208	12/13/2005	REINVEST DIVIDEND	66.40000		-565.10

IMPORTANT TAX INFORMATION • PLEASE RETAIN FOR TAX PREPARATION PURPOSES

YT311055 017832 05111654311 NNNNN NNNNN NNNNNN 000005

LEBENTHAL
A Division of Alamo Inc.

8749

ACCOUNT STATEMENT

Account Summary for
January 1, 2006 - January 31, 2006

Your Financial Advisor :
MICHAEL COBB
120 BROADWAY
12TH FLOOR
NEW YORK NY 10271
212-482-4973

007159 GKMME34
STEPHEN LOWEY &
NITA M LOWEY JT TEN
[redacted]

At a Glance

If you have more than one account with us, why not get them linked? Your summary below will list them all. Contact Your Financial Advisor today.

Accounts	Account no.	Previous portfolio value	Current portfolio value
Investment Account	[redacted]	814,866.92	822,211.89
Total		$814,866.92	$822,211.89

Accounts are carried by First Clearing, LLC (FCC), member NYSE/SIPC.

WSE34F1.0 007159 032215/0/534 YNNNN NNNNN NNNNNNNNN 000001

LEBENTHAL
A Division of Advest Inc.

STEPHEN LOWEY &
NITA M LOWEY JT TEN

ACCOUNT STATEMENT

Sub	Branch	Rep	Account No.
179	AWXA	XA11	[redacted]

January 1 - January 31, 2006

Your Financial Advisor:

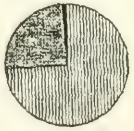
MICHAEL COBB
120 BROADWAY
12TH FLOOR
NEW YORK NY 10271
212-482-4973

Portfolio Summary

Portfolio Assets

	Value on Dec 31	Value on Jan 31	Est. Ann. Income	% Total Assets
Cash and Money Market Funds	7,514.17	10,962.94	252.14	1.33
Stocks and Options	0.00	0.00	0.00	
Fixed Income Securities	606,933.50	605,739.95	30,382.50	73.67
Open End Mutual Funds	200,419.25	205,509.00	7,882.43	24.99
Closed End Mutual Funds	0.00	0.00	0.00	
Total Assets	$814,866.92	$822,211.89	38,517.07	100%
Margin Balance	0.00	0.00	0.00	
Net Portfolio Value	$814,866.92	$822,211.89		

Asset Allocation (Portfolio Assets)



Fixed Income Securities
Open End Mutual Funds
Other

2697

LEBENTHAL
A Division of Advest, Inc

STEPHEN LOWEY &
NITA M LOWEY JT TEN

ACCOUNT STATEMENT

Sub	Branch	Rep	Account No.
179	AWXA	XA11	[redacted]

January 1 - January 31, 2006

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
FEDERATED NY MUNI CASH TRUST(CII) Interest Period 01/01/06 - 01/31/06	10,962.94	252.14	2.30
Total Cash and Money Market Funds	**$10,962.94**		

Fixed Income Securities

Municipal Bonds

Description	Quantity	Current Price	Current Market Value	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
NEW YORK N Y RFDG SER A DY=6 275% UT GENERAL OBLIGATION CPN 6 000% DUE 08/01/06 DTD 07/11/96 FC 02/01/97 Moody A1 S&P A+ CUSIP 649884BD6	100,000	101.3450	101,345.00	3,000.00	6,000.00	5.92
NEW YORK ST DA REVS RFDG MONTEFIORE MC AMBAC B/E FHA SJ SPC RDMPT OID6 53 CPN 5 250% DUE 02/01/15 DTD 12/01/95 FC 08/01/97 PRE 02/01/07 @ 102 000 Moody AAA S&P AAA CUSIP 649836TZ6	35,000	103.9160	36,370.60	918.75	1,837.50	5.05
WESTCHESTER CNTY NY B/E CPN 5 125% DUE 11/01/08 DTD 11/01/99 FC 05/01/00 Moody AAA S&P AAA CUSIP 95736UGQ1	35,000	104.9780	36,742.30	448.35	1,793.75	4.88

LEBENTHAL
A Division of Advest, Inc.

STEPHEN LOWEY &
NITA M LOWEY JT TEN

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No
179 / AWXA / XA11 / [redacted]

January 1 - January 31, 2006

Fixed Income Securities

Municipal Bonds continued

Description	Quantity	Current Price	Current Market Value	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
TRIBOROUGH BRDG & TUNL AUTH NY SPL OBLIG RFDG SER A FGIC OY=5 013% CPN 5 250% DUE 01/01/13 DTD 07/15/98 FC 01/01/99 CALL 01/01/08 @ 101 000 Moody AAA , S&P AAA CUSIP 896033MK0	25,000	104.4150	26,103.75	109.50	1,312.50	5.02
NEW YORK ST SER D B/E CPN 4 000% DUE 06/15/14 DTD 06/26/03 FC 12/15/03 CPN 4 000% DUE 06/15/14 DTD 06/26/03 FC 12/15/03 PRE 06/15/13 @ 100 000 Moody AA3 , S&P AA CUSIP 649787C55	50,000	101.8780	50,939.00	255.50	2,000.00	3.92
NEW YORK ST DORM AUTH REVS MOUNT SINAI HEALTH SER-A B/E CID CPN 5 000% DUE 07/01/13 DTD 04/15/00 FC 01/01/01 CALL 07/01/10 @ 101 000 Moody BA1 , S&P BB CUSIP 64983WT30	40,000	105.9000	42,360.00	200.00	2,400.00	5.66
NEW YORK N Y PREFUNDED BALANCE J NO UNLTD B/E VBIA CPN 5 250% DUE 05/15/14 DTD 06/15/99 FC 11/15/99 CALL 05/15/09 @ 101 000 Moody AAA , S&P AAA CUSIP 649666LY1	20,000	105.8470	21,169.40	221.60	1,050.00	4.95
NEW YORK ST MTG AGY REV HOMEOWNER MTG SER 98 B/E CPN 5 050% DUE 10/01/17 DTD 08/01/01 FC 10/01/01 CALL 04/01/11 @ 100 000 Moody AA1 , S&P N/R CUSIP 64988PLV6	25,000	102.9920	25,748.00	420.75	1,262.50	4.90

2699

LEBENTHAL
A Division of Sterne, Inc

STEPHEN LOWEY &
NITA M LOWEY JT TEN

ACCOUNT STATEMENT

Sub	Branch	Rep	Account No
179	AWXA	XA11	[redacted]

January 1 - January 31, 2006

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
01/01				BEGINNING BALANCE			7,514.17
01/03	Cash	LT CAP GAIN		LEBENTHAL NEW YORK MUNI BD FD 122905 24,965.23100 AS OF 12/29/05		4,319.74	
01/03	Cash	SHRT TRM GAIN		LEBENTHAL NEW YORK MUNI BD FD 122905 24,965.23100 AS OF 12/29/05		475.20	
01/03	Cash	INTEREST		PORT AUTH N Y & N J CONS 86TH SER RFDG OY=5.3% CPN 5.200% DUE 07/01/12 DTD 07/01/93 FC 01/01/94 010106 35,000 AS OF 1/01/06 CUSIP 733580ZP2		910.00	
01/03	Cash	INTEREST		NEW YORK ST DORM AUTH REVS MOUNT SINAI HEALTH SER-A B/E OID CPN 6.000% DUE 07/01/13 DTD 04/15/00 FC 01/01/01 010106 40,000 CUSIP 64983WT30		1,200.00	
01/03	Cash	INTEREST		TRIBOUROUGH BRDG & TUNL AUTH NY SPL OBLIG RFDG SER A FGIC OY=5.013% CPN 5.250% DUE 01/01/13 DTD 07/15/98 FC 01/01/99 010106 25,000 CUSIP 896033MK0		656.25	
1/03	Cash	REINVEST DIV	525.51600	LEBENTHAL NEW YORK MUNI BD FD	8.2200	-4,319.74	
1/03	Cash	REINVEST DIV	57.81000	LEBENTHAL NEW YORK MUNI BD FD	8.2200	-475.20	10,280.42
1/12	Cash	DIVIDEND		LEBENTHAL NEW YORK MUNI BD FD 011006 25,031.54700 AS OF 1/10/06		545.12	

2701

SMITH BARNEY citigroup

Individual Retirement Account

November 1 - December 31, 2005

ef: 00019642 00127949

306L0506760305D00018842 305364AP01 WEB00048A
NITA LOWEY
COM IRA CUSTODIAN
[redacted]

Account number [redacted]

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Consultant
CYNTHIA GREEN COLIN
345 PARK AVENUE
21ST FLOOR
NEW YORK NY 10154
212-230-3600
Email: cynthia.colin@smithbarney.com
www.smithbarney.com

Branch: 800-654-1557

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Account value	Last period	This period	%
Opening reinvested cash	$ 0.00	$ 978.78	.64
Bank Deposit Program℠ principal	72,066.84	72,619.66	47.30
Bank Deposit Program accrued interest	86.41	0.00	
Common stocks & options	47,348.03	48,737.22	29.79
Mutual funds	8,482.36	8,590.84	5.25
International bonds	17,177.80	17,343.80	11.30
Corporate bonds	7,165.90	7,265.18	4.73
Total value	$ 153,330.33	$ 153,535.45	100.00
Total value (excluding accrued interest)	$ 153,230.93	$ 153,535.45	

Fair Market Value 12/31/05 $ 153,535.45

Cash, money fund, bank deposits	This period	This year
Opening balance	$ 72,066.84	
Securities bought and other subtractions	(1,040.92)	
Securities sold and other additions	0.00	
Withdrawals	0.00	(40.00)
Dividends credited	1,040.92	
Bank Deposit Program℠ interest reinvested	552.81	
Closing balance	$ 72,619.66	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Earnings summary	This period	This year
Interest	$ 0.00	$ .02
Accrued interest received	0.00	.25
Other dividends	1,040.92	4,089.17
Bank Deposit Program℠	552.81	1,957.54
Total	$ 1,593.73	$ 6,046.98

Portfolio summary	This period	This year
Securities (total value level Accr. int.)	$ 153,290.63	$ 143,828.53
Net security deposits/withdrawals	0.00	.00
Net cash deposits/withdrawals	0.00	(40.00)
Beginning value net of deposits/withdrawals	153,230.93	143,786.53
Total income	1,593.73	6,046.98
Asset increase/(decrease)	(1,289.21)	3,691.94
Total value as of 12/30/2005 (excl. accr. int.)	$ 153,535.45	$ 153,535.45
Total return	$ 304.52	$ 9,748.92

2702

SMITH BARNEY citigroup

Ref: 0001842 00127080

Individual Retirement Account

November 1 - December 31, 2005

NITA LOWEY

Account number [redacted]

Additional summary information	This period	This year
Return of principal	$ 0.00	$ 14

Gain/loss summary		This period	This year
Realized gain or (loss)		$ 0.00	($ 409.20) LT
			$ 0.00 ST
Unrealized gain or (loss) to date	$ 14,102.30		

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are reported separately, based on information you provided.

	2005	2004	Since inception*
Contributions			
Total contributions	$ 0.00	$ 0.00	$ 0.00

**Note: This column is provided for informational purposes only. It reflects activity from your account opening date or 01/01/04, whichever is later, unless you provide details of prior activity. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Consultant if you wish to adjust this column. We are not responsible for any information you provide.*

PRIMARY BENEFICIARIES

Please contact your Financial Consultant for the documents necessary to change or update your beneficiary information.

JACQUELINE LOWEY

2703

SMITH BARNEY citigroup

Ref 00019842 00127951

Individual Retirement Account

November 1 - December 31, 2005

NITA LOWEY

Account number [redacted]

PORTFOLIO DETAILS

The values of your holdings are as of 12/30/05.

Securities purchased or sold are included or excluded in this section as of the trade date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled purchases/sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold. Please note unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Please note: *unrealized gain/(loss) is being calculated for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program℠

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
72,819.85	CITIBANK NA BANK DEPOSIT PROGRAM	$ 72,819.85		3.73%	$ 2,708.71
Total: Bank Deposit Program		$ 72,819.85	$ 0.00	3.73%	$ 2,708.71

Common stocks & options

Citigroup Investment Research and independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citigroup Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citigroup Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citigroup Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citigroup Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an Independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
1,000	AMERICAN CAPITAL STRATEGIES Rating: [illegible]	ACAS	03/26/03	$ 22,600.00	$ 22.60	$ 36.21	$ 36,210.00	$ 13,610.00 LT		
128.8024	Reinvestments to date			3,747.80	29.097	36.21	4,663.93	916.13 LT		
116.1853	Reinvestments to date			3,572.37	32.427	36.21	3,969.09	416.72 ST		
1,244.9877				29,920.17	24.149		44,843.02	14,942.85	8.726	3,915.14
80	TERADYNE INC Rating: Citigroup 2M Morningstar 2 S&P 2	TER	02/07/89	3,893.75	64.896	14.57	874.20	(3,019.55) LT		
Total: [illegible] stocks and options				$ 33,813.92			$ 45,717.22	$ 11,903.30 ST $ 11,504.54 LT	8.72	$ 3,915.14

2704

SMITH BARNEY citigroup

Individual Retirement Account

November 1 - December 31, 2005

Ref: 000 [illegible] 00127962

NITA LOWEY

Account number [redacted]

Mutual funds

Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital. Distributions and current dividend for funds not sponsored by us are based upon information provided by an outside vendor and are not verified by us. ***"Tax-Based Cost vs. Current Value"*** *is being provided for information purposes only.* ***"Cash Distributions (since inception)"*** *when shown may not reflect all distributions received in cash due to but not limited to the following: investments made prior to 1/1/89, asset transfers, recent activity and certain adjustments made in your account.* ***"Total Purchases vs. Current Value"*** *is provided to assist you in comparing your* ***"Total purchases"****, excluding reinvested distributions, with the current value of the fund's shares in your account.* ***"Fund Value Increase/Decrease"*** *reflects the difference between your total purchases and the current value of the fund's shares, plus cash distributions since inception.*

Number of shares	Description	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
277.4601	SMITH BARNEY CORE PLUS BOND FUND INC CLASS A		Please provide		$ 12.35	$ 3,426.51	Not available			
277.4601	Total Purchases		0.00	0.00	12.35	3,426.51	Not available			
489.3007	Reinvestments to date		5,859.91	12.464	12.35	5,796.80	(63.31) LT			
29.776	Reinvestments to date		372.03	12.464	12.35	367.73	(4.30) ST			
776.5487	Tax-based Cost vs. Current Value		6,231.94	12.465		9,590.84	(67.61)**	3.91		375.00
	Total Purchases vs. Current Value		0.00			9,590.84	Not available			
	Fund Value Increase/Decrease						Not available			
Total mutual funds			$ 6,231.94			$ 9,590.84	$ (1,359.10)	3.91		375.00
							$ (63.31) LT			1,976.00
Total Fund Value Increase/Decrease								1.00		

2705

SMITH BARNEY citigroup

Individual Retirement Account

November 1 - December 31, 2005

Ref: 00018842 00127563

NITA LOWEY

Account number: [redacted]

Bonds

Unrealized gains & losses have been adjusted to account for the accretion of OID (original issue discount), the amortization of premium, and/or the accretion of market discount.

Call features shown indicate the next regularly scheduled call date and price. Your holdings may be subject to other redemption features including sinking funds or extraordinary calls.

The research rating for Standard & Poor's and Moody's Investors Service may be shown for certain fixed income securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Your Financial Consultant will be pleased to provide you with further information or assistance in interpreting research ratings.

International bonds

Amount	Description	Date acquired	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
10,000	INTL BANK FOR RECONSDEV ZERO CPN REC INT: 00.000 % MATY 08/15/2008 EXCHANGE RATE: 1.0000000 Amount denominated in U.S. dollars Exchange NYSE Rating AAA/AAA	08/21/00	$ 5,742.90 $ 8,358.10	$ 57.379 $ 83.581 #	88.158	$ 8,815.80	$ 3,072.90 $ 457.70	LT LT		$ 0.00 $ 457.70
10,000	SWEDEN KINGDOM EURO #7777 ZERO CPN INT 00.000 % MATY: 04/01/2009 EXCHANGE RATE: 1.0000000 Amount denominated in U.S. dollars Rating AAA/AAA	08/21/00	5,409.80 7,410.40	54.098 74.104	85.28	8,528.00	3,118.20 1,117.60	LT LT		0.00 1,117.60
Total International bonds			$ 11,152.70			$ 17,343.80	$ 0.00 - 97		0.00	$ 0.00
20,000			$ 16,768.50			$ 16,815.80	$ 4,676.20 - 1		$ 0.00	$ 1,575.30

Please note: *Amounts are denominated in the currency of the issue. Price is a function of exchange rate and market price.*

[illegible] dollars. Changes in exchange rate will affect the face value in U.S. dollars and market value.

2706

SMITH BARNEY citigroup

Individual Retirement Account

November 1 - December 31, 2005

Ref: 0001842 0012704

NITA LOWEY

Account number [redacted]

Corporate bonds

Amount	Description	Date acquired	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip. Income (annualized)	Ordinary Income/ Capital gain/(loss)
8,000	PEPSICO CAP RES INC GTD DEB REG INT: 00.000% MATY: 04/01/2010 Rating: AA3/A+	09/23/00	$ 4,413.02 $ 5,583.86	$ 48.978 $ 73.266 **	80.724	$ 7,285.16	$ 2,852.14 LT $ 671.31 LT		$ 0.00 $ (671.31)
Total Corporate bonds			$ 4,413.02 $ 5,583.86			$ 7,285.16	$ 0.00 LT $ (671.31) LT	0.00	$ 0.00 $ (671.31)
Total investment activity			$ 158,927.48			$ 160,458.67	$ 1,531.19 LT $ 3,888.56 LT	4.44	$ 0.00 $ 1,040.92

All Original Time Discount

***Unrealized Gain/Loss is only calculated when an original cost basis is available.*

TRANSACTION DETAILS

Cost/Proceeds are approximate and based on trade date.

Investment activity

Date	Activity	Description	Quantity	Price	Amount
11/25/05	Reinvest	SMITH BARNEY CORE PLUS BOND FUND INC CLASS A	2.516	$ 12.33	$ -31.02
12/29/05	Reinvest	AMERICAN CAPITAL STRATEGIES WITHDRAWAL, PENDING REINVEST			978.78
12/30/05	Reinvest	SMITH BARNEY CORE PLUS BOND FUND INC CLASS A	2.53	12.36	-31.12
Total securities bought and other subtractions					$ -1,040.92
Total securities sold and other additions					$ 0.00

EARNINGS DETAILS

The accuracy of earnings is reliable to the best of our knowledge. Taxable and non-taxable distributions subject to the federal income tax amount of your earnings, refer to your account.

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
11/25/05	SMITH BARNEY CORE PLUS BOND FUND INC CLASS A	CASH DIV RECORD 11/21/05 PAY 11/25/05	$ 31.02		$ 31.02
12/29/05	AMERICAN CAPITAL STRATEGIES	CASH DIV ON 1,234.9677 SHS XD 12/05/05	978.78		978.78
12/30/05	SMITH BARNEY CORE PLUS BOND FUND INC CLASS A	CASH DIV RECORD 12/27/05 PAY 12/30/05	31.12		31.12
Total other dividends earned			$ 1,040.92	$ 0.00	$ 1,040.92

2707

SMITH BARNEY citigroup

Individual Retirement Account

Ref: 00018842 00127866

November 1 - December 31, 2005

NITA LOWEY

Account number [redacted]

Bank Deposit Program Interest

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
11/10/05	CITIBANK NA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 10/17/05-11/13/05 28 DAYS AVERAGE YIELD 3.41 %	$ 188.67		$ 188.67
12/08/05	CITIBANK NA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 11/14/05-12/11/05 28 DAYS AVERAGE YIELD 3.69 %	199.32		199.32
12/30/05	CITIBANK NA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 12/12/05-01/02/06 22 DAYS AVERAGE YIELD 3.77 %	164.82		164.82
Total Bank Deposit Program Interest Earned			$ 552.81	$ 0.00	$ 552.81

2708

SMITH BARNEY citigroup

Ref 00049847 00137986

Individual Retirement Account

November 1 - December 31, 2005

NITA LOWEY

Account number [redacted]

Guide to Investment Ratings

Stock Ratings

Investment ratings are based upon Citigroup Investment Research's expectation of total return (forecast price appreciation plus dividend yield within the next 12 months) and risk rating.

Developed Markets (US, UK, Europe, Japan, and Australia/New Zealand)

Code	Rating	Expected Total Return
1	Buy	10% or more for Low-Risk stocks, 15% or more for Medium-Risk stocks, 20% or more for High-Risk stocks, and 35% or more for Speculative stocks
2	Hold	0-10% for Low-Risk stocks, 0-15% for Medium-Risk stocks, 0-20% for High-Risk stocks, and 0-35% for Speculative stocks
3	Sell	Negative total return

Stock Risk

Takes into account price volatility and a select list of fundamental criteria

Code	Rating	Definitions
L	Low	High predictability of financial results and low volatility
M	Medium	Moderate predictability of financial results and volatility
H	High	Low predictability of financial results and high volatility
S	Speculative	Exceptionally low financial predictability, highest risk and volatility

Independent, third party research on certain companies covered by Citigroup Investment Research is available to clients of the firm at no cost. Clients can access this research at www.smithbarney.com or call 1-866-836-9547 to request that a copy of this research be sent to them.

Message: Please note that Smith Barney is required by law to report the December 31, 2005, Fair Market Value of this account to the Internal Revenue Service. Smith Barney will forward this information to the IRS on or before May 31, 2006, on IRS Form 5498.

Information regarding commissions and charges will be made available to you promptly upon request. Please advise us of any material change in your financial objectives or financial situation. All checks written and deposited to your account must be made payable to Smith Barney. A financial statement of Citigroup Global Markets Inc. is available for your personal inspection at its offices, or a copy of it will be mailed upon your written request. If you believe your statement is not correct, you must contact us within ten (10) days. Complaints and incorrect statement issues must be directed to the Manager of the branch servicing your account (see page 1 of statement for address and phone number). To protect your rights, including any rights you may have under the Securities Investor Protection Act (SIPA), you must reconfirm all communication in writing to Citigroup Global Markets Inc., Attention: Compliance Department, 111 Wall Street, 11th Floor, New York, NY 10005-3509

COMMITTEE ON APPROPRIATIONS

SUBCOMMITTEES
LABOR, HEALTH AND HUMAN SERVICES AND EDUCATION

FOREIGN OPERATIONS, EXPORT FINANCING AND RELATED PROGRAMS

COMMITTEE ON HOMELAND SECURITY

SUBCOMMITTEES
INTELLIGENCE, INFORMATION SHARING AND TERRORISM RISK ASSESSMENT

EMERGENCY PREPAREDNESS, SCIENCE, AND TECHNOLOGY

Nita M. Lowey
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June 13, 2006

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Legislative Resource Center
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Washington, DC 20515

To Whom It May Concern:

Yesterday, I was advised that some pages had been inadvertently omitted from attachments to my Financial Disclosure Statement for 2005.

The year-end statement and tax information for the account at Lebenthal consisted of two-sided documents for which copies of only one side, or every other page, were inadvertently attached to the disclosure.

Enclosed herewith are the 21 pages inadvertently omitted from the attachments.

Sincerely,

Nita Lowey

Nita M. Lowey
Member of Congress

PRINTED ON RECYCLED PAPER

2710

2005 Instructions for Recipient

Nominees. If an IRS Form 1099 includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a separate type of Form 1099-INT, 1099-DIV, 1099-OID, 1099-B, 1099-MISC or 1099-C for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File the applicable Form(s) 1099 with Form 1096, Annual Summary and Transmittal of U.S. Information Returns, with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer." A husband or wife is not required to file a nominee return to show amounts owned by the other.

FORM 1099-DIV (OMB No. 1545-0110)

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (Form 1040) or Schedule 1 (Form 1040A), if required. The amount shown may be a distribution from an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for the 15% or 5% capital gains rates. See the Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.

Box 2a. Shows total capital gain distributions (long-term) from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2b–2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet in the Schedule D instructions (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-Line 18 in the instructions for Schedule D (Form 1040).

Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains, even though this form shows them as nontaxable. See Pub. 550, Investment Income and Expenses.

Box 4. Shows backup withholding. For example, a payer must backup withhold on certain payments at a 28% rate if you did not give your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1a.

Box 6. Shows the foreign tax you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Boxes 8 and 9. Shows cash and noncash liquidation distributions.

FORM 1099-INT (OMB No. 1545-0112)

Box 1. Shows interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. If you receive a Form 1099-INT for interest paid on a tax-exempt obligation, see the instructions for your income tax return.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not be all taxable. See Pub. 550, Investment Income and Expenses. This interest is exempt from state and local income taxes. This interest is not included in box 1.

Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.

FORM 1099-OID (OMB No. 1545-0117)

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID is taxable as interest over the life of the obligation. If you are the holder of an OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-indexed securities. See Pub. 550, Investment Income and Expenses, for more information. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

Box 1. Shows the OID on the obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212, List of Original Issue Discount Instruments, for details on how to figure the correct OID.

Box 2. Shows other interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 6, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes.

Box 3. Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the identification number (CUSIP number) or description of the obligation. The description may include the stock exchange, issuer, coupon rate, and year of maturity.

Box 6. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1.

Box 7. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 2.

FORM 1099-B (OMB No. 1545-0715)

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B by January 31 of the year following the calendar year of the transaction. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a change in control or a substantial change in capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in the box below your name and address on Form 1099-B.

Box 1a. Shows the trade date of the transaction. For aggregate reporting, no entry will be present.

Box 1b. For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number of the item reported.

Box 2. Shows the aggregate proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. Losses on forward contracts and changes in control or substantial change in capital structure are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether gross proceeds or gross proceeds less commissions and option premiums were reported to the IRS. Report this amount on Schedule D (Form 1040), Capital Gains and Losses. However, if Box 12 is checked, you cannot take a loss on your tax return based on gross proceeds from an acquisition of control or substantial change in capital structure reported in Box 2. Do not report this loss on Schedule D (Form 1040). If Box 12 is checked, the broker should advise you of any losses on a separate statement.

Box 3. Shows the cash you received, the fair market value of any property or services you received, and/or the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525, Taxable and Nontaxable Income, for information on how to report this income.

Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number to the payer. *See Form W-9, Request for Taxpayer Identification Number and Certification,* for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the number of shares of the corporation's stock that you held which were exchanged in the change in control or substantial change in capital structure.

Box 6. Shows the class or classes of the corporation's stock that were exchanged in the change in control or substantial change in capital structure.

Box 7. Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown.

Boxes 8 - 11. No regulated futures or foreign currency contracts reporting is provided by this payer.

2005 ENHANCED 1099

Sub / Branch / Rep / Account No.
179 / AWXA / XA11 / Redacted

As of Date: 1/20/06

Your Financial Advisor:
MICHAEL COBB
120 BROADWAY
12TH FLOOR
NEW YORK NY 10271
212-482-4973

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

STEPHEN LOWEY &
NITA M LOWEY JT TEN

Your Federal Identification Number: Redacted

Reportable Tax Information

Tax reporting requirements can create differences with the amounts previously reported in monthly statements

1099-B Proceeds from Broker and Barter Exchange Transactions for 2005

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
MUN ASST 5.125% 7/1/2004	626190V33	0.00000	25,000.00000	07/01/2005	25,000.00	2	REDEMPTION	1099-B
NEW YORK 5.4% 2/15/2006	64968KUA3	0.00000	250,000.00000	08/15/2005	255,000.00	2	REDEMPTION	1099-B
WESTCHESTER 5% 7/1/2006	957375BJ6	0.00000	250,000.00000	07/01/2005	250,000.00	2	REDEMPTION	1099-B
TOTAL PROCEEDS FROM BROKER AND BARTER EXCHANGE					530,000.00	2		

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

2005 ENHANCED 1099

Sub / Branch / Rep / Account No
179 / AWXA / XA11 / Redacted

As of Date: 1/20/06

Your Financial Advisor:
MICHAEL COBB
120 BROADWAY
12TH FLOOR
NEW YORK NY 10271
212-482-4973

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

STEPHEN LOWEY &
NITA M LOWEY JT TEN

Federal Non-Reportable Information

The following is being provided for your information only and will NOT be furnished to the Internal Revenue Service.

This section lists miscellaneous information which can be helpful in the preparation of your tax return. It lists income that is not reportable to the Internal Revenue Service, but which may or may not be taxable at the state or local level. Please review this information with your tax advisor.

Miscellaneous Activity Summary

Line Ref	Type	Amount
1	Margin Debit Interest	0.00
2	Federally Tax-Exempt Municipal Bonds	29,538.16
3	Federally Tax-Exempt Dividends	6,375.10
4	Return of Capital or Principal Payments	0.00
5	Income Subject to Alternative Minimum Tax (AMT)	6,250.00
6	Federally Tax-Exempt Interest	0.00
7	Federally Tax-Exempt Accrued Interest	0.00
8	Master Limited Partnership Distributions	0.00
9	Accrued Interest on Purchases	0.00

Miscellaneous Activity Detail

Description	Notes	Date	Activity	Amount	Line Ref
METRO NYS 125% 11/15/2031		05/15/2005	INTEREST	640.63	2
METRO NYS 125% 11/15/2031		11/15/2005	INTEREST	640.63	2
MUN ASST 5.125% 7/1/2006		07/01/2005	INTEREST	640.63	2
NEW YORK 5.4% 5/15/2009		05/15/2005	INTEREST	6,750.00	2
NEW YORK N Y 5% 8/1/2009		08/01/2005	INTEREST	3,000.00	2
NEW YORK NY 3.3% 8/1/2006		08/01/2005	INTEREST	412.50	2
NEW YORK NY 3.3% 8/1/09		08/01/2005	INTEREST	475.00	2
NEW YORK NY 5.3% 1/15/26		07/15/2005	INTEREST	662.50	2
NEW YORK 5.05% 10/1/2017		04/01/2005	INTEREST	631.25	2
NEW YORK 5.05% 10/1/2017		10/03/2005	INTEREST	631.25	2
NEW YORK 5.25% 2/1/2015		08/01/2005	INTEREST	918.75	2
NEW YORK 5.25% 5/15/2014		05/15/2005	INTEREST	1,312.50	2
NEW YORK 5.25% 5/15/2014		11/15/2005	INTEREST	787.50	2

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

2712

2005 ENHANCED 1099

Sub / Branch / Rep / Account No
176 / AWXA / XA11 / Redacted

As of Date: 1/20/06

Your Financial Advisor:
MICHAEL COBB
120 BROADWAY
12TH FLOOR
NEW YORK NY 10271
212-482-4973

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

STEPHEN LOWEY &
NITA M LOWEY JT TEN

Federal Non-Reportable Information

Miscellaneous Activity Detail *Continued*

Description	Notes	Date	Activity	Amount	Line Ref
LEBENTHAL N Y MUNI BD FD		06/14/2005	DIVIDEND	772.72	3
LEBENTHAL N Y MUNI BD FD		07/12/2005	DIVIDEND	615.00	3
LEBENTHAL N Y MUNI BD FD		08/12/2005	DIVIDEND	683.17	3
LEBENTHAL N Y MUNI BD FD		09/13/2005	DIVIDEND	685.35	3
LEBENTHAL N Y MUNI BD FD		10/12/2005	DIVIDEND	682.13	3
LEBENTHAL N Y MUNI BD FD		11/14/2005	DIVIDEND	731.76	3
LEBENTHAL N Y MUNI BD FD		12/13/2005	DIVIDEND	655.10	3
TOTAL FEDERALLY TAX-EXEMPT DIVIDENDS				*8,375.10*	3
WESTCHESTER Sys 7/1/2005		07/01/2005	INTEREST SUBJ TO AMT	6,250.00	5
TOTAL INCOME SUBJECT TO AMT				*6,250.00*	5

First Clearing LLC is required to report this municipal income to the state of New York.

Purchase Activity Detail

Description	Cusip	Date	Activity	Quantity	Price	Amount
LEBENTHAL N Y MUNI BD FD	523216208	03/14/2005	REINVEST DIVIDEND	82.02300		-584.89
LEBENTHAL N Y MUNI BD FD	523216208	04/12/2005	REINVEST DIVIDEND	84.63900		-704.20
LEBENTHAL N Y MUNI BD FD	523216208	05/12/2005	REINVEST DIVIDEND	82.62000		-694.01
LEBENTHAL N Y MUNI BD FD	523216208	06/14/2005	REINVEST DIVIDEND	91.01500		-772.72
LEBENTHAL N Y MUNI BD FD	523216208	07/12/2005	REINVEST DIVIDEND	72.35300		-615.00
LEBENTHAL N Y MUNI BD FD	523216208	08/12/2005	REINVEST DIVIDEND	80.94400		-683.17
LEBENTHAL N Y MUNI BD FD	523216208	09/13/2005	REINVEST DIVIDEND	80.16800		-685.35
LEBENTHAL N Y MUNI BD FD	523216208	10/12/2005	REINVEST DIVIDEND	80.72500		-682.13
LEBENTHAL N Y MUNI BD FD	523216208	11/14/2005	REINVEST DIVIDEND	87.83600		-731.76
LEBENTHAL N Y MUNI BD FD	523216208	12/13/2005	REINVEST DIVIDEND	66.40000		-655.10

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

2713

Regulatory Disclosure

Estimated portfolio values
Your portfolio value is an estimate. The prices First Clearing, LLC uses to value certain securities are provided by outside services and do not always represent the exact market price. Unit Investment Trust estimated income and yield projections are based upon their stated income rate. The estimated accrued interest figure for Fixed income securities is calculated to the last business day of the month. Mutual Fund and Money Market estimated annualized income and current yield are based upon the historical income distributions of the preceding twelve months period. Yield and share price may fluctuate from time to time, and are not necessarily representative of future income. This information is obtained from outside sources believed to be reliable, but no independent verification has been performed. Past performance is not indicative of future results and it is, therefore, not intended to accurately predict or project future distributions.

Pricing Method
Prices for listed and NASDAQ securities are as of the close of business on the last day of the period. Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded securities, by utilizing a yield-based matrix system to arrive at an estimated market value. Long-term certification of deposit will be priced using a market value pricing model. The prices you receive when sold or redeemed may be different. Unit Investment Trust securities are priced at the sponsor's NAV. Some inactively traded securities may not be priced and will not show a value. For current prices, contact Your Financial Advisor.

SIPC protection
Coverage for cash and securities in protected client accounts is provided from two sources. The Securities Investor Protection Corporation (SIPC) protects up to $500,000, of which $100,000 may be cash. Additional protection has been obtained, at no cost to you, for the remaining net equity balance, if any, of the cash and securities in your accounts. This coverage does not protect against losses from any change in market values of investments.

Margin Account
Interest charged on debit balances in your margin account appears on this statement. The rate of interest and period covered are indicated. The rate may change from time to time due to fluctuations in the PRIME rate or for other reasons. Interest is computed as described in material previously furnished to you. This is a combined statement of your general account and the Special Memorandum Account maintained for you under Regulation T, issued by the Board of Governors of the Federal Reserve system. The permanent record of the separate account as required by Regulation T is available for your inspection.

Option Information
Information on commissions and other charges associated with option transactions has been sent to you separately in transaction confirmations. Copies are available upon request.

Financial Statements
Twice a year, First Clearing, LLC will update and have available, on-line, a statement of the firm's financial condition at www.firstclearingcorp.com and at www.advest.com. The most recent printed statement is available upon request from Advest Client Services, 1-888-238-3781.

Free credit balances
Free credit balances and fully paid securities are available to Clients upon request. Free credit balances are not segregated and may be used by the firm, but only to the extent permitted by federal regulations (Rule 15c3-3 on customer protection).

Tax Reporting
If you are subject to 1099 reporting, we will provide you with any applicable 1099 forms. The cost basis used to determine gains/losses does not consider wash sales and may not reflect certain capital adjustments. Cost basis and unrealized gain/loss are provided for informational purposes only and should not be used for tax preparation without assistance from your tax advisor. In addition, separate purchases of the same security may have been combined. To update your cost data or provide costs that are missing, contact Your Financial Advisor. Because of possible tax status changes subsequent to dividend payments, do not use your monthly statements for tax reporting.

Financial Situation
You must inform Your Financial Advisor promptly in writing, of any material change to your investment objective or financial situation so that he or she can effectively meet your needs.

Sweep Option
Your account offers a variety of different daily sweep options. If you have any questions about sweep options including rates, or you would like to change your sweep option, please contact Your Financial Advisor.

Direct Participation Programs
Direct participation program securities (e.g. partnerships, limited liability companies and real estate investment trusts which are not listed on national exchanges or NASDAQ) are generally illiquid. No formal trading market exists for these securities, and their values will be different than the purchase price. Unless otherwise indicated, the values shown herein for such securities have been provided by the management of each program and represent management's estimate of the investor's interest in the net assets of the program. Therefore, the estimated values shown herein may not necessarily be realized upon sale.

IRA Withholding Notice Form W-4P/OMB No. 1545-0415
The withdrawals you receive from your IRA (except Education IRA) are subject to Federal income tax withholding unless you elect not to have withholding apply. If you have a periodic, or an "on demand" distribution, your election regarding our withholding of Federal income tax on your behalf stays in effect until you change it. You may change or revoke your election at any time and as often as you wish by completing a new election form. If you elect check-writing privileges you will also have previously elected to have no withholding on your withdrawals. If you decide to have taxes withheld you will not be eligible for check writing from your IRA. If you elect not to have taxes withheld you will be liable for payment of all taxes due on the taxable portion of your distribution and you may be responsible for payment of estimated tax. You may be subject to tax penalties under the estimated tax payment rules if your payments of estimated tax and withholding, if any, are not adequate.

Trading Activity
The time of the transaction herein, the securities market on which the transactions was made, or the name of the buyer or seller and the source and amount of any commission or fee receivable by the firm will be furnished to the Client upon written request. Because the security and delivery of e-mail is not guaranteed, Advest will not accept responsibility for the handling or execution of buy or sell orders sent via e-mail unless they are confirmed by telephone with Your Financial Advisor.

Debt Securities
Debt securities may be subject to redemption prior to maturity and may be redeemed in whole or in part before the stated maturity date. This could affect the yield represented. Debt securities and preferred stock held in the accounts of Clients that are called for redemption will be identified by random and impartial lottery. Additional information is available upon request from Your Financial Advisor.

Clearing Services
First Clearing, LLC (FCC), a non-bank affiliate of Wachovia Corporation, and a registered broker-dealer, a member of the New York Stock Exchange and a member of the National Association of Securities Dealers, Inc., carries your account and acts as your custodian for funds and securities deposited with us directly by you, through Advest, Inc. and its affiliates, or as a result of transactions we process for your account. Unless and until we receive written notice from you to the contrary, FCC may accept from Advest, Inc., without inquiry or investigation:
-orders for the purchase or sale of securities for your account on margin or otherwise, and
-any other instructions concerning your account.

Questions and Complaints about Your Account
This account statement contains important information about your brokerage account, including recent transactions. We encourage you to review the details of this statement. For some additional and/or more detailed explanations, refer to the brokerage account agreement and disclosure documents previously sent to you. If you do not understand any of the information in your statement, or have any questions regarding the accuracy of this information, please contact Advest Client Services, 1-888-238-3781. If you do not object to the information reported on this statement within 10 days, we consider it conclusive. Inquiries concerning your account, and address change requests, should be addressed directly to Advest, Inc., or its affiliate, at the address and phone number on the front of this statement. A brochure describing the NASD Regulation Public Disclosure Program is available from the NASD upon request. You may reach the National Association of Securities Dealers (NASD) by calling the NASD Regulation Public Disclosure Program Hotline at 1-800-289-9999 or in the NASD Regulation Internet Web Site address, www.nasdr.com.

2714

STEPHEN LOWEY &
NITA M LOWEY JT TEN

Activity Detail by Type *Continued*

Deposits and Withdrawals *Continued*

Date	Account Type	Transaction	Quantity	Description	Amount
2/19	Cash	ASSET TRF	25,000.00000	NEW YORK NY SER G G/O UNLTD B/E OID OY=3.82% CPN 3.800% DUE 08/01/09 DTD 01/22/03 FC 08/01/03	$0.00
2/19	Cash	ASSET TRF	250,000.00000	NEW YORK ST MED CARE FCS FIN AGY REV MENTAL HLTH SVCS FACS-SER D B/E MBIA CPN 5.400% DUE 02/15/08 DTD 07/01/95 FC 02/15/96 PRE 08/15/06 @ 102.000	$0.00
2/19	Cash	ASSET TRF	100,000.00000	NEW YORK N Y RFDG SER A OY=6.275% UT GENERAL OBLIGATION CPN 6.000% DUE 08/01/06 DTD 07/11/96 FC 02/01/97	$0.00
2/19	Cash	ASSET TRF	50,000.00000	NEW YORK ST SER D B/E CPN 4.000% DUE 06/15/14 DTD 06/26/03 FC 12/15/03 CALL 06/15/13 @ 100.000	$0.00
2/19	Cash	ASSET TRF	50,000.00000	NEW YORK N Y SER J G/O UNLTD B/E MBIA OY=5.1% CPN 5.250% DUE 05/15/14 DTD 06/16/99 FC 11/15/99 CALL 05/15/09 @ 101.000	$0.00
2/19	Cash	ASSET TRF	35,000.00000	PORT AUTH N Y & N J CONS 86TH SER RFDG OY=5.3% CPN 5.200% DUE 07/01/12 DTD 07/01/93 FC 01/01/94	$0.00

2715

2005 ENHANCED 1099

Sub / Branch / Rep / Account No
179 / AWXA / XA11 / Redacted

As of Date: 1/20/06

STEPHEN LOWEY &
NITA M LOWEY JT TEN

Activity Detail by Type *Continued*

Deposits and Withdrawals *Continued*

Date	Account Type	Transaction	Quantity	Description	Amount
2/19	Cash	ASSET TRF	250,000.00000	WESTCHESTER CNTY NY INDL DEV AGY RESOURCE RECOVRY REV WESTCHESTER RESCO PJ CPN 5.000% DUE 07/01/05 DTD 12/26/96 FC 07/01/97	$0.00
2/19	Cash	ASSET TRF	40,000.00000	WESTCHESTER CNTY NY HEALTH CARE CORP REV 6R LIEN-SER A CPN 6.000% DUE 11/01/30 DTD 11/01/00 FC 05/01/01 CALL 11/01/10 @ 100.000	$0.00
2/28	Cash	ASSET TRF		CONV BALANCE TRF OLD ACCOUNT: WXA23518 AS OF 2/28/05	$0.54
				Total Deposits and Withdrawals:	$91,226.31

Withdrawals by Check

Date	Account Type	Transaction	Description	Amount
8/23	Cash	CHECK	CHECK ISSUED CHK ISS #RC66906322	-$651,080.32
			Total Withdrawals by Check	-$651,080.32

2716

STEPHEN LOWEY & MEYER LOWEY JTTEN Redacted Federal Identification No: Redacted	Your Financial Advisor JAMES LEBENTHAL 120 BROADWAY 12TH FLOOR NEW YORK NY 10271 212-482-4499	Payer First Clearing, LLC 10700 Wheat First Drive Glen Allen, VA 23060-9243 Payer ID: 23-2384840	2005 Forms 1099 Original Account No: Redacted Issue Date: 01/23/06 Ref: 179-AWXA-XA34-53948368

2005 1099-DIV* Dividends and Distributions (OMB No. 1545-0110)

Box	Description	Amount
1a	Total Ordinary Dividends	0.00
1b	Qualified Dividends	0.00
2a	Total Capital Gains Distributions	0.00
2b	Unrecaptured sec.1250 Gain	0.00
2c	Section 1202 Gain	0.00
2d	Collectibles(28%) Gain	0.00
3	Nondividend Distributions	0.00
4	Federal Income Tax Withheld	0.00
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
7	Foreign Country or US Possession	
8	Cash Liquidation Distributions	0.00
9	Non-Cash Liquidation Distributions	0.00

Supplemental Dividend Information (Not Reported to the Government)

Tax-Exempt Dividend Income 1,473.93

2005 1099-INT* Interest Income (OMB No. 1545-0112)

Box	Description	Amount
1	Interest Income Not Included in Box 3	0.00
2	Early Withdrawal Penalty	
3	Interest on US Savings Bonds and Treas. Obligations	0.00
4	Federal Income Tax Withheld	0.00
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
7	Foreign Country or US Possession	

Supplemental Interest Information (Not Reported to the Government)

Accrued Interest Paid 0.00

2005 Summary of Gross Proceeds Transactions

Box	Description	Amount
2	Gross Proceeds Less Commissions	229,500.00
4	Federal Income Tax Withheld	0.00

Report each sale individually (Details on following pages)

2005 Summary of Original Issue Discount

Box	Description	Amount
1	Original Issue Discount	0.00 **
2	Other Periodic Interest	0.00
4	Federal Income Tax Withheld	0.00
6	Original Issue Discount on US Treasury Obligations	0.00

Supplemental OID Information (Not Reported to the Government)

Accrued Interest Paid 0.00

Non-Qualified Stated Interest Received 0.00

Non-Qualified Stated Interest Paid 0.00

Report OID for each bond separately (Details on following pages)

2005 1099-MISC* Miscellaneous Income (OMB No. 1545-0115)

Box	Description	Amount
2	Royalties	0.00
3	Other Income	0.00
4	Federal Income Tax Withheld	0.00
8	Substitute Payments in Lieu of Dividends or Interest	0.00

2005 Summary of Supplemental Information (Not Reported to the Government)

Description	Amount
Tax Exempt: Interest Received	28,813.13
Tax Exempt: Interest Paid	0.00
Expenses: Margin Interest	0.00
Capital Expenses: Securities Purchased	1,401.87

*This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Changes to dividend tax classifications processed after January 31, 2006 may lead to a revised form 1099.

** This may not be the correct figure to report on your income tax return. See instructions.

2717

First Clearing, LLC | Account No: Redacted | Tax Payer ID: Redacted | 2005 Tax Information Statement | Page 3 of 6

2005 1099-DIV

Detail for Dividends and Distributions

Security Description	CUSIP	Date	Credit	Debit	Country	Transaction Type
BOSTON ADVISORS EV *	100563701	01/14/05	69.56	0.00		Tax-Exempt Dividend
MUNI MONEY MARKET FUND		02/15/05	1.96	0.00		Tax-Exempt Dividend
CL 1		02/22/05	0.54	0.00		Tax-Exempt Dividend
		Sub-total:	72.06	0.00		
LEXENTHAL NEW YORK *	523216208	01/12/05	684.36	0.00		Tax-Exempt Dividend
MUNI BD FD		02/14/05	717.51	0.00		Tax-Exempt Dividend
		Sub-total:	1,401.87	0.00		

First Clearing, LLC	Account No: Redacted	Tax Payer ID: Redacted	35 Tax Information Statement	Page 5 of 6

2005

Tax-Exempt Interest (continued)

Security Description	CUSIP	State	AMT	Date	Credit	Debit	Transaction Type
NEW YORK ST MED CARE FCS FIN AGY REV MENTAL HLTH SVCS FACS-SER D B/B MBIA	64988XUA3	NY	N	02/15/05	6,750.00	0.00	Tax-Exempt Interest
NEW YORK ST URBAN DEV CORP REV CORRECTIONAL CAP FACS SER 5 CY=4.05%	650033W90	NY	N	01/03/05	4,500.00	0.00	Tax-Exempt Interest
PORT AUTH N Y & N J CONS 86TH SER RFDG CY=5.3%	733580EP2	NY	N	01/03/05	910.00	0.00	Tax-Exempt Interest
TRIBOROUGH BRDG & TUNL AUTH NY SPL OBLIG RFDG SER A FGIC CY=5.013%	896033MX0	NY	N	01/03/05	656.25	0.00	Tax-Exempt Interest
WESTCHESTER CNTY NY INDL DEV AGY RESOURCE RECOVRY REV WESTCHESTER RESCO PJ	957375BJ6	NY	Y	01/03/05	6,250.00	0.00	Tax-Exempt Interest

2719

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Advest

2005 Forms 1099
Original

0000244 0KYMWS3W
STEPHEN LOWEY &
NITA M LOWEY JT TEN
Redacted

Your Financial Advisor
JAMES LEBENTHAL
120 BROADWAY
12TH FLOOR
NEW YORK NY 10271
212-482-4499

This package contains your Form(s) 1099 (Copy B for Recipient).
Please retain this package for tax preparation purposes.

Brokerage accounts are carried by First Clearing, LLC (FCC), member NYSE/SIPC.

2720

2005 Instructions for Recipient

Nominees. If an IRS Form 1099 includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a separate type of Form 1099-INT, 1099-DIV, 1099-OID, 1099-B, 1099-MISC or 1099-C for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File the applicable Form(s) 1099 with Form 1096, Annual Summary and Transmittal of U.S. Information Returns, with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer." A husband or wife is not required to file a nominee return to show amounts owned by the other.

FORM 1099-DIV (OMB No. 1545-0110)

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (Form 1040) or Schedule 1 (Form 1040A), if required. The amount shown may be a distribution from an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for the 15% or 5% capital gains rates. See the Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.

Box 2a. Shows total capital gain distributions (long-term) from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2b–2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet in the Schedule D instructions (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-Line 18 in the instructions for Schedule D (Form 1040).

Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains, even though this form shows them as nontaxable. See Pub. 550, Investment Income and Expenses.

Box 4. Shows backup withholding. For example, a payer must backup withhold on certain payments at a 28% rate if you did not give your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1a.

Box 6. Shows the foreign tax you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Boxes 8 and 9. Shows cash and noncash liquidation distributions.

FORM 1099-INT (OMB No. 1545-0112)

Box 1. Shows interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. If you receive a Form 1099-INT for interest paid on a tax-exempt obligation, see the instructions for your income tax return.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not be all taxable. See Pub. 550, Investment Income and Expenses. This interest is exempt from state and local income taxes. This interest is not included in box 1.

Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.

FORM 1099-OID (OMB No. 1545-0117)

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID is taxable as interest over the life of the obligation. If you are the holder of an OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-indexed securities. See Pub. 550, Investment Income and Expenses, for more information. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

Box 1. Shows the OID on the obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212, List of Original Issue Discount Instruments, for details on how to figure the correct OID.

Box 2. Shows other interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 6, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes.

Box 3. Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the identification number (CUSIP number) or description of the obligation. The description may include the stock exchange, issuer, coupon rate, and year of maturity.

Box 6. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1.

Box 7. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 2.

FORM 1099-B (OMB No. 1545-0715)

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B by January 31 of the year following the calendar year of the transaction. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a change in control or a substantial change in capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in the box below your name and address on Form 1099-B.

Box 1a. Shows the trade date of the transaction. For aggregate reporting, no entry will be present.

Box 1b. For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number of the item reported.

Box 2. Shows the aggregate proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. Losses on forward contracts and changes in control or substantial change in capital structure are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether gross proceeds or gross proceeds less commissions and option premiums were reported to the IRS. Report this amount on Schedule D (Form 1040), Capital Gains and Losses. However, if Box 12 is checked, you cannot take a loss on your tax return based on gross proceeds from an acquisition of control or substantial change in capital structure reported in Box 2. Do not report this loss on Schedule D (Form 1040). If Box 12 is checked, the broker should advise you of any losses on a separate statement.

Box 3. Shows the cash you received, the fair market value of any property or services you received, and/or the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525, Taxable and Nontaxable Income, for information on how to report this income.

Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the number of shares of the corporation's stock that you held which were exchanged in the change in control or substantial change in capital structure.

Box 6. Shows the class or classes of the corporation's stock that were exchanged in the change in control or substantial change in capital structure.

Box 7. Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown.

Boxes 8 - 11. No regulated futures or foreign currency contracts reporting is provided by this payer.

80 886

2005 AMENDED FORMS 1099

Sub / Branch / Rep / Account No
179 / AWXA / XA11 / Redacted

Amended Date: 2/23/06

STEPHEN LOWEY &
NITA M LOWEY JT TEN

Your Financial Advisor:
MICHAEL COBB
120 BROADWAY
12TH FLOOR
NEW YORK NY 10271
212-482-4973

Payer:
FIRST CLEARING LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN VA 23060
Payer ID # 23-2384640

Your Federal Identification Number: Redacted

Reportable Tax Information

Tax reporting requirements can create differences with the amounts previously reported in monthly statements

1099-B Proceeds from Broker and Barter Exchange Transactions for 2005

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
MUNI ASSET 6 125% 7/1/2005	62618QV33	0 00000	25,000 00000	07/01/2005	25,000 00	2	REDEMPTION	1099-B
NEW YORK 6 4% 8/15/2005	649668KU3	0 00000	250,000 00000	08/15/2005	253,000 00	2	REDEMPTION	1099-B
WESTCHESTER 5% 7/1/2005	957375BJ6	0 00000	250,000 00000	07/01/2005	250,000 00	2	REDEMPTION	1099-B
TOTAL PROCEEDS FROM BROKER AND BARTER EXCHANGE					530,000.00	2		

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

2005 AMENDED FORMS 1099

Sub / Branch / Rep / Account No
179 / AWXA / XA11 / Redacted

Amended Date: 2/23/06

Your Financial Advisor:
MICHAEL COBB
120 BROADWAY
12TH FLOOR
NEW YORK NY 10271
212-482-4973

Payer:
FIRST CLEARING LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN VA 23060
Payer ID # 23-2384840

STEPHEN LOWEY &
NITA M LOWEY JT TEN

Federal Non-Reportable Information

The following is being provided for your information only and will NOT be furnished to the Internal Revenue Service.

This section lists miscellaneous information which can be helpful in the preparation of your tax return. It lists income that is not reportable to the Internal Revenue Service, but which may or may not be taxable at the state or local level. Please review this information with your tax advisor.

Miscellaneous Activity Summary

	Line Ref	Type	Amount
	1	Margin Debit Interest	0.00
	2	Federally Tax-Exempt Municipal Bonds	29,538.15
X	3	Federally Tax-Exempt Dividends	8,306.81
	4	Return of Capital or Principal Payments	0.00
X	5	Income Subject to Alternative Minimum Tax (AMT)	8,306.38
	6	Federally Tax-Exempt Interest	0.00
	7	Federally Tax-Exempt Accrued Interest	0.00
	8	Master Limited Partnership Distributions	0.00
	9	Accrued Interest on Purchases	0.00

Miscellaneous Activity Detail

Description	Notes	Date	Activity	Amount	Line Ref
METRO NYS 1.25% 11/15/2031		05/16/2005	INTEREST	640.63	2
METRO NYS 1.25% 11/15/2031		11/15/2005	INTEREST	640.63	2
MUN ASST 6.125% 7/1/2005		07/01/2005	INTEREST	640.63	2
NEW YORK 5.4% 2/15/2008		08/15/2005	INTEREST	6,750.00	2
NEW YORK N Y 6% 8/1/2004		08/01/2005	INTEREST	3,000.00	2
NEW YORK NY 3.3% 8/1/2009		08/01/2005	INTEREST	412.50	2
NEW YORK NY 3.8% 8/1/09		08/01/2005	INTEREST	475.00	2
NEW YORK NY 5.3% 1/15/04		07/15/2005	INTEREST	662.50	2
NEW YORK 5.05% 10/1/2017		04/01/2005	INTEREST	631.25	2
NEW YORK 5.05% 10/1/2017		10/03/2005	INTEREST	631.25	2
NEW YORK 5.25% 2/1/2015		08/01/2005	INTEREST	918.75	2
NEW YORK 5.25% 5/15/2014		05/16/2005	INTEREST	1,312.50	2
NEW YORK 5.25% 5/15/2014		11/15/2005	INTEREST	787.50	2

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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2005 AMENDED FORMS 1099

Sub / Branch / Rep / Account No
178 / AWXA / XA11 / Redacted

Amended Date: 2/23/06

Your Financial Advisor:
MICHAEL COBB
120 BROADWAY
12TH FLOOR
NEW YORK, NY 10271
212-482-4973

Payer:
FIRST CLEARING LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID # 23-2384840

STEPHEN LOWEY &
MYA M LOWEY JT TEN

Federal Non-Reportable Information

Miscellaneous Activity Detail *Continued*

Description	Notes	Date	Activity	Amount	Line Ref
X LEBENTHAL N Y MUNI BD FD		06/14/2005	DIVIDEND	764.28	3
X LEBENTHAL N Y MUNI BD FD		07/12/2005	DIVIDEND	608.28	3
X LEBENTHAL N Y MUNI BD FD		08/12/2005	DIVIDEND	675.72	3
X LEBENTHAL N Y MUNI BD FD		09/13/2005	DIVIDEND	677.87	3
X LEBENTHAL N Y MUNI BD FD		10/12/2005	DIVIDEND	674.70	3
X LEBENTHAL N Y MUNI BD FD		11/14/2005	DIVIDEND	722.76	3
X LEBENTHAL N Y MUNI BD FD		12/13/2005	DIVIDEND	549.05	3
TOTAL FEDERALLY TAX-EXEMPT DIVIDENDS				*5,300.87*	3
X LEBENTHAL N Y MUNI BD FD		03/14/2005	DIVIDEND SUBJ TO AMT	5.67	5
X LEBENTHAL N Y MUNI BD FD		04/12/2005	DIVIDEND SUBJ TO AMT	6.83	5
X LEBENTHAL N Y MUNI BD FD		05/12/2005	DIVIDEND SUBJ TO AMT	5.75	5
X LEBENTHAL N Y MUNI BD FD		06/14/2005	DIVIDEND SUBJ TO AMT	6.40	5
X LEBENTHAL N Y MUNI BD FD		07/12/2005	DIVIDEND SUBJ TO AMT	5.09	5
X LEBENTHAL N Y MUNI BD FD		08/12/2005	DIVIDEND SUBJ TO AMT	5.66	5
X LEBENTHAL N Y MUNI BD FD		09/13/2005	DIVIDEND SUBJ TO AMT	5.87	5
X LEBENTHAL N Y MUNI BD FD		10/12/2005	DIVIDEND SUBJ TO AMT	5.65	5
X LEBENTHAL N Y MUNI BD FD		11/14/2005	DIVIDEND SUBJ TO AMT	6.06	5
X LEBENTHAL N Y MUNI BD FD		12/13/2005	DIVIDEND SUBJ TO AMT	4.60	5
WESTCHESTER EX 7/1/2006		07/01/2005	INTEREST SUBJ TO AMT	6,250.00	5
TOTAL INCOME SUBJECT TO AMT				*6,306.38*	5

First Clearing LLC is required to report the municipal income to the state of New York.

Purchase Activity Detail

Description	Cusip	Date	Activity	Quantity	Price	Amount
LEBENTHAL N Y MUNI BD FD	523216208	03/14/2005	REINVEST DIVIDEND	82.02300		-684.89
LEBENTHAL N Y MUNI BD FD	523216208	04/12/2005	REINVEST DIVIDEND	84.63900		-704.20
LEBENTHAL N Y MUNI BD FD	523216208	05/12/2005	REINVEST DIVIDEND	82.82000		-694.01

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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Regulatory Disclosure

Estimated portfolio values

Your portfolio value is an estimate. The prices First Clearing, LLC uses to value certain securities are provided by outside services and do not always represent the exact market price. Unit Investment Trust annualized income and yield projections are based upon their stated income rate. The estimated accrued interest figure for Fixed Income securities is calculated to the last business day of the month. Mutual Fund and Money Market estimated annualized income and current yield are based upon the historical income distributions of the preceding twelve month period. Yields and share prices may fluctuate from time to time, and are not necessarily representative of future income. This information is obtained from outside sources believed to be reliable but no independent verification has been performed. Past performance is not indicative of future results and it is, therefore, not intended to accurately predict or project future distributions.

Pricing method

Prices for listed and NASDAQ securities are as of the close of business on the last day of the period. Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded securities, by utilizing a yield-based matrix system to arrive at an estimated market value. Long term certificates of deposit will be priced using a market value pricing model. The price you receive when sold or redeemed may be different. Unit Investment Trust securities are priced at the sponsor's NAV. Some inactively traded securities may not be priced and will not show a value. For current prices, contact Your Financial Advisor.

SIPC protection

Coverage for cash and securities in protected client accounts is provided from two sources. The Securities Investor Protection Corporation (SIPC) protects up to $500,000, of which $100,000 may be cash. Additional protection has been obtained, at no cost to you, for the remaining net equity balance, if any, of the cash and securities in your account. This coverage does not protect against losses from any change in market values of investments.

Margin account

Interest charged on debit balances in your margin account appears on this statement. The rate of interest and period covered are indicated. The rate may change from time to time due to fluctuations in the PRIME rate or for other reasons. Interest is computed as described in the material previously furnished to you. This is a combined statement of your general account and the Special Memorandum Account maintained for you under Regulation T, issued by the Board of Governors of the Federal Reserve system. The permanent record of the separate account as required by Regulation T is available for your inspection.

Option information

Information on commissions and other charges associated with option transactions has been sent to you separately in transaction confirmations. Copies are available upon request.

Financial statements

Twice a year, First Clearing, LLC will update and have available, on-line, a statement of the firm's financial condition at www.firstclearingcorp.com and at www.advest.com. The most recent printed statement is available upon request from Advest Client Services, 1-888-238-3781.

Free credit balance

Free credit balances and fully-paid securities are available to Clients upon request. Free credit balances are not segregated and may be used by the firm, but only to the extent permitted by federal regulations (Rule 15c3-3 on customer protection).

Tax reporting

If you are subject to 1099 reporting, we will provide you with any applicable 1099 forms. The cost basis used to determine gains/losses does not consider wash sales and may not reflect certain capital adjustments. Cost basis and unrealized gain/loss are provided for informational purposes only and should not be used for tax preparation without assistance from your tax advisor. In addition, separate purchases of the same security may have been combined. To update your cost data or provide costs that are missing, contact Your Financial Advisor. Because of possible tax status changes subsequent to dividend payments, do not use your monthly statements for tax reporting.

Financial situation

You should inform Your Financial Advisor promptly in writing, of any material change to your investment objective or financial situation so that he or she can effectively meet your needs.

Sweep Option

Your account offers a variety of different daily sweep options. If you have any questions about sweep options including rates, or you would like to change your sweep option, please contact Your Financial Advisor.

Direct Participation Programs

Direct participation program securities (e.g. partnerships, limited liability companies and real estate investment trusts which are not listed on national exchanges or NASDAQ) are generally illiquid. No formal trading market exists for these securities; and their values may be different from the purchase price. Unless otherwise indicated, the values shown herein for such securities have been provided by the management of each program and represent management's estimate of the investor's interest in the net assets of the program. Therefore, the estimated values shown herein may not necessarily be realized upon a sale.

IRA Withholding Notice — Form W-4P/OMB No. 1545-0415

The withdrawals you receive from your IRA (except Education IRA) are subject to Federal income tax withholding unless you elect not to have withholding apply. If you have a periodic, or an "on demand" distribution, your election regarding our withholding of federal income tax on your behalf stays in effect until you change it. You may change or revoke your election at any time, and as often as you wish, by completing a new election form. If you elect check-writing privileges, you will also have previously elected to have no withholding on your withdrawals. If you decide to have taxes withheld, you will not be eligible for check writing from your IRA. If you elect not to have taxes withheld, you will be liable for payment of all taxes due on the taxable portion of your distribution and you may be responsible for payment of estimated tax. You may be subject to tax penalties under the estimated tax payment rules if your payments of estimated tax and withholding, if any, are not adequate.

Trading Activity

The time of a transaction herein, the securities market on which the transaction was made, or the name of the buyer or seller and the source and amount of any commission or fee receivable by the firm, will be furnished to the Client upon written request. Because the security and delivery of e-mail is not guaranteed, Advest will not accept responsibility for the handling or execution of buy or sell orders sent via e-mail unless they are confirmed by telephone with Your Financial Advisor.

SEC Rule 11Ac1-6 ("Rule 6") requires all broker-dealers that route orders in equity and option securities to make available quarterly reports that present a general overview of their routing practices. This report must identify the significant venues to which client orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. To view First Clearing's quarterly order routing reports pursuant to this Rule, logon to firstclearingcorp.com, and click on the "Disclosure of Order Routing Information" link. Additionally, upon request, we will disclose the venues to which your individual orders were routed. Please contact Your Financial Advisor for further information.

Debt Securities

Debt securities may be subject to redemption prior to maturity and may be redeemed in whole or in part before the stated maturity date. This could affect the yield represented. Debt securities and preferred stock held in the accounts of Clients that are called for redemption will be identified by a random and impartial lottery. Additional information is available upon request from Your Financial Advisor.

Clearing services

First Clearing, LLC (FCC), a non-bank affiliate of Wachovia Corporation, and a registered broker dealer, a member of the New York Stock Exchange and a member of the National Association of Securities Dealers, Inc., carries your account and acts as your custodian for funds and securities deposited with us directly by you, through Advest, Inc. and its affiliates, or as a result of transactions we process for your account. Unless and until we receive written notice from you to the contrary, FCC may accept from Advest Inc., without inquiry or investigation:

- orders for the purchase or sale of securities for your account on margin or otherwise, and
- any other instructions concerning your account.

Questions and Complaints about Your Account

This account statement contains important information about your brokerage account, including recent transactions. We encourage you to review the details in this statement. For some additional and/or more detailed explanations, refer to the brokerage account agreement and disclosure documents previously sent to you. If you do not understand any of the information in your statement, or have any questions regarding the accuracy of this information, please contact Advest Client Services, 1-888-238-3781. If you do not object in writing to the information reported on this statement within 10 days, we will consider it conclusive. Inquiries concerning your account, and address change requests, should be addressed directly to Advest, Inc., or its affiliate, at the address and telephone number on the front of this statement. A brochure describing the NASD Regulation Public Disclosure Program is available from the NASD upon request. You may reach the National Association of Securities Dealers (NASD) by calling the NASD Regulation Public Disclosure Program Hotline at 1-800-289-9999 or at the NASD Regulation Internet Web Site address, www.nasdr.com

2726

STEPHEN LOWEY &
NITA M LOWEY JT TEN

Sub / Branch / Rep / Account No.
179 / AWXA / XA11 / Redacted

January 1 - January 31, 2006

Cash Activity Summary

Total Cash/Money Market/Sweep Funds on Dec 31:	$7,514.17	
	Current Period	Year to Date
Deposits	0.00	0.00
Income and Distributions	8,788.83	8,788.83
Securities Sold and Redeemed	0.00	0.00
Net Additions to Cash	$8,788.83	$8,788.83
Withdrawals by Check	0.00	0.00
Securities Purchased	- 5,340.06	- 5,340.06
Net Subtractions from Cash	- $5,340.06	- $5,340.06
Total Cash/Money Market/Sweep Funds on Jan 31:	$10,962.94	

Year to date totals in the cash activity summary are based upon activity shown on this and past month's statements. This information is presented for informational purposes and should not be used for tax reporting.

Income Summary

	Current Period	Year to Date
Ordinary Dividends and ST Capital Gains	475.20	475.20
Long Term Capital Gains	4,319.74	4,319.74
Total Taxable Income	$4,794.94	$4,794.94
Exempt Money Market/Sweep Funds	20.02	20.02
Exempt Interest	3,428.75	3,428.75
Exempt Dividends	545.12	545.12
Total Federally Tax-Exempt Income	$3,993.89	$3,993.89
Total Account Income	$8,788.83	$8,788.83

The above totals may not match the cash activity summary or detailed activity in this statement, due to reclassification activity or other tax corrections. These figures represent your account as of this month end. Remember, you may have certain products that are not included in these figures and whose income is only available on the tax forms sent to you at year end. Reclassifications and other tax reporting requirements may alter these numbers both during and after year end. Rely only on your tax documents for tax reporting information. Contact your tax advisor if you have any questions about the tax consequences of your brokerage activity.

2727

STEPHEN LOWEY &
NITA M LOWEY JT TEN

Sub / Branch / Rep / Account No
179 / AWXA / XA11 / Redacted

January 1 - January 31, 2008

Fixed Income Securities

Municipal Bonds continued

Description	Quantity	Current Price	Current Market Value	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
NEW YORK ST ENVIRONMENTAL FACS CRP PCR ST WTR RV,VNO FO &ER CPN 5.100% DUE 12/15/08 DTD 03/01/97 FC 06/15/97 CALL 12/15/07 @ 102.000 Moody AAA , S&P AAA CUSIP 649853Q72	50,000	104.9490	52,474.50	326.00	2,550.00	4.85
NEW YORK N Y PREREFUNDED J G/O UNLTD B/E MBIA CPN 5.250% DUE 05/15/14 DTD 06/15/99 FC 11/15/99 PRE 05/15/09 @ 101.000 Moody AAA , S&P AAA CUSIP 649864EJ3	30,000	106.6810	32,004.30	332.40	1,575.00	4.92
NEW YORK NY SER G G/O UNLTD B/E CIO OY=3.82% CPN 3.800% DUE 08/01/09 DTD 01/22/03 FC 08/01/03 Moody A1 , S&P A+ CUSIP 649648S85	25,000	100.7930	25,198.25	475.00	950.00	3.77
NEW YORK NY SER E CPN 3.300% DUE 08/01/09 DTD 10/30/03 FC 02/01/04 CPN 3.300% DUE 08/01/09 DTD 10/30/03 FC 02/01/04 Moody A1 , S&P A+ CUSIP 64966CVH1	25,000	98.9150	24,728.75	412.50	825.00	3.33
PORT AUTH N Y & N J CONS 86TH SER REFDG OY=6.3% CPN 5.200% DUE 07/01/12 DTD 07/01/93 FC 01/01/94 Moody A1 , S&P AA- CUSIP 73358QZP2	35,000	107.7840	37,724.40	151.55	1,820.00	4.82

2728

STEPHEN LOWEY &
NITA M LOWEY JT TEN

Sub / Branch / Rep / Account No.
179 / AWXA / XA11 / Redacted

January 1 - January 31, 2008

Fixed Income Securities

Municipal Bonds continued

Description	Quantity	Current Price	Current Market Value	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
NEW YORK NY SER F G/O UNLTD B/E OY=5.3% CPN 5.300% DUE 01/15/26 DTD 01/22/03 FC 07/15/03 CALL 01/15/13 @ 100.000 Moody A1 , S&P A+ CUSIP 64966B4M2	25,000	105.9420	26,485.50	59.00	1,325.00	5.00
WESTCHESTER CNTY NY HEALTH CARE CORP REV SR LIEN-SER A CPN 6.000% DUE 11/01/30 DTD 11/01/00 FC 05/01/01 CALL 11/01/10 @ 100.000 Moody BA2 , S&P B CUSIP 957366AB3	40,000	100.1930	40,077.20	600.00	2,400.00	5.98
METROPOLITAN TRANSN AUTH NY REV SER U B/E G/O OY=4.45% CPN 5.125% DUE 11/15/31 DTD 05/30/02 FC 11/15/02 CALL 11/15/12 @ 100.000 Moody A2 , S&P A CUSIP 59259KCH2	25,000	105.0760	26,269.00	270.50	1,281.25	4.87
Total Municipal Bonds	**585,000**		**$605,739.95**	**$8,201.40**	**$30,382.50**	**5.02**
Total Fixed Income Securities			**$605,739.95**	**$8,201.40**	**$30,382.50**	**5.02**

Open End Mutual Funds

Estimated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann Income	Est. Ann. Yield (%)
LEBENTHAL NEW YORK MUNI BD FD	LENYX	25,031.54700	8.2100	205,509.00	7,882.43	3.83
Total Open End Mutual Funds				**$205,509.00**	**$7,882.43**	**3.84**

2729

STEPHEN LOWEY &
NITA M LOWEY JT TEN

Sub / Branch / Rep / Account No.
179 / AWXA / XA11 / Redacted

January 1 - January 31, 2006

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
01/12	Cash	REINVEST DIV	68.31600	LEBENTHAL NEW YORK MUNI BD FD	8.2200	-545.12	10,280.42
01/17	Cash	INTEREST		NEW YORK NY SER F G/O UNLTD B/E OY=6.3% CPN 5.300% DUE 01/15/26 DTD 01/22/03 FC 07/15/03 011506 25,000 CUSIP 649868AM2		662.50	10,942.92
01/31	Cash	DIVIDEND		FEDERATED NY MUNI CASH TRUST(CII) 013106 10,942		20.02	10,962.94

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
01/01			BEGINNING BALANCE	7,514.17
01/04	Cash	TRANSFER TO	FEDERATED NY MUNI CASH TRUST(CII)	2,766.25
01/18	Cash	TRANSFER TO	FEDERATED NY MUNI CASH TRUST(CII)	662.50
01/31	Cash	REINVST DIV/INT	FEDERATED NY MUNI CASH TRUST(CII)	20.02
01/31			ENDING BALANCE	10,962.94

2730

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2006 MAY 12 PM 1:47

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A For use by Members, officers, and employees

Frank D. Lucas
(Full Name)

P.O. Box 1039 Cheyenne, OK 73628
(Mailing Address)

202-225-5565
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives — State: OK District: 03	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions-	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Frank D. Lucas	May 11, 2006

2731

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Frank D. Lucas

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Panhandle Royalty Co. Stock	$15,001 - $50,000	Dividends	$1 - $200	
JT	Security State Bank, Cheyenne, OK	$1,001 - $15,000	Interest	$1 - $200	
SP	Western Farm Bureau IRA	$1,001 - $15,000	Interest	$1 - $200	
	103 acres - Mineral Rights, Roger Mills Co., Oklahoma	$250,001 - $500,000	See below individual companies	See below companies	
	Apache Corporation	None	Royalty	$1,001 - $2,500	
	Kerr-McGee Oil & Gas	None	Royalty	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Frank D. Lucas

	ST Mary Operating Co.	None	Royalty	$1,001 - $2,500	
	Plains Marketing LP	None	Royalty	$1 - $200	
	Cimarex Energy Co.	None	Royalty	$1,001 - $2,500	
	BP America Production Co.	None	Royalty	$15,001 - $50,000	
	480 acres-Farmland, House, Equip., & Cattle, Cheyenne,OK	$250,001 - $500,000	Farm Income	$50,001 - $100,000 Gross	
JT	508 acres - Farmland, Roger Mills Co., Oklahoma	$100,001 - $250,000	Farm income	See above income	
JT	320 acres - Farmland, Roger Mills Co., OK	$100,001 - $250,000	Farm income	See above income	
	Public Employee Retirement	$15,001 - $50,000	None	NONE	
SP	Texas Teacher Retirement	$1,001 - $15,000	None	NONE	
DC	Security State Bank Cheyenne, OK	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Oklahoma Teacher Retirement	$1,001 - $15,000	None	NONE	

SCHEDULE V - LIABILITIES

Name Frank D. Lucas

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Security State Bank, Cheyenne, Oklahoma	Mortgage on 320 acres Farmland, Roger Mills Co., OK	$15,001 - $50,000
JT	Security State Bank, Cheyenne, Oklahoma	Mortgage on 508 acres Farmland, Roger Mills Co., OK	$100,001 - $250,000
JT	Security State Bank, Cheyenne, Oklahoma	Note on JD 4020 tractor	$10,001 - $15,000
JT	Security State Bank, Cheyenne, Oklahoma	Operating Note (farm operating loan)	$15,001 - $50,000
SP	MBNA America	Revolving Credit Account	$10,001 - $15,000
	Chase Bank USA	Revolving Credit Account	$10,001 - $15,000
	American Express	Revolving Credit Account	$10,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Frank D. Lucas

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Farm Credit Council	Jan.15-18	Okla. City, OK--San Diego, CA--Okla.City, OK	Y	Y	Y	N/A
Chicago Board of Trade, Chicago Mercantile Exchange, Chicago Stock Exchanger, Chicago Board Options Exchange	Apr. 17-19	Okla. City, OK--Chicago, IL--Wash., DC	Y	Y	N	N/A
CropLife America	Sept.23--26	Wash.,DC--Orlando,FL--Wash., DC	Y	Y	Y	1 day

FRANK D. LUCAS
3RD DISTRICT, OKLAHOMA

2342 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-3603
(202) 225-5565
www.house.gov/lucas

ASSISTANT MAJORITY WHIP

Congress of the United States
House of Representatives
Washington, DC 20515–3603

AGRICULTURE COMMITTEE
SUBCOMMITTEES:
CHAIRMAN
CONSERVATION, CREDIT, RURAL DEVELOPMENT, AND RESEARCH
GENERAL FARM COMMODITIES AND RISK MANAGEMENT

FINANCIAL SERVICES COMMITTEE
SUBCOMMITTEES:
CAPITAL MARKETS, INSURANCE, AND GOVERNMENT SPONSORED ENTERPRISES
DOMESTIC AND INTERNATIONAL MONETARY POLICY, TRADE AND TECHNOLOGY
FINANCIAL INSTITUTIONS AND CONSUMER CREDIT

SCIENCE COMMITTEE
SUBCOMMITTEES:
RESEARCH
SPACE AND AERONAUTICS

Date: May 23, 2006

To: Committee on Standards of Official Conduct
Suite HT-2, The Capitol
202-225-7103

From: Congressman Frank D. Lucas
2342 Rayburn HOB
202-225-5565
202-225-8698 (fax)

Frank Lucas

MC 2

Please accept this amendment to my 2005 Financial Disclosure. I filed a confidential list of donations made to charity in lieu of honoraria, however I failed to submit a Schedule II as part of my initial filing. The attached Schedule II is an addition to my 2005 annual report.

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10052 NORTHWEST EXPRESSWAY
SUITE B
YUKON, OK 73099
(405) 373-1958

DISTRICT OFFICES:
729 SOUTH HUSBAND
SUITE 7
STILLWATER, OK 74074
(405) 624-8407
(918) 277-0354

2728 WILLIAMS AVENUE
SUITE F
WOODWARD, OK 73801
(580) 256-6752

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Frank D. Lucas

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Diageo	Appearance	March 8, 2005	$1,000

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A

For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER

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OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Stephen F. Lynch
(Full Name)

55 G Street South Boston, MA 02127
(Mailing Address)

617 428-2000
Daytime Telephone:

Filer Status ☑ Member of the U.S. House of Representatives State: MA District: 09 ☐ Officer Or Employee Employing Office:

Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐

If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑

If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐

If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑

If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐

If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑

If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑

If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐

If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑

If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. App. 4, § 104 and U.S.C. § 1001).

Certification

I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Signature of Reporting Individual

Date (Month, Day, Year) 5.11.06

2738

SCHEDULE I - EARNED INCOME

Name Stephen F. Lynch

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
South Boston Community Health Center	Spouse Salary	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen F. Lynch

BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B

Year-End Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

BLOCK C

Type of Income

If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)

BLOCK D

Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E

Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

JT	Cisco Systems	$1,001 - $15,000		NONE
JT	EMC Corporation	$1,001 - $15,000		NONE
JT	Intel Corporation	$1,001 - $15,000		NONE
JT	Morgan Stanley American Opportunity Mutual Fund	$1 - $1,000	DIVIDENDS	$1 - $200
JT	Pioneer Equity Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200
JT	Pioneer Mid Cap Growth Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200

2740

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen F Lynch

JT	3 Jason Terrace South Boston, Ma	$250,001 - $500,000	RENT	$5,001 - $15,000
JT	55 G Street South Boston, Ma	$500,001 - $1,000,000	RENT	$2,501 - $5,000

SCHEDULE V - LIABILITIES

Name Stephen F. Lynch

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Mt. Washington Bank	Mortgage on 55 G Street, South Boston, Ma.	$250,001 - $500,000
JT	Mt. Washington Bank	Mortgage on 3 Jason Terrace, South Boston, Ma 02127	$50,001 - $100,000

SCHEDULE VIII - POSITIONS

Name Stephen F. Lynch

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Incorporator, Appointee Ex Officio	South Boston Betterment Trust
Board of Directors -Member	Colonel Daniel Marr Boys and Girls Club
Board of Directors -Member	Boston Children's Museum
Board of Directors- Member	Friends for Children
Boardof Directors - Member	South Boston Boys and Girls Club

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

Carolyn B. Maloney
(Full Name)

49 East 92nd Street
(Mailing Address)

New York, New York 10128

Daytime Telephone: (212) 289-9450

Filer Status	[X] Member of the U.S. House of Representatives — State: New York, District: 14	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Carolyn B. Maloney	5/11/06

2744

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Chromium Industries, Inc., Chicago, IL	Spouse	N/A
	CHW Maloney & Co., Incorporated, New York, NY	Spouse	N/A
	The Wall Street Fund, New York, NY	Spouse	N/A
	Interpool, Inc., Princeton, NJ - Options and Director Fees	Spouse	N/A
	Beechtree Park, Inc. (A Family-Owned Business)	Management Fees	20,000
	Vicon Industries, Inc., Hauptauge, NY	Spouse	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name	Carolyn B. Maloney	Page 3 of 15

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
	XYZ Magazine	Article	Aug. 13, 2005	$500
	None			

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2746

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B												Block C								Block D												Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	PARTNERSHIP, SUB-S INCOME	Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:* SP Mega Corp. Stock					X								X											X								P
	SP Simon & Schuster		Indefinite																		Royalties								X				
	1st Bank of Paducah, KY accounts						X									X											X						
	Stock-Chromium Industries, Inc.,-Chicago, IL						X															X												
	CD-First Coastal Bank-Virginia Beach, VA			X												X								X										
	Rental Property & Residence-49 East 92 Street, New York, NY									X					X													X						

For additional assets and unearned income, use next page.

2747

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Carolyn B. Maloney

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	PARTNERSHIP, SUB-S INCOME	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Rental Property-Single Family, 279 West Road, New Canaan, CT									X					X													X					
	Albright & Maloney Partnership, 10 High St., Boston, MA						X								X							X											
	Stock-Aldo Farms of NC, Inc., Virginia Beach, VA				X									X															X				
	Stock-The Wall Street Fund			X										X										X									
	Stock-Roll Finishing, Mt. Vernon, IN					X														X		X											
	Stock-JTP, Inc.-Land Investment, Jonestown Road, Chesapeake, VA					X									X							X											
	Citibank, N.A. Checking				X									X									X										
	Alliance Capital Reserve (Money Market Fund)				X									X											X								
	Shares-Dreyfus-Money Market Funds				X									X											X								
	Undivided Interest-Land-96 acres-Route 64, Southampton County, VA				X												X					X											
*	Stock-Beechtree Park, Inc., T/A Holiday Travel Park, Virginia Beach, VA-S-corp election 1/1/01								X						X	X	X			X								X					

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Carolyn B. Maloney

BLOCK A Asset and/or Income Source (SP, DC, JT)	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	PARTNERSHIP, SUB-S INCOME	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
Stock-CHW Maloney & Co., Inc.			X										X											X								
Stock-Holiday Village, Inc.-Real Estate, Virginia Beach, VA							X							X		X										X						
Fleet Bank-Checking			X																		X											
Chase Manhattan Bank-Checking			X																		X											
Citibank Checking			X												X							X										
R. G. Bosher Irrevocable Life Ins. Trust-Insurance Trust-investments							X						X												X							
* Bosher Family Partnership L.P., Virginia Beach, VA						X								X		X			X										X			
Undivided 1/5 interest-real estate-Rose Hall, Jamaica-Columns LLC					X									X					X		X											
Stock-Birdneck Country Club Apt., Inc.					X									X							X											
* SP Bosher Family Partnership L.P.-Virginia Beach, VA					X									X		X			X										X			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Carolyn B. Maloney

	BLOCK A Asset and/or Income Source (SP, DC, JT)	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	PARTNERSHIP, SUB-S INCOME	Other Type of Income (Specify)	OPTIONS	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction (P, S, E)
*	DC Bosher Family Partnership L.P., Virginia Beach, VA					X									X		X			X											X			
*	Stock-Sea Bay Development Corp.-S-corp election-1/1/01					X									X	X				X					X									
	Chromium Industries-loan					X										X												X						
	Green Sea Farms, LLC-Chesapeake, VA								X						X		X			X								X						
	Minnewawa Assoc. L.P., Blue Mountain Lake, NY							X							X												X							
	Interpool, Inc., Princeton, NJ-stock options						X															X	X											
*	DC Stock-Sea Bay Development Corp.-S-corp election 1/1/01					X									X	X				X					X									
*	SP Stock-Sea Bay Development Corp.-S-corp election 1/1/01				X										X	X				X					X									
	Bosher Family LLC-investment in HFB Enterprises, T/A Albemarle Plantation real estate-Hertford, NC									X					X	X	X			X											X			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Carolyn B. Maloney

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
SP. DC. JT	A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	PARTNERSHIP, SUB-S INCOME Other Type of Income (Specify)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
SP Bosher Family LLC-investment in HPB Enterprises, T/A Albemarle Plantation real estate-Hertford, NC					X									X	X	X			X						X						
DC Bosher Family LLC-investment in HPB Enterprises, T/A Albemarle Plantation real estate-Hertford, NC							X							X	X	X			X							X					
Jefferson Investors, LLC-real estate-Virginia Beach, VA								X						X		X			X					X							
Citibank-market rate plus Money Market account						X									X								X								
U. S. Treasury Notes				X											X								X								
Common Stocks -																															
A.T.&T.					X								X							X											
Allstate Corp.					X								X										X								
BP PLC					X								X										X								
CSX Corp.					X								X									X									
Comcast			X										X							X											
Colgate-Palmolive						X							X											X							
Donnelley RR & Sons					X								X										X								

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2751

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Carolyn B. Maloney

BLOCK A Asset and/or Income Source (SP, DC, JT)	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction (P, S, E)
El Paso Corp.			X										X								X										
Exxon Mobile						X							X										X								
Fortune Brands					X								X										X								
Gallaher Crp PLC					X								X										X								
Heinz H. J. Co.						X							X											X							
IBM					X								X									X									
Merck & Co.						X							X												X						
Morgan Stanley					X								X										X								
PNC Finl Svcs.						X							X											X							
Pepsico						X							X										X								S
Plum Creek Timber Co.					X								X											X							
Public Svc Enterprise				X									X									X									
Sears				X									X									X									S
Verizon						X							X											X							
Del Monte Foods			X										X							X											
Medco Health Solutions				X									X							X											
Mutual Funds -																															
Eaton Vance Tax Mg.									X				X		X	X									X						
Wachovia Money Market Fund								X							X								X								
HRPT Property Trust				X									X									X									P
Getty Realty Corp.				X									X									X									P
Mack-Cali Realty Corp.				X									X									X									P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Carolyn B. Maloney

BLOCK A Asset and/or Income Source (SP, DC, JT)	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction (P, S, E)
I-Star Financial				X									X									X									P
Wash. Real Estate Invest Trust				X									X									X									P
Union Pacific				X									X									X									
R. Durch Shell			X										X									X									
Duke Energy			X										X									X									
Surburban Propane				X									X									X									S
Interpool, Inc.-sub. notes				X											X									X							
Eaton Vance T/A Gl Div Income				X									X										X								P
Nuveen Tax Adv. Total Return				X									X										X								P
Gabelli Div Income Fund				X									X										X								P
Colonial Properties Trust				X									X										X								P
Bristol Meyers					X								X											X							P
Honeywell Int'l				X									X									X									P
House-206 D St. SE, Washington, D.C.									X					X												X					P
Time Warner				X									X									X									P

* See attached schedules of holdings.

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SCHEDULE IV — TRANSACTIONS

Name: Carolyn B. Maloney

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the *amount of the transaction exceeded $1,000. Include transactions* that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
SP	Bristol Meyers	X			2-25-05		X								
SP	Marsh & McLennon		X		3- 9-05		X								
SP	Sears		X		3-30-05	X									
SP	Surburban Propane Partners	X			5-10-05		X								
SP	Bristol Meyers	X			5-13-05		X								
SP	HRPT Properties Trust	X			5-16-05	X									
SP	Time Warner	X			9-27-05		X								
SP	I-Star Financial	X			10-12-05		X								
SP	Mack-Cali Realty	X			10-13-05	X									
SP	Washington Real Estate Inv. Trust	X			10-18-05	X									
SP	Bristol Meyers	X			12- 6-05	X									
SP	Eaton Vance T/A TL Div	X			12- 6-05		X								
SP	Gabelli Dividend & Income	X			12- 6-05		X								
SP	Nuveen Tax Adv Total Return	X			12- 6-05		X								
SP	Pepsico		X		12- 6-05		X								
SP	Getty Realty	X			12- 7-05		X								

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
SP	HRPT Property Trust	X			12- 9-05	X									
SP	Time Warner	X			12-13-05		X								
SP	Honeywell Int'l	X			12-19-05		X								
SP	Pepsico		X		12-22-05		X								
SP	Colonial Properties Trust	X			12-23-05		X								
	House-206 D St. SE, Washington, D.C.	X			4- 5-05							X			
SP	Marsh & McLennon - acquired through exchange with Eaton Vance Tax Mg.			X	3- 5-05		X								

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Citibank, N.A.	Mortgage-49 East 92nd St., New York, NY					X					
	Washington Mutual Savings Bank	Mortgage-279 West Road, New Canaan Bank					X					
	Estate of Ralph G. Bosher	Real estate loan						X				
	ABN AMRO Mortgage Group	Real estate mortgage						X				

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
None		

Use additional sheets if more space is required.

2756

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	American Hellenic Council of California, Inc.	Mar. 4-6	New York-Los Angeles-New York	Y	Y	No	None

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	Bosher Family Partnership, LP, Virginia Beach, VA
Member	Green Sea Farms, LLC, Virginia Beach, VA
Manager	Beechtree Park, Inc.
Member	Bosher Family, LLC
Member	Jefferson Investors, LLC

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

GPO: 2006 25-628 (rev)

Use additional sheets if more space is required.

Carolyn B. Maloney
49 East 92nd Street
New York, New York 10128

ATTACHMENT TO SCHEDULE III, PAGES 6 & 7 OF 15
FINANCIAL DISCLOSURE STATEMENT
FOR THE YEAR DECEMBER 31, 2005

The following is a listing of the real estate holdings of the Bosher Family Partnership as of December 31, 2005:

1. Parcel #10-11-23-0000-0030-0000 located in Palatka, Florida.
2. Two residential lots in Edenton, North Carolina.
3. 76.00 acres of land in Chowan County, North Carolina.
4. 107.00 acres of land in Elizabeth City, North Carolina.
5. 26 acres of land in Elizabeth City, North Carolina.

Carolyn B. Maloney
49 East 92nd Street
New York, New York 10128

ATTACHMENT TO SCHEDULE III, PAGE 8 OF 15
FINANCIAL DISCLOSURE STATEMENT
FOR THE YEAR DECEMBER 31, 2005

JEFFERSON INVESTORS, LLC

Jefferson Investors, LLC was formed in December 2004 to hold various rental properties located in Virginia Beach, Virginia. The properties were acquired from Sea Bay Development Corp. Corresponding values in Sea Bay Development have been adjusted to reflect the transfer into this new entity. The entity invests in land and commercial rentals in Virginia Beach, Virginia.

Carolyn B. Maloney
49 East 92nd Street
New York, New York 10128

ATTACHMENT TO SCHEDULE III, PAGE 5 OF 15
FINANCIAL DISCLOSURE STATEMENT
FOR THE YEAR DECEMBER 31, 2005

BEECHTREE PARK, INC.

Beechtree Park, Inc. as noted on Schedule III, page 5 of the 2005 financial disclosure represents a family owned closely held S-corporation located in Virginia Beach, Virginia. The corporation invests in real estate to be developed into commercial and residential sites. All of the properties are located in Virginia Beach and Chesapeake, Virginia.

Carolyn B. Maloney
49 East 92nd Street
New York, New York 10128

ATTACHMENT TO SCHEDULE III, PAGE 7 OF 15
FINANCIAL DISCLOSURE STATEMENT
FOR THE YEAR DECEMBER 31, 2005

SEA BAY DEVELOPMENT CORP.

Sea Bay Development Corp as noted on Schedule III, page 7 of the 2005 financial disclosure represents a family closely held S-corporation located in Virginia Beach, Virginia. The corporation invests in rental real estate both commercial and residential and land for future development. All of the properties are located in Virginia Beach and Norfolk, Virginia.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2006 MAY 11 AM 11:13
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

Donald A. Manzullo
(Full Name)

792 E Lightsville Rd
(Mailing Address)

EGAN, IL 61047

Daytime Telephone 202-225-5676

Filer Status	[X] Member of the U.S. House of Representatives	State: District: —	[ ] Officer or Employee	Employing Office:
Report Type	[ ] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Donald A. Manzullo	5/9/06

2763

Name D Manzullo | Page 2 of 7

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	FREDA J MANZULLO (Substitute teacher)		
	TRINITY High School	teaching	320

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each* asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geograph c location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP	Mega Corp Stock					X								X																		P
OC	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
JT	OFFICE BLDG - 400 WASH.						X								A												X					
SP	ST. OREGON, FL																															
	STOCK - D. MANZULLO PC LAW OFFICE - 0 ELMANT SALESBORO, NC		X																		X											
	FARM - ESMO, IL					X									X					OPERATION RELATED						X						
*	DONALD MANZULLO PC PENSION TRUST							X						X		X	X										X					
*	DONALD MANZULLO IRAS			X										X		X	X								X							
SP	FREDA MANZULLO IRAS			X										X		X	X								X							

For additional assets and unearned income, use next page.

*SEE ATTACHMENT

2765

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name D Manzullo

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT SP	Stocks, Bonds, Cash *					X								X		+	X									X						
DC	Neil Manzullo *				X									X		+	X						X									
DC	Neil Manzullo *				X									X		+	X						X									
DC	Katie Manzullo *				X									X		+	X						X									

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* See attachments

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–05		X								
	See ATTACHMENT TO Sch A, which lists all TRANSACTION AND AMOUNTS														

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2767

Name J Manzulli | Page 6 of 7

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	PNC Bank - Student Loan											
	04 and 05, total, signed by			X								
	Neil and Ann Manzulli (co-signer)											
	~~Citi~~											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Donald A. Manzello PC
TRUSTEE	Donald Manzello PC Pension Trust - Sole Shareholder
TRUSTEE	MF Charitable Trust - dormant

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2008 25-628 (mac)

Use additional sheets if more space is required.

Addenda to Schedules III and IV 2005 Financial Disclosure Statement

Donald A. Manzullo PC Pension Trust

Value of Asset: $250,001 to $500,000
Type of Income: Dividends, Interest, Capital Gains
Amount of Income: $15,001 to $50,000

Mutual Funds in Donald A. Manzullo PC Pension Trust, Donald Manzullo, Trustee, at Wachovia Securities. Value of each fund is between $15,001 and $50,000

FMI Focus, Ing Int'l, Janus Midcap, Julius Baer Int, Royce Premier, TCW Galileo Select Eq, T. Rowe Price Midcap, Cambiar Opp Fund, Vanguard Windsor, Legg Mason val

Funds sold in 2005: Torray Fund 11/04/05. Funds purchased in 2005: Cambiar Opp Fund 11/04/05

Donald A. Manzullo IRAs

Value of Asset: each IRA valued between $1,001 and $15,000
Type of Income: Dividends, Interest, Capital Gains
Amount of Income: $2,501 - $5,000

Wachovia Roth IRA, cash, established. 9/04, roll over from Janus Roth IRA (9/04 sale of Mercury Fund and Contrarian Fund, each with value between $1,001 and $15,000).

Traditional IRA – Wachovia, Value of each fund is between $1 and $1,000. FMI Focus, Ing Int'l, Janus Midcap, Julius Baer Int, Royce Premier, TCW Galileo Select Eq, T. Rowe Price Midcap, Cambiar Opp Fund, Vanguard Windsor, Legg Mason val

Funds sold in 2005: Torray Fund 11/04/05. Funds purchased in 2005: Cambiar Opp Fund 11/04/05.

Freda J. Manzullo IRAs

Value of Asset: each IRA valued between $1,001 and $15,000
Type of Income: Dividends, Interest, Capital Gains
Amount of Income: $2,001 - $5,000

Wachovia Roth IRA, cash, established 9/04, roll over from Janus Roth IRA (9/04 sale of Janus Fund, Mercury Fund and Contrarian Fund, each with value between $1,001 and $15,000)

Traditional IRA – Wachovia, The value of the following funds is between $1,001 and $15,000: TCW Gal Sel Eq; Legg Mason Value; Cambiar Opp Fund; Vanguard Windsor

/

II, Ing. Int'l Value; Julius Bear Int. Eq. The value of the following funds is between $1 and $1,000:Royce Fd Premier; FMI Focus; Janus Midcap VI; T. Rowe Price Midcap Grwth

Funds sold in 2005: (value: $1,001 to $15,000) Torray Fund, 11/04/05. Funds purchased in 2005: Cambiar Opp Fund 11/04/05 (value: $1,001 to $15,000)

Donald and Freda Manzullo Jt

Value of Jt Assets: Between $50,001 and $100,000
Type of Income: Dividends, Interests, Capital Gains
Amount of Income: $5,001 to $15,000

Evergreen Money Market $15,001 to $50,000
EDS $1,001 to $15,000
Hasbro $1 to $1,000
Wal Mart $1,001 to $15,000
Walgreen $1,001 to $15,000

Following bonds are all $1,001 to $15,000
Sears, Roebuck Bond due 2/01/11 7%,
Same due 5/1/09 6.25%
GMAC due 8/15/04 6%
FHLMC 8.5% due 11/15/24

Stillman Valley Checking and Savings, $1,001 to $15,000; Wright Patman Federal Credit Union, checking and savings ,$1,001 to $15,000.

Sale (call) 2/15/05 (value of between $1,001 and $5,000) FHLMC Bond 7.375% due 10/15/26

Neil Manzullo

Value of Assets: $1,001 to $15,000
Type of Income: Dividends, Interest, Capital Gains
Amount of Income: $201 to $1000

Educational Sav Acct (ESA), Wachovia, total value around $1,100, contains following mutual funds, each with value of between $1 and $1,000: TCW Gal Sel Eq; Legg Mason Value; Cambiar Fund; Vanguard Windsor II, Ing. Int'l Value; Julius Bear Int. Eq. Royce Fd Premier; FMI Focus; Janus Midcap VI; T. Rowe Price Midcap Grwth

Funds sold in 2005: Torray Fund, 11/04/05. Funds purchased in 2005: Cambiar Opp Fund 11/04/05

Note: this account contains a roll over of ESA from Janus sale in 9/04, Mercury Fund, in approx amount of $600

Wachovia Savings Acct

Money Market, total value less than $3,500, liquidated 8/01/05.

Note: this account contains distributions from sale of Janus Mutual Funds, 9/04: Janus Enterprise, $1,001 to $15,000; Janus Mercury, $1,001 to $15,000.

Dain Rauscher Acct

Total Value less than $5,000

ABN Amro Mid Cap Mut Fund, $1,000 to $15,000, fund purchased 6/04 from proceeds of sale 6/04 from Delaware Select Equity sale, $1,000 to $15,000

Noel Manzullo

Value of Assets: $1,001 to $15,000
Type of Income: Dividends, Interest, Capital Gains
Amount of Income: $201 to $1,000

Educational Sav Acct (ESA), Wachovia, total value around $500, contains following mutual funds, each with value of between $1 and $1,000: TCW Gal Sel Eq; Legg Mason Value; Cambiar Opp Fund; Vanguard Windsor II, Ing. Int'l Value; Julius Bear Int. Eq. Royce Fd Premier; FMI Focus; Janus Midcap VI; T. Rowe Price Midcap Grwth

Funds sold in 2005: Torray 11/04/05 Funds purchased in 2005: Cambiar Opp Fund 11/04/05

Wachovia Savings Acct

Money market, $10,200
Represents cash from redemption of Illinois College Bonds, plus proceeds of 9/04 sale of Janus Funds: Mercury and Enterprise, each with value between $1,001 and $15,000

Dain Rauscher Acct

Total Value less than $5,000

ABN Amro Mid Cap Mut Fund, fund purchased 6/04 from proceeds of sale 6/04 from Delaware Select Equity (each fund value between $1,001 and $15,000)

College Illinois prepaid tuition $15,000 to $50,000

Katie Manzullo

Value of Asset: $15,001 to $50,000
Type of Income: Dividends, Interest, Capital Gains
Amount of Income: $201 - $1,000

Educational Sav Acct (ESA), Wachovia, total value around $500, contains following mutual funds, each with value of between $1 and $1,000: TCW Gal Sel Eq; Legg Mason Value; Cambiar Opp Fund; Vanguard Windsor II, Ing. Int'l Value; Julius Bear Int. Eq. Royce Fd Premier; FMI Focus; Janus Midcap VI; T. Rowe Price Midcap Grwth

Funds sold in 2005: Torray Fund, 11/04/05. Funds purchased in 2005: Cambiar Opp Fund, 11/04/05

Wachovia Savings Acct

College Illinois Bonds, $10,000
$2,500 cash from proceeds of 9/04 sale of Janus Mercury and Janus Enterprise (each with value of between $1,000 and $15,000)

Dain Rauscher Acct

Total Value less than $4,000

ABN Amro Mid Cap Mut Fund, $1,001 to $15,000, fund purchased 6/04 from proceeds of sale 6/04 from Delaware Select Equity ($1,001 to $15,000)

College Illinois prepaid tuition $15,001 to $50,000

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2006 MAY 23 PM 4:11
MC OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Kenny Ewell Marchant
(Full Name)

516 Christi Lane
(Mailing Address)

Daytime Telephone: 972-462-0790

Coppell, Texas 75019

Filer Status	[X] Member of the U.S. House of Representatives	State: Texas District: 24	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Filer	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	5-22-06

2774

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Texas	Pension	26,600

For payments to charity in lieu of honoraria, use Schedule II.

Name Kenny Ewell Marchant

CHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

.ist the source, activity (i e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization n lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
	XYZ Magazine	Article	Aug. 13, 2005	$500
	None			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP		SP	Mega Corp. Stock	X	DIVIDENDS: X			P
DC	*Examples*		Simon & Schuster	Indefinite		Royalties	X	
JT			1st Bank of Paducah, KY accounts	X	INTEREST: X		X	
T			Ranch 2200 acres Lindsay, TX	X		Cattle Ranch	X	
T			Ranch 292 acres Montague Co, TX	X		Cattle Ranch	X	
T			Ranch 167 acres Valley View, TX	X		Cattle Ranch	X	
P			Bank Acct Donna Compass Bank Dallas	X	INTEREST: X		X	
T			Bank Accounts View Point Bank	X	INTEREST: X		X	
P			Bank Account View Point Bank	X	INTEREST: X		X	

For additional assets and unearned income, use next page.

2777

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Kenny Ewell Marchant

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	Donna Marchant																															
	SW Securities (managed funds - not self-directed)						X							X		X										X						
	1st Dallas Securities IRA			X										X		X																See Schedule IV
	American General Insurance			indefinite																Annuity							X					
	Metropolitan Life Ins			indefinite																Annuity							X					
	Hartford Insurance			indefinite																Annuity							X					
	Ameritrade IRA	X		X																	X											
	Account closed in 2005 and transferred to 1st Dallas Securities (see 1st Dallas Sec on Schedule IV)																															

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2778

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Kenny Ewell Marchant

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
DC	Dallas Marchant				
	CGM Mutual Fund	C	DIVIDENDS X; INTEREST X	V $2,501 – $5,000	
	American General Ins	C (indefinite)	Other Type of Income: Annuity	VII $15,001 – $50,000	
	CGM Realty Fund	C	DIVIDENDS X; INTEREST X	V $2,501 – $5,000	
	Hartford Insurance	C (indefinite)	Other Type of Income: Annuity	VII $15,001 – $50,000	
	CGM Focus Fund	C	DIVIDENDS X; INTEREST X	V $2,501 – $5,000	
	Metropolitan Insurance	C (indefinite)	Other Type of Income: Annuity	VII $15,001 – $50,000	
	Church Bond Austin Lakehills	C	INTEREST X	III $201 – $1,000	
	Raymond James Top Ten Bond	C	INTEREST X	IV $1,001 – $2,500	
	SW Securities (managed ~~funds - not self-directed~~	F	DIVIDENDS X; INTEREST X	VI $5,001 – $15,000	
	Hodges Fund IRA	C	DIVIDENDS X; INTEREST X	IV $1,001 – $2,500	
	1st Dallas Securities (managed acct ~~self-directed~~)	F	DIVIDENDS X; INTEREST X; CAPITAL GAINS X	VI $5,001 – $15,000	See Schedule IV
	View Point Bank Carrollton, TX	C	INTEREST X	III $201 – $1,000	
	Mann Financial	A	Other Type of Income: None	I None	Acct closed 12/31/05
DC	Kenny Marchant, Junior	Kenny Jr. turned 21 on Aug. 24, 2004 and is no longer reporting			

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2779

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Kenny Ewell Marchant

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	F $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Kenny & Donna Marchant																															
	Dreman Value Fund SWS							X						X		X											X					
	Listed last year under SW Securities Acct. Trading account broken out this year.																															
	CGM Realty Fund				X									X		X							X									P
	CGM Focus Fund				X									X		X							X									P
	CGM Mutual Fund				X									X		X							X									P
	Texas Bank Stock Weatherford, TX							X												None	X											
	Lonely Trail LLC 1% share land; Phoenix, AZ							X												None	X											
	Sequel IT Corp Loan Receivable						X									X									X							
	1st Dallas Securities IRA Rollover							X						X		X	X										X					See Sched. IV
	Raymond James Brokerage Acct								X					X		X	X										X					See Sched. IV

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Kenny Ewell Marchant

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
JT	Kenny & Donna Marchant				
	1st Dallas Securities Stock & Bond Acct	I	DIVIDENDS, INTEREST, CAPITAL GAINS	IX	See Sched. IV
	SW Securities Stock & Bond Acct PC2	F	DIVIDENDS, INTEREST, CAPITAL GAINS	VI	See Sched. IV
	Fred Alger Funds SWS (Mutual Fund)	F; Listed last year under SW Securities	DIVIDENDS, INTEREST	VI	
	Dana Investment Advisor SWS (Mutual Fund)	F; Listed last year under SW Securities	DIVIDENDS, INTEREST	VI	
	Holt-Smith & Yates Advisors SWS (Mutual Fund)	F; Listed last year under SW Securities	DIVIDENDS, INTEREST	VI	
	Ariel Capital Mgt SWS (Mutual Fund)	F; Listed last year under SW Securities	DIVIDENDS, INTEREST	VI	
	Lazard Asset Mgt SWS (Mutual Fund)	F; Listed last year under SW Securities	DIVIDENDS, INTEREST	VI	

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Kenny Ewell Marchant

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction P, S, E
JT	Kenny & Donna Marchant				
	Marchant For Congress Note Receivable	F: X	Other Type of Income (Specify): NA	I: X	
	Lone Star Land Bank Sherman, TX	A: X	DIVIDENDS: X	VII: X	
	Ameritrade Bensalem, PA	A: X	DIVIDENDS: X	VI: X	Acct Closed
	All transferred to SW Securities during 2005. See Schedule IV SW Securities				
	Marken Dev - land; Irving, TX Carrollton, TX (no mgt; shareholder only)	A: X	DIVIDENDS: X	IX: X	
	Northcrest Financial Inc	F: X	RENT: X	VII: X	
	Four Homes: Notes				
	~~6421 Scyene; Dallas, TX~~				
	~~3907 Carl; Dallas, TX~~				
	4222 Clark College; Dallas, TX				
	7720 Summerbrook; Ft Worth, TX				
	Carrollton, TX (no mgt; shareholder only)				

BLOCK B columns: A None; B $1 - $1,000; C $1,001 - $15,000; D $15,001 - $50,000; F $50,001 - $100,000; F $100,001 - $250,000; G $250,001 - $500,000; H $500,001 - $1,000,000; I $1,000,001 - $5,000,000; J $5,000,001 - $25,000,000; K $25,000,001 - $50,000,000; L Over $50,000,000

BLOCK C columns: DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)

BLOCK D columns: I None; II $1 - $200; III $201 - $1,000; IV $1,001 - $2,500; V $2,501 - $5,000; VI $5,001 - $15,000; VII $15,001 - $50,000; VIII $50,001 - $100,000; IX $100,001 - $1,000,000; X $1,000,001 - $5,000,000; XI Over $5,000,000

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2782

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Kenny Ewell Marchant

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENTS	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Kenny & Donna Marchant																															
	Ken-Ran Development, Inc. Land: Arlington, TX Building: Carrollton, TX Carrollton, TX (no mgt; shareholder only)					X								X															X			
	Ashwood Ct. Inc. Ltd Partner of Marken Int, Ltd Carrollton, TX (no mgt; shareholder only) Value of asset & income included in Marken Int, Ltd Partnership income																															
	Hobart Dev, Inc Land: Coppell, TX Carrollton, TX (no mgt; shareholder only)		X											X															X			
	RKM Properties Ltd. Land Keller, TX (no mgt; shareholder only)				X									X															X			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Kenny Ewell Marchant

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Kenny & Donna Marchant																															
JT	Northcrest Financial, Inc Note Receivable; Carrollton, TX					X										X							X									
JT	Northcrest Mortgage, Inc Note Receivable Carrollton, TX	X														X							X									Paid in full 2005
JT	Hobart Development, Inc Note Receivable Carrollton, TX	X														X							X									Paid in full
JT	Ashwood Court Note Receivable Carrollton, TX	X														X							X									Paid in full 2005
JT	Keller Woodlands, Ltd Land Keller, TX	X												X															X			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Kenny Ewell Marchant

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Kenny & Donna Marchant																														
	VRV Dev. Land: Irving, TX & Keller, TX ~~Carrollton, TX (no mgt; limited partner)~~					X								X														X			
	Arlington Timberlake Phase III & IV, Ltd Land: Arlington, TX ~~Carrollton, TX (no mgt; limited partner)~~					X								X														X			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Kenny Ewell Marchant

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Kenny & Donna Marchant																															
MRKN	Marken Interests, Ltd (A family limited partnership) Carrollton, TX Comprised of:										X			X		X	X												X			
MRKN	1st Dallas Securities Stocks & Bond Account Dallas, TX									X				X		X	X										X					See Schedule IV
MRKN	SW Securities Stocks & Bond Account Dallas, TX PC2 Account						X							X		X	X										X					See Schedule IV
MRKN	Lotsoff Capital Mgt SWS Fund						X							X		X										X						
MRKN	Dreman Value Mgt SWS Fund						X							X		X										X						
MRKN	Holt-Yates & Smith Advisors SWS Fund					X								X		X										X						
MRKN	Ariel Capital Mgt SWS Fund						X							X		X										X						
MRKN	Dana Investment Advisors SWS Fund						X							X		X										X						
MRKN	Dana Investment Advisors L.P. SWS Fund						X							X		X										X						
MRKN	Lazard Asset Mgt SWS Fund						X							X		X										X						

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Kenny Ewell Marchant

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Marken Interests, Ltd.																															
	Assets Comprised of:																															
MRKN	Land 30 acres, Ace Lane Lewisville, TX									X										none	X											
MRKN	Notes Receivable: TNT, Inc, Property Carrollton, TX Amichi, 1022 Broadway Carrollton, TX															X												X				
MRKN	Land 3 acres Carrollton, TX						X														X											
MRKN	One Tech Partners Fort Worth, TX 5% interest in mineral leases Fayetteville, AR							X													X											
MRKN	One Tech Partners Fort Worth, TX 5% working interest Monson Field, Karnes, TX							X													X											

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Kenny Ewell Marchant

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
JT	Marken Interests, Ltd.																															
MRKN	One Tech Partners Fort Worth, TX Mineral Leases Clinton, AR								X												X											
MRKN	Hebco Partners Plano, TX 1% working interest ~~Joplin, TX field~~			X																	X											
MRKN	CPC Schweppes SBS L.P. Dallas, TX Limited Partnership Interest 1%						X														X											
MRKN	CPC Energy SBS, L.P. Dallas, TX Limited Partner Interest 1%						X																									
MRKN	Note Receivable Northcrest Financial				X											X								X								
	-End Marken Interests, Ltd-																															

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SCHEDULE IV — TRANSACTIONS

Name Kenny Ewell Marchant

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your *personal residence, unless it is rented out.*

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
SP	Donna Marchant - 1st Dallas Securities IRA	see attached copies of account													
DC	Dallas Marchant - 1st Dallas Securities Acct	see attached copies of account													
JT	Kenny Marchant - 1st Dallas Securities IRA	see attached copies of account													
JT	Kenny Marchant - CGM Realty Fund	X			08/04/05	X									
JT	Kenny Marchant - 1st Dallas Securities Acct	see attached copies of account													
JT	Kenny Marchant - CGM Focus Fund	X			08/04/05	X									
JT	Kenny Marchant - Raymond James Account	see attached copies of account													
JT	Kenny Marchant - CGM Mutual	X			08/04/05	X									
JT	Kenny Marchant - Southwest Securities Acct	see attached copies of account													
JT	Marken Interests, Ltd - Southwest Securites Acct	see attached copies of account													
JT	Marken Interests, Ltd - 1st Dallas Securities Acct	see attached copies of account													

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Name Kenny Ewell Marchant | Page 1 of 1

CHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000

P. C. T	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
T	Chase Bank Dallas	Line of credit			X (0 balance year-end)							
T	View Point Bank Carrollton	Line of credit			X (0 balance year-end)							
T	Lone Star Land Bank Texas	Mortgage 2,200 acres Cooke Co Texas							X			
T	Lone Star Land Bank Texas	Mortgage 162 acres Cooke Co Texas				X						
T	Lone Star Land Bank Texas	Mortgage 262 acres Montague Co Texas				X						

T All credit card balances paid in full each month

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
Southern Nazarene University	Three nights hotel and meals - Cabo, Mexico	780.00

Attach additional sheets if more space is required

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug 6–11	Wash., D.C. Los Angeles—Cleveland	Y	Y	Y	2 Days
	American Israel Education Foundation	Aug 21-28	Dallas, TX to Tel Aviv Israel	Y	Y	N	None

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Limited Partner (no management)	Arlington Timberlake Phase III & IV, Ltd.
Limited Partner (no management)	Marken Interests, Ltd.
Limited Partner (no management)	R.K.M. Properties, Ltd.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

GPO 2006 25-628 (mec)

Use additional sheets if more space is required.

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch	/ Rep	/ Account No
067 / FZ	/ FZ04	[redacted]

ACCOUNT STATEMENT

December 1 - December 31, 2005

Your Investment Broker:
DON HODGES/CRAIG HODGES/
CAMILLE HODGES HAYS
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: MODERATE GROWTH & INCOME

Portfolio Summary

Portfolio Assets

	Value on Nov 30	Value on Dec 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$2.41	$2.42	0.06	0.04
Stocks and Options	$3,464.16	$3,394.60	11.41	51.67
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$3,166.79	$3,172.33	0.00	48.29
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$6,633.36**	**$6,569.35**	11.47	**100%**
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$6,633.36**	**$6,569.35**		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Nov 30	Value/Cost on Dec 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Gain/Loss Summary

Realized Gain/Loss	This Period	Year to Date
Short-term	$0.00	$0.00
Long-term	$0.00	$0.00
Total Realized Gain/Loss	**$0.00**	**$0.00**

* ACH and Wire Transfer activity that occurred prior to July 1 is included in the YTD total for ATM and CheckCard Activity. ACH and Wire Transfer activity from July 1 forward is included in Other Activity.

Asset Allocation (Portfolio Assets)

- Stocks and Options
- Open End Mutual Funds
- Other

Cash Activity Summary

Total Cash and Money Market Funds on Nov 30: $2.41

	Credits	Debits	Year to Date
Securities Purchased	$0.00	$0.00	-$3,155.87
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$0.00	$0.00	$3,016.53
Withdrawals by Check	$0.00	$0.00	$0.00
ATM and CheckCard Activity *	$0.00	$0.00	$0.00
Dividends	$0.00	$0.00	$2.92
Interest	$0.01	$0.00	$19.55
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$119.29
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity *	$0.00	$0.00	$0.00
Total Activity	$0.01	$0.00	

Total Cash and Money Market Funds on Dec 31: $2.42

[redacted]

2793

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep
067 / FZ / FZ04 [redacted]

December 1 - December 31, 2005

Portfolio Assets

This section includes estimated or unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information provided by the account owner is not verified by First Clearing, LLC and should not be relied upon for legal or tax purposes. Bonds purchased at a premium or O I D (Original Issue Discount) will be carried at the original cost basis. Factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average. To update your cost information or provide omitted cost information, contact Your Investment Broker. Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
BANK DEPOSIT SWEEP OPTION Interest Period 12/01/05 - 12/31/05	$2.42	$0.06	2.71
Total Cash and Money Market Funds	**$2.42**		

Curious how your securities are performing? The "Unrealized Gain/Loss" column tells you how much each stock has increased or decreased in value since you purchased it (In some cases, this is based on cost data supplied by you or by an outside source, which may not be complete). Revisions to this information (because of corporate mergers, tenders, and other reorganizations, for example) may be necessary from time to time. To update your cost information or provide omitted costs, contact Your Investment Broker.

Stocks and Options

Stocks

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
NASDAQ-100 SHS Acquired 04/25/05	QQQQ	84	N/A##	N/A	40.4120	$3,394.60	N/A	$11.41	0.33
Total Stocks				N/A		$3,394.60	$0.00	$11.41	0.34
Total Stocks and Options				N/A		$3,394.60	$0.00	$11.41	0.34

Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Investment Broker.

[redacted]

2794

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 / [redacted]

ACCOUNT STATEMENT

December 1 - December 31, 2005

Open End Mutual Funds

Estimated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
HODGES FUND	HDPMX								
Acquired 08/12/05		133.14000	22.65	$3,036.58	22.9000	$3,048.90	$12.32	N/A	N/A
Dividend reinvestment		5.39000	22.13	$119.29		$123.43	$4.14		
Total		138.53000		$3,155.87	22.9000	$3,172.33	$16.46	N/A	N/A
Total Open End Mutual Funds				**$3,155.87**		**$3,172.33**	**$16.46**		

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
12/01				BEGINNING BALANCE			$ 2.41
12/30	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 123005 2		$0.01	$ 2.42

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
12/01			BEGINNING BALANCE	$2.41
12/30	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$0.01
12/31			ENDING BALANCE	$2.42

[redacted]

2795

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account No.
067 / FZ / FZ04[redacted]

December 1 - December 31, 2005

Retirement Account Summary

Message from the IRA Department

For IRA accounts established with First Clearing, LLC (FCC) as custodian, this is your individual retirement account (IRA) fair market value statement. The amount reflected in the "IRA Fair Market Value" will be furnished to the Internal Revenue Service on Form 5498. Any corrections made to your market value after December 31, 2005, will result in a corrected "IRA Portfolio Holding Valuation" being issued to you.

If you own an FCC as custodian Traditional, SEP, or SIMPLE IRA and turn 70 1/2 this year, you must take a Required Minimum Distribution (RMD) with respect to your IRA by April 1st of next year. If you turned 70 1/2 in a prior year, you must take an RMD payment by December 31st of this year. Your Investment Broker is available to provide specific RMD calculations. In accordance with IRS instructions, we will report to the IRS if you are required to receive an RMD for that calendar year.

This information is based upon the most recent data available and is being provided as a service to you. In the event of death your most recent beneficiary designation on file will govern. Please contact Your Investment Broker if updates or corrections are required. The Fair Market Value provided below is the sum of your Net Portfolio Value and Other Assets if you hold any. It is provided for informational value only.

Account Information

IRA Fair Market Value	$6,569.35
Account Holder Birthdate:	09/02/52
Attained Age as of 12/31/05:	53.0
Custodian EIN Number:	23-2384840

[redacted]

2796

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ04	[redacted]

ACCOUNT STATEMENT

November 1 - November 30, 2005

Your Investment Broker:
DON HODGES/CRAIG HODGES/
CAMILLE HODGES HAYS
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + MODERATE

Portfolio Summary

Portfolio Assets

	Value on Oct 31	Value on Nov 30	Est. Ann. Income	% Total Assets
Cash and Money Market	$2.40	$2.41	0.06	0.04
Stocks and Options	$3,265.08	$3,464.16	4.35	52.22
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$2,995.65	$3,166.79	0.00	47.74
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$6,263.13**	**$6,633.36**	**4.41**	**100%**
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$6,263.13**	**$6,633.36**		

Other Assets
These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Oct 31	Value/Cost on Nov 30
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Gain/Loss Summary

Realized Gain/Loss	This Period	Year to Date
Short-term	$0.00	$0.00
Long-term	$0.00	$0.00
Total Realized Gain/Loss	**$0.00**	**$0.00**

* ACH and Wire Transfer activity that occurred prior to July 1 is included in the YTD total for ATM and CheckCard Activity. ACH and Wire Transfer activity from July 1 forward is included in Other Activity.

Asset Allocation (Portfolio Assets)

- Stocks and Options
- Open End Mutual Funds
- Other

Cash Activity Summary

Total Cash and Money Market Funds on Oct 31: $2.40

	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $119.29	- $3,155.87
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$0.00	$0.00	$3,016.53
Withdrawals by Check	$0.00	$0.00	$0.00
ATM and CheckCard Activity *	$0.00	$0.00	$0.00
Dividends	$0.00	$0.00	$2.92
Interest	$0.01	$0.00	$19.54
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$119.29	$0.00	$119.29
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity *	$0.00	$0.00	$0.00
Total Activity	$119.30	- $119.29	

Total Cash and Money Market Funds on Nov 30: $2.41

[redacted]

2797

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub	/ Branch	/ Rep	/ Account No.
067	/ FZ	/ FZ04	[redacted]

November 1 - November 30, 2005

Portfolio Assets

This section includes estimated or unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information provided by the account owner is not verified by First Clearing, LLC and should not be relied upon for legal or tax purposes. Bonds purchased at a premium or O.I.D. (Original Issue Discount) will be carried at the original cost basis. Factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average. To update your cost information or provide omitted cost information, contact Your Investment Broker. Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
BANK DEPOSIT SWEEP OPTION Interest Period 11/01/05 - 11/30/05	$2.41	$0.06	2.59
Total Cash and Money Market Funds	**$2.41**		

Curious how your securities are performing? The "Unrealized Gain/Loss" column tells you how much each stock has increased or decreased in value since you purchased it (in some cases, this is based on cost data supplied by you or by an outside source, which may not be complete). Revisions to this information (because of corporate mergers, tenders, and other reorganizations, for example) may be necessary from time to time. To update your cost information or provide omitted costs, contact Your Investment Broker.

Stocks and Options

Stocks

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
NASDAQ-100 SHS Acquired 04/25/05	QQQQ	84	N/A##	N/A	41.2400	$3,464.16	N/A	$4.35	0.12
Total Stocks				N/A		$3,464.16	$0.00	$4.35	0.13
Total Stocks and Options				N/A		$3,464.16	$0.00	$4.35	0.13

Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Investment Broker .

[redacted]

2798

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account No
067 / FZ / FZ04 [redacted]

ACCOUNT STATEMENT

November 1 - November 30, 2005

Open End Mutual Funds

Estimated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
HODGES FUND	HDPMX								
Acquired 08/12/05		133.14000	22.65	$3,036.58	22.8600	$3,043.57	$6.99	N/A	N/A
Dividend reinvestment		5.39000	22.13	$119.29		$123.22	$3.93		
Total		138.53000		$3,155.87	22.8600	$3,166.79	$10.92	N/A	N/A
Total Open End Mutual Funds				**$3,155.87**		**$3,166.79**	**$10.92**		

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
11/01				BEGINNING BALANCE			$ 2.40
11/17	Cash	LT CAP GAIN		HODGES FUND 111505 138.53000 AS OF 11/15/05		$119.29	
11/17	Cash	REINVEST DIV	5.39000	HODGES FUND	22.1300	-$119.29	$ 2.40
11/30	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 113005 2		$0.01	$ 2.41

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
11/01			BEGINNING BALANCE	$2.40
11/30	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$0.01
11/30			ENDING BALANCE	$2.41

[redacted]

2799

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ04	[redacted]

November 1 - November 30, 2005

Retirement Account Summary

This information is based upon the most recent data available and is being provided as a service to you. In the event of death your most recent beneficiary designation on file will govern. Please contact Your Investment Broker if updates or corrections are required.

Account Information

Account Holder Birthdate:	09/02/52
Attained Age as of 12/31/05:	53.0
Custodian EIN Number:	23-2384840

[redacted]

2800

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ04	[redacted]

October 1 - October 31, 2005

Your Investment Broker:
DON HODGES/CRAIG HODGES/
CAMILLE HODGES HAYS
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + MODERATE

Portfolio Summary

Portfolio Assets

	Value on Sept 30	Value on Oct 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$2.39	$2.40	0.05	0.04
Stocks and Options	$3,314.54	$3,265.08	4.35	52.13
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$3,084.85	$2,995.65	0.00	47.83
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$6,401.88**	**$6,263.13**	4.40	**100%**
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$6,401.88**	**$6,263.13**		

Other Assets
These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Sept 30	Value/Cost on Oct 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Gain/Loss Summary

Realized Gain/Loss	This Period	Year to Date
Short-term	$0.00	$0.00
Long-term	$0.00	$0.00
Total Realized Gain/Loss	**$0.00**	**$0.00**

* ACH and Wire Transfer activity that occurred prior to July 1 is included in the YTD total for ATM and CheckCard Activity. ACH and Wire Transfer activity from July 1 forward is included in Other Activity.

Asset Allocation (Portfolio Assets)

- Stocks and Options
- Open End Mutual Funds
- Other

Cash Activity Summary

Total Cash and Money Market Funds on Sept 30: $2.39

	Credits	Debits	Year to Date
Securities Purchased	$0.00	$0.00	- $3,036.58
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$0.00	$0.00	$3,016.53
Withdrawals by Check	$0.00	$0.00	$0.00
ATM and CheckCard Activity *	$0.00	$0.00	$0.00
Dividends	$0.00	$0.00	$2.92
Interest	$0.01	$0.00	$19.53
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity *	$0.00	$0.00	$0.00
Total Activity	$0.01	$0.00	
Total Cash and Money Market Funds on Oct 31:			**$2.40**

[redacted]

2801

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 / [redacted]

October 1 - October 31, 2005

Portfolio Assets

This section includes estimated or unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information provided by the account owner is not verified by First Clearing, LLC and should not be relied upon for legal or tax purposes. Bonds purchased at a premium or O.I.D. (Original Issue Discount) will be carried at the original cost basis. Factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average. To update your cost information or provide omitted cost information, contact Your Investment Broker. Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
BANK DEPOSIT SWEEP OPTION Interest Period 10/01/05 - 10/31/05	$2.40	$0.05	2.36
Total Cash and Money Market Funds	**$2.40**		

Curious how your securities are performing? The "Unrealized Gain/Loss" column tells you how much each stock has increased or decreased in value since you purchased it (in some cases, this is based on cost data supplied by you or by an outside source, which may not be complete). Revisions to this information (because of corporate mergers, tenders, and other reorganizations, for example) may be necessary from time to time. To update your cost information or provide omitted costs, contact Your Investment Broker.

Stocks and Options

Stocks

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
NASDAQ-100 SHS Acquired 04/25/05	QQQQ	84	N/A##	N/A	38.8700	$3,265.08	N/A	$4.35	0.13
Total Stocks				N/A		$3,265.08	$0.00	$4.35	0.13
Total Stocks and Options				N/A		$3,265.08	$0.00	$4.35	0.13

Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Investment Broker.

[redacted]

2802

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub	Branch	Rep	Account No.
067	FZ	FZ04	[redacted]

ACCOUNT STATEMENT

October 1 - October 31, 2005

Open End Mutual Funds

Estimated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann Yield (%)
HODGES FUND Acquired 08/12/05	HDPMX	133.14000	22.65	$3,036.58	22.5000	$2,995.65	- $40.93	N/A	N/A
Total Open End Mutual Funds				**$3,036.58**		**$2,995.65**	**- $40.93**		

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
10/01				BEGINNING BALANCE			$ 2.39
10/31	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 103105 2		$0.01	$ 2.40

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
10/01			BEGINNING BALANCE	$2.39
10/31	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$0.01
10/31			ENDING BALANCE	$2.40

[redacted]

2803

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep
067 / FZ / FZ04 [redacted]

October 1 - October 31, 2005

Retirement Account Summary

This information is based upon the most recent data available and is being provided as a service to you. In the event of death your most recent beneficiary designation on file will govern. Please contact Your Investment Broker if updates or corrections are required.

Account Information

Account Holder Birthdate:	09/02/52
Attained Age as of 12/31/05:	53.0
Custodian EIN Number:	23-2384840

[redacted]

2804

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 / [redacted]

September 1 - September 30, 2005

Your Investment Broker:
DON HODGES/CRAIG HODGES/
CAMILLE HODGES HAYS
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + MODERATE

Portfolio Summary

Portfolio Assets

	Value on Aug 31	Value on Sept 30	Est. Ann. Income	% Total Assets
Cash and Money Market	$2.39	$2.39	0.05	0.04
Stocks and Options	$3,274.32	$3,314.64	4.35	51.78
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$2,992.98	$3,084.85	0.00	48.19
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$6,269.69**	**$6,401.88**	**4.40**	**100%**
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$6,269.69**	**$6,401.88**		

Other Assets
These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Aug 31	Value/Cost on Sept 30
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Gain/Loss Summary

Realized Gain/Loss	This Period	Year to Date
Short-term	$0.00	$0.00
Long-term	$0.00	$0.00
Total Realized Gain/Loss	**$0.00**	**$0.00**

Asset Allocation (Portfolio Assets)

- Stocks and Options
- Open End Mutual Funds
- Other

Cash Activity Summary

Total Cash and Money Market Funds on Aug 31:			**$2.39**
	Credits	Debits	Year to Date
Securities Purchased	$0.00	$0.00	- $3,036.58
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$0.00	$0.00	$3,016.53
Withdrawals by Check	$0.00	$0.00	$0.00
ATM and CheckCard Activity	$0.00	$0.00	$0.00
Dividends	$0.00	$0.00	$2.92
Interest	$0.00	$0.00	$19.52
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$0.00	$0.00	
Total Cash and Money Market Funds on Sept 30:			**$2.39**

[redacted]

2805

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 / [redacted]

September 1 - September 30, 2005

Portfolio Assets

This section includes estimated or unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information provided by the account owner is not verified by First Clearing, LLC and should not be relied upon for legal or tax purposes. Bonds purchased at a premium or O.I.D. (Original Issue Discount) will be carried at the original cost basis. Factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average. To update your cost information or provide omitted cost information, contact Your Investment Broker. Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
BANK DEPOSIT SWEEP OPTION Interest Period 09/01/05 - 09/30/05	$2.39	$0.05	2.20
Total Cash and Money Market Funds	**$2.39**		

Curious how your securities are performing? The "Unrealized Gain/Loss" column tells you how much each stock has increased or decreased in value since you purchased it (In some cases, this is based on cost data supplied by you or by an outside source, which may not be complete). Revisions to this information (because of corporate mergers, tenders, and other reorganizations, for example) may be necessary from time to time. To update your cost information or provide omitted costs, contact Your Investment Broker.

Stocks and Options

Stocks

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
NASDAQ-100 SHS Acquired 04/25/05	QQQQ	84	N/A##	N/A	39.4600	$3,314.64	N/A	$4.35	0.13
Total Stocks				N/A		$3,314.64	$0.00	$4.35	0.13
Total Stocks and Options				N/A		$3,314.64	$0.00	$4.35	0.13

Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Investment Broker .

[redacted]

2806

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 / [redacted]

ACCOUNT STATEMENT

September 1 - September 30, 2005

Open End Mutual Funds

Estimated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
HODGES FUND Acquired 08/12/05	HDPMX	133.14000	22.65	$3,036.58	23.1700	$3,084.85	$48.27	N/A	N/A
Total Open End Mutual Funds				**$3,036.58**		**$3,084.85**	**$48.27**		

[redacted]

2807

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub	Branch	Rep	Account No.
067	FZ	FZ04	[redacted]

September 1 - September 30, 2005

Retirement Account Summary

This information is based upon the most recent data available and is being provided as a service to you. In the event of death your most recent beneficiary designation on file will govern. Please contact your Investment Broker if updates or corrections are required.

Account Information

Account Holder Birthdate:	09/02/52
Attained Age as of 12/31/05:	53.0
Custodian EIN Number	23-2384840

2808

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

ACCOUNT STATEMENT

Sub / Branch / Rep / [redacted]
087 / FZ / FZ04 /

August 1 - August 31, 2005

Your Investment Broker:
DON HODGES/CRAIG HODGES/
CAMILLE HODGES HAYS
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + MODERATE

Portfolio Summary

Portfolio Assets

	Value on July 31	Value on Aug 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$3,036.58	$2.39	0.04	0.04
Stocks and Options	$3,324.30	$3,274.32	4.35	52.22
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$2,992.98	0.00	47.74
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$6,360.88	$6,269.69	4.39	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$6,360.88	$6,269.69		

Other Assets
These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on July 31	Value/Cost on Aug 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

- Stocks and Options
- Open End Mutual Funds
- Other

Cash Activity Summary

Total Cash and Money Market Funds on July 31: $3,036.58

	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $3,036.58	- $3,036.58
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$0.00	$0.00	$3,016.53
Withdrawals by Check	$0.00	$0.00	$0.00
ATM and CheckCard Activity	$0.00	$0.00	$0.00
Dividends	$0.00	$0.00	$2.92
Interest	$2.39	$0.00	$19.52
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$2.39	- $3,036.58	
Total Cash and Money Market Funds on Aug 31:			**$2.39**

[redacted]

2809

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 [redacted]

August 1 - August 31, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
BANK DEPOSIT SWEEP OPTION Interest Period 06/01/05 - 06/31/05	$2.39	$0.04	2.05
Total Cash and Money Market Funds	**$2.39**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
NASDAQ-100 SHS	QQQQ	84	38.9800	$3,273.32	$4.35	0.13
Total Stocks				**$3,274.32**	**$4.35**	**0.13**
Total Stocks and Options				**$3,274.32**	**$4.35**	**0.13**

Open End Mutual Funds

Estimated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
HODGES FUND	HDPMX	133.14000	22.4800	$2,992.98	N/A	N/A
Total Open End Mutual Funds				**$2,992.98**		

[redacted]

2810

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 / [redacted]

ACCOUNT STATEMENT

August 1 - August 31, 2005

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
08/01				BEGINNING BALANCE			$ 3,036.58
08/12	Cash	PURCHASE	133.14000	HODGES FUND	22.6500	-$3,036.58	$ 0.00
08/15	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 081505		$2.39	$ 2.39

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
08/01			BEGINNING BALANCE	$3,033.66
08/01	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$2.92
08/15	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$3,036.58
08/16	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$2.39
08/31			ENDING BALANCE	$2.39

[redacted]

2811

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub	Branch	Rep	Account No.
067	FZ	FZ04	[redacted]

August 1 - August 31, 2005

Retirement Account Summary

This information is based upon the most recent data available and is being provided as a service to you. In the event of death your most recent beneficiary designation on file will govern. Please contact Your Investment Broker if updates or corrections are required.

Account Information

Account Holder Birthdate:	09/02/52
Attained Age as of 12/31/05:	53.0
Custodian EIN Number:	23-2384840

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

ACCOUNT STATEMENT

Sub	Branch	Rep	Account No.
067	FZ	FZ04	[redacted]

July 1 - July 31, 2005

Your Investment Broker:
DON HODGES/CRAIG HODGES/
CAMILLE HODGES HAYS
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + MODERATE

Portfolio Summary

Portfolio Assets

	Value on June 30	Value on July 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$3,028.39	$3,036.58	62.19	47.74
Stocks and Options	$3,089.52	$3,324.30	4.35	52.26
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$6,117.91**	**$6,360.88**	**66.54**	**100%**
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$6,117.91**	**$6,360.88**		

Other Assets
These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on June 30	Value/Cost on July 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Asset Allocation (Portfolio Assets)

Cash and Money Market
Stocks and Options

Cash Activity Summary

Total Cash and Money Market Funds on June 30: $3,028.39

	Credits	Debits	Year to Date
Securities Purchased	$0.00	$0.00	$0.00
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$0.00	$0.00	$3,018.53
Withdrawals by Check	$0.00	$0.00	$0.00
ATM and CheckCard Activity	$0.00	$0.00	$0.00
Dividends	$2.92	$0.00	$2.92
Interest	$5.27	$0.00	$17.13
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$8.19	$0.00	

Total Cash and Money Market Funds on July 31: $3,036.58

2813

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub	Branch	Rep	Account No.
067	FZ	FZ04	[redacted]

July 1 - July 31, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn in a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	$2.92		
BANK DEPOSIT SWEEP OPTION Interest Period 07/01/05 - 07/31/05	$3,033.66	$62.19	2.05
Total Cash and Money Market Funds	**$3,036.58**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
NASDAQ-100 SHS	QQQQ	84	39.5750	$3,324.30	$4.35	0.13
Total Stocks				**$3,324.30**	**$4.35**	**0.13**
Total Stocks and Options				**$3,324.30**	**$4.35**	**0.13**

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
07/01				BEGINNING BALANCE			$ 3,028.39
07/29	Cash	DIVIDEND		NASDAQ-100 SHS 072905 84		$2.92	
07/29	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 072905 3,028 [redacted]		$5.27	$ 3,036.58

2814

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 / [redacted]

July 1 - July 31, 2005

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
07/01			BEGINNING BALANCE	$3,028.39
07/29	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$5.27
07/31			ENDING BALANCE	$3,033.66

[redacted]

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 [redacted]

July 1 - July 31, 2005

Retirement Account Summary

This information is based upon the most recent data available and is being provided as a service to you. In the event of death your most recent beneficiary designation on file will govern. Please contact Your Investment Broker if updates or corrections are required.

Account Information

Account Holder Birthdate:	09/02/52
Attained Age as of 12/31/05:	53.0
Custodian EIN Number:	23-2384840

[redacted]

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

ACCOUNT STATEMEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 / [redacted]

June 1 - June 30, 2005

Your Investment Broker:
DON HODGES/CRAIG HODGES/
CAMILLE HODGES HAYS
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + MODERATE

Portfolio Summary

Portfolio Assets

	Value on May 31	Value on June 30	Est. Ann. Income	% Total Assets
Cash and Money Market	$3,023.49	$3,028.39	59.65	49.50
Stocks and Options	$3,198.72	$3,089.52	4.35	50.50
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$6,222.21**	**$6,117.91**	**64.00**	**100%**
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$6,222.21**	**$6,117.91**		

Other Assets
These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on May 31	Value/Cost on June 30
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Asset Allocation (Portfolio Assets)

☐ Cash and Money Market
☐ Stocks and Options

Cash Activity Summary

Total Cash and Money Market Funds on May 31: $3,023.49

	Credits	Debits	Year to Date
Securities Purchased	$0.00	$0.00	$0.00
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$0.00	$0.00	$3,016.53
Withdrawals by Check	$0.00	$0.00	$0.00
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$0.00	$0.00	$0.00
Interest	$4.90	$0.00	$11.86
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$4.90	$0.00	

Total Cash and Money Market Funds on June 30: $3,028.39

[redacted]

2817

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account No
067 / FZ / FZ04 / [redacted]

June 1 - June 30, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
BANK DEPOSIT SWEEP OPTION Interest Period 06/01/05 - 06/30/05	$3,028.39	$59.65	1.97
Total Cash and Money Market Funds	**$3,028.39**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
NASDAQ-100 SHS	QQQQ	84	36.7800	$3,089.52	$4.35	0.14
Total Stocks				**$3,089.52**	**$4.35**	**0.14**
Total Stocks and Options				**$3,089.52**	**$4.35**	**0.14**

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
06/01				BEGINNING BALANCE			$ 3,023.49
06/30	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 063005 3,023		$4.90	$ 3,028.39

[redacted]

2818

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

ACCOUNT STATEMENT

Sub	/ Branch	/ Rep	/ Account No.
067	/ FZ	/ FZ04	[redacted]

June 1 - June 30, 2005

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
06/01			BEGINNING BALANCE	$3,023.49
06/30	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$4.90
06/30			ENDING BALANCE	$3,028.39

[redacted]

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ04	[redacted]

June 1 - June 30, 2005

Retirement Account Summary

This information is based upon the most recent data available and is being provided as a service to you. In the event of death your most recent beneficiary designation on file will govern. Please contact Your Investment Broker if updates or corrections are required.

Account Information

Account Holder Birthdate:	09/02/52
Attained Age as of 12/31/05:	53.0
Custodian EIN Number:	23-2384840

[redacted]

2820

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

ACCOUNT STATEMENT

Sub / Branch / Rep / Account
067 / FZ / FZ04 / [redacted]

May 1 - May 31, 2005

Your Investment Broker:
DON HODGES/CRAIG HODGES/
CAMILLE HODGES HAYS
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + MODERATE

Portfolio Summary

Portfolio Assets

	Value on Apr 30	Value on May 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$3,018.77	$3,023.49	55.63	48.59
Stocks and Options	$2,938.32	$3,198.72	1.43	51.41
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$5,957.09**	**$6,222.21**	**57.06**	**100%**
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$5,957.09**	**$6,222.21**		

Other Assets
These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Apr 30	Value/Cost on May 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options

Cash Activity Summary

Total Cash and Money Market Funds on Apr 30: $3,018.77

	Credits	Debits	Year to Date
Securities Purchased	$0.00	$0.00	$0.00
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$0.00	$0.00	$3,016.53
Withdrawals by Check	$0.00	$0.00	$0.00
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$0.00	$0.00	$0.00
Interest	$4.72	$0.00	$6.96
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$4.72	$0.00	
Total Cash and Money Market Funds on May 31:			**$3,023.49**

[redacted]

2821

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 / [redacted]

May 1 - May 31, 2005

'ortfolio Assets

timated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the atement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

ash and Money Market Funds

escription	Current market value	Est. ann. income	Est current yield(%)
ANK DEPOSIT SWEEP PTION ateest Period 05/01/05 - 05/31/05	$3,023.49	$55.83	1.84
otal Cash and Money Market Funds	**$3,023.49**		

'tocks and Options

tocks

escription	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
IASDAQ-100 SHS	QQQQ	84	38.0800	$3,198.72	$1.43	0.04
'otal Stocks				**$3,198.72**	**$1.43**	**0.04**
'otal Stocks and Options				**$3,198.72**	**$1.43**	**0.04**

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
05/01				BEGINNING BALANCE			$ 3,018.77
05/31	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 053105 3,018		$4.72	$ 3,023.49

[redacted]

2822

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No
067 / FZ	/ FZ04	[redacted]

May 1 - May 31, 2005

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
05/01			BEGINNING BALANCE	$3,018.77
05/31	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$4.72
05/31			ENDING BALANCE	$3,023.49

[redacted]

2823

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub	Branch	Rep	Account No.
067	FZ	FZ04	[redacted]

May 1 - May 31, 2005

etirement Account Summary

is information is based upon the most recent data available and is being provided as a service to u. In the event of death your most recent beneficiary designation on file will govern. Please contact ur Investment Broker if updates or corrections are required.

:count Information

count Holder Birthdate:	09/02/52
tained Age as of 12/31/05:	53.0
stodian EIN Number:	23-2384840

Retirement Transactions

Contribution Summary	Amount
Contributions	
2005 FOR 2004	$3,000.00

[redacted]

2824

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ04	[redacted]

April 13 - April 30, 2005

Your Investment Broker:
DON HODGES/CRAIG HODGES/
CAMILLE HODGES HAYS
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + MODERATE

Portfolio Summary

Portfolio Assets

	Value on Mar 31	Value on Apr 30	Est. Ann. Income	% Total Assets
Cash and Money Market	$0.00	$3,018.77	51.31	50.68
Stocks and Options	$0.00	$2,938.32	1.43	49.32
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$0.00**	**$5,957.09**	52.74	**100%**
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$0.00**	**$5,957.09**		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Mar 31	Value/Cost on Apr 30
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Asset Allocation (Portfolio Assets)

- ☐ Cash and Money Market
- ☑ Stocks and Options

Cash Activity Summary

Total Cash and Money Market Funds on Mar 31: $0.00

	Credits	Debits	Year to Date
Securities Purchased	$0.00	$0.00	$0.00
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$3,016.53	$0.00	$3,016.53
Withdrawals by Check	$0.00	$0.00	$0.00
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$0.00	$0.00	$0.00
Interest	$2.24	$0.00	$2.24
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$3,018.77	$0.00	

Total Cash and Money Market Funds on Apr 30: $3,018.77

[redacted]

2825

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep
067 / FZ / FZ04 [redacted]

April 13 - April 30, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
BANK DEPOSIT SWEEP OPTION Interest Period 04/01/05 - 04/30/05	$3,018.77	$51.31	1.70
Total Cash and Money Market Funds	**$3,018.77**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
NASDAQ-100 SHS	QQQQ	84	34.9800	$2,938.32	$1.43	0.04
Total Stocks				**$2,938.32**	**$1.43**	**0.05**
Total Stocks and Options				**$2,938.32**	**$1.43**	**0.05**

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
04/01				BEGINNING BALANCE			$ 0.00
04/14	Cash	CONTRIBUTION		2004 CONTRIBUTION		$3,000.00	$ 3,000.00
04/25	Cash	ASSET TRF		TRANSFER CASH BALANCE		$16.53	
04/25	Cash	ASSET TRF	84.00000	NASDAQ-100 SHS			$ 3,016.53
04/29	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 042905 3,[redacted]		$2.24	$ 3,018.77

2826

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / [redacted]
067 / FZ / FZ04 /

ACCOUNT STATEMENT

April 13 - April 30, 2005

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
04/01			BEGINNING BALANCE	$0.00
04/15	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$3,000.00
04/26	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$16.53
04/29	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$2.24
04/30			ENDING BALANCE	$3,018.77

[redacted]

2827

DONNA MAE MARCHANT IRA
FCC AS CUSTODIAN

Sub	Branch	Rep	Account No.
067	FZ	FZ04	[redacted]

April 13 - April 30, 2005

letirement Account Summary

his information is based upon the most recent data available and is being provided as a service to ou. In the event of death your most recent beneficiary designation on file will govern. Please contact our Investment Broker if updates or corrections are required.

ccount Information

ccount Holder Birthdate:	09/02/52
ttained Age as of 12/31/05:	53.0
ustodian EIN Number:	23-2384840

Retirement Transactions

Contribution Summary	Amount
Contributions	
2005 FOR 2004	$3,000.00

[redacted]

2828

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DALLAS S MARCHANT

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ04	[redacted]

December 1 - December 31, 2005

Your Investment Broker:
DON HODGES/CRAIG HODGES/
CAMILLE HODGES HAYS
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: LONG TERM GROWTH & INCOME

Portfolio Summary

Portfolio Assets

	Value on Nov 30	Value on Dec 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$72.06	$72.22	1.95	0.06
Stocks and Options	$28,870.68	$28,530.48	351.88	25.51
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$83,107.36	$83,252.78	0.00	74.43
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$112,050.10**	**$111,855.48**	**353.83**	**100%**
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$112,050.10**	**$111,855.48**		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Nov 30	Value/Cost on Dec 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Gain/Loss Summary

Realized Gain/Loss	This Period	Year to Date
Short-term	$0.00	$0.00
Long-term	$0.00	$0.00
Total Realized Gain/Loss	**$0.00**	**$0.00**

* ACH and Wire Transfer activity that occurred prior to July 1 is included in the YTD total for ATM and CheckCard Activity. ACH and Wire Transfer activity from July 1 forward is included in Other Activity.

Asset Allocation (Portfolio Assets)

- Stocks and Options
- Open End Mutual Funds
- Other

Cash Activity Summary

Total Cash and Money Market Funds on Nov 30: $72.06

	Credits	Debits	Year to Date
Securities Purchased	$0.00	$0.00	- $50,738.11
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$0.00	$0.00	$47,773.09
Withdrawals by Check	$0.00	$0.00	$0.00
ATM and CheckCard Activity *	$0.00	$0.00	$0.00
Dividends	$0.00	$0.00	$77.21
Interest	$0.16	$0.00	$15.28
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$2,944.42
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity *	$0.00	$0.00	$0.00
Total Activity	$0.16	$0.00	

Total Cash and Money Market Funds on Dec 31: $72.22

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $77.21

Tax-exe[illegible]er income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

2829

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 [redacted]

December 1 - December 31, 2005

Portfolio Assets

This section includes estimated or unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information provided by the account owner is not verified by First Clearing, LLC and should not be relied upon for legal or tax purposes. Bonds purchased at a premium or O.I.D. (Original Issue Discount) will be carried at the original cost basis. Factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average. To update your cost information or provide omitted cost information, contact Your Investment Broker. Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
BANK DEPOSIT SWEEP OPTION Interest Period 12/01/05 - 12/31/05	$72.22	$1.95	2.71
Total Cash and Money Market Funds	**$72.22**		

Curious how your securities are performing? The "Unrealized Gain/Loss" column tells you how much each stock has increased or decreased in value since you purchased it (in some cases, this is based on cost data supplied by you or by an outside source, which may not be complete). Revisions to this information (because of corporate mergers, tenders, and other reorganizations, for example) may be necessary from time to time. To update your cost information or provide omitted costs, contact Your Investment Broker.

Stocks and Options

Stocks

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
NASDAQ-100 SHS Acquired 09/08/05	QQQQ	250	##	$8,462.50	40.4120	$10,103.00	$1,640.50	$33.97	0.33
SPDR TR UNIT SER 1 Acquired 09/08/05	SPY	148	##	$16,325.44	124.5100	$18,427.48	$2,102.04	$317.91	1.72
Total Stocks				**$24,787.94**		**$28,530.48**	**$3,742.54**	**$351.88**	**1.23**
Total Stocks and Options				**$24,787.94**		**$28,530.48**	**$3,742.54**	**$351.88**	**1.23**

Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Investment Broker.

[redacted]

2830

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DALLAS S MARCHANT

ACCOUNT STATEMENT

Sub	Branch	Rep	Account No.
067	FZ	FZ04	[redacted]

December 1 - December 31, 2005

Open End Mutual Funds

Estimated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
HODGES FUND	HDPMX	3,495.77500	19.39**	$67,793.69	22.9000	$80,053.24	$12,259.55	N/A	N/A
Dividend reinvestment		139.71800	22.01	$3,075.63		$3,199.54	$123.91		
Total		3,635.49300		$70,869.32	22.9000	$83,252.78	$12,383.46	N/A	N/A
Total Open End Mutual Funds				**$70,869.32**		**$83,252.78**	**$12,383.46**		

** Because you have more than 6 tax lots, we are showing the average cost per share.

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
12/01				BEGINNING BALANCE			$ 72.06
12/30	Cash	INTEREST		*BANK DEPOSIT SWEEP* OPTION 123005 72		$0.16	$ 72.22

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
12/01			BEGINNING BALANCE	$72.06
12/30	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$0.16
12/31			ENDING BALANCE	$72.22

2831

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DALLAS S MARCHANT

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ04	[redacted]

November 1 - November 30, 2005

Your Investment Broker:
DON HODGES/CRAIG HODGES/
CAMILLE HODGES HAYS
2905 MAPLE AVENUE
DALLAS, TX 75201
214-654-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Oct 31	Value on Nov 30	Est. Ann. Income	% Total Assets
Cash and Money Market	$92.82	$72.06	1.86	0.06
Stocks and Options	$27,496.74	$28,870.68	315.52	25.77
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$73,939.02	$83,107.38	0.00	74.17
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$101,528.58**	**$112,050.10**	**317.38**	**100%**
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$101,528.58**	**$112,050.10**		

Other Assets
These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Oct 31	Value/Cost on Nov 30
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Gain/Loss Summary

Realized Gain/Loss	This Period	Year to Date
Short-term	$0.00	$0.00
Long-term	$0.00	$0.00
Total Realized Gain/Loss	**$0.00**	**$0.00**

* ACH and Wire Transfer activity that occurred prior to July 1 is included in the YTD total for ATM and CheckCard Activity. ACH and Wire Transfer activity from July 1 forward is included in Other Activity.

Asset Allocation (Portfolio Assets)

- Stocks and Options
- Open End Mutual Funds
- Other

Cash Activity Summary

	Credits	Debits	Year to Date
Total Cash and Money Market Funds on Oct 31:			**$92.82**
Securities Purchased	$0.00	- $7,965.37	- $50,738.11
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$5,000.00	$0.00	$47,773.09
Withdrawals by Check	$0.00	$0.00	$0.00
ATM and CheckCard Activity *	$0.00	$0.00	$0.00
Dividends	$0.00	$0.00	$77.21
Interest	$0.19	$0.00	$15.12
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$2,944.42	$0.00	$2,944.42
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity *	$0.00	$0.00	$0.00
Total Activity	$7,944.61	- $7,965.37	
Total Cash and Money Market Funds on Nov 30:			**$72.06**

Tax-Exempt Income Year-to-Date: **$0.00**
Other Income Year-to-Date: **$77.21**

Tax-exe[illegible] income year-to-date totals are taken from the tax reporting system. They may not [illegible] back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

Sub / Branch / Rep / Account No.
067 / FZ / FZ04[redacted]

November 1 - November 30, 2005

Portfolio Assets

This section includes estimated or unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information provided by the account owner is not verified by First Clearing, LLC and should not be relied upon for legal or tax purposes. Bonds purchased at a premium or O.I.D. (Original Issue Discount) will be carried at the original cost basis. Factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average. To update your cost information or provide omitted cost information, contact Your Investment Broker. Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
BANK DEPOSIT SWEEP OPTION Interest Period 11/01/05 - 11/30/05	$72.06	$1.86	2.59
Total Cash and Money Market Funds	**$72.06**		

Curious how your securities are performing? The "Unrealized Gain/Loss" column tells you how much each stock has increased or decreased in value since you purchased it (In some cases, this is based on cost data supplied by you or by an outside source, which may not be complete). Revisions to this information (because of corporate mergers, tenders, and other reorganizations, for example) may be necessary from time to time. To update your cost information or provide omitted costs, contact Your Investment Broker.

Stocks and Options

Stocks

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
NASDAQ-100 SHS Acquired 09/08/05	QQQQ	250	##	$8,462.50	41.2400	$10,310.00	$1,847.50	$12.97	0.12
SPDR TR UNIT SER I Acquired 09/08/05	SPY	148	##	$16,325.44	125.4100	$18,560.68	$2,235.24	$302.55	1.63
Total Stocks				**$24,787.94**		**$28,870.68**	**$4,082.74**	**$315.52**	**1.09**
Total Stocks and Options				**$24,787.94**		**$28,870.68**	**$4,082.74**	**$315.52**	**1.09**

Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Investment Broker .

2833

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DALLAS S MARCHANT

Sub / Branch / Rep. / Account No.
067 / FZ / FZ04 [redacted]

ACCOUNT STATEMENT

November 1 - November 30, 2005

Open End Mutual Funds

Estimated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
HODGES FUND	HDPMX	3,496.77500	19.39**	$67,793.69	22.6600	$79,913.40	$12,119.71	N/A	N/A
Dividend reinvestment		139.71800	22.01	$3,075.63		$3,193.96	$118.33		
Total		3,635.49300		$70,869.32	22.6600	$83,107.36	$12,238.04	N/A	N/A
Total Open End Mutual Funds				**$70,869.32**		**$83,107.36**	**$12,238.04**		

** Because you have more than 6 tax lots, we are showing the average cost per share.

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
11/01				BEGINNING BALANCE			$ 92.82
11/17	Cash	LT CAP GAIN		HODGES FUND 111505 3,419.23000 AS OF 11/15/05		$2,944.42	
11/17	Cash	REINVEST DIV	133.05100	HODGES FUND	22.1300	-$2,944.42	$ 92.82
11/22	Cash	DEPOSIT		FUNDS RECD		$5,000.00	
11/22	Cash	PURCHASE	216.26300	HODGES FUND	23.1200	-$5,020.95	$ 71.87
11/30	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 113005 71		$0.19	$ 72.06

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
11/01			BEGINNING BALANC[redacted]	$15.61
11/01	Cash	TRANSFER TO	BANK DEPOSIT SWE[redacted] OPTION	$77.21
11/23	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$20.95

2834

Sub / Branch / Rep / Account No.
087 / FZ / FZ04 [redacted]

November 1 - November 30, 2005

Money Fund/Bank Deposit Sweep Activity continued

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
11/30	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$0.19
11/30			ENDING BALANCE	$72.06

[redacted]

2835

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DALLAS S MARCHANT

ACCOUNT STATEMENT

Sub / Branch	Rep	Account No.
067 / FZ	FZ04	[redacted]

October 1 - October 31, 2005

Your Investment Broker:
DON HODGES/CRAIG HODGES/
CAMILLE HODGES HAYS
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Sept 30	Value on Oct 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$0.00	$92.82	0.36	0.09
Stocks and Options	$28,074.92	$27,496.74	315.52	27.08
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$66,675.59	$73,939.02	0.00	72.83
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$94,750.51	$101,528.58	315.88	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$94,750.51	$101,528.58		

Other Assets
These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Sept 30	Value/Cost on Oct 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Gain/Loss Summary

Realized Gain/Loss	This Period	Year to Date
Short-term	$0.00	$0.00
Long-term	$0.00	$0.00
Total Realized Gain/Loss	$0.00	$0.00

* ACH and Wire Transfer activity that occurred prior to July 1 is included in the YTD total for ATM and CheckCard Activity. ACH and Wire Transfer activity from July 1 forward is included in Other Activity.

Asset Allocation (Portfolio Assets)

- Stocks and Options
- Open End Mutual Funds
- Other

Cash Activity Summary

Total Cash and Money Market Funds on Sept 30:			$0.00
	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $8,985.00	- $42,772.74
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$9,000.00	$0.00	$42,773.09
Withdrawals by Check	$0.00	$0.00	$0.00
ATM and CheckCard Activity *	$0.00	$0.00	$0.00
Dividends	$77.21	$0.00	$77.21
Interest	$0.61	$0.00	$14.93
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity *	$0.00	$0.00	$0.00
Total Activity	$9,077.82	- $8,985.00	
Total Cash and Money Market Funds on Oct 31:			**$92.82**

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $77.21

Tax-exe[redacted] income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

2836

Sub / Branch / Rep / Account No.
067 / FZ / FZ04[redacted]

October 1 - October 31, 2005

Portfolio Assets

This section includes estimated or unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information provided by the account owner is not verified by First Clearing, LLC and should not be relied upon for legal or tax purposes. Bonds purchased at a premium or O.I.D. (Original Issue Discount) will be carried at the original cost basis. Factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average. To update your cost information or provide omitted cost information, contact Your Investment Broker. Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	$77.21		
BANK DEPOSIT SWEEP OPTION Interest Period 10/01/05 - 10/31/05	$15.61	$0.36	2.36
Total Cash and Money Market Funds	**$92.82**		

Curious how your securities are performing? The "Unrealized Gain/Loss" column tells you how much each stock has increased or decreased in value since you purchased it (in some cases, this is based on cost data supplied by you or by an outside source, which may not be complete). Revisions to this information (because of corporate mergers, tenders, and other reorganizations, for example) may be necessary from time to time. To update your cost information or provide omitted costs, contact Your Investment Broker.

Stocks and Options

Stocks

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
NASDAQ-100 SHS Acquired 09/08/05	QQQQ	250	##	$8,462.50	38.8700	$9,717.50	$1,255.00	$12.97	0.13
SPDR TR UNIT SER 1 Acquired 09/08/05	SPY	148	##	$16,325.44	120.1300	$17,779.24	$1,453.80	$302.55	1.70
Total Stocks				**$24,787.94**		**$27,496.74**	**$2,708.80**	**$315.52**	**1.15**
Total Stocks and Options				**$24,787.94**		**$27,496.74**	**$2,708.80**	**$315.52**	**1.15**

Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Investment Broker .

[redacted]

2837

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DALLAS S MARCHANT

ACCOUNT STATEMENT

Sub	Branch	Rep	Account No.
067	FZ	FZ04	[redacted]

October 1 - October 31, 2005

Open End Mutual Funds

Estimated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
HODGES FUND	HDPMX	3,279.51200	19.14**	$62,772.74	22.5000	$73,789.01	$11,016.27	N/A	N/A
Dividend reinvestment		6.66700	19.68	$131.21		$150.01	$18.80		
Total		3,286.17900		$62,903.95	22.5000	$73,939.02	$11,035.07	N/A	N/A
Total Open End Mutual Funds				**$62,903.95**		**$73,939.02**	**$11,035.07**		

** Because you have more than 6 tax lots, we are showing the average cost per share.

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
10/01				BEGINNING BALANCE			$ 0.00
10/11	Cash	DEPOSIT		FUNDS RECD		$9,000.00	$ 9,000.00
0/12	Cash	PURCHASE	408.51000	HODGES FUND AS OF 10/11/05	21.9800	-$8,985.00	$ 15.00
0/31	Cash	DIVIDEND		SPDR TR UNIT SER 1 103105 148		$77.21	
0/31	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 103105 15		$0.61	$ 92.82

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
/01			BEGINNING BALANCE	$0.00
/12	Cash	TRANSFER TO	BANK DEPOSIT SW[redacted] OPTION	$9,000.00
/13	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$8,985.00

2838

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 [redacted]

October 1 - October 31, 2005

Money Fund/Bank Deposit Sweep Activity continued

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
10/31	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$0.61
10/31			ENDING BALANCE	$15.61

[redacted]

2839

Medallion Account

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FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DALLAS S MARCHANT

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ04	[redacted]

July 1 - September 30, 2005

Your Investment Broker:
DON HODGES/CRAIG HODGES/
CAMILLE HODGES HAYS
2905 MAPLE AVENUE
DALLAS, TX 75201
214-864-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on June 30	Value on Sept 30	Est. Ann. Income	% Total Assets
Cash and Money Market	$0.44	$0.00	0.00	
Stocks and Options	$0.00	$28,074.92	315.52	29.63
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$59,686.71	$66,675.59	0.00	70.37
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$59,687.15**	**$94,750.51**	**315.52**	**100%**
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$59,687.15**	**$94,750.51**		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on June 30	Value/Cost on Sept 30
Project Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Gain/Loss Summary

Realized Gain/Loss	This Period	Year to Date
Short-term	$0.00	$0.00
Long-term	$0.00	$0.00
Total Realized Gain/Loss	**$0.00**	**$0.00**

Asset Allocation (Portfolio Assets)

- Stocks and Options
- Open End Mutual Funds

Cash Activity Summary

	Credits	Debits	Year to Date
Total Cash and Money Market Funds on June 30:			**$0.44**
Securities Purchased	$0.00	-$773.53	-$33,787.74
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$773.09	$0.00	$33,773.09
Withdrawals by Check	$0.00	$0.00	$0.00
ATM and Check/Card Activity	$0.00	$0.00	$0.00
Dividends	$0.00	$0.00	$0.00
Interest	$0.00	$0.00	$14.32
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$773.09	-$773.53	
Total Cash and Money Market Funds on Sept 30:			**$0.00**

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $0.00

Tax-exe[redacted]her income year-to-date totals are taken from the tax reporting system. They may not [redacted] back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 [redacted]

July 1 - September 30, 2005

ortfolio Assets

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ious how your securities are performing? The "Unrealized Gain/Loss" column tells you how much each stock has increased or decreased in value since you purchased it (in some cases, this is based on cost data supplied by you by an outside source, which may not be complete). Revisions to this information (because of corporate mergers, tenders, and other reorganizations, for example) may be necessary from time to time. To update your cost rmation or provide omitted costs, contact Your Investment Broker.

ocks and Options

ocks

scription	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
SDAQ-100 SHS quired 09/06/05	QQQQ	250	##	$8,462.50	39.4600	$9,865.00	$1,402.50	$12.97	0.13
DR TR UNIT SER 1 quired 08/08/05	SPY	148	##	$16,325.44	123.0400	$18,209.92	$1,884.48	$302.65	1.66
tal Stocks				**$24,787.94**		**$28,074.92**	**$3,286.98**	**$315.52**	**1.12**
tal Stocks and Options				**$24,787.94**		**$28,074.92**	**$3,286.98**	**$315.52**	**1.12**

Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Investment Broker.

ıen End Mutual Funds

imated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

scription	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
DGES FUND idend reinvestment	HDPMX	2,871.00200 6.86700	18.73* 19.68	$53,787.74 $131.21	23.1700	$66,521.12 $154.47	$12,733.38 $23.26	N/A	N/A
al		2,877.66900		$53,918.95	23.1700	$66,675.59	$12,756.64	N/A	N/A
tal Open End Mutual Funds				**$53,918.95**		**$66,675.59**	**$12,756.64**		

because you have more than 6 tax lots, we are showing the average cost per share.

[redacted]

2841

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DALLAS S MARCHANT

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
067 / FZ / FZ04[redacted]

July 1 - September 30, 2005

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
09/01				BEGINNING BALANCE			$ 0.44
09/08	Cash	ASSET TRF		TRANSFER CASH BALANCE		$773.09	
09/08	Cash	ASSET TRF	250.00000	NASDAQ-100 SHS			
09/08	Cash	ASSET TRF	148.00000	SPDR TR UNIT SER 1			$ 773.53
09/09	Cash	PURCHASE	32.73500	HODGES FUND	22.9900	-$773.53	$ 0.00

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
9/01			BEGINNING BALANCE	$0.44
9/12	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$0.44
9/30			ENDING BALANCE	$0.00

Medallion Account

31,477

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DALLAS S MARCHANT

ACCOUNT STATEMENT

Sub	Branch	Rep	Account No.
067	FZ	FZ84	[redacted]

June 1 - June 30, 2005

Your Investment Broker:
DON HODGES/DAB HODGES/
CAMILLE HODGES HAYS
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on May 31	Value on June 30	Est. Ann. Income	% Total Assets
Cash and Money Market	$12.15	$0.44	0.00	
Stocks and Options	$0.00	$0.00	0.00	
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$49,060.32	$59,686.71	0.00	100.00
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$49,072.47	$59,687.15	0.00	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$49,072.47	$59,687.15		

Other Assets
These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on May 31	Value/Cost on June 30
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Asset Allocation (Portfolio Assets)

1 Open End Mutual Funds

Cash Activity Summary

Total Cash and Money Market Funds on May 31:			$12.15
	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $8,012.15	- $33,014.21
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$8,000.00	$0.00	$33,000.00
Withdrawals by Check	$0.00	$0.00	$0.00
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$0.00	$0.00	$0.00
Interest	$0.44	$0.00	$14.32
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$8,000.44	- $8,012.15	
Total Cash and Money Market Funds on June 30:			**$0.44**

Tax-Exempt Income Year-to-Date: $0.00
Other I[redacted]ome Year-to-Date: $0.00

Tax-ex[redacted]ther income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 [redacted]

June 1 - June 30, 2005

ortfolio Assets

timated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the tement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

ash and Money Market Funds

scription	Current market value	Est. ann. income	Est current yield(%)
NK DEPOSIT SWEEP TION erest Period 06/01/05 - 06/30/05	$0.44		1.97
tal Cash and Money Market Funds	**$0.44**		

pen End Mutual Funds

timated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

scription	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
OGES FUND	HDPMX	2,844.93400	20.9800	$59,686.71	N/A	N/A
tal Open End Mutual Funds				**$59,686.71**		

ctivity Detail

ate	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
5/01				BEGINNING BALANCE			$ 12.15
6/08	Cash	DEPOSIT		FUNDS RECD		$8,000.00	$ 8,012.15
6/09	Cash	PURCHASE	391.91800	HODGES FUND	20.3900	-$8,012.15	$ 0.00
6/10	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 061005		$0.44	$ 0.44

[redacted]

2844

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DALLAS S MARCHANT

ACCOUNT STATEMENT

Sub	Branch	Rep	Account No.
067	FZ	FZ04	[redacted]

June 1 - June 30, 2005

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
06/01			BEGINNING BALANCE	$12.15
06/09	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$8,000.00
06/10	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$8,012.15
06/14	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$0.44
06/30			ENDING BALANCE	$0.44

2845

WSD24FLD 021609 182162617124 YNNNN NYNNN NNNNNNNN 000003

ACCOUNT STATEMENT

May 1 - May 31, 2005

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DALLAS S MARCHANT

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 / [redacted]

Your Investment Broker:
DON HODGES/CRAIG HODGES/
CAMILLE HODGES HAYS
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Apr 30	Value on May 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$12.13	$12.15	0.22	0.02
Stocks and Options	$0.00	$0.00	0.00	
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$46,509.18	$49,060.32	0.00	99.98
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$46,521.31	$49,072.47	0.22	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$46,521.31	$49,072.47		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Apr 30	Value/Cost on May 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

- Open End Mutual Funds
- Other

Cash Activity Summary

Total Cash and Money Market Funds on Apr 30:			$12.13
	Credits	Debits	Year to Date
Securities Purchased	$0.00	$0.00	-$25,002.06
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$0.00	$0.00	$25,000.00
Withdrawals by Check	$0.00	$0.00	$0.00
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$0.00	$0.00	$0.00
Interest	$0.02	$0.00	$13.88
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$0.02	$0.00	
Total Cash and Money Market Funds on May 31:			**$12.15**

Tax-Exempt Income Year-to-Date: $0.00
Other [redacted]-Date: $0.00

Tax-ex[redacted]er income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

2846

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 [redacted]

May 1 - May 31, 2005

ortfolio Assets

mated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the tement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsibe for incorrect or missing estimated annual income and yields.

ish and Money Market Funds

ription	Current market value	Est. ann. income	Est current yield(%)
NK DEPOSIT SWEEP TION rest Period 05/01/05 - 05/31/05	$12.15	$0.22	1.84
tal Cash and Money Market Funds	**$12.15**		

en End Mutual Funds

mated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

ription	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
DGES FUND	HDPMX	2,453.01600	20.0000	$49,060.32	N/A	N/A
tal Open End Mutual Funds				**$49,060.32**		

ctivity Detail

e	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
01				BEGINNING BALANCE			$ 12.13
31	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 053105 12		$0.02	$ 12.15

oney Fund/Bank Deposit Sweep Activity

is section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These ounts are not included in the Cash Activity Summary.

e	Account Type	Transaction	Description	Amount
01			BEGINNING BALANCE	$12.13

2847

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DALLAS S MARCHANT

ACCOUNT STATEMENT

Sub	/ Branch	/ Rep	/ Account No.
067	/ FZ	/ FZ04	[redacted]

May 1 - May 31, 2005

Money Fund/Bank Deposit Sweep Activity continued

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
05/31	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$0.02
05/31			ENDING BALANCE	$12.15

2848

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DALLAS S MARCHANT

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 [redacted]

April 1 - April 30, 2005

Your Investment Broker:
DON HODGES/CRAIG HODGES/
CAMILLE HODGES HAYS
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Mar 31	Value on Apr 30	Est. Ann. Income	% Total Assets
Cash and Money Market	$12.11	$12.13	0.20	0.03
Stocks and Options	$0.00	$0.00	0.00	
Preferred/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$49,796.22	$46,509.18	0.00	99.97
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$49,808.33	$46,521.31	0.20	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$49,808.33	$46,521.31		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Mar 31	Value/Cost on Apr 30
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Purchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

- Open End Mutual Funds
- Other

Cash Activity Summary

Total Cash and Money Market Funds on Mar 31:			$12.11
	Credits	Debits	Year to Date
Securities Purchased	$0.00	$0.00	- $25,002.06
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$0.00	$0.00	$25,000.00
Withdrawals by Check	$0.00	$0.00	$0.00
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$0.00	$0.00	$0.00
Interest	$0.02	$0.00	$13.85
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$0.02	$0.00	
Total Cash and Money Market Funds on Apr 30:			**$12.13**

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $0.00

Tax-exempt [redacted] income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 / [redacted]

April 1 - April 30, 2005

ortfolio Assets

timated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the atement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

ash and Money Market Funds

scription	Current market value	Est. ann. income	Est current yield(%)
NK DEPOSIT SWEEP TION	$12.13	$0.20	1.70
erest Period 04/01/05 - 04/30/05			
tal Cash and Money Market Funds	$12.13		

pen End Mutual Funds

timated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

scription	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
DGES FUND	HDPMX	2,453.01600	18.9600	$46,509.18*	N/A	N/A
tal Open End Mutual Funds				$46,509.18		

ctivity Detail

te	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
/01				BEGINNING BALANCE			$ 12.11
/29	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 042905 12		$0.02	$ 12.13

loney Fund/Bank Deposit Sweep Activity

is section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These nounts are not included in the Cash Activity Summary.

te	Account Type	Transaction	Description	Amount
/01			BEGINNING BALANCE	$12.11

2850

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DALLAS S MARCHANT

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 / [redacted]

ACCOUNT STATEMENT

April 1 - April 30, 2005

Money Fund/Bank Deposit Sweep Activity continued

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
4/29	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$0.02
4/30			ENDING BALANCE	$12.13

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DALLAS S MARCHANT

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 [redacted]

March 1 - March 31, 2005

Your Investment Broker:
DON HODGES/CRAIG HODGES/
JANALLE HODGES HAYS
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Feb 28	Value on Mar 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$15,013.16	$12.11	0.18	0.02
Stocks and Options	$0.00	$0.00	0.00	
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$34,692.21	$49,796.22	0.00	99.98
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$49,705.37	$49,808.33	0.18	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$49,705.37	$49,808.33		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Feb 28	Value/Cost on Mar 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

- Open End Mutual Funds
- Other

Cash Activity Summary

Total Cash and Money Market Funds on Feb 28:			$15,013.16
	Credits	Debits	Year to Date
Securities Purchased	$0.00	-$15,002.06	-$25,002.06
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$0.00	$0.00	$25,000.00
Withdrawals by Check	$0.00	$0.00	$0.00
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$0.00	$0.00	$0.00
Interest	$1.01	$0.00	$13.84
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$1.01	-$15,002.06	
Total Cash and Money Market Funds on Mar 31:			**$12.11**

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $0.00

Tax-exem[redacted] income year-to-date totals are taken from the tax reporting system. They may not reconcile back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

2852

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 [redacted]

March 1 - March 31, 2005

'ortfolio Assets

'timated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the 'tatement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

'ash and Money Market Funds

'escription	Current market value	Est. ann. income	Est current yield(%)
'NK DEPOSIT SWEEP 'TION 'erest Period 03/01/05 - 03/31/05	$12.11	$0.18	1.53
'tal Cash and Money Market Funds	$12.11		

'pen End Mutual Funds

'timated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

'escription	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
'DGES FUND	HDPMX	2,453.01600	20.3000	$49,796.22	N/A	N/A
'tal Open End Mutual Funds				$49,796.22		

'ctivity Detail

'te	Account Type	Transaction	Quantity	Description	Price	Amount
'/01	Cash	PURCHASE	516.88800	HODGES FUND AS OF 1/25/05	19.3100	-$10,002.06
'/02	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$10,002.06
'/03	Cash	PURCHASE	242.99900	HODGES FUND AS OF 2/28/05	20.4900	-$5,000.00
'/04	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$5,000.00
'/31	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 033105 11		$1.01
'/31	Cash	REINVEST INT		BANK DEPOSIT SWEEP OPTION [redacted]		-$1.01

2853

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DALLAS S MARCHANT

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ04	[redacted]

February 1 - February 28, 2005

Your Investment Broker:
DON HODGES/CRAG HODGES/
CAMILLE HODGES HAYS
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Jan 31	Value on Feb 28	Est. Ann. Income	% Total Assets
Cash and Money Market	$10,002.06	$15,013.16	213.18	30.20
Stocks and Options	$0.00	$0.00	0.00	
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$33,236.12	$34,692.21	0.00	69.80
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$43,238.18	$49,705.37	213.18	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$43,238.18	$49,705.37		

Other Assets
These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Jan 31	Value/Cost on Feb 28
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Open End Mutual Funds

Cash Activity Summary

Total Cash and Money Market Funds on Jan 31:			$10,002.06
	Credits	Debits	Year to Date
Securities Purchased	$0.00	$0.00	- $10,000.00
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$5,000.00	$0.00	$25,000.00
Withdrawals by Check	$0.00	$0.00	$0.00
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$0.00	$0.00	$0.00
Interest	$11.10	$0.00	$12.83
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$5,011.10	$0.00	
Total Cash and Money Market Funds on Feb 28:			$15,013.16

Tax-Exempt Income Year-to-Date:	$0.00
Other [redacted] Date:	$0.00

Tax-e[redacted]ther income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

2854

Sub / Branch / Rep / Account No.
067 / FZ / FZ04 [redacted]

February 1 - February 28, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
BANK DEPOSIT SWEEP OPTION Interest Period 02/01/05 - 02/28/05	$15,013.16	$213.18	1.42
Total Cash and Money Market Funds	**$15,013.16**		

Open End Mutual Funds

Estimated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
HODGES FUND	HDPMX	1,693.12900	20.4900	$34,692.21	N/A	N/A
Total Open End Mutual Funds				**$34,692.21**		

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount
02/25	Cash	DEPOSIT		FUNDS RECD		$5,000.00
02/28	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION		$11.10
02/28	Cash	REINVEST INT		BANK DEPOSIT SWEEP OPTION		-$11.10
02/28	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$5,000.00

[redacted]

2855

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

DALLAS S MARCHANT

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ04	[redacted]

January 1 - January 31, 2005

Your Investment Broker:
DON HODGES/CRAIG HODGES/
CAMILLE HODGES HAYS
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Dec 31	Value on Jan 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$0.33	$10,002.06	105.02	23.13
Stocks and Options	$0.00	$0.00	0.00	
Preferred Stocks	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$24,069.30	$33,236.12	0.00	76.87
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$24,069.63	$43,238.18	105.02	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$24,069.63	$43,238.18		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Dec 31	Value/Cost on Jan 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

Cash and Money Market
Open End Mutual Funds

Cash Activity Summary

	Credits	Debits	Year to Date
Total Cash and Money Market Funds on Dec 31:			$0.33
Securities Purchased	$0.00	- $10,000.00	- $10,000.00
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$20,000.00	$0.00	$20,000.00
Withdrawals by Check	$0.00	$0.00	$0.00
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$0.00	$0.00	$0.00
Interest	$1.73	$0.00	$1.73
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$20,001.73	- $10,000.00	
Total Cash and Money Market Funds on Jan 31:			$10,002.06

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $0.00

Tax-exe[redacted]er income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

2856

Sub / Branch / Rep / Account No.
067 / FZ / FZD4 [redacted]

January 1 - January 31, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
BANK DEPOSIT SWEEP OPTION Interest Period 01/01/05 - 01/31/05	$10,002.06	$105.02	1.05
Total Cash and Money Market Funds	**$10,002.06**		

Open End Mutual Funds

Estimated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
HODGES FUND	HDPMX	1,693.12900	19.6300	$33,236.12	N/A	N/A
Total Open End Mutual Funds				**$33,236.12**		

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount
1/04	Cash	DEPOSIT		FUNDS RECD		$10,000.00
1/04	Cash	PURCHASE	508.61600	HODGES FUND	19.6200	-$10,000.00
1/25	Cash	DEPOSIT		FUNDS RECD		$10,000.00
1/26	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$10,000.00
1/31	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION		$1.73
1/31	Cash	REINVEST INT		BANK DEPOSIT SWEEP OPTION		-$1.73

[redacted]

2857

Individual Retirement Account

11.481

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

ACCOUNT STATEMENT

Sub / Branch / Rep / [redacted]
087 / FZ / FZ99

December 1 - December 31, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: LONG TERM GROWTH & INCOME

Portfolio Summary

Portfolio Assets

	Value on Nov 30	Value on Dec 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$2,514.10	$224.98	5.51	0.13
Stocks and Options	$166,686.00	$169,263.00	4,859.80	99.87
Preferred/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$169,200.10**	**$169,487.98**	4,865.31	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$169,200.10**	**$169,487.98**		

Other Assets
These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Nov 30	Value/Cost on Dec 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Gain/Loss Summary

Realized Gain/Loss	This Period	Year to Date
Short-term	$0.00	$22,858.06
Long-term	$0.00	$0.00
Total Realized Gain/Loss	**$0.00**	**$22,858.06**

* ACH and Wire Transfer activity that occurred prior to July 1 is included in the YTD total for ATM and CheckCard Activity. ACH and Wire Transfer activity from July 1 forward is included in Other Activity.

Asset Allocation (Portfolio Assets)

Stocks and Options
Other

Cash Activity Summary

Total Cash and Money Market Funds on Nov 30:			$2,514.10
	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $2,276.85	- $209,338.11
Securities Sold and Redeemed	$0.00	$0.00	$74,059.11
Deposits and Withdrawals	$0.00	$0.00	$133,095.35
Withdrawals by Check	$0.00	$0.00	$0.00
ATM and CheckCard Activity *	$0.00	$0.00	$0.00
Dividends	$403.87	$0.00	$3,326.87
Interest	$5.70	$0.00	$244.99
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity *	$0.00	- $421.84	- $1,169.23
Total Activity	$409.57	- $2,698.69	
Total Cash and Money Market Funds on Dec 31:			**$224.98**

[redacted]

Sub / Branch / Rep / [redacted]
067 / FZ / FZ99 / [redacted]

December 1 - December 31, 2005

Portfolio Assets

This section includes estimated or unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information provided by the account owner is not verified by First Clearing, LLC and should not be relied upon for legal or tax purposes. Bonds purchased at a premium or O.I.D. (Original Issue Discount) will be carried at the original cost basis. Factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average. To update your cost information or provide omitted cost information, contact Your Investment Broker. Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	$21.37		
BANK DEPOSIT SWEEP OPTION Interest Period 12/01/05 - 12/31/05	$203.61	$5.51	2.71
Total Cash and Money Market Funds	**$224.98**		

Curious how your securities are performing? The "Unrealized Gain/Loss" column tells you how much each stock has increased or decreased in value since you purchased it (in some cases, this is based on cost data supplied by you or by an outside source, which may not be complete). Revisions to this information (because of corporate mergers, tenders, and other reorganizations, for example) may be necessary from time to time. To update your cost information or provide omitted costs, contact Your Investment Broker.

Stocks and Options

Stocks

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
ATMOS ENERGY CORP Acquired 03/17/05	ATO	500	27.65	$13,860.95	26.1600	$13,080.00	- $780.95	$630.00	4.81
CANADIAN NATL RY CO Acquired 11/26/05	CNI	100	79.64	$7,976.62	79.9900	$7,999.00	$22.38	$85.80	1.07
CENTRACORE PROPERTIES TR Acquired 03/17/05	CPV	500	25.70	$13,385.95	26.8700	$13,435.00	$49.05	$900.00	6.69
CHAPARRAL STEEL Acquired 03/29/05	CHAP	200	14.27	$2,854.71	30.2500	$6,050.00	$3,195.29	N/A	N/A
CHARLES & COLVARD LTD Acquired 12/27/05	CTHR	100	22.64	$2,276.85	20.2000	[redacted]00	- $258.85	N/A	N/A
COMMERCIAL METALS CO Acquired 04/18/05	CMC	200	27.24	$5,465.97	37.5400	$7,508.00	$2,042.03	$48.00	0.63

2859

FIRST DALLAS SECURITIES
2903 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep # Account No.
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

December 1 - December 31, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI								
Acquired 03/17/05		1,000	16.70	$16,765.95	19.8200	$19,820.00	$3,054.05	$1,500.00	7.56
GLOBALSANTAFE CORP	GSF								
Acquired 10/26/05		400	44.02	$17,640.83	48.1500	$19,260.00	$1,619.17	$360.00	1.86
LEGG MASON INC	LM								
Acquired 07/11/05		100	110.79	$11,091.83	119.6900	$11,969.00	$877.17	$72.00	0.60
LIFE TIME FITNESS INC	LTM								
Acquired 06/09/05		100	34.93	$3,504.95	38.0900	$3,809.00	$304.05	N/A	N/A
Acquired 10/19/05		100	33.22	$3,333.95		$3,809.00	$475.05		
Total		200		$6,838.90	38.0900	$7,618.00	$779.10	N/A	N/A
ONEOK INC NEW	OKE								
Acquired 03/17/05		700	30.25	$21,222.95	26.6300	$18,641.00	- $2,581.95	$1,120.00	4.20
Acquired 10/14/05		300	29.79	$8,962.33		$7,989.00	- $973.33		
Total		1,000		$30,185.28	26.6300	$26,630.00	- $3,555.28	$1,120.00	4.21
TEXAS INDUSTRIES INC	TXI								
Acquired 03/29/05		200	41.53	$8,305.24	49.8400	$9,968.00	$1,662.76	$120.00	0.60
Acquired 10/19/05		200	48.45	$9,707.95		$9,968.00	$260.05		
Total		400		$18,013.19	49.8400	$19,936.00	$1,922.81	$120.00	0.60
TRANSOCEAN INC	RIG								
Acquired 11/02/05		200	58.81	$11,780.03	69.6900	$13,938.00	$2,157.97	$24.00	0.17
Total Stocks				**$158,137.06**		**$169,263.00**	**$11,125.94**	**$4,859.80**	**2.87**
Total Stocks and Options				**$158,137.06**		**$169,263.00**	**$11,125.94**	**$4,859.80**	**2.87**

[redacted]

2860

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

December 1 - December 31, 2005

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
12/01				BEGINNING BALANCE			$ 2,514.10
12/02	Cash	DIVIDEND		CORRECTIONAL PPTYS TR REIT 120205 600		$225.00	$ 2,739.10
12/12	Cash	DIVIDEND		ATMOS ENERGY CORP 121205 500		$157.50	$ 2,896.60
12/16	Cash	FEE		OUTSIDE MANAGEMENT FEE FR THE ACCT OF CHK#001-RR00980561		-$421.84	$ 2,474.76
12/21	Cash	NAME CHANGE	500.00000	CENTRACORE PROPERTIES TR			
12/21	Cash	NAME CHANGE	- 500.00000	CORRECTIONAL PPTYS TR REIT			$ 2,474.76
12/27	Cash	PURCHASE	100.00000	CHARLES & COLVARD LTD	22.6490	-$2,276.85	$ 197.91
12/30	Cash	DIVIDEND		CANADIAN NATL RY CO 123005 100		$21.37	
12/30	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 123005 197		$5.70	$ 224.98

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
12/01			BEGINNING BALANCE	$10,490.72
12/01	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$7,976.62
12/05	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$225.00
12/13	Cash	TRANSFER TO	BANK DEPOSIT SW[redacted] OPTION	$157.50
12/16	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$421.84

2861

FIRST DALLAS SECURITIES
2903 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

December 1 - December 31, 2005

Money Fund/Bank Deposit Sweep Activity continued

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary

Date	Account Type	Transaction	Description	Amount
12/30	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$5.70
12/30	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$2,276.85
12/31			ENDING BALANCE	$203.61

[redacted]

Sub / Branch / Rep / Account No.
087 / FZ / FZ99 / [redacted]

December 1 - December 31, 2005

Retirement Account Summary

Message from the IRA Department

For IRA accounts established with First Clearing, LLC (FCC) as custodian, this is your individual retirement account (IRA) fair market value statement. The amount reflected in the "IRA Fair Market Value" will be furnished to the Internal Revenue Service on Form 5498. Any corrections made to your market value after December 31, 2005, will result in a corrected "IRA Portfolio Holding Valuation" being issued to you.

If you own an FCC as custodian Traditional, SEP, or SIMPLE IRA and turn 70 1/2 this year, you must take a Required Minimum Distribution (RMD) with respect to your IRA by April 1st of next year. If you turned 70 1/2 in a prior year, you must take an RMD payment by December 31 st of this year. Your Investment Broker is available to provide specific RMD calculations. In accordance with IRS instructions, we will report to the IRS if you are required to receive an RMD for that calendar year.

This information is based upon the most recent data available and is being provided as a service to you. In the event of death your most recent beneficiary designation on file will govern. Please contact Your Investment Broker if updates or corrections are required. The Fair Market Value provided below is the sum of your Net Portfolio Value and Other Assets if you hold any. It is provided for informational value only.

Account Information

IRA Fair Market Value:	$169,487.98
Account Holder Birthdate:	02/23/51
Attained Age as of 12/31/05:	54.5
Custodian EIN Number:	23-2384840

Primary Beneficiary Name	% Entitlement
DONNA MARCHANT	100.00%

Retirement Transactions

Contribution Summary	Amount
Rollovers:	
2005 FOR 2005	$133,095.35

[redacted]

2863

Individual Retirement Account

43771

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

ACCOUNT STATEMENT

Sub / Branch / Rep / Acc
067 / FZ / FZ99 [redacted]

November 1 - November 30, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Oct 31	Value on Nov 30	Est. Ann. Income	% Total Assets
Cash and Money Market	$21,559.23	$2,514.10	271.70	1.49
Stocks and Options	$143,063.00	$166,696.00	4,754.00	98.51
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$164,622.23	$169,200.10	5,025.70	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$164,622.23	$169,200.10		

Other Assets
These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC

	Value/Cost on Oct 31	Value/Cost on Nov 30
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Gain/Loss Summary

Realized Gain/Loss	This Period	Year to Date
Short-term	$0.00	$22,858.06
Long-term	$0.00	$0.00
Total Realized Gain/Loss	$0.00	$22,858.06

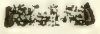
* ACH and Wire Transfer activity that occurred prior to July 1 is included in the YTD total for ATM and CheckCard Activity. ACH and Wire Transfer activity from July 1 forward is included in Other Activity.

Asset Allocation (Portfolio Assets)

Stocks and Options
Other

Cash Activity Summary

Total Cash and Money Market Funds on Oct 31:			**$21,559.23**
	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $19,756.65	- $207,061.26
Securities Sold and Redeemed	$0.00	$0.00	$74,059.11
Deposits and Withdrawals	$0.00	$0.00	$133,095.35
Withdrawals by Check	$0.00	$0.00	$0.00
ATM and CheckCard Activity *	$0.00	$0.00	$0.00
Dividends	$685.00	$0.00	$2,923.00
Interest	$26.52	$0.00	$239.29
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity *	$0.00	$0.00	- $741.39
Total Activity	$711.52	- $19,756.65	
Total Cash and Money Market Funds on Nov 30:			**$2,514.10**



2864

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ99	[redacted]

November 1 - November 30, 2005

Portfolio Assets

This section includes estimated or unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information provided by the account owner is not verified by First Clearing, LLC and should not be relied upon for legal or tax purposes. Bonds purchased at a premium or O I D. (Original Issue Discount) will be carried at the original cost basis. Factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average. To update your cost information or provide omitted cost information, contact Your Investment Broker. Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, effects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	- $7,976.62		
BANK DEPOSIT SWEEP OPTION Interest Period 11/01/05 - 11/30/05	$10,490.72	$271.70	2.59
Total Cash and Money Market Funds	**$2,514.10**		

Curious how your securities are performing? The "Unrealized Gain/Loss" column tells you how much each stock has increased or decreased in value since you purchased it (in some cases, this is based on cost data supplied by you or by an outside source, which may not be complete). Revisions to this information (because of corporate mergers, tenders, and other reorganizations, for example) may be necessary from time to time. To update your cost information or provide omitted costs, contact Your Investment Broker.

Stocks and Options

Stocks

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann Income	Est. Ann. Yield (%)
ATMOS ENERGY CORP Acquired 03/17/05	ATO	500	27.65	$13,860.95	26.5700	$13,285.00	- $575.95	$630.00	4.74
CANADIAN NATL RY CO Acquired 11/28/05	CNI	100	79.64	$7,976.62	79.8600	$7,986.00	$9.38	$100.00	1.25
CHAPARRAL STEEL Acquired 03/29/05	CHAP	200	14.27	$2,854.71	25.0000	$5,000.00	$2,145.29	N/A	N/A
COMMERCIAL METALS CO Acquired 04/18/05	CMC	200	27.24	$5,465.97	35.1000	$7,020.00	$1,554.03	$48.00	0.68
CORRECTIONAL PPTYS TR REIT Acquired 03/17/05	CPV	500	26.70	$13,365.95	28.760[redacted]00		$994.05	$900.00	6.25

2865

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

November 1 - November 30, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI								
Acquired 03/17/05		1,000	15.70	$15,765.95	20.7700	$20,770.00	$4,004.05	$1,500.00	7.22
GLOBALSANTAFE CORP	GSF								
Acquired 10/26/06		400	44.02	$17,640.83	45.3600	$18,144.00	$503.17	$240.00	1.32
LEGG MASON INC	LM								
Acquired 07/11/06		100	110.79	$11,091.83	122.6500	$12,265.00	$1,173.17	$72.00	0.58
LIFE TIME FITNESS INC	LTM								
Acquired 09/09/05		100	34.93	$3,504.95	38.4300	$3,843.00	$338.05	N/A	N/A
Acquired 10/19/05		100	33.22	$3,333.95		$3,843.00	$509.05		
Total		200		$6,838.90	38.4300	$7,686.00	$847.10	N/A	N/A
ONEOK INC NEW	OKE								
Acquired 03/17/05		700	30.25	$21,222.95	27.4300	$19,201.00	- $2,021.95	$1,120.00	4.08
Acquired 10/14/05		300	29.79	$8,962.33		$8,229.00	- $733.33		
Total		1,000		$30,185.28	27.4300	$27,430.00	- $2,755.28	$1,120.00	4.08
TEXAS INDUSTRIES INC	TXI								
Acquired 03/29/05		200	41.53	$8,305.24	49.8800	$9,976.00	$1,670.76	$120.00	0.60
Acquired 10/19/05		200	48.45	$9,707.95		$9,976.00	$268.05		
Total		400		$18,013.19	49.8800	$19,952.00	$1,938.81	$120.00	0.60
TRANSOCEAN INC	RIG								
Acquired 11/02/05		200	58.81	$11,780.03	63.8400	$12,768.00	$987.97	$24.00	0.18
Total Stocks				**$155,860.21**		**$166,686.00**	**$10,825.79**	**$4,754.00**	**2.85**
Total Stocks and Options				**$155,860.21**		**$166,686.00**	**$10,825.79**	**$4,754.00**	**2.85**

[redacted]

2866

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account No
087 / FZ / FZ99 [redacted]

November 1 - November 30, 2005

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
11/01				BEGINNING BALANCE			$ 21,559.23
11/02	Cash	PURCHASE	200.00000	TRANSOCEAN INC	58.8104	-$11,780.03	$ 9,779.20
11/15	Cash	DIVIDEND		CRESCENT REAL ESTATE REIT EQUITIES TRUST 111505 1,000		$375.00	
11/15	Cash	DIVIDEND		ONEOK INC NEW 111505 1,000		$280.00	$ 10,434.20
11/25	Cash	DIVIDEND		TEXAS INDUSTRIES INC 112505 400		$30.00	$ 10,464.20
11/28	Cash	PURCHASE	100.00000	CANADIAN NATL RY CO	79.6467	-$7,976.62	$ 2,487.58
11/30	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 113005 10,464		$26.52	$ 2,514.10

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
11/01			BEGINNING BALANCE	$21,559.23
11/07	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$11,780.03
11/16	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$655.00
11/28	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$30.00
11/30	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$26.52
11/30			ENDING BALANCE	$10,490.72

[redacted]

2867

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ99	[redacted]

ACCOUNT STATEMENT

November 1 - November 30, 2005

Retirement Account Summary

This information is based upon the most recent data available and is being provided as a service to you. In the event of death your most recent beneficiary designation on file will govern. Please contact Your Investment Broker if updates or corrections are required.

Account Information

Account Holder Birthdate:	02/23/51
Attained Age as of 12/31/05:	54.5
Custodian EIN Number:	23-2384840

Primary Beneficiary Name	% Entitlement
DONNA MARCHANT	100.00%

Retirement Transactions

Contribution Summary	Amount
Rollovers	
2005 FCR 2005	$133,095.35

2868

Individual Retirement Account

25,971

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
067 / FZ / FZ9[redacted]

October 1 - October 31, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Sept 30	Value on Oct 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$45,477.29	$21,559.23	508.79	13.10
Stocks and Options	$129,234.00	$143,063.00	4,620.00	86.90
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$174,711.29	$164,622.23	5,128.79	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$174,711.29	$164,622.23		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC

	Value/Cost on Sept 30	Value/Cost on Oct 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

* ACH and Wire Transfer activity that occurred prior to July 1 is included in the YTD total for ATM and CheckCard Activity. ACH and Wire Transfer activity from July 1 forward is included in Other Activity.

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options

Cash Activity Summary

Total Cash and Money Market Funds on Sept 30:			$45,477.29
	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $39,645.06	- $187,304.61
Securities Sold and Redeemed	$15,588.39	$0.00	$74,059.11
Deposits and Withdrawals	$0.00	$0.00	$133,095.35
Withdrawals by Check	$0.00	$0.00	$0.00
ATM and CheckCard Activity *	$0.00	$0.00	$0.00
Dividends	$55.00	$0.00	$2,238.00
Interest	$83.61	$0.00	$212.77
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity *	$0.00	$0.00	- $741.39
Total Activity	$15,727.00	- $39,645.06	
Total Cash and Money Market Funds on Oct 31:			**$21,559.23**

[redacted]

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

October 1 - October 31, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors. FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
BANK DEPOSIT SWEEP OPTION Interest Period 10/01/05 - 10/31/05	$21,559.23	$508.79	2.36
Total Cash and Money Market Funds	**$21,559.23**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
ATMOS ENERGY CORP	ATO	500	26.3000	$13,150.00	$620.00	4.71
CHAPARRAL STEEL	CHAP	200	24.9800	$4,996.00	N/A	N/A
COMMERCIAL METALS CO	CMC	200	31.7900	$6,358.00	$48.00	0.75
CORRECTIONAL PPTYS TR REIT	CPV	500	28.1000	$14,050.00	$900.00	6.40
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	1,000	19.9500	$19,950.00	$1,500.00	7.51
GLOBALSANTAFE CORP	GSF	400	44.5500	$17,820.00	$240.00	1.34
LEGG MASON INC	LM	100	107.3100	$10,731.00	$72.00	0.67
LIFE TIME FITNESS INC	LTM	200	37.1400	$7,428.00	N/A	N/A
ONEOK INC NEW	OKE	1,000	28.7400	$28,740.00	$1,120.00	3.89
TEXAS INDUSTRIES INC	TXI	400	49.6000	$19,840.00	$120.00	0.60
Total Stocks				**$143,063.00**	**$4,620.00**	**3.23**
Total Stocks and Options				**$143,063.00**	**$4,620.00**	**3.23**

[redacted]

2871

FIRST DALLAS SECURITIES
3905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

October 1 - October 31, 2005

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
10/01				BEGINNING BALANCE			$ 45,477.29
10/03	Cash	DIVIDEND		LEGG MASON INC 100305 100		$18.00	$ 45,495.29
10/14	Cash	PURCHASE	300.00000	ONEOK INC NEW	29.7946	-$8,962.33	$ 36,532.96
10/17	Cash	DIVIDEND		CHESAPEAKE ENERGY CORP 101705 500		$25.00	$ 36,557.96
10/19	Cash	SALE	-500.00000	CHESAPEAKE ENERGY CORP	31.2500	$15,588.39	
10/19	Cash	PURCHASE	100.00000	LIFE TIME FITNESS INC	33.2200	-$3,333.95	
10/19	Cash	PURCHASE	200.00000	TEXAS INDUSTRIES INC	48.4500	-$9,707.95	$ 39,104.45
10/21	Cash	DIVIDEND		COMMERCIAL METALS CO 102105 200		$12.00	$ 39,116.45
10/26	Cash	PURCHASE	400.00000	GLOBALSANTAFE CORP	44.0272	-$17,640.83	$ 21,475.62
10/31	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 103109 21,475		$83.61	$ 21,559.23

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
10/01			BEGINNING BALANCE	$45,477.29
10/04	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$18.00
10/19	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$8,937.33
10/24	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$12.00
10/25	Cash	TRANSFER TO	BANK DEPOSIT SW[redacted] OPTION	$2,546.49
10/31	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$83.61

2872

Money Fund/Bank Deposit Sweep Activity continued

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
10/31	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$17,640.83
10/31			ENDING BALANCE	$21,559.23

[redacted]

2873

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

October 1 - October 31, 2005

Retirement Account Summary

This information is based upon the most recent data available and is being provided as a service to you. In the event of death your most recent beneficiary designation on file will govern. Please contact Your Investment Broker if updates or corrections are required.

Account Information

Account Holder Birthdate:	02/23/51
Attained Age as of 12/31/05:	54.5
Custodian EIN Number:	23-2384840

Primary Beneficiary Name	% Entitlement
DONNA MARCHANT	100.00%

Retirement Transactions

Contribution Summary	Amount
Rollovers	
2005 FOR 2005	$133,095.33

2874

Individual Retirement Account

39,119

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ99	[redacted]

September 1 - September 30, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Aug 31	Value on Sept 30	Est. Ann. Income	% Total Assets
Cash and Money Market	$3,744.57	$45,477.29	1,000.50	26.03
Stocks and Options	$167,133.00	$129,234.00	4,084.00	73.97
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$170,877.57	$174,711.29	5,084.50	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$170,877.57	$174,711.29		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Aug 31	Value/Cost on Sept 30
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options

Cash Activity Summary

Total Cash and Money Market Funds on Aug 31: $3,744.57

	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $3,504.95	- $147,659.55
Securities Sold and Redeemed	$45,172.23	$0.00	$58,470.72
Deposits and Withdrawals	$0.00	$0.00	$133,095.35
Withdrawals by Check	$0.00	$0.00	$0.00
ATM and CheckCard Activity	$0.00	$0.00	$0.00
Dividends	$487.00	$0.00	$2,183.00
Interest	$9.15	$0.00	$129.15
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	- $430.71	- $741.39
Total Activity	$45,668.38	- $3,935.66	
Total Cash and Money Market Funds on Sept 30:			**$45,477.29**

[redacted]

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account No
067 / FZ / FZ99 / [redacted]

September 1 - September 30, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
BANK DEPOSIT SWEEP OPTION Interest Period 09/01/05 - 09/30/05	$45,477.29	$1,000.50	2.20
Total Cash and Money Market Funds	**$45,477.29**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
ATMOS ENERGY CORP	ATO	500	28.2500	$14,125.00	$620.00	4.38
CHAPARRAL STEEL	CHAP	200	25.2200	$5,044.00	N/A	N/A
CHESAPEAKE ENERGY CORP	CHK	500	38.2500	$19,125.00	$100.00	0.52
COMMERCIAL METALS CO	CMC	200	33.7400	$6,748.00	$48.00	0.71
CORRECTIONAL PPTYS TR REIT	CPV	500	29.4100	$14,705.00	$900.00	6.12
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	1,000	20.5100	$20,510.00	$1,500.00	7.31
LEGG MASON INC	LM	100	109.6900	$10,969.00	$72.00	0.65
LIFE TIME FITNESS INC	LTM	100	33.1400	$3,314.00	N/A	N/A
ONEOK INC NEW	OKE	700	34.0200	$23,814.00	$784.00	3.29
TEXAS INDUSTRIES INC	TXI	200	54.4000	$10,880.00	$60.00	0.55
Total Stocks				**$129,234.00**	**$4,064.00**	**3.16**
Total Stocks and Options				**$129,234.00**	**$4,064.00**	**3.16**

[redacted]

2877

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

Sub	Branch	Rep	Account No.
067	FZ	FZ99	[redacted]

ACCOUNT STATEMENT

September 1 - September 30, 2005

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
09/01				BEGINNING BALANCE			$ 3,744.57
09/01	Cash	DIVIDEND		CONOCOPHILLIPS 090106 200		$62.00	$ 3,806.57
09/02	Cash	DIVIDEND		CORRECTIONAL PPTYS TR REIT 090205 500		$225.00	$ 4,031.57
09/09	Cash	PURCHASE	100.00000	LIFE TIME FITNESS INC	34.9300	-$3,504.95	$ 526.62
09/12	Cash	DIVIDEND		ATMOS ENERGY CORP 091205 500		$155.00	
09/12	Cash	DIVIDEND		CHEVRON CORPORATION 091205 100		$45.00	$ 726.62
09/23	Cash	SALE	- 100.00000	CHEVRON CORPORATION	63.3900	$6,326.78	
09/23	Cash	SALE	- 200.00000	CONOCOPHILLIPS	68.2400	$13,629.47	
09/23	Cash	SALE	- 1,100.00000	LUBY'S INC	12.6400	$13,837.41	
09/23	Cash	SALE	- 900.00000	LUBY'S INC	12.7100	$11,378.57	
09/23	Cash	FEE		OUTSIDE MANAGEMENT FEE NO TAXES CHK#001-RR09936104		-$430.71	$ 45,468.14
09/30	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 093005 45,468		$9.15	$ 45,477.29

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
09/01			BEGINNING BALANCE	$3,744.57
09/02	Cash	TRANSFER TO	BANK DEPOSIT S[redacted] OPTION	$62.00
09/06	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$225.00

2878

Money Fund/Bank Deposit Sweep Activity continued

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary

Date	Account Type	Transaction	Description	Amount
09/14	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$3,304.95
09/26	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$430.71
09/29	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$45,172.23
09/30	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$9.15
09/30			ENDING BALANCE	$45,477.29

[redacted]

2879

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ98	[redacted]

ACCOUNT STATEMENT

September 1 - September 30, 2005

Retirement Account Summary

This information is based upon the most recent data available and is being provided as a service to you. In the event of death your most recent beneficiary designation on file will govern. Please contact Your Investment Broker if updates or corrections are required.

Account Information

Account Holder Birthdate:	02/23/51
Attained Age as of 12/31/05:	54.5
Custodian EIN Number:	23-2384840

Primary Beneficiary Name	% Entitlement
DONNA MARCHANT	100.00%

Retirement Transactions

Contribution Summary	Amount
Rollovers	
2005 FOR 2005	$133,065.35

2880

Individual Retirement Account

75045

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

ACCOUNT STATEMENT

Sub / Branch / Rep
067 / FZ / FZ9[redacted]

August 1 - August 31, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on July 31	Value on Aug 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$3,152.55	$3,744.57	76.76	2.19
Stocks and Options	$163,898.00	$167,133.00	4,512.00	97.81
Preferred/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$167,050.55	$170,877.57	4,588.76	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$167,050.55	$170,877.57		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on July 31	Value/Cost on Aug 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options

Cash Summary

Total Cash and Money Market Funds on July 31:			$3,152.55
	Credits	Debits	Year to Date
Securities Purchased	$0.00	$0.00	- $144,154.60
Securities Sold and Redeemed	$0.00	$0.00	$13,298.49
Deposits and Withdrawals	$0.00	$0.00	$133,095.35
Withdrawals by Check	$0.00	$0.00	$0.00
ATM and CheckCard Activity	$0.00	$0.00	$0.00
Dividends	$586.00	$0.00	$1,696.00
Interest	$6.02	$0.00	$120.01
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	- $310.68
Total Activity	$592.02	$0.00	
Total Cash and Money Market Funds on Aug 31:			$3,744.57

[redacted]

2882

Sub / Branch / Reg / Account No.
067 / FZ / FZ99 [redacted]

August 1 - August 31, 2005

Portfolio Assets

stimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn n a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the tatement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
ANK DEPOSIT SWEEP PTION	$3,744.57	$76.76	2.05
terest Period 08/01/05 - 08/31/05			
Total Cash and Money Market Funds	**$3,744.57**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
ATMOS ENERGY CORP	ATO	500	29.5900	$14,795.00	$620.00	4.19
CHAPARRAL STEEL	CHAP	200	22.3200	$4,464.00	N/A	N/A
CHESAPEAKE ENERGY CORP	CHK	500	31.6100	$15,805.00	$100.00	0.63
CHEVRON CORPORATION	CVX	100	61.4000	$6,140.00	$180.00	2.93
COMMERCIAL METALS CO	CMC	200	29.9300	$5,986.00	$48.00	0.80
CONOCOPHILLIPS	COP	200	65.9400	$13,188.00	$248.00	1.88
CORRECTIONAL PPTYS TR REIT	CPV	500	29.2600	$14,630.00	$900.00	6.15
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	1,000	19.6300	$19,630.00	$1,500.00	7.64
LEGG MASON INC	LM	100	104.5300	$10,453.00	$72.00	0.68
LUBY'S INC	LUB	2,000	13.1400	$26,280.00	N/A	N/A
ONEOK INC NEW	OKE	700	34.0000	$23,800.00	$784.00	3.29
TEXAS INDUSTRIES INC	TXI	200	59.8100	$11,962.00	$60.00	0.50
Total Stocks				**$167,133.00**	**$4,512.00**	**2.70**
Total Stocks and Options				[redacted]	**$4,512.00**	**2.70**

2883

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account No.
087 / FZ / FZ99 / [redacted]

ACCOUNT STATEMENT

August 1 - August 31, 2005

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
08/01				BEGINNING BALANCE			$ 3,152.55
08/01	Cash	DISTRIBUTION	200.00000	CHAPARRAL STEEL SPIN FR TEX INDUSTRIES I			$ 3,152.55
08/15	Cash	DIVIDEND		CRESCENT REAL ESTATE REIT EQUITIES TRUST 081605 1,000		$375.00	
08/15	Cash	DIVIDEND		ONEOK INC NEW 081605 700		$196.00	$ 3,723.55
08/26	Cash	DIVIDEND		TEXAS INDUSTRIES INC 082605 200		$15.00	$ 3,738.55
08/31	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 083105 3,738		$6.02	$ 3,744.57

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
08/01			BEGINNING BALANCE	$3,152.55
08/16	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$571.00
08/29	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$15.00
08/31	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$6.02
08/31			ENDING BALANCE	$3,744.57

[redacted]

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch	/ Rep	/ Account No
067 / FZ	/ FZ99	[redacted]

August 1 - August 31, 2005

Retirement Account Summary

his information is based upon the most recent data available and is being provided as a service to ou. In the event of death your most recent beneficiary designation on file will govern. Please contact our Investment Broker if updates or corrections are required.

ccount Information

ccount Holder Birthdate:	02/23/51
ttained Age as of 12/31/05:	54.5
ustodian EIN Number:	23-2384840

Primary Beneficiary Name	% Entitlement
ONNA MARCHANT	100.00%

Retirement Transactions

Contribution Summary	Amount
Rollovers	
2005 FOR 2005	$133,095.35

[redacted]

2885

Individual Retirement Account

27377

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ99	/ [redacted]

July 1 - July 31, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on June 30	Value on July 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$905.18	$3,152.55	84.62	1.89
Stocks and Options	$150,505.00	$163,898.00	4,512.00	98.11
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$151,410.18	$167,050.55	4,576.62	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$151,410.18	$167,050.55		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on June 30	Value/Cost on July 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

Stocks and Options
Other

Cash Activity Summary

Total Cash and Money Market Funds on June 30:			$905.18
	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $11,091.83	- $144,154.60
Securities Sold and Redeemed	$13,298.49	$0.00	$13,298.49
Deposits and Withdrawals	$0.00	$0.00	$133,095.35
Withdrawals by Check	$0.00	$0.00	$0.00
ATM and CheckCard Activity	$0.00	$0.00	$0.00
Dividends	$37.00	$0.00	$1,110.00
Interest	$3.71	$0.00	$113.99
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	- $310.68
Total Activity	$13,339.20	- $11,091.83	
Total Cash and Money Market Funds on July 31:			**$3,152.55**

[redacted]

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account No
067 / FZ / FZ39[redacted]

July 1 - July 31, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
BANK DEPOSIT SWEEP OPTION Interest Period 07/01/05 - 07/31/05	$3,152.55	$64.62	2.05
Total Cash and Money Market Funds	**$3,152.55**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
ATMOS ENERGY CORP	ATO	500	29.1600	$14,580.00	$620.00	4.25
CHESAPEAKE ENERGY CORP	CHK	500	26.1100	$13,055.00	$100.00	0.76
CHEVRON CORPORATION	CVX	100	58.0100	$5,801.00	$180.00	3.10
COMMERCIAL METALS CO	CMC	200	28.7400	$5,748.00	$48.00	0.83
CONOCOPHILLIPS	COP	200	62.5900	$12,518.00	$248.00	1.98
CORRECTIONAL PPTYS TR REIT	CPV	500	30.1400	$15,070.00	$900.00	5.97
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	1,000	19.5200	$19,520.00	$1,500.00	7.68
LEGG MASON INC	LM	100	102.1500	$10,215.00	$72.00	0.70
LUBYS INC	LUB	2,000	14.1000	$28,200.00	N/A	N/A
ONEOK INC NEW	OKE	700	34.9500	$24,465.00	$784.00	3.20
TEXAS INDUSTRIES INC	TXI	200	73.6300	$14,726.00	$60.00	0.40
Total Stocks				**$163,898.00**	**$4,512.00**	**2.75**
Total Stocks and Options				**$163,898.00**	**$4,512.00**	**2.75**

[redacted]

2887

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / [redacted]
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

July 1 - July 31, 2005

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
07/01				BEGINNING BALANCE			$ 905.18
07/12	Cash	SALE	- 1,000.00000	LUBY'S INC AS OF 7/11/05	13.3650	$13,298.49	
07/12	Cash	PURCHASE	100.00000	LEGG MASON INC AS OF 7/11/05	110.7988	-$11,091.83	$ 3,111.84
07/15	Cash	DIVIDEND		CHESAPEAKE ENERGY CORP 071505 500		$25.00	
07/15	Cash	DIVIDEND		COMMERCIAL METALS CO 071505 200		$12.00	$ 3,148.84
07/29	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 072905 3.148		$3.71	$ 3,152.55

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
07/01			BEGINNING BALANCE	$905.18
07/15	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$2,206.66
07/18	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$37.00
07/29	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$3.71
07/31			ENDING BALANCE	$3,152.55

[redacted]

2888

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

July 1 - July 31, 2005

Retirement Account Summary

This information is based upon the most recent data available and is being provided as a service to you. In the event of death your most recent beneficiary designation on file will govern. Please contact Your Investment Broker if updates or corrections are required.

Account Information

Account Holder Birthdate:	02/23/51
Attained Age as of 12/31/05:	54.5
Custodian EIN Number:	23-2384840

Primary Beneficiary Name	% Entitlement
DONNA MARCHANT	100.00%

Retirement Transactions

Contribution Summary	Amount
Rollovers	
2006 FOR 2005	$133,095.35

[redacted]

2889

Individual Retirement Account

28999

ACCOUNT STATEMENT

June 1 - June 30, 2005

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account
067 / FZ / FZ99 / [redacted]

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on May 31	Value on June 30	Est. Ann. Income	% Total Assets
Cash and Money Market	$727.32	$905.18	17.83	0.60
Stocks and Options	$132,683.00	$150,505.00	4,440.00	99.40
Preferreds/Fixed Rate Cap Secs.	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$133,410.32	$151,410.18	4,457.83	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$133,410.32	$151,410.18		

Other Assets
These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on May 31	Value/Cost on June 30
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Securities Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

Stocks and Options
Other

Cash Activity Summary

Total Cash and Money Market Funds on May 31: $727.32

	Credits	Debits	Year to Date
Securities Purchased	$0.00	$0.00	- $133,062.77
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$0.00	$0.00	$133,095.35
Withdrawals by Check	$0.00	$0.00	$0.00
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$487.00	$0.00	$1,073.00
Interest	$1.54	$0.00	$110.28
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	- $310.68	- $310.68
Total Activity	$488.54	- $310.68	
Total Cash and Money Market Funds on June 30:			$905.18

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

June 1 - June 30, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
BANK DEPOSIT SWEEP OPTION Interest Period 06/01/05 - 06/30/05	$905.18	$17.83	1.97
Total Cash and Money Market Funds	**$905.18**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
ATMOS ENERGY CORP	ATO	500	28.8000	$14,400.00	$620.00	4.30
CHESAPEAKE ENERGY CORP	CHK	500	22.8000	$11,400.00	$100.00	0.87
CHEVRON CORPORATION	CVX	100	55.9200	$5,592.00	$180.00	3.21
COMMERCIAL METALS CO	CMC	200	23.8200	$4,764.00	$48.00	1.00
CONOCOPHILLIPS	COP	200	57.4900	$11,498.00	$248.00	2.15
CORRECTIONAL PPTYS TR REIT	CPV	500	28.3000	$14,150.00	$900.00	6.36
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	1,000	18.7500	$18,750.00	$1,500.00	8.00
LUBY'S INC	LUB	3,000	11.9500	$35,850.00	N/A	N/A
ONEOK INC NEW	OKE	700	32.6500	$22,855.00	$784.00	3.43
TEXAS INDUSTRIES INC	TXI	200	56.2300	$11,246.00	$60.00	0.53
Total Stocks				**$150,505.00**	**$4,440.00**	**2.95**
Total Stocks and Options				**$150,505.00**	**$4,440.00**	**2.95**

[redacted]

2891

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

June 1 - June 30, 2005

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
06/01				BEGINNING BALANCE			$ 727.32
06/01	Cash	DIVIDEND		CONOCOPHILLIPS 060105 100		$62.00	
06/01	Cash	DIVIDEND		CORRECTIONAL PPTYS TR REIT 060106 500		$225.00	$ 1,014.32
06/02	Cash	STOCK DISTRIB	100.00000	CONOCOPHILLIPS			$ 1,014.32
06/10	Cash	DIVIDEND		ATMOS ENERGY CORP 061005 500		$155.00	
06/10	Cash	DIVIDEND		CHEVRON CORPORATION 061005 100		$45.00	$ 1,214.32
06/13	Cash	FEE		OUTSIDE MANAGEMENT FEE CHK#001-RR03689772		-$310.68	$ 903.64
06/30	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 063005 903		$1.54	$ 905.18

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
06/01			BEGINNING BALANCE	$727.32
06/02	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$287.00
06/13	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$200.00
06/14	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$310.68
06/30	Cash	REINVEST INT	BANK DEP[redacted] OPTION	$1.54
06/30			ENDING BALANCE	$905.18

2892

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account No.
067 / FZ / FZ9[redacted]

June 1 - June 30, 2005

Retirement Account Summary

This information is based upon the most recent data available and is being provided as a service to you. In the event of death your most recent beneficiary designation on file will govern. Please contact Your Investment Broker if updates or corrections are required.

Account Information

Account Holder Birthdate:	02/23/51
Attained Age as of 12/31/05:	54.5
Custodian EIN Number:	23-2384340

Primary Beneficiary Name	% Entitlement
DONNA MARCHANT	100.00%

Retirement Transactions

Contribution Summary	Amount
Rollovers	
2005 FOR 2005	$133,093.35

[redacted]

2893

Individual Retirement Account

24.33

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

ACCOUNT STATEMENT

Sub / Branch / Rep / [redacted]
067 / FZ / FZ99

May 1 - May 31, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Apr 30	Value on May 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$140.65	$727.32	13.98	0.55
Stocks and Options	$125,275.00	$132,683.00	4,430.00	99.45
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$125,415.65	$133,410.32	4,443.98	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	***$125,415.65***	***$133,410.32***		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Apr 30	Value/Cost on May 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Asset Allocation (Portfolio Assets)

Stocks and Options
Other

Cash Activity Summary

	Credits	Debits	Year to Date
Total Cash and Money Market Funds on Apr 30:			**$140.65**
Securities Purchased	$0.00	$0.00	- $133,062.77
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$0.00	$0.00	$133,095.35
Withdrawals by Check	$0.00	$0.00	$0.00
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$586.00	$0.00	$586.00
Interest	$0.67	$0.00	$109.74
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$586.67	$0.00	
Total Cash and Money Market Funds on May 31:			**$727.32**

[redacted]

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn in a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors. FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
BANK DEPOSIT SWEEP OPTION Interest Period 05/01/05 - 05/31/05	$727.32	$13.38	1.84
Total Cash and Money Market Funds	$727.32		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
ATMOS ENERGY CORP	ATO	500	28.2900	$14,145.00	$620.00	4.38
CHESAPEAKE ENERGY CORP	CHK	500	20.4700	$10,235.00	$90.00	0.87
CHEVRON CORPORATION	CVX	100	53.7800	$5,378.00	$180.00	3.34
COMMERCIAL METALS CO	CMC	200	26.1600	$5,232.00	$48.00	0.91
CONOCOPHILLIPS	COP	100	107.8400	$10,784.00	$248.00	2.29
CORRECTIONAL PPTYS TR REIT	CPV	500	26.0000	$13,000.00	$900.00	6.92
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	1,000	18.3900	$18,390.00	$1,500.00	8.15
DUBYS INC	LUB	3,000	8.2400	$24,720.00	N/A	N/A
ONEOK INC NEW	OKE	700	30.8500	$21,595.00	$784.00	3.63
TEXAS INDUSTRIES INC	TXI	200	46.0200	$9,204.00	$60.00	0.65
Total Stocks				**$132,683.00**	**$4,430.00**	**3.34**
Total Stocks and Options				**$132,683.00**	**$4,430.00**	**3.34**

[redacted]

2895

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

May 1 - May 31, 2005

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
05/01				BEGINNING BALANCE			$ 140.65
05/12	Cash	NAME CHANGE	- 100.00000	CHEVRONTEXACO CORP XXX			
05/12	Cash	NAME CHANGE	100.00000	CHEVRON CORPORATION CHG FR CHEVRONTEXACO CO @ 1.00			$ 140.65
05/13	Cash	DIVIDEND		CRESCENT REAL ESTATE REIT EQUITIES TRUST 051305 1,000		$375.00	$ 515.65
05/16	Cash	DIVIDEND		ONEOK INC NEW 051605 700		$196.00	$ 711.65
05/27	Cash	DIVIDEND		TEXAS INDUSTRIES INC 052705 200		$15.00	$ 726.65
05/31	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 053105 728		$0.67	$ 727.32

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
05/01			BEGINNING BALANCE	$140.65
05/16	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$375.00
05/17	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$196.00
05/31	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$0.67
05/31	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$15.00
05/31			ENDING BALA[redacted]	$727.32

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

May 1 - May 31, 2005

Retirement Account Summary

his information is based upon the most recent data available and is being provided as a service to ou. In the event of death your most recent beneficiary designation on file will govern. Please contact our Investment Broker if updates or corrections are required.

ccount Information

ccount Holder Birthdate:	02/23/51
ttained Age as of 12/31/05:	54.5
ustodian EIN Number:	23-2384840

Primary Beneficiary Name	% Entitlement
ONNA MARCHANT	100.00%

Retirement Transactions

Contribution Summary	Amount
Rollovers	
2005 FOR 2005	$133,095.35

[redacted]

2897

Individual Retirement Account

20827

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ99	[redacted]

April 1 - April 30, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Mar 31	Value on Apr 30	Est. Ann. Income	% Total Assets
Cash and Money Market	$17,090.43	$140.65	2.39	0.11
Stocks and Options	$114,654.00	$125,275.00	4,430.00	99.89
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$131,744.43	$125,415.65	4,432.39	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$131,744.43	$125,415.65		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Mar 31	Value/Cost on Apr 30
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

Stocks and Options
Other

Cash Activity Summary

Total Cash and Money Market Funds on Mar 31:			$17,090.43
	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $16,958.27	- $133,062.77
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$0.00	$0.00	$133,095.35
Withdrawals by Check	$0.00	$0.00	$0.00
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$0.00	$0.00	$0.00
Interest	$8.49	$0.00	$108.07
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$8.49	- $16,958.27	
Total Cash and Money Market Funds on Apr 30:			**$140.65**

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

April 1 - April 30, 2005

Portfolio Assets

stimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn n a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the tatement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
ANK DEPOSIT SWEEP PTION Interest Period 04/01/05 - 04/30/05	$140.65	$2.39	1.70
Total Cash and Money Market Funds	**$140.65**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
ATMOS ENERGY CORP	ATO	500	26.3000	$13,150.00	$620.00	4.71
CHESAPEAKE ENERGY CORP	CHK	500	19.2400	$9,620.00	$90.00	0.93
CHEVRONTEXACO CORP	CVX	100	52.0000	$5,200.00	$180.00	3.46
COMMERCIAL METALS CO	CMC	200	25.5100	$5,102.00	$48.00	0.94
CONOCOPHILLIPS	COP	100	104.8500	$10,485.00	$248.00	2.36
CORRECTIONAL PPTYS TR REIT	CPV	500	25.6600	$12,830.00	$900.00	7.01
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	1,000	16.8000	$16,800.00	$1,500.00	8.92
LUBYS INC	LUB	3,000	7.5500	$22,650.00	N/A	N/A
ONEOK INC NEW	OKE	700	28.8600	$20,202.00	$784.00	3.88
TEXAS INDUSTRIES INC	TXI	200	46.1800	$9,236.00	$60.00	0.64
Total Stocks				**$125,275.00**	**$4,430.00**	**3.54**
Total Stocks and Options				**$125,275.00**	**$4,430.00**	**3.54**

[redacted]

2899

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

April 1 - April 30, 2005

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
04/01				BEGINNING BALANCE			$ 17,090.43
04/04	Cash	PURCHASE	500.00000	CHESAPEAKE ENERGY CORP	22.9127	-$11,492.30	$ 5,598.13
04/18	Cash	PURCHASE	200.00000	COMMERCIAL METALS CO	27.2401	-$5,465.97	$ 132.16
04/29	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 042909 132		$8.49	$ 140.65

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
04/01			BEGINNING BALANCE	$51,570.23
04/01	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$34,479.80
04/07	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$11,492.30
04/21	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$5,465.97
04/29	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$8.49
04/30			ENDING BALANCE	$140.65

[redacted]

2900

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

April 1 - April 30, 2005

Retirement Account Summary

his information is based upon the most recent data available and is being provided as a service to ou. In the event of death your most recent beneficiary designation on file will govern. Please contact our Investment Broker if updates or corrections are required.

Account Information

Account Holder Birthdate	02/23/51
Attained Age as of 12/31/05:	54.5
Custodian EIN Number:	23-2384840

Primary Beneficiary Name	% Entitlement
DONNA MARCHANT	100.00%

Retirement Transactions

Contribution Summary	Amount
Rollovers	
2005 FOR 2005	$133,995.35

[redacted]

2901

Individual Retirement Account

2,359

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No
067 / FZ	/ FZ99	[redacted]2

January 18 - March 31, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Dec 31	Value on Mar 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$0.00	$17,090.43	789.02	12.97
Stocks and Options	$0.00	$114,654.00	4,140.00	87.03
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$0.00	$131,744.43	4,929.02	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$0.00	$131,744.43		

Other Assets
These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Dec 31	Value/Cost on Mar 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options

Cash Activity Summary

	Credits	Debits	Year to Date
Total Cash and Money Market Funds on Dec 31:			$0.00
Securities Purchased	$0.00	- $116,104.50	- $116,104.50
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$133,095.35	$0.00	$133,095.35
Withdrawals by Check	$0.00	$0.00	$0.00
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$0.00	$0.00	$0.00
Interest	$99.58	$0.00	$99.58
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$133,194.93	- $116,104.50	
Total Cash and Money Market Funds on Mar 31:			$17,090.43

[redacted]

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

January 18 - March 31, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	- $34,479.80		
BANK DEPOSIT SWEEP OPTION Interest Period 03/01/05 - 03/31/05	$51,570.23	$789.02	1.53
Total Cash and Money Market Funds	**$17,090.43**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
ATMOS ENERGY CORP	ATO	500	27.0000	$13,500.00	$620.00	4.59
CHEVRONTEXACO CORP	CVX	100	58.3100	$5,831.00	$160.00	2.74
CONOCOPHILLIPS	COP	100	107.8400	$10,784.00	$200.00	1.85
CORRECTIONAL PPTYS TR REIT	CPV	500	25.2500	$12,625.00	$900.00	7.12
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	1,000	16.3400	$16,340.00	$1,500.00	9.17
LUBYS INC	LUB	3,000	7.7500	$23,250.00	N/A	N/A
ONEOK INC NEW	OKE	700	30.8200	$21,574.00	$700.00	3.24
TEXAS INDUSTRIES INC	TX	200	53.7500	$10,750.00	$60.00	0.55
Total Stocks				**$114,654.00**	**$4,140.00**	**3.61**
Total Stocks and Options				**$114,654.00**	**$4,140.00**	**3.61**

[redacted]

2903

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

January 18 - March 31, 2005

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount
03/08	Cash	DEPOSIT		ROLLOVER FR QUAL PLAN		$133,095.35
03/09	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$133,095.35
03/17	Cash	PURCHASE	500.00000	ATMOS ENERGY CORP	27.6500	-$13,860.95
03/17	Cash	PURCHASE	500.00000	CORRECTIONAL PPTYS TR REIT	26.7000	-$13,385.95
03/17	Cash	PURCHASE	1,000.00000	CRESCENT REAL ESTATE REIT EQUITIES TRUST	16.7000	-$16,765.95
03/17	Cash	PURCHASE	700.00000	ONEOK INC NEW	30.2500	-$21,222.95
03/22	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$65,235.80
03/28	Cash	PURCHASE	100.00000	CHEVRONTEXACO CORP	58.3900	-$5,850.95
03/28	Cash	PURCHASE	100.00000	CONOCOPHILLIPS	105.2600	-$10,537.95
03/29	Cash	PURCHASE	3,000.00000	LUBY'S INC	7.7113	-$23,319.85
03/29	Cash	PURCHASE	200.00000	TEXAS INDUSTRIES INC	55.7100	-$11,159.95
03/31	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 033106 51.470		$99.58
03/31	Cash	REINVEST INT		BANK DEPOSIT SWEEP OPTION		-$99.58
03/31	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$16,388.90

[redacted]

2904

KENNY E MARCHANT IRA
FCC AS CUSTODIAN

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ99	[redacted]

January 18 - March 31, 2005

Retirement Account Summary

This information is based upon the most recent data available and is being provided as a service to you. In the event of death your most recent beneficiary designation on file will govern. Please contact Your Investment Broker if updates or corrections are required.

Account Information

Account Holder Birthdate:	02/23/51
Attained Age as of 12/31/05:	54.5
Custodian EIN Number:	23-2384840

Primary Beneficiary Name	% Entitlement
DONNA MARCHANT	100.00%

Retirement Transactions

Contribution Summary	Amount
Rollovers	
2005 FOR 2005	$133,095.35

[redacted]

2905

RAYMOND JAMES STATEMENT

Investment Portfolio Report for November 30 to December 30, 2005

Account ID: [redacted]

KENNY EWELL MARCHANT SR
518 CHRISTI LN
COPPELL TX 75019-4055167

[redacted]

Statement copies to
RAYMOND JAMES & ASSOCIATES

INVESTMENT PROFILE
PRIMARY OBJECTIVE -
Growth
RISK TOLERANCE - High
TIME HORIZON - < 5 Years
SECONDARY OBJECTIVE -
Growth
RISK TOLERANCE - Medium
TIME HORIZON - 5-10 Years

Account Value

Assets Listed in Your Portfolio:	Asset Allocation %	Current Value
CASH/CASH EQUIVALENTS	[redacted]	$9,090.59
MONEY MARKET FUNDS	0.0 %	0.00
EQUITIES/OPTIONS	[redacted]	$360,502.25
MUTUAL FUNDS	0.0 %	0.00
CLOSED-END FUNDS	[redacted]	$0.00
FIXED INCOME SECURITIES	0.0 %	0.00
INSURANCE/ANNUITIES	[redacted]	$0.00
REITs/TANGIBLES	0.0 %	0.00
ALTERNATIVE INVESTMENTS	[redacted]	$0.00
TOTAL ASSETS	100.0 %	$369,592.84

Liabilities:

Ready Access Balance (Margin)	$0.00
Short Sales	0.00
Total Liabilities	$0.00

PORTFOLIO NET VALUE:

Current	$369,592.84
Prior Statement	$374,475.46
12/31/04	$339,230.61

Income & Expense Summary

Income/Receipts:	This Statement	This Year
INTEREST - TAXABLE	$116.68	$3,456.88
INTEREST - NON-TAXABLE	0.00	0.00
DIVIDENDS - HERITAGE MONEY MKT FD	0.00	0.00
DIVIDENDS - TAXABLE	206.50	1,991.50
DIVIDENDS - NON-TAXABLE	0.00	0.00
INCOME - MUTUAL FUNDS	0.00	0.00
RECEIPT OF PRINCIPAL/CAPITAL	0.00	0.00
OTHER INCOME/RECEIPTS	0.00	0.00
TOTAL INCOME & RECEIPTS	$323.18	$3,448.38

Expenses:		
Interest Ready Access (Margin)/Other	$61.70	$61.70
Taxes withheld	0.00	0.00
Dividend/Interest Withheld	0.00	0.00
Total Expense	$61.70	$61.70
Net Income/Receipts and Expenses	$261.48	$3,386.68
Net Cash Deposits/(Withdrawals)	$0.00	$0.00

11817830-002

2906

Securities in accounts custodied by Raymond James & Associates are protected by the Securities Investor Protection Corporation (SIPC) up to $500,000, including up to $100,000 in cash, in the event of the firm's liquidation. Additional protection (excess SIPC) is provided by Customer Asset Protection Company, making the combined protection equal to the total net equity of cash and securities held in custody for your account. This coverage does not protect against market fluctuations.

Raymond James & Associates, Inc. and Raymond James Financial Services, Inc. are affiliated with Raymond James Bank, FSB, a federally chartered savings bank, member FDIC. Unless otherwise specified, products purchased from or held at Raymond James & Associates are not insured by the FDIC, are not deposits or other obligations of Raymond James Bank, are not guaranteed by Raymond James Bank and are subject to investment risk, including possible loss of the principal invested.

For information regarding FDIC, SIPC or a specific security, please contact your financial advisor or visit www.fdic.gov or www.sipc.org.

Any free cash or Client Interest Program (CIP) balances represent funds payable upon demand that, although properly accounted for on our books of record, are not immediately segregated and may be used in the operation of this firm's business as permissible under Securities and Exchange Commission regulations until such time as they are segregated.

CASH/CASH EQUIVALENTS

Cash/Client Interest Program

Description	Estimated Value	Estimated Dividend Yield	Annual Est Income
[redacted]	[redacted]	[redacted]	[redacted]
Total value for Cash/Client Interest Program	$9,090.59		$322
Total value for Cash/Cash Equivalents	$9,090.59		$322

EQUITIES/OPTIONS

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
	SYMBOL: BGG					(2.43)%		
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
	SYMBOL: CHK					(5.55)%		
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
	SYMBOL: CB					0.38 %		
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]		
	SYMBOL: DELL					(8.61)%		
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]		
	INCORPORATED					(6.46)%		
	SYMBOL: [redacted]							

2907

Account carried by Raymond James & Associates, Inc. member New York Stock Exchange/SIPC
880 Carillon Parkway • St. Petersburg, FL 33716 • 800-647-7378 • www.raymondjames.com

YOUR PORTFOLIO

KENNY EWELL MARCHANT SR — ACCOUNT ID: [redacted] — 12/30/05 — PAGE 3

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Estim Est Divi Income Y
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
	SYMBOL: RSG					0.97 %	
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
	SPONSORED ADR					3.92 %	
[redacted]	[redacted]						
	SYMBOL: RYAAY						
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
	CON NEW					(1.45)%	
[redacted]	[redacted]						
1,000	UNOVA INCORPORATED	12/13/05	32.680	33.800	33,800.00	1,120.00	
[redacted]	[redacted]					3.43 %	
1,200	AMDOCS LIMITED ORD	12/13/05	27.544	27.500	33,000.00	(52.80)	
	(UNITED KINGDOM)					(0.16)%	
	SYMBOL: DOX						
425	HARDOS INDUSTRIES LIMITED SHS	12/13/05	29.00	25.750	10,943.75	(1,308.75)	
	(BERMUDA)					(4.48)%	
[redacted]	[redacted]						
Total value for priced Stocks					$360,502.25	($6,835.04)	$3,497

Total value for priced Equities/Options		$360,502.25	($6,835.04)	$3,497
TOTAL VALUE FOR PRICED PORTFOLIO & PROJECTED INCOME		$369,592.84	($6,835.04)	$3,819

The cost information provided is believed to be accurate but should not be used for tax reporting purposes. Please read the following conditions as they may pertain to one or more of your investments: any gain/loss information shown for fixed income Unit Investment Trusts reflects cost information that is adjusted for return of principal; if cost information has not been adjusted for return of principal, then gain/loss information is not shown; Master Limited Partnership gain/loss information does not reflect return of principal; mutual fund reinvestments of dividend or capital gain distributions are excluded from 'Amount Invested', but are included in 'Total Cost Basis'; bonds purchased at a premium are not adjusted for bond premium amortization; unrealized gains/losses are calculated for discount bonds by accreting the cost basis at the purchase yield to maturity.

[redacted]

11817830-003

2908

PERFORMANCE SUMMARY

Your Account Performance for Periods Ending 12/30/05	Quarter	Year-To-Date	1 Year	3 Year	5 Year	10 Year	Since 11/14/03
	(0.796)%	9.110 %	9.110 %	N/A	N/A	N/A	10.862 %

Dollar-weighted performance results represent the return on your portfolio's assets and are impacted by deposits and withdrawals. Because these cash flows are beyond the control of the financial advisor, they should not be used to evaluate his/her performance. Performance returns for securities purchased on margin include the effects of leverage.

Performance returns are calculated net of management fees, if applicable. Returns for periods greater than one year are annualized returns. All performance figures exclude Annuities, Raymond James Bank Certificates of Deposit, Limited Partnerships, and unpriced securities which include securities of indeterminate value. Past performance is not a guarantee of future results. Information used to calculate performance may have been obtained from third party sources, thus Raymond James cannot guarantee accuracy. If you have questions regarding this performance return, please contact your financial advisor.

YOUR TRANSACTIONS

INVESTMENT ACCOUNT ACTIVITY

Date 2005	Description	Income/ (Expense)	Deposits/ (Withdrawals)	Sells/ (Buys)	Cash Balance
[illegible]	OPENING BALANCE				51,399.40
Dec 09	Dividend on 500 shares	120.00			51,519.46
[illegible]	MARSHALL & ILSLEY CORPORATION				
Dec 15	Sold 1000 shares @ $58.503			58,500.55	110,020.01
[illegible]	ULTRA PETROLEUM CORPORATION (CANADA)				
Dec 15	Sold 3800 shares @ $31.2633			20,256.00	130,275.01
	PIER 1 IMPORTS INCORPORATED				
Dec 16	Dividend on 1600 shares	24.00			130,299.01
	HILTON HOTELS CORPORATION				
Dec 16	Sold 2000 shares @ $[illegible]			30,039.[illegible]	160,338.[illegible]
	CISCO SYSTEMS INCORPORATED				
Dec 16	Sold 2600 shares @ $10.8370			28,166.96	193,[illegible]
	FLEXTRONICS INTERNATIONAL LIMITED ORD (SINGAPORE)				
Dec 16	Sold 500 shares @ $42.6			21,299.10	214,744.60 [illegible]
[illegible]	MARSHALL & ILSLEY CORPORATION				

2909

RAYMOND JAMES STATEMENT

YOUR TRANSACTIONS

KENNY EWELL MARCHANT SR ACCOUNT ID: [redacted] 12/30/05 PAGE 5

INVESTMENT ACCOUNT ACTIVITY

Date 2005	Description	Income/ (Expense)	Deposits/ (Withdrawals)	Sells/ (Buys)	Cash Balance
Dec 16	Sold 1600 shares @ $22.52			36,030.49	250,775.18
	CHILTON HOTELS CORPORATION				
Dec 16	Sold 800 shares @ $34.02			27,214.86	277,990.04
	COUNTRYWIDE FINANCIAL				
	CORPORATION				
Dec 16	Sold 1500 shares @ $19.543			29,314.77	307,304.81
	INSIGHT ENTERPRISES				
	INCORPORATED				
Dec 16	Purchased 425 shares @ $79.3			(33,702.50)	273,602.31
	NABORS INDUSTRIES LIMITED SHS				
	(BERMUDA)				
Dec 16	Purchased 1100 shares @ $30.33			(33,363.00)	240,239.31
	US BANCORP DEL				
	COM NEW				
Dec 16	Purchased 850 shares @ $39.7565			(33,793.03)	206,446.28
	ROSS STORES CORPORATION				
Dec 16	Purchased 1200 shares @ $27.5442			(33,053.04)	173,393.24
	ANGLO UNITED GRD				
	(UNITED KINGDOM)				
Dec 16	Purchased 1000 shares @ $33.56			(33,526.00)	139,867.24
	CHESAPEAKE ENERGY CORPORATION				
Dec 16	Purchased 1340 shares @ $37.28			(33,561.60)	106,305.64
	CHUBB CORPORATION				
Dec 16	Purchased 1000 shares @ $32.77			(32,770.00)	73,535.64
	DELL INCORPORATED				
Dec 16	Purchased 1140 shares @ $29.0905			(33,176.02)	39,791.62
	LIFEPOINT HOSPITALS				
	INCORPORATED				
Dec 16	Purchased 1000 shares @ $32.68			(32,680.00)	7,111.62
	UNOVA INCORPORATED				
Dec 16	Purchased 900 shares @ $37.19			(33,471.00)	(26,359.38)
	REPUBLIC SVCS INCORPORATED				

[redacted]

11817830-004

INVESTMENT ACCOUNT ACTIVITY

Date 2005	Description	Income/ (Expense)	Deposits/ (Withdrawals)	Sells/ (Buys)	Cash Balance
Dec 16	Purchased 625 shares @ $53.8764			(33,672.75)	(60,032.13)
[redacted]					
	SPONSORED ADR				
Dec 20	Sold [redacted]			[redacted]	[redacted]
	DAVITA INCORPORATED				
Dec 20	Sold [redacted]			[redacted]	[redacted]
	ENSCO INTERNATIONAL				
[redacted]					
Dec 30	INTEREST ON CASH DEBITS 4 DAYS AVG BL	(61.70)			8,951.41
[redacted]					
Dec 30	CREDIT INTEREST 31 DAYS AVG BL	116.68			9,068.09
[redacted]					
Dec 30	Dividend on 900 shares	22.50			9,090.59
[redacted]					
	INCORPORATED				
NET ACTIVITY/ENDING BALANCES		$261.48	$0.00	($42,570.35)	$9,090.59

[redacted]

2911

ACTIVITY SUMMARY

This summary is a tally of the above activity and may include transactions related to the prior year. The Deposits/(Withdrawals) section captures only money transactions and does not include the delivery or receipt of securities.

INVESTMENT ACCOUNT	THIS STATEMENT	THIS YEAR
Income/Rec'd	$ 321.16	$ 988.38
Expenses	$ (61.70)	$ (928.74)
Net	$ 259.46	$ 59.64
Deposits	$ 0.00	$ 0.00
W/D Payments	$ 0.00	$ 0.00
Net	$ 0.00	$ 0.00
Sales	$ 324,681.50	$ 569,559.48
(Buys)	$ (367,336.84)	$ (507,791.91)
Net	$ (42,655.34)	$ (107,232.43)

REALIZED CAPITAL GAINS AND LOSSES

Realized gains/losses for sales made in your account are provided each quarter to assist you with your financial and tax planning. In some cases cost information may not be available or may have been estimated by you or your financial advisor.

Please read the following information as it may pertain to one or more of your investments: realized gains/losses are calculated assuming that the oldest purchase was liquidated first (first in-first out accounting); any gain/loss information shown for fixed income Unit Investment Trusts reflects cost information that is adjusted for return of principal; if cost information has not been adjusted for return of principal, then gain/loss information is not shown; gain/loss information for Master Limited Partnerships does not reflect return of principal; mutual fund shares sold that were purchased through reinvestments are combined and shown with a purchase date of 'various'; bonds purchased at a premium are not adjusted for bond premium amortization; realized gains/losses are calculated for discount bonds by accreting the cost basis at the purchase yield to maturity. Tax consequences associated with options vary, depending upon the type of option and the strategies utilized. Please consult your tax advisor.

If you have not reported cost information on securities deposited into your account, the opening date and value are noted as not available (N/A). If you wish to have missing cost basis information added to your statement, or have questions regarding any of the above conditions, please contact your financial advisor.

[redacted]

2912

REALIZED CAPITAL GAINS AND LOSSES

SHORT TERM

Quantity	Description	Symbol/CUSIP	OPENING TRANSACTION Date	OPENING TRANSACTION Value	CLOSING TRANSACTION Date	CLOSING TRANSACTION Value	Gain/(Loss)
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
100	CBRL GROUP INCORPORATED	CBRL	01/04/05	4,070.00	05/19/05	3,941.61	(128.39)
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
400	MPS GROUP INCORPORATED	MPS	01/13/05	4,348.00	07/22/05	4,501.61	153.61
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
600	MPS GROUP INCORPORATED	MPS	04/20/05	5,604.00	07/22/05	6,752.42	1,148.42
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
500	PIER 1 IMPORTS INCORPORATED	PIR	02/22/05	8,860.00	12/12/05	5,626.41	(3,233.59)
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
1,000	CISCO SYSTEMS INCORPORATED	CSCO	07/08/05	19,239.00	12/13/05	17,469.77	(1,769.23)
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
500	COUNTRYWIDE FINANCIAL	CFC	12/14/04	18,100.00	12/13/05	17,009.29	(1,090.71)
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
200	COUNTRYWIDE FINANCIAL	CFC	02/22/05	6,814.00	12/13/05	6,803.72	(10.28)
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
800	HILTON HOTELS CORPORATION	HLT	10/19/05	15,744.00	12/13/05	18,015.25	2,271.25
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
100	INSIGHT ENTERPRISES	NSIT	01/04/05	2,020.00	12/13/05	1,954.32	(65.68)
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
400	MARSHALL & ILSLEY CORPORATION	MI	12/14/04	17,072.00	12/13/05	17,039.28	(32.72)
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
1,300	FLEXTRONICS INTERNATIONAL	FLEX	12/14/04	18,811.00	12/13/05	14,083.48	(4,727.52)
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
500	FLEXTRONICS INTERNATIONAL	FLEX	03/17/05	6,235.00	12/13/05	5,416.72	(818.28)
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
100	DAVITA INCORPORATED	DVA	01/04/05	3,986.00	12/15/05	5,129.79	1,143.79
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
Total Short Term Gain/(Loss)				$283,135.00		$271,411.59	($11,723.41)

[redacted]

2913

REALIZED CAPITAL GAINS AND LOSSES

LONG TERM

Quantity	Description	Symbol/CUSIP	OPENING TRANSACTION Date	OPENING TRANSACTION Value	CLOSING TRANSACTION Date	CLOSING TRANSACTION Value	Gain/(Loss)
5,600	ULTRA PETROLEUM CORPORATION	UPL	12/16/03	6,896.48	07/08/05	20,597.17	13,700.69
1,000	ULTRA PETROLEUM CORPORATION	UPL	12/16/03	11,494.13	12/12/05	58,500.55	47,006.42
400	DAVITA INC CORPORATED	DVA	12/14/04	17,968.00	12/15/05	20,519.18	2,551.18
600	ENSCO INTERNATIONAL	ESV	12/14/04	18,750.00	12/15/05	28,930.85	10,180.85
Total Long Term Gain/(Loss)				$53,108.61		$128,547.71	$75,439.10
Total Year To Date Gain/(Loss)				$336,243.61		$399,959.30	$63,715.69

FUTURE PAYMENTS

Cash and stock dividends as well as interest payment information are provided to Raymond James by outside vendors and are believed to be accurate. The future payments listed in this section include those available at the time the statements are printed, and may not represent all the dividends, interest and/or principal that will be paid into your account. For this reason, it is recommended these payments be used as an estimate only. The dividends and interest payments are not included as part of your portfolio value; however, the principal payments listed in this section are included in your total portfolio value.

Future Cash Dividends/Interest

Payable Date	Record Date		Amount
Jan 10	Dec 22	Dividend on 345 shares CHUBB CORPORATION	148.35
Total Future Cash Dividends/Interest			$148.35

YOUR TRANSACTIONS

KENNY EWELL MARCHANT SR ACCOUNT ID: [redacted] 12/30/05 PAGE 10

Please notify your financial advisor or our Client Services Department immediately of any discrepancies between your records and this statement. Any oral communications should be followed up in writing. Please direct all other inquiries, including updates to your investment profile, to your advisor.

JAMES AUGHENBAUGH
(214) 720-7141

Client Services Department
800-647-SERV (7378)
Monday - Friday, 8:00 a.m. - 6:00 p.m. EST

THANK YOU FOR YOUR BUSINESS

*** End of Statement ***
1181783000005138347008BMARCHANT KENNY

[redacted]

RAYMOND JAMES STATEMENT

Investment Portfolio Report for October 31 to November 30, 2005

Account ID: [redacted]

KENNY EWELL MARCHANT SR
516 CHRISTI LN
COPPELL TX 75019-4055187

[redacted]

Statement copies to
RAYMOND JAMES & ASSOCIATES

INVESTMENT PROFILE
PRIMARY OBJECTIVE -
Growth
RISK TOLERANCE - High
TIME HORIZON - < 5 Years
SECONDARY OBJECTIVE -
Growth
RISK TOLERANCE - Medium
TIME HORIZON - 5-10 Years

Account Value

Assets Listed in Your Portfolio:	Asset Allocation %	Current Value
CASH/CASH EQUIVALENTS		$51,992.36
MONEY MARKET FUNDS	0.0 %	0.00
EQUITIES/OPTIONS		$323,076.00
MUTUAL FUNDS	0.0 %	0.00
CLOSED-END FUNDS		0.00
FIXED INCOME SECURITIES	0.0 %	0.00
INSURANCE/ANNUITIES		0.00
REITs/TANGIBLES	0.0 %	0.00
ALTERNATIVE INVESTMENTS		0.00
TOTAL ASSETS	100.0 %	$374,475.46

Liabilities:

Ready Access Balance (Margin)	$0.00
Short Sales	0.00
Total Liabilities	$0.00

PORTFOLIO NET VALUE:	
Current	$374,475.46
Prior Statement	$355,458.33
12/31/04	$339,230.61

Income & Expense Summary

Income/Receipts:	This Statement	This Year
INTEREST - TAXABLE	$126.13	$1,340.20
INTEREST - NON-TAXABLE	0.00	0.00
DIVIDENDS - HERITAGE MONEY MKT FDS	0.00	0.00
DIVIDENDS - TAXABLE	300.00	1,785.00
DIVIDENDS - NON-TAXABLE	0.00	0.00
INCOME - MUTUAL FUNDS	0.00	0.00
RECEIPTS OF PRINCIPAL/CAPITAL	0.00	0.00
OTHER INCOME/RECEIPTS	0.00	0.00
TOTAL INCOME & RECEIPTS	$426.13	$3,125.20

Expenses:		
Interest-Ready Access(Margin)/Other	$0.00	$0.00
Taxes withheld	0.00	0.00
Dividend/Interest Charged	0.00	0.00
Total Expense	$0.00	$0.00
Net Income/Receipts and Expenses	$426.13	$3,125.20
Net Cash Deposits/(Withdrawals)	$0.00	$0.00

[redacted]

11817630-002

2916

Securities in accounts custodied by Raymond James & Associates are protected by the Securities Investor Protection Corporation (SIPC) up to $500,000, including up to $100,000 in cash, in the event of the firm's liquidation. Additional protection (excess SIPC) is provided by Customer Asset Protection Company, making the combined protection equal to the total net equity of cash and securities held in custody for your account. This coverage does not protect against market fluctuations.

Raymond James & Associates, Inc. and Raymond James Financial Services, Inc. are affiliated with Raymond James Bank, FSB, a federally chartered savings bank, member FDIC. Unless otherwise specified, products purchased from or held at Raymond James & Associates are not insured by the FDIC, are not deposits or other obligations of Raymond James Bank, are not guaranteed by Raymond James Bank and are subject to investment risk, including possible loss of the principal invested.

For information regarding FDIC, SIPC or a specific security, please contact your financial advisor or visit www.fdic.gov or www.sipc.org.

Any free cash or Client Interest Program (CIP) balances represent funds payable upon demand that, although properly accounted for on our books of record, are not immediately segregated and may be used in the operation of this firm's business as permissible under Securities and Exchange Commission regulations until such time as they are segregated.

CASH/CASH EQUIVALENTS

Cash/Client Interest Program

Description	Estimated Value	Estimated Dividend Yield	Annual Est Income
[redacted]	[redacted]	[redacted]	[redacted]
Total value for Cash/Client Interest Program	$51,399.46		$1,722
Total value for Cash/Cash Equivalents	$51,399.46		$1,722

EQUITIES/OPTIONS

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
	SYMBOL: CSCO					(5.36)%		
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
1,000	CSCO	08/12/05	17.829		17,540.00	(289.00)		
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
	CORPORATION					(2.66)%		
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
500	CFC	12/14/04	36.200		17,405.00	(695.00)	300	
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
200	CFC	02/22/05	34.070		6,962.00	148.00	120	

[redacted]

2917

RAYMOND JAMES STATEMENT

Account carried by Raymond James & Associates, Inc. Member New York Stock Exchange/SIPC
880 Carillon Parkway • St. Petersburg, FL 33716 • 800-647-7378 • www.raymondjames.com

YOUR PORTFOLIO

KENNY EWELL MARCHANT SR ACCOUNT ID [redacted] 11/30/05 PAGE 3

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estim Divi Y
5,000	DAVITA CORPORATION		39.000	52.800	264,000.00	67,000.00		
	SYMBOL: DVA					31.53 %		
	4,900 DVA	12/14/04	39.920		258,720.00	67,048.00		
	100 DVA	01/04/05	39.860		5,249.00	1,263.00		
900	ENSCO INTERNATIONAL INCORPORATED		31.203	47.300	42,570.00	14,541.00	90	0
	SYMBOL: ESV					51.78 %		
	600 ESV	12/14/04	31.250		28,416.00	9,666.00	60	
	300 ESV	05/18/05	31.110		14,208.00	4,875.00	30	
1,600	HILTON HOTELS CORPORATION		20.785	21.920	35,072.00	1,816.00	256	0
	SYMBOL: HLT					5.18 %		
	800 HLT	12/14/04	21.890		17,536.00	24.00	128	
	800 HLT	10/19/05	21.600		17,536.00	1,792.00	128	
1,500	INSIGHT ENTERPRISES		19.494	20.830	31,245.00	2,004.00		
	INCORPORATED					6.85 %		
	SYMBOL: NSIT							
	900 NSIT	12/14/04	20.190		18,747.00	576.00		
	100 NSIT	01/04/05	20.200		2,083.00	63.00		
	500 NSIT	02/22/05	18.100		10,415.00	1,365.00		
500	MARSHALL & ILSLEY CORPORATION		42.836	42.980	21,490.00	72.00	480	2
	SYMBOL: MI					0.34 %		
	400 MI	12/14/04	42.680		17,192.00	120.00	384	
	100 MI	01/04/05	43.160		4,298.00	(68.00)	96	
1,800	PIER 1 IMPORTS INCORPORATED		17.911	12.700	22,860.00	(9,379.80)	720	3
	SYMBOL: PIR					(29.09) %		
	1,000 PIR	12/14/04	18.630		12,700.00	(5,930.00)	400	
	500 PIR	02/22/05	17.720		6,350.00	(2,510.00)	200	
	300 PIR	04/20/05	15.830		3,810.00	(939.00)	120	
1,000	ULTRA PETROLEUM CORPORATION (CANADA)	02/18/03	11.893	53.780	53,780.00	42,286.00		
	SYMBOL: UPL					367.90 %		

[redacted]

11817830-003

YOUR PORTFOLIO

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
2,600	FLEXTRONICS INTERNATIONAL LIMITED ORD (SINGAPORE)		11.238	10.320	26,832.00	(7,598.80) (22.04)%		
	SYMBOL: FLEX							
1,300	FLEX	12/14/04	14.470		13,416.00	(5,395.00)		
8,200	FLEX	01/04/05	13.160		31,096.00	(852.00)		
500	FLEX	03/17/05	12.470		5,160.00	(1,075.00)		
5,500	FLEX	04/20/05	10.850		51,160.00	(265.00)		
Total value for priced Stocks					$323,076.00	$47,294.90		$2,026

Total value for priced Equities/Options	$323,076.00	$47,294.90	$2,026
TOTAL VALUE FOR PRICED PORTFOLIO & PROJECTED INCOME	$374,475.46	$47,294.90	$3,748

The cost information provided is believed to be accurate but should not be used for tax reporting purposes. Please read the following conditions as they may pertain to one or more of your investments: any gain/loss information shown for fixed income Unit Investment Trusts reflects cost information that is adjusted for return of principal; if cost information has not been adjusted for return of principal, then gain/loss information is not shown; Master Limited Partnership gain/loss information does not reflect return of principal; mutual fund reinvestments of dividend or capital gain distributions are excluded from 'Amount Invested', but are included in 'Total Cost Basis'; bonds purchased at a premium are not adjusted for bond premium amortization; unrealized gains/losses are calculated for discount bonds by accreting the cost basis at the purchase yield to maturity.

YOUR TRANSACTIONS

INVESTMENT ACCOUNT ACTIVITY

Date 2005	Description	Income/ (Expense)	Deposits/ (Withdrawals)	Sells/ (Buys)	Cash Balance
	OPENING BALANCE				50,973.33
Nov 16	Dividend on 1800 shares	180.00			51,153.33
	PIER 1 IMPORTS, INCORPORATED				
Nov 25	CREDIT INTEREST 28 DAYS AVG BL	126.13			51,279.46
	51031.18 AVG RATE 0.221 %				
Nov 30	Dividend on 800 shares	120.00			51,399.46
	COUNTRYWIDE FINANCIAL CORPORATION				[redacted]

2919

INVESTMENT ACCOUNT ACTIVITY

Date 2005	Description	Income/ (Expense)	Deposits/ (Withdrawals)	Sells/ (Buys)	Cash Balance
NET ACTIVITY/ENDING BALANCES		$426.13	$0.00	$0.00	$51,399.46

ACTIVITY SUMMARY

This summary is a tally of the above activity and may include transactions related to the prior year. The Deposits/ (Withdrawals) section captures only money transactions and does not include the delivery or receipt of securities.

INVESTMENT ACCOUNT	THIS STATEMENT	THIS YEAR
Income/	$426.13	$3,125.20
(Expense)	(0.00)	(6,467.04)
Net	$426.13	($3,341.84)
Deposits	$0.00	$0.00
(Withdrawals)	$0.00	$0.00
Net	$0.00	$0.00
Sells	$0.00	$5,192.69
(Buys)	$0.00	(150,455.00)
Net	$0.00	($65,262.31)

[redacted]

2920

REALIZED CAPITAL GAINS AND LOSSES

Realized gains/losses for sales made in your account are provided each quarter to assist you with your financial and tax planning. In some cases cost information may not be available or may have been estimated by you or your financial advisor.

Please read the following information as it may pertain to one or more of your investments: realized gains/losses are calculated assuming that the oldest purchase was liquidated first (first in-first out accounting); any gain/loss information shown for fixed income Unit Investment Trusts reflects cost information that is adjusted for return of principal; if cost information has not been adjusted for return of principal, then gain/loss information is not shown; gain/loss information for Master Limited Partnerships does not reflect return of principal; mutual fund shares sold that were purchased through reinvestments are combined and shown with a purchase date of 'various'; bonds purchased at a premium are not adjusted for bond premium amortization; realized gains/losses are calculated for discount bonds by accreting the cost basis at the purchase yield to maturity. Tax consequences associated with options vary, depending upon the type of option and the strategies utilized. Please consult your tax advisor.

If you have not reported cost information on securities deposited into your account, the opening date and value are noted as not available (N/A). If you wish to have missing cost basis information added to your statement, or have questions regarding any of the above conditions, please contact your financial advisor.

SHORT TERM

			OPENING TRANSACTION		CLOSING TRANSACTION		
Quantity	Description	Symbol/CUSIP	Date	Value	Date	Value	Gain/(Loss)
400	CBRL GROUP INCORPORATED	CBRL	12/20/04	16,592.00	05/19/05	15,766.46	(825.54)
100	CBRL GROUP INCORPORATED	CBRL	01/04/05	4,070.00	05/19/05	3,941.61	(128.39)
1,600	MPS GROUP INCORPORATED	MPS	12/29/04	17,292.00	07/22/05	18,006.45	214.45
400	MPS GROUP INCORPORATED	MPS	01/13/05	4,348.00	07/22/05	4,501.61	153.61
800	MPS GROUP INCORPORATED	MPS	02/2[illegible]/05	5,160.00	07/22/05	5,627.01	467.01
600	MPS GROUP INCORPORATED	MPS	04/20/05	5,604.00	07/22/05	6,752.42	1,148.42
Total Short Term Gain/(Loss)				$53,566.00		$54,595.56	$1,029.56

LONG TERM

			OPENING TRANSACTION		CLOSING TRANSACTION		
Quantity	Description	Symbol/CUSIP	Date	Value	Date	Value	Gain/(Loss)
600	ULTRA PETROLEUM CORPORATION	UPL	12/16/03	6,896.48	07/09/05	20,597.13	13,700.65
Total Long Term Gain/(Loss)				$6,896.48		$20,597.13	$13,700.65
Total Year To Date Gain/(Loss)				$60,462.48		$75,192.69	$14,730.21

[redacted]

2921

RAYMOND JAMES STATEMENT
[illegible] Member New York Stock Exchange/SIPC
[illegible] 800-647-7378 • www.raymondjames.com

YOUR TRANSACTIONS

KENNY EWELL MARCHANT SR ACCOUNT ID: [redacted] 11/30/05 PAGE 7

Please notify your financial advisor or our Client Services Department immediately of any discrepancies between your records and this statement. Any oral communications should be followed up in writing. Please direct all other inquiries, including updates to your investment profile, to your advisor.

JAMES AUGHENBAUGH
(214) 720-7141

Client Services Department
800-647-SERV (7378)
Monday - Friday, 8:00 a.m. - 6:00 p.m. EST

THANK YOU FOR YOUR BUSINESS

*** End of Statement ***

11817830000051383 4T00BMARCHANT KENNY

16. YOU ANTICIPATE CHANGING EMPLOYERS OR YOU HOLD ASSETS IN A PREVIOUS EMPLOYER'S 401(K). PLEASE CONTACT YOUR FINANCIAL ADVISOR TO DISCUSS HOW YOU MAY BENEFIT FROM ROLLING THESE ASSETS INTO A RAYMOND JAMES IRA.

11817830-005

RAYMOND JAMES — STATEMENT

Investment Portfolio Report for August 31 to September 30, 2005

Account ID: [redacted]

KENNY EWELL MARCHANT SR
516 CHRISTI LN
COPPELL TX 75019-4065187

[redacted]

Statement copies to:
RAYMOND JAMES & ASSOCIATES

INVESTMENT PROFILE
PRIMARY OBJECTIVE -
Growth
RISK TOLERANCE - High
TIME HORIZON - < 5 Years
SECONDARY OBJECTIVE -
Growth
RISK TOLERANCE - Medium
TIME HORIZON - 5-10 Years

Account Value

Assets Listed in Your Portfolio:	Asset Allocation %	Current Value
CASH/CASH EQUIVALENTS	[illegible]	$67,976.01
MONEY MARKET FUNDS	0.0 %	0.00
EQUITIES/OPTIONS	[illegible]	$305,277.00
MUTUAL FUNDS	0.0 %	0.00
CLOSED-END FUNDS	0.0 %	0.00
FIXED INCOME SECURITIES	0.0 %	0.00
INSURANCE/ANNUITIES	0.0 %	0.00
REITs/TANGIBLES	0.0 %	0.00
ALTERNATIVE INVESTMENTS	0.0 %	0.00
TOTAL ASSETS	100.0 %	$373,253.01

Liabilities:

Ready Access Balance (Margin)	$0.00
Short Sales	0.00
Total Liabilities	$0.00

PORTFOLIO NET VALUE:	
Current [illegible]	$373,253.01
Prior Statement	$358,887.98
12/31/04 [illegible]	$334,230.61

Income & Expense Summary

Income/Receipts:	This Statement	This Year
INTEREST - TAXABLE	$186.53	$1,061.55
INTEREST - NON-TAXABLE	0.00	0.00
DIVIDENDS - HERITAGE MONEY MKT FDS	0.00	0.00
DIVIDENDS - TAXABLE	174.50	1,485.00
DIVIDENDS - NON-TAXABLE	0.00	0.00
INCOME - MUTUAL FUNDS	0.00	0.00
RECEIPT of PRINCIPAL/CAPITAL	0.00	0.00
OTHER INCOME/RECEIPTS	0.00	0.00
TOTAL INCOME & RECEIPTS	$361.03	$2,546.55

Expenses:		
Interest Ready Access (Margin)/Other	$0.00	$0.00
Taxes withheld	0.00	0.00
Dividend/Interest Charged	0.00	0.00
Total Expense	$0.00	$0.00
Net Income/Receipts and Expenses	$361.03	$2,546.55
Net Cash Deposits/(Withdrawals)	$0.00	$0.00

[redacted]

11817830-002

Securities in accounts custodied by Raymond James & Associates are protected by the Securities Investor Protection Corporation (SIPC) up to $500,000, including up to $100,000 in cash, in the event of the firm's liquidation. Additional protection (excess SIPC) is provided by Customer Asset Protection Company, making the combined protection equal to the total net equity of cash and securities held in custody for your account. This coverage does not protect against market fluctuations.

Raymond James & Associates, Inc. and Raymond James Financial Services, Inc. are affiliated with Raymond James Bank, FSB, a federally chartered savings bank, member FDIC. Unless otherwise specified, products purchased from or held at Raymond James & Associates are not insured by the FDIC, are not deposits or other obligations of Raymond James Bank, are not guaranteed by Raymond James Bank and are subject to investment risk, including possible loss of the principal invested.

For information regarding FDIC, SIPC or a specific security, please contact your financial advisor or visit www.fdic.gov or www.sipc.org.

Any free cash or Client Interest Program (CIP) balances represent funds payable upon demand that, although properly accounted for on our books of record, are not immediately segregated and may be used in the operation of this firm's business as permissible under Securities and Exchange Commission regulations until such time as they are segregated.

CASH/CASH EQUIVALENTS

Cash/Client Interest Program

Description	Estimated Value	Estimated Dividend Yield	Annual Est Income
CLIENT INTEREST PROGRAM	67,976.01	2.97%	2,019
Total value for Cash/Client Interest Program	$67,976.01		$2,019
Total value for Cash/Cash Equivalents	$67,976.01		$2,019

EQUITIES/OPTIONS

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
2,000	CISCO SYSTEMS INCORPORATED		16.534	17.920	35,840.00	(1,228.00)		
	SYMBOL: CSCO					(3.31)%		
	1,000 CSCO	07/08/05	19.219		17,920.00	(1,299.00)		
	1,000 CSCO	08/12/05	17.829		17,920.00	91.00		
800	COUNTRYWIDE FINANCIAL CORPORATION		34.761	27.980	22,384.00	(2,223.80)	480	2.14%
	SYMBOL: CFC					(7.78)%		
	500 CFC	12/14/04	36.200		16,490.00	(1,610.00)	300	
	100 CFC	01/04/05	38.950		3,298.00	(397.00)	60	
	200 CFC	02/22/05	34.070		6,596.00	(218.00)	120	

[redacted]

2925

Stocks

Shares	Description		Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estim Divi Y
400	DAVITA INCORPORATED			39.905	46.070	23,035.00	3,081.00		
	SYMBOL: DVA						15.44 %		
	400	DVA	12/14/04	39.820		18,428.00	2,460.00		
	100	DVA	01/04/05	39.860		4,607.00	621.00		
1,900	ENSCO INTERNATIONAL INCORPORATED			31.203	46.590	41,931.00	13,848.30	90	0.
	SYMBOL: ESV						49.31 %		
	600	ESV	12/14/04	31.260		27,954.00	9,204.00	60	
	300	ESV	05/18/05	31.110		13,977.00	4,644.00	30	
800	HILTON HOTELS CORPORATION		12/14/04	21.890	22.320	17,856.00	344.00	128	0
	SYMBOL: HLT						1.96 %		
1,500	INSIGHT ENTERPRISES INCORPORATED			19.494	18.600	27,900.00	(1,341.00)		
	SYMBOL: NSIT						(4.59)%		
	800	NSIT	12/14/04	20.190		16,760.00	(1,431.00)		
	100	NSIT	01/04/05	20.200		1,860.00	(160.00)		
	500	NSIT	02/22/05	18.100		9,300.00	250.00		
500	MARSHALL & ILSLEY CORPORATION			42.836	43.510	21,755.00	337.00	480	2
	SYMBOL: HI						1.57 %		
	400	HI	12/14/04	42.680		17,404.00	332.00	384	
	100	HI	01/06/05	43.460		4,351.00	5.00	96	
1,800	PIER 1 IMPORTS INCORPORATED			17.911	11.270	20,286.00	(11,953.80)	720	3
	SYMBOL: PIR						(37.08)%		
	1,000	PIR	12/14/04	18.630		11,270.00	(7,360.00)	400	
	500	PIR	02/22/05	17.720		5,635.00	(3,225.00)	200	
	300	PIR	04/20/05	15.830		3,381.00	(1,368.00)	120	
1,000	ULTRA PETROLEUM CORPORATION (CANADA)		12/15/05	11.494	56.880	56,880.00	45,386.00		
	SYMBOL: UPL						394.87 %		
2,600	FLEXTRONICS INTERNATIONAL LIMITED ORD (SINGAPORE)			13.238	12.850	33,410.00	(1,008.80)		
	SYMBOL: FLEX						(2.93)%		
	1,300	FLEX	12/14/04	14.470		16,705.00	(2,106.00)		

[redacted]

11817830-003

2926

YOUR PORTFOLIO

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]		
500	FLEX	03/17/05	12.470		6,425.00	190.00		
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]		
Total value for priced Stocks					$305,277.00	$45,239.90	$1,898	
Total value for priced Equities/Options					$305,277.00	$45,239.90	$1,898	
TOTAL VALUE FOR PRICED PORTFOLIO & PROJECTED INCOME					$373,253.01	$45,239.90	$3,917	

The cost information provided is believed to be accurate but should not be used for tax reporting purposes. Please read the following conditions as they may pertain to one or more of your investments: any gain/loss information shown for fixed income Unit Investment Trusts reflects cost information that is adjusted for return of principal; if cost information has not been adjusted for return of principal, then gain/loss information is not shown; Master Limited Partnership gain/loss information does not reflect return of principal; mutual fund reinvestments of dividend or capital gain distributions are excluded from 'Amount Invested', but are included in 'Total Cost Basis'; bonds purchased at a premium are not adjusted for bond premium amortization; unrealized gains/losses are calculated for discount bonds by accreting the cost basis at the purchase yield to maturity.

PERFORMANCE SUMMARY

Your Account Performance for Periods Ending 09/30/05	Quarter	Year-To-Date	1 Year	3 Year	5 Year	10 Year	Since 11/14/03
	8.202 %	9.986 %	20.250 %	N/A	N/A	N/A	12.987 %

Dollar-weighted performance results represent the return on your portfolio's assets and are impacted by deposits and withdrawals. Because these cash flows are beyond the control of the financial advisor, they should not be used to evaluate his/her performance. Performance returns for securities purchased on margin include the effects of leverage.

Performance returns are calculated net of management fees, if applicable. Returns for periods greater than one year are annualized returns. All performance figures exclude Annuities, Raymond James Bank Certificates of Deposit, Limited Partnerships, and unpriced securities which include securities of indeterminate value. Past performance is not a guarantee of future results. Information used to calculate performance may have been obtained from third party sources, thus Raymond James cannot guarantee accuracy. If you have questions regarding this performance return, please contact your financial advisor.

[redacted]

2927

YOUR TRANSACTIONS

KENNY EWELL MARCHANT SR ACCOUNT ID: [redacted] 09/30/05 PAGE 5

INVESTMENT ACCOUNT ACTIVITY

Date 2005	Description	Income/ (Expense)	Deposits/ (Withdrawals)	Sells/ (Buys)	Cash Balance
	OPENING BALANCE				67,614.98
Sep 09	Dividend on 500 shares	120.00			67,734.98
	MARSHALL & ILSLEY CORPORATION				
Sep 16	Dividend on 800 shares	32.00			67,766.98
	HILTON HOTELS CORPORATION				
Sep 30	CREDIT INTEREST 35 DAYS AVG BL	186.53			67,953.51
	67682.63 AVG RATE= 2.87%				
Sep 30	Dividend on 900 shares	22.50			67,976.01
	TENSCOT INTERNATIONAL INCORPORATED				
NET ACTIVITY/ENDING BALANCES		$361.03	$0.00	$0.00	$67,976.01

ACTIVITY SUMMARY

This summary is a tally of the above activity and may include transactions related to the prior year. The Deposits/ (Withdrawals) section captures only money transactions and does not include the delivery or receipt of securities.

INVESTMENT ACCOUNT	THIS STATEMENT	THIS YEAR
Income/Receipt	$ 361.03	$ 8,546.55
(Expense)	$ 0.00	$ (8,055.84)
Net	$ 361.03	$ (10,509.29)
Deposits	$ 0.00	$ 0.00
(Withdrawals)	$ 0.00	$ 0.00
Net	$ 0.00	$ 0.00
Sells	$ 0.00	$ 76,192.69
(Buys)	$ 0.00	$ (24,711.00)
Net	$ 0.00	$ (69,038.31)

[redacted]

11817830-004

REALIZED CAPITAL GAINS AND LOSSES

Realized gains/losses for sales made in your account are provided each quarter to assist you with your financial and tax planning. In some cases cost information may not be available or may have been estimated by you or your financial advisor.

Please read the following information as it may pertain to one or more of your investments: realized gains/losses are calculated assuming that the oldest purchase was liquidated first (first in-first out accounting); any gain/loss information shown for fixed income Unit Investment Trusts reflects cost information that is adjusted for return of principal; if cost information has not been adjusted for return of principal, then gain/loss information is not shown; gain/loss information for Master Limited Partnerships does not reflect return of principal; mutual fund shares sold that were purchased through reinvestments are combined and shown with a purchase date of 'various'; bonds purchased at a premium are not adjusted for bond premium amortization; realized gains/losses are calculated for discount bonds by accreting the cost basis at the purchase yield to maturity. Tax consequences associated with options vary, depending upon the type of option and the strategies utilized. Please consult your tax advisor.

If you have not reported cost information on securities deposited into your account, the opening date and value are noted as not available (N/A). If you wish to have missing cost basis information added to your statement, or have questions regarding any of the above conditions, please contact your financial advisor.

SHORT TERM

Quantity	Description	Symbol/CUSIP	OPENING TRANSACTION Date	OPENING TRANSACTION Value	CLOSING TRANSACTION Date	CLOSING TRANSACTION Value	Gain/(Loss)
100	CBRL GROUP INCORPORATED	CBRL	12/20/04	16,592.00	05/19/05	15,766.46	(825.54)
100	CBRL GROUP INCORPORATED	CBRL	01/04/05	4,070.00	05/19/05	3,941.61	(128.39)
1,000	MPS GROUP INCORPORATED	MPS	12/14/04	17,782.00	07/22/05	18,006.45	224.45
400	MPS GROUP INCORPORATED	MPS	01/13/05	4,348.00	07/22/05	4,501.61	153.61
500	MPS GROUP INCORPORATED	MPS	02/22/05	5,180.00	07/22/05	5,627.01	447.01
600	MPS GROUP INCORPORATED	MPS	04/20/05	5,604.00	07/22/05	6,752.42	1,148.42
Total Short Term Gain/(Loss)				$53,566.00		$54,595.56	$1,029.56

LONG TERM

Quantity	Description	Symbol/CUSIP	OPENING TRANSACTION Date	OPENING TRANSACTION Value	CLOSING TRANSACTION Date	CLOSING TRANSACTION Value	Gain/(Loss)
600	UCTRA PETROLEUM CORPORATION	UUP	12/16/03	6,896.48	07/08/05	20,597.13	13,700.65
Total Long Term Gain/(Loss)				$6,896.48		$20,597.13	$13,700.65
Total Year To Date Gain/(Loss)				$60,462.48		$75,192.69	$14,730.21

2929

RAYMOND JAMES STATEMENT

Investment Portfolio Report for July 29 to August 31, 2005

Account ID: [redacted]

KENNY EWELL MARCHANT SR
516 CHRISTI LN
COPPELL TX 75019-4055167

[redacted]

Statement copies to
RAYMOND JAMES & ASSOCIATES

PRIMARY OBJECTIVE -
Growth
RISK TOLERANCE - High
TIME HORIZON - < 5 Years
SECONDARY OBJECTIVE -
Growth
RISK TOLERANCE - Medium
TIME HORIZON - 5-10 Years

Account Value

Assets Listed in Your Portfolio:	Asset Allocation %	Current Value
CASH/CASH EQUIVALENTS	[redacted]	[redacted]
MONEY MARKET FUNDS	0.0 %	0.00
EQUITIES/OPTIONS	[redacted]	[redacted]
MUTUAL FUNDS	0.0 %	0.00
FIXED INCOME	[redacted]	[redacted]
FIXED INCOME SECURITIES	0.0 %	0.00
INSURANCE/ANNUITIES	[redacted]	[redacted]
REITs/TANGIBLES	0.0 %	0.00
ALTERNATIVE INVESTMENTS	[redacted]	[redacted]
TOTAL ASSETS	100.0 %	$358,887.98

Liabilities:

Ready Access Balance (Margin)	$0.00
Short Sales	0.00
Total Liabilities	$0.00

PORTFOLIO NET VALUE:	
Current	$358,887.98
Prior Statement	$364,972.26
12/31/04	[redacted]

Income & Expense Summary

Income/Receipts:	This Statement	This Year
INTEREST - TAXABLE	[redacted]	[redacted]
INTEREST - NON-TAXABLE	0.00	0.00
DIVIDENDS - HERITAGE MONEY MKT FDS	[redacted]	[redacted]
DIVIDENDS - TAXABLE	300.00	1,310.50
DIVIDENDS - NON-TAXABLE	[redacted]	[redacted]
INCOME - MUTUAL FUNDS	0.00	0.00
RECEIPT OF PRINCIPAL/CAPITAL	[redacted]	[redacted]
OTHER INCOME/RECEIPTS	0.00	0.00
TOTAL INCOME & RECEIPTS	$463.72	$2,185.52

Expenses:		
Interest-Ready Access(Margin)/OEbal	[redacted]	[redacted]
Taxes withheld	0.00	0.00
Dividend/Interest Charged	[redacted]	[redacted]
Total Expense	$0.00	$0.00
Net Income/Receipts and Expenses	$463.72	$2,185.52
Net Cash Deposits/(Withdrawals)	$0.00	$0.00

[redacted]

11817630-002

Securities in accounts custodied by Raymond James & Associates are protected by the Securities Investor Protection Corporation (SIPC) up to $500,000, including up to $100,000 in cash, in the event of the firm's liquidation. Additional protection (excess SIPC) is provided by Customer Asset Protection Company, making the combined protection equal to the total net equity of cash and securities held in custody for your account. This coverage does not protect against market fluctuations.

Raymond James & Associates, Inc. and Raymond James Financial Services, Inc. are affiliated with Raymond James Bank, FSB, a federally chartered savings bank, member FDIC. Unless otherwise specified, products purchased from or held at Raymond James & Associates are not insured by the FDIC, are not deposits or other obligations of Raymond James Bank, are not guaranteed by Raymond James Bank and are subject to investment risk, including possible loss of the principal invested.

For information regarding FDIC, SIPC or a specific security, please contact your financial advisor or visit www.fdic.gov or www.sipc.org.

Any free cash or Client Interest Program (CIP) balances represent funds payable upon demand that, although properly accounted for on our books of record, are not immediately segregated and may be used in the operation of this firm's business as permissible under Securities and Exchange Commission regulations until such time as they are segregated.

CASH/CASH EQUIVALENTS

Cash/Client Interest Program

Description	Estimated Value	Estimated Dividend Yield	Annual Est Income
Client Interest Program	67,614.98	2.84%	$1,920
Total value for Cash/Client Interest Program	$67,614.98		$1,920
Total value for Cash/Cash Equivalents	$67,614.98		$1,920

EQUITIES/OPTIONS

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
2,000	CISCO SYSTEMS INCORPORATED		18.534	17.620	35,240.00	(1,828.00)		
	SYMBOL: CSCO					(4.93)%		
	1,000 CSCO	07/08/05	18.235		17,620.00	(615.00)		
	1,000 CSCO	08/12/05	17.829		17,620.00	(209.00)		
600	COUNTRYWIDE FINANCIAL CORPORATION		35.761	37.790	22,674.00	(1,576.00)	480	2.18%
	SYMBOL: CFC					(5.51)%		
	500 CFC	12/14/04	36.200		18,895.00	(1,205.00)	300	
	100 CFC	01/04/05	36.950		3,779.00	(316.00)	60	
	200 CFC	02/22/05	34.070		6,758.00	(56.00)	120	

[redacted]

2931

PAYMENT TO PAYEE — STATEMENT

YOUR PORTFOLIO

KENNY EWELL MARCHANT SR — ACCOUNT ID: [redacted] — 08/31/05 — PAGE 3

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estim Divi Y
1,500	DVI TECHNOLOGIES CORPORATED		17.000	15.010	22,515.00	3,015.00		
	SYMBOL: DVA					15.04 %		
	500 DVA	12/14/04	20.420		7,505.00	2,085.00		
	100 DVA	01/04/05	39.860		4,591.00	605.00		
1,000	ENSCO INTERNATIONAL		31.200	46.860	46,860.00	15,660.00	280	
	INCORPORATED					30.95 %		
	SYMBOL: ESV							
	600 ESV	12/14/04	31.250		24,516.00	5,766.00	60	
1,000	HILTON HOTELS CORPORATION	05/13/05	21.010		18,258.00	2,025.00	120	
800	HILTON HOTELS CORPORATION	12/14/04	21.890	23.170	18,536.00	1,024.00	128	0
	SYMBOL: HLT					5.85 %		
1,500	INSIGHT ENTERPRISES		19.494	18.830	28,245.00	(996.00)		
	INCORPORATED					(3.41) %		
	SYMBOL: NSIT							
	1,000 NSIT	12/14/04	20.190		16,947.00	(1,243.00)		
	100 NSIT	01/04/05	20.200		1,883.00	(137.00)		
	500 NSIT	02/22/05	18.000		9,415.00	865.00		
500	MARSHALL & ILSLEY CORPORATION		42.836	43.770	21,885.00	467.00	480	2
	SYMBOL: MI					2.18 %		
	400 MI	12/14/04	42.680		17,508.00	436.00	384	
	100 MI	01/04/05	42.960		4,377.00	31.00	96	
1,800	PIER 1 IMPORTS INCORPORATED		17.911	12.450	22,410.00	(9,829.80)	720	3
	SYMBOL: PIR					(30.49) %		
	1,000 PIR	12/14/04	18.630		12,450.00	(6,180.00)	400	
	500 PIR	02/22/05	17.720		6,225.00	(2,535.00)	200	
	300 PIR	04/20/05	15.830		3,735.00	(1,014.00)	120	
1,000	ULTRAPETROL (BAHAMAS) CORPORATION	12/16/03	1.0940	44.240	44,240.00	42,146.00		
	(CANADA)					284.90 %		
	SYMBOL: ULTRA							
2,600	FLEXTRONICS INTERNATIONAL		13.238	13.060	33,956.00	(462.80)		
	LIMITED ORD (SINGAPORE)					(1.34) %		
	SYMBOL: FLEX							
1,300	FLEXT	12/14/04	14.470		16,978.00	(2,833.00)		

[redacted]

11617830-003

YOUR PORTFOLIO

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
[redacted]								
500	FLEX	03/17/05	12.470		6,530.00	295.00		
[redacted]								
Total value for priced Stocks					$291,273.00	$31,235.90	$1,898	
Total value for priced Equities/Options					$291,273.00	$31,235.90	$1,898	
TOTAL VALUE FOR PRICED PORTFOLIO & PROJECTED INCOME					$358,887.98	$31,235.90	$3,818	

The cost information provided is believed to be accurate but should not be used for tax reporting purposes. Please read the following conditions as they may pertain to one or more of your investments: any gain/loss information shown for fixed income Unit Investment Trusts reflects cost information that is adjusted for return of principal; if cost information has not been adjusted for return of principal, then gain/loss information is not shown; Master Limited Partnership gain/loss information does not reflect return of principal; mutual fund reinvestments of dividend or capital gain distributions are excluded from 'Amount Invested', but are included in 'Total Cost Basis'; bonds purchased at a premium are not adjusted for bond premium amortization; unrealized gains/losses are calculated for discount bonds by accreting the cost basis at the purchase yield to maturity.

YOUR TRANSACTIONS

INVESTMENT ACCOUNT ACTIVITY

Date 2005	Description	Income/ (Expense)	Deposits/ (Withdrawals)	Sells/ (Buys)	Cash Balance
[redacted]					
Aug 17	Dividend on 1800 shares	180.00			85,160.26
[redacted]					
Aug 17	Purchased 1000 shares @ $17.829			(17,829.00)	67,331.26
[redacted]					
Aug 26	CREDIT INTEREST 28 DAYS AVG BL	163.72			67,494.98
[redacted]					
Aug 31	Dividend on 800 shares	120.00			67,614.98
[redacted]					

[redacted]

2933

RAYMOND JAMES STATEMENT

YOUR TRANSACTIONS

KENNY EWELL MARCHANT SR ACCOUNT ID: [redacted] 08/31/05 PAGE 5

INVESTMENT ACCOUNT ACTIVITY

Date 2005	Description	Income/ (Expense)	Deposits/ (Withdrawals)	Sells/ (Buys)	Cash Balance
	NET ACTIVITY/ENDING BALANCES	$463.72	$0.00	($17,829.00)	$67,614.98

ACTIVITY SUMMARY

This summary is a tally of the above activity and may include transactions related to the prior year. The Deposits/ (Withdrawals) section captures only money transactions and does not include the delivery or receipt of securities.

INVESTMENT ACCOUNT ACTIVITY SUMMARY	THIS STATEMENT	THIS YEAR
Income/ (Expense)	$463.72	$135,452.52
Interest	0.00	(1,065.84)
Div	463.72	136,518.36
Deposits	0.00	0.00
Withdrawals	0.00	0.00
Net	0.00	0.00
Sells	0.00	16,092,269.[illegible]
Buys	(17,829.00)	(16,218,111.00)
Net	(17,829.00)	(125,841.[illegible])

11817830-004

[redacted]

YOUR TRANSACTIONS

KENNY EWELL MARCHANT SR ACCOUNT ID: [redacted] 08/31/05 PAGE [redacted]

Please notify your financial advisor or our Client Services Department immediately of any discrepancies between your records and this statement. Any oral communications should be followed up in writing. Please direct all other inquiries, including updates to your investment profile, to your advisor.

JAMES AUGHENBAUGH
(214) 720-7141

Client Services Department
800-647-SERV (7378)
Monday - Friday, 8:00 a.m. - 6:00 p.m. EST

THANK YOU FOR YOUR BUSINESS

*** End of Statement ***

1181783000003812341T0086MARCHANT KENNY

WITH COLLEGE TUITION COSTS RAPIDLY ON THE RISE, IT'S NEVER BEEN MORE IMPORTANT TO PLAN EARLY FOR FUNDING YOUR CHILD'S EDUCATION. FOR INFORMATION ON ALTERNATIVES THAT CAN HELP YOU SAVE WHILE BENEFITING FROM TAX ADVANTAGES, CONTACT YOUR FINANCIAL ADVISOR TODAY.

[redacted]

RAYMOND JAMES & ASSOCIATES, INC. — STATEMENT

Investment Portfolio Report for June 30 to July 29, 2005

Account ID [redacted]

KENNY EWELL MARCHANT SR
518 CHRISTI LN
COPPELL TX 75019-4055167

Financial Advisor
JAMES A. OSHENRADER
(214) 220-7411
Fax (214) 220-7413
2001 ROSS AVENUE
SUITE 1500
DALLAS TX 75201
(214) 220-7411
Branch ID: 341 000

Statement copies to:
RAYMOND JAMES & ASSOCIATES

INVESTMENT PROFILE
PRIMARY OBJECTIVE -
Growth
RISK TOLERANCE - High
TIME HORIZON - < 5 Years
SECONDARY OBJECTIVE -
Growth
RISK TOLERANCE - Medium
TIME HORIZON - 5-10 Years

Account Value

Assets Listed in Your Portfolio:	Asset Allocation %	Current Value
CASH/CASH EQUIVALENTS	23.5 %	$84,980.26
MONEY MARKET FUNDS	0.0 %	0.00
EQUITIES/OPTIONS	76.5 %	279,992.00
MUTUAL FUNDS	0.0 %	0.00
CLOSED-END FUNDS	0.0 %	0.00
FIXED INCOME SECURITIES	0.0 %	0.00
INSURANCE/ANNUITIES	0.0 %	0.00
REIT's/TANGIBLES	0.0 %	0.00
ALTERNATIVE INVESTMENTS	0.0 %	0.00
TOTAL ASSETS	100.0 %	$364,972.26

Liabilities:

Ready Access Balance (Margin)	$0.00
Short Sales	0.00
Total Liabilities	$0.00

PORTFOLIO NET VALUE:	
Current	$364,972.26
Prior Statement	$344,957.90
12/31/04	$339,230.61

Income & Expense Summary

Income/Receipts:	This Statement	This Year
INTEREST - TAXABLE	$124.96	$711.30
INTEREST - NON-TAXABLE	0.00	0.00
DIVIDENDS - HERITAGE MONEY MKT FDS	0.00	0.00
DIVIDENDS - TAXABLE	0.00	1,010.50
DIVIDENDS - NON-TAXABLE	0.00	0.00
INCOME - MUTUAL FUNDS	0.00	0.00
RECEIPTS - PRINCIPAL/CAPITAL	0.00	0.00
OTHER INCOME/RECEIPTS	0.00	0.00
TOTAL INCOME & RECEIPTS	$124.96	$1,721.80

Expenses:		
Interest-Ready Access(Margin)/Other	$0.00	$0.00
Taxes withheld	0.00	0.00
Dividend/Interest Charges	0.00	0.00
Total Expense	$0.00	$0.00
Net Income/Receipts and Expenses	$124.96	$1,721.80
Net Cash Deposits/(Withdrawals)	$0.00	$0.00

[redacted]

11817830-002

Securities in accounts custodied by Raymond James & Associates are protected by the Securities Investor Protection Corporation (SIPC) up to $500,000, including up to $100,000 in cash, in the event of the firm's liquidation. Additional protection (excess SIPC) is provided by Customer Asset Protection Company, making the combined protection equal to the total net equity of cash and securities held in custody for your account. This coverage does not protect against market fluctuations.

Raymond James & Associates, Inc. and Raymond James Financial Services, Inc. are affiliated with Raymond James Bank, FSB, a federally chartered savings bank, member FDIC. Unless otherwise specified, products purchased from or held at Raymond James & Associates are not insured by the FDIC, are not deposits or other obligations of Raymond James Bank, are not guaranteed by Raymond James Bank and are subject to investment risk, including possible loss of the principal invested.

For information regarding FDIC, SIPC or a specific security, please contact your financial advisor or visit www.fdic.gov or www.sipc.org.

Any free cash or Client Interest Program (CIP) balances represent funds payable upon demand that, although properly accounted for on our books of record, are not immediately segregated and may be used in the operation of this firm's business as permissible under Securities and Exchange Commission regulations until such time as they are segregated.

CASH/CASH EQUIVALENTS

Cash/Client Interest Program

Description	Estimated Value	Estimated Dividend Yield	Annual Est Income
[redacted]	[redacted]	[redacted]	[redacted]
Total value for Cash/Client Interest Program	$84,980.26		$2,218
Total value for Cash/Cash Equivalents	$84,980.26		$2,218

EQUITIES/OPTIONS

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
	SYMBOL: CSCO					(0.46)%		
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
	CORPORATION					0.67 %		
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
500	CFC	12/14/04	36.200		18,000.00	(100.00)	300	
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
200	CFC	02/22/05	34.070		7,200.00	386.00	120	
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]
	SYMBOL: DVA					18.37 %		
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]

2937

RAYMOND JAMES & ASSOCIATES, INC.
Member New York Stock Exchange/SIPC

STATEMENT

Account carried by Raymond James & Associates, Inc., member New York Stock Exchange/SIPC
880 Carillon Parkway • St. Petersburg, FL 33716 • 800-647-7378 • www.raymondjames.com

YOUR PORTFOLIO

KENNY EWELL MARCHANT SR ACCOUNT ID: [redacted] 07/29/05 PAGE 3

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Estim Est Income	Divi Y
300	100 DVA	03/04/03	39.860		11,724.00	738.00		
900	ENSCO INTERNATIONAL		31.203	40.380	36,342.00	8,259.30	90	0
	INCORPORATED					29.41 %		
	SYMBOL: ESV							
	500 ESV	12/18/03	31.260		24,226.00	6,439.00	60	
	300 ESV	05/18/05	31.110		12,114.00	2,781.00	30	
800	HILTON HOTELS CORPORATION	12/14/04	21.890	24.750	19,800.00	2,288.00	128	0
	SYMBOL: HLT					13.07 %		
1,600	INSIGHT ENTERPRISES		16.494	20.400	30,600.00	1,959.00		
	INCORPORATED					4.65 %		
	SYMBOL: NSIT							
900	NSIT	12/14/04	20.190		18,360.00	189.00		
100	NSIT	01/04/05	20.200		2,040.00	20.00		
500	NSIT	02/22/05	18.100		10,200.00	1,150.00		
500	MARSHALL & ILSLEY CORPORATION		42.838	45.920	22,960.00	1,542.00	480	
	SYMBOL: MI					7.20 %		
400	MI	12/14/04	42.660		18,368.00	1,296.00	384	
100	MI	01/04/05	43.460		4,592.00	246.00	96	
1,800	PIER 1 IMPORTS INCORPORATED		17.911	14.220	25,596.00	(6,643.80)	720	
	SYMBOL: PIR					(20.61)%		
1,000	PIR	12/18/04	18.830		14,220.00	(4,610.00)	400	
500	PIR	02/22/05	17.720		7,110.00	(1,750.00)	200	
300	PIR	04/20/05	15.830		4,266.00	(483.00)	120	
1,000	ULTRA PETROLEUM CORPORATION	12/16/03	11.494	37.920	37,920.00	26,426.00		
	(CANADA)					229.91 %		
	SYMBOL: UPL							
2,600	FLEXTRONICS INTERNATIONAL		13.230	13.540	35,204.00	785.20		
	LIMITED ORD (HONG KONG)					2.28 %		
	SYMBOL: FLEX							
1,300	FLEX	12/14/04	14.470		17,602.00	(1,209.00)		
1,300	FLEX	01/04/05	13.160		17,062.00	114.00		
500	FLEX	03/17/05	12.470		6,770.00	535.00		
500	FLEX	04/20/05	10.850		6,770.00	1,345.00		

[redacted]

11817830-003

2938

YOUR PORTFOLIO

Total value for priced Stocks	$279,992.00	$37,783.90	$1,898
Total value for priced Equities/Options	$279,992.00	$37,783.90	$1,898
TOTAL VALUE FOR PRICED PORTFOLIO & PROJECTED INCOME	$364,972.26	$37,783.90	$4,116

The cost information provided is believed to be accurate but should not be used for tax reporting purposes. Please read the following conditions as they may pertain to one or more of your investments: any gain/loss information shown for fixed Income Unit Investment Trusts reflects cost information that is adjusted for return of principal; if cost information has not been adjusted for return of principal, then gain/loss information is not shown; Master Limited Partnership gain/loss information does not reflect return of principal; mutual fund reinvestments of dividend or capital gain distributions are excluded from 'Amount Invested', but are included in 'Total Cost Basis'; bonds purchased at a premium are not adjusted for bond premium amortization; unrealized gains/losses are calculated for discount bonds by accreting the cost basis at the purchase yield to maturity.

YOUR TRANSACTIONS

INVESTMENT ACCOUNT ACTIVITY

Date 2005	Description	Income/ (Expense)	Deposits/ (Withdrawals)	Sells/ (Buys)	Cash Balance
	OPENING BALANCE				49,911.90
Jul 13	Sold 600 shares @ $34.33			20,597.13	70,511.03
	ULTRAPETROLEUM CORPORATION (CANADA)				
Jul 13	Purchased 1000 shares @ $19.23			(19,239.00)	51,272.03
	CISCO SYSTEMS INCORPORATED				
Jul 20	30 Fees for 3Q7/30 Days at 1.50% on $344,957.91	(1,304.22)			49,967.81
Jul 27	Sold 3100 shares @ $11.2545			34,887.49	84,855.30
	MPS GROUP INCORPORATED				
Jul 29	CREDIT INTEREST 05 INVESTMENT 52189.10 AVG RATE 2.496	124.96			84,980.26
NET ACTIVITY/ENDING BALANCES		($1,179.26)	$0.00	$36,245.62	$84,980.26

2939

RAYMOND JAMES ASSOCIATES, INC. STATEMENT

KENNY EWELL MARCHANT SR ACCOUNT ID: [redacted] 07/29/05 PAGE 5

YOUR TRANSACTIONS

ACTIVITY SUMMARY

This summary is a tally of the above activity and may include transactions related to the prior year. The Deposits/(Withdrawals) section captures only money transactions and does not include the delivery or receipt of securities.

INVESTMENT ACCOUNT	THIS STATEMENT	THIS YEAR
Income/Receipts	$ 124.95	$ 11,721.80
(Expenses)	$ (1,506.22)	$ (44,055.84)
Net	$ (1,381.27)	$ (33,334.04)
Deposits	$ 0.00	$ 0.00
(Withdrawals)	$ 0.00	$ 0.00
Net	$ 0.00	$ 0.00
Sales	$ 55,[illegible].82	$ 142,[illegible]
(Buys)	$ (19,239.00)	$ (106,[illegible].00)
Net	$ 36,245.62	$ (31,589.31)

Please notify your financial advisor or our Client Services Department immediately of any discrepancies between your records and this statement.
Please direct all other inquiries, including updates to your investment profile, to your advisor.

JAMES AUGHENBAUGH
(214) 720-7141

Client Services Department
800-647-SERV (7378)
Monday - Friday, 8:00 a.m. - 6:00 p.m. EST

THANK YOU FOR YOUR BUSINESS

*** End of Statement ***

11817830000038123 4T00 0 0 MARCHANT KENNY

CONSIDERING A NEW SUMMER HOME? YOUR FINANCIAL ADVISOR CAN HELP YOU NAVIGATE TODAY'S EVER-CHANGING INTEREST RATE AND REAL ESTATE ENVIRONMENTS TO HELP DETERMINE THE RIGHT TIME TO PURCHASE THAT SUMMER HIDEAWAY YOU'VE BEEN DREAMING OF.

11817830-004

RAYMOND JAMES & ASSOCIATES, INC.

STATEMENT

Investment Portfolio Report for May 31 to June 30, 2005

Account ID [redacted]

KENNY EWELL MARCHANT SR
516 CHRISTI LN
COPPELL TX 75019-4055167

Financial Advisor
JAMES AUSHENBAUGH
(214)
James.Aushenbaugh@raymondjame.com
2001 ROSS AVENUE
SUITE 4500N
DALLAS TX 75201
(214)720-2141
Branch ID 247-0088

Statement copies to
RAYMOND JAMES & ASSOCIATES

INVESTMENT PROFILE:
PRIMARY OBJECTIVE -
Growth
RISK TOLERANCE - High
TIME HORIZON - < 5 Years
SECONDARY OBJECTIVE -
Growth
RISK TOLERANCE - Medium
TIME HORIZON - 5-10 Years

Account Value

Assets Listed in Your Portfolio:	Asset Allocation %	Current Value
CASH/CASH EQUIVALENTS	14.5 %	$47,913.90
MONEY MARKET FUNDS	0.0 %	0.00
EQUITIES/OPTIONS	85.5 %	$295,044.00
MUTUAL FUNDS	0.0 %	0.00
CLOSED-END FUNDS	0.0 %	0.00
FIXED INCOME SECURITIES	0.0 %	0.00
INSURANCE/ANNUITIES	0.0 %	0.00
REIT's/TANGIBLES	0.0 %	0.00
ALTERNATIVE INVESTMENTS	0.0 %	0.00
TOTAL ASSETS	100.0 %	$344,957.90

Liabilities:	
Ready Access Balance (Margin)	$0.00
Short Sales	0.00
Total Liabilities	$0.00

PORTFOLIO NET VALUE:	
Current	$344,957.90
Prior Statement	$338,975.02
12/31/04	$339,230.61

Income & Expense Summary

Income/Receipts:	This Statement	This Year
INTEREST - TAXABLE	$89.38	$586.34
INTEREST - NON-TAXABLE	0.00	0.00
DIVIDENDS - HERITAGE MONEY MKT FDS	0.00	0.00
DIVIDENDS - TAXABLE	158.50	1,010.50
DIVIDENDS - NON-TAXABLE	0.00	0.00
INCOME - MUTUAL FUNDS	0.00	0.00
RECEIPT OF PRINCIPAL/CAPITAL	0.00	0.00
OTHER INCOME/RECEIPTS	0.00	0.00
TOTAL INCOME & RECEIPTS	$247.88	$1,596.84
Expenses:		
Interest-Ready Access(Margin)/Other	$0.00	$0.00
Taxes withheld	0.00	0.00
Dividend/Interest Charged	0.00	0.00
Total Expense	$0.00	$0.00
Net Income/Receipts and Expenses	$247.88	$1,596.84
Net Cash Deposits/(Withdrawals)	$0.00	$0.00

[redacted]

11817830-002

2942

YOUR PORTFOLIO

Securities in accounts custodied by Raymond James & Associates are protected by the Securities Investor Protection Corporation (SIPC) up to $500,000, including up to $100,000 in cash, in the event of the firm's liquidation. Additional protection (excess SIPC) is provided by Customer Asset Protection Company, making the combined protection equal to the total net equity of cash and securities held in custody for your account. This coverage does not protect against market fluctuations.

Raymond James & Associates, Inc. and Raymond James Financial Services, Inc. are affiliated with Raymond James Bank, FSB, a federally chartered savings bank, member FDIC. Unless otherwise specified, products purchased from or held at Raymond James & Associates are not insured by the FDIC, are not deposits or other obligations of Raymond James Bank, are not guaranteed by Raymond James Bank and are subject to investment risk, including possible loss of the principal invested.

For information regarding FDIC, SIPC or a specific security, please contact your financial advisor or visit www.fdic.gov or www.sipc.org.

Any free cash or Client Interest Program (CIP) balances represent funds payable upon demand that, although properly accounted for on our books of record, are not immediately segregated and may be used in the operation of this firm's business as permissible under Securities and Exchange Commission regulations until such time as they are segregated.

CASH/CASH EQUIVALENTS

Cash/Client Interest Program

Description	Estimated Value	Estimated Dividend Yield	Annual Est Income
[redacted]	49,913.90	2.42%	1,208
Client Interest Program	$49,913.90		$1,208
Total value for Cash/Client Interest Program	$49,913.90		$1,208
Total value for Cash/Cash Equivalents			

EQUITIES/OPTIONS

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
800	COUNTRYWIDE FINANCIAL CORPORATION		35.761	38.610	30,888.00	2,879.20 7.97 %	480	1.55%
	SYMBOL: CFC				19,305.00	1,205.00	300	
500	CFC	12/14/04	36.200		9,861.00	166.00	60	
100	CFC	01/03/05	36.050		7,722.00	908.00	120	
200	CFC	02/22/05	34.070		22,740.00	2,786.00 13.96 %		
500	DAVITA INCORPORATED		36.008	36.480	18,192.00	2,224.00		
	SYMBOL: DVA				4,548.00	562.00		
400	DVA	12/13/04	37.000					
100	DVA	01/04/05	39.860					

[redacted]

2943

RAYMOND JAMES & ASSOCIATES, INC. — STATEMENT

YOUR PORTFOLIO — KENNY EWELL MARCHANT SR — ACCOUNT ID: [redacted] — 06/30/05 — PAGE 3

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estim Divi
900	ENSCO INTERNATIONAL		31.203	35.750	32,175.00	4,092.30	90	(
	INCORPORATED					14.57 %		
	SYMBOL: ESV							
600	ESV	12/14/04	31.250		21,450.00	2,700.00	60	
300	ESV	05/18/05	31.710		10,725.00	(1,392.00)	30	
800	HILTON HOTELS CORPORATION	12/14/04	21.890	23.850	19,080.00	1,568.00	64	(
	SYMBOL: HLT					8.95 %		
1,500	INSIGHT ENTERPRISES		19.494	20.180	30,270.00	1,029.00		
	INCORPORATED					3.52 %		
	SYMBOL: NSIT							
900	NSIT	12/13/04	20.490		18,162.00	(9.00)		
100	NSIT	01/04/05	20.200		2,018.00	(2.00)		
500	NSIT	02/22/05	18.100		10,090.00	1,940.00		
3,100	MPS GROUP INCORPORATED		10.614	9.420	29,202.00	(3,701.40)		
	SYMBOL: MPS					(11.25)%		
1,600	MPS	12/14/04	11.120		15,072.00	(2,720.00)		
400	MPS	01/13/05	10.870		3,768.00	(580.00)		
500	MPS	02/22/05	10.320		4,710.00	(450.00)		
500	MPS	04/20/05	9.340		2,062.00	48.00		
500	MARSHALL & ILSLEY CORPORATION		42.836	44.450	22,225.00	807.00	480	2
	SYMBOL: MI					3.77 %		
400	MI	12/14/04	42.680		17,780.00	708.00	384	
100	MI	01/04/05	43.460		4,445.00	199.00	96	
1,800	PIER 1 IMPORTS INCORPORATED		17.911	14.190	25,542.00	(6,697.80)	720	2
	SYMBOL: PIR					(20.77)%		
1,000	PIR	12/14/04	18.630		14,190.00	(4,440.00)	400	
500	PIR	02/22/05	17.220		7,095.00	(1,769.00)	200	
300	PIR	04/20/05	15.830		4,257.00	(492.00)	120	
1,600	ULTRA PETROLEUM CORPORATION	12/16/03	11.494	30.360	48,576.00	30,185.60		
	(CANADA)					164.14 %		
	SYMBOL: UPL							

[redacted]

11817830-003

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
2,600	FLEXTRONICS INTERNATIONAL LTD LIMITED ORD (HONG KONG) SYMBOL: FLEX		13.230	13.210	34,346.00	(72.80) (0.21)%		
	1,300 FLEX	12/14/04	14.470		17,173.00	(1,638.00)		
	300 FLEX	01/04/05	13.160		3,963.00	75.00		
	500 FLEX	03/17/05	12.470		6,605.00	370.00		
	500 FLEX	04/20/05	10.850		6,605.00	1,180.00		
Total value for priced Stocks					$295,044.00	$32,275.10		$1,834
Total value for priced Equities/Options					$295,044.00	$32,275.10		$1,834
TOTAL VALUE FOR PRICED PORTFOLIO & PROJECTED INCOME					$344,957.90	$32,275.10		$3,042

The cost information provided is believed to be accurate but should not be used for tax reporting purposes. Please read the following conditions as they may pertain to one or more of your investments: Any gain/loss information shown for fixed income Unit Investment Trusts reflects cost information that is adjusted for return of principal; if cost information has not been adjusted for return of principal, then gain/loss information is not shown; Master Limited Partnership gain/loss information does not reflect return of principal; mutual fund reinvestments of dividend or capital gain distributions are excluded from 'Amount Invested', but are included in 'Total Cost Basis'; bonds purchased at a premium are not adjusted for bond premium amortization; unrealized gains/losses are calculated for discount bonds by accreting the cost basis at the purchase yield to maturity.

PERFORMANCE SUMMARY

Your Account Performance for Periods Ending 06/30/05	Quarter	Year-To-Date	1 Year	3 Year	5 Year	10 Year	Since 11/14/03
	4.359 %	1.648 %	10.958 %	N/A	N/A	N/A	9.510 %

Dollar-weighted performance results represent the return on your portfolio's assets and are impacted by deposits and withdrawals. Because these cash flows are beyond the control of the financial advisor, they should not be used to evaluate his/her performance. Performance returns for securities purchased on margin include the effects of leverage.

Performance returns are calculated net of management fees, if applicable. Returns for periods greater than one year are annualized returns. All performance figures exclude Annuities, Raymond James Bank Certificates of Deposit, Limited Partnerships, and unpriced securities which include securities of indeterminate value. Past performance is not a guarantee of future results. Information used to calculate performance may have been obtained from third party sources, thus Raymond James cannot guarantee accuracy. If you have questions regarding this performance return, please contact your financial advisor.

[redacted]

2945

RAYMOND JAMES ASSOCIATES, INC. STATEMENT

YOUR TRANSACTIONS

KENNY EWELL MARCHANT SR ACCOUNT ID: [redacted] 06/30/05 PAGE 5

INVESTMENT ACCOUNT ACTIVITY

Date 2005	Description	Income/ (Expense)	Deposits/ (Withdrawals)	Sells/ (Buys)	Cash Balance
	OPENING BALANCE				49,666.02
Jun 10	Dividend on 500 shares	120.00			49,786.02
	MARSHALL & ILSLEY CORPORATION				
Jun 24	CREDIT INTEREST 28 DAYS AVG BL	89.38			49,875.40
	49708.87 AVG RATE 2.333				
Jun 24	Dividend on 800 shares	16.00			49,891.40
	HILTON HOTELS CORPORATION				
Jun 30	Dividend on 900 shares	22.50			49,913.90
	ENSCO INTERNATIONAL INCORPORATED				
NET ACTIVITY/ENDING BALANCES		$247.88	$0.00	$0.00	$49,913.90

ACTIVITY SUMMARY

This summary is a tally of the above activity and may include transactions related to the prior year. The Deposits/ (Withdrawals) section captures only money transactions and does not include the delivery or receipt of securities.

INVESTMENT ACCOUNT	THIS STATEMENT	THIS YEAR
Income/Receipts	$ 247.88	$ 1,536.847
(Expense)	$ 0.00	$ (2,701.62)
Net	$ 247.88	$ (1,164.78)
Deposits	$ 0.00	$ 0.00
(Withdrawals)	$ 0.00	$ 0.00
Net	$ 0.00	$ 0.00
Sells	$ 0.00	$ 19,709.02
(Buys)	$ 0.00	$ (87,843.00)
Net	$ 0.00	$ (68,133.93)

[redacted]

11817830-004

REALIZED CAPITAL GAINS AND LOSSES

Realized gains/losses for sales made in your account are provided each quarter to assist you with your financial and tax planning. In some cases cost information may not be available or may have been estimated by you or your financial advisor.

Please read the following information as it may pertain to one or more of your investments: realized gains/losses are calculated assuming that the oldest purchase was liquidated first (first in-first out accounting); any gain/loss information shown for fixed income Unit Investment Trusts reflects cost information that is adjusted for return of principal; if cost information has not been adjusted for return of principal, then gain/loss information is not shown; gain/loss information for Master Limited Partnerships does not reflect return of principal; mutual fund shares sold that were purchased through reinvestments are combined and shown with a purchase date of 'various'; bonds purchased at a premium are not adjusted for bond premium amortization; realized gains/losses are calculated for discount bonds by accreting the cost basis at the purchase yield to maturity. Tax consequences associated with options vary, depending upon the type of option and the strategies utilized. Please consult your tax advisor.

If you have not reported cost information on securities deposited into your account, the opening date and value are noted as not available (N/A). If you wish to have missing cost basis information added to your statement, or have questions regarding any of the above conditions, please contact your financial advisor.

SHORT TERM

			OPENING TRANSACTION		CLOSING TRANSACTION		
Quantity	Description	Symbol/CUSIP	Date	Value	Date	Value	Gain/(Loss)
400	CBRL GROUP INCORPORATED	CBRL	12/20/04	16,592.00	05/19/05	15,766.46	(825.54)
100	CBRL GROUP INCORPORATED	CBRL	01/04/05	4,070.00	05/19/05	3,941.61	(128.39)
Total Short Term Gain/(Loss)				$20,662.00		$19,708.07	($953.93)
Total Year To Date Gain/(Loss)				$20,662.00		$19,708.07	($953.93)

2947

YOUR TRANSACTIONS

KENNY EWELL MARCHANT SR

ACCOUNT ID: [redacted] 06/30/05 PAGE 7

Please notify your financial advisor or our Client Services Department immediately of any discrepancies between your records and this statement.
Please direct all other inquiries, including updates to your investment profile, to your advisor.

JAMES AUGHENBAUGH
(214) 720-7141

Client Services Department
800-647-SERV (7378)
Monday - Friday, 8:00 a.m. - 6:00 p.m. EST

THANK YOU FOR YOUR BUSINESS

*** End of Statement ***

11817830000025863 4T006BMARCHANT KENNY

FOR ADDITIONAL BACKGROUND INFORMATION ON ANY FIRM OR NASD REGISTERED REPRESENTATIVE, PLEASE CONTACT THE NASD AT 800-289-9999 OR VISIT ITS WEB SITE AT NASDR.COM. OR ASK FOR THE NASD BROCHURE ON THE PUBLIC DISCLOSURE PROGRAM.

11817830-005

RAYMOND JAMES & ASSOCIATES, INC. — STATEMENT

Investment Portfolio Report for April 29 to May 31, 2005

Account ID [redacted]

KENNY EWELL MARCHANT SR
518 CHRISTI LN
COPPELL TX 75019-4055187

[redacted]

Statement copies to
RAYMOND JAMES & ASSOCIATES

INVESTMENT PROFILE
PRIMARY OBJECTIVE -
Growth
RISK TOLERANCE - High
TIME HORIZON - < 5 Years
SECONDARY OBJECTIVE -
Growth
RISK TOLERANCE - Medium
TIME HORIZON - 5-10 Years

Account Value

Assets Listed In Your Portfolio:	Asset Allocation %	Current Value
CASH/CASH EQUIVALENTS	[illegible] %	$138,666.02
MONEY MARKET FUNDS	0.0 %	0.00
EQUITIES/OPTIONS	[illegible] %	$200,309.00
MUTUAL FUNDS	0.0 %	0.00
CLOSED-END FUNDS	0.0 %	0.00
FIXED INCOME SECURITIES	0.0 %	0.00
INSURANCE/ANNUITIES	0.0 %	0.00
REIT's/TANGIBLES	0.0 %	0.00
ALTERNATIVE INVESTMENTS	0.0 %	0.00
TOTAL ASSETS	100.0 %	$338,975.02

Liabilities:

Ready Access Balance (Margin)	$0.00
Short Sales	0.00
Total Liabilities	$0.00

PORTFOLIO NET VALUE:	
Current	$338,975.02
Prior Statement	$313,003.15
12/31/04	$139,230.61

Income & Expense Summary

Income/Receipts:	This Statement	This Year
INTEREST - TAXABLE	$67.80	$496.96
INTEREST - NON-TAXABLE	0.00	0.00
DIVIDENDS - NON-TAXABLE MONEY MKT FDS	0.00	0.00
DIVIDENDS - TAXABLE	360.00	852.00
DIVIDENDS - NON-TAXABLE	0.00	0.00
INCOME - MUTUAL FUNDS	0.00	0.00
RECEIPT OF PRINCIPAL/CAPITAL	0.00	0.00
OTHER INCOME/RECEIPTS	0.00	0.00
TOTAL INCOME & RECEIPTS	$427.80	$1,348.96
Expenses:		
Interest-Ready Access(Margin)/Other	$0.00	$0.00
Taxes withheld	0.00	0.00
Dividend/Interest charged	0.00	0.00
Total Expense	$0.00	$0.00
Net Income/Receipts and Expenses	$427.80	$1,348.96
Net Cash Deposits/(Withdrawals)	$0.00	$0.00

[redacted]

11817830-002

YOUR PORTFOLIO

KENNY EWELL MARCHANT SR ACCOUNT ID [redacted] 05/31/05 PAGE 2

Securities in accounts custodied by Raymond James & Associates are protected by the Securities Investor Protection Corporation (SIPC) up to $500,000, including up to $100,000 in cash, in the event of the firm's liquidation. Additional protection (excess SIPC) is provided by Customer Asset Protection Company, making the combined protection equal to the total net equity of cash and securities held in custody for your account. This coverage does not protect against market fluctuations.

Raymond James & Associates, Inc. and Raymond James Financial Services, Inc. are affiliated with Raymond James Bank, FSB, a federally chartered savings bank, member FDIC. Unless otherwise specified, products purchased from or held at Raymond James & Associates are not insured by the FDIC, are not deposits or other obligations of Raymond James Bank, are not guaranteed by Raymond James Bank and are subject to investment risk, including possible loss of the principal invested.

For information regarding FDIC, SIPC or a specific security, please contact your financial advisor or visit www.fdic.gov or www.sipc.org.

Any free cash or Client Interest Program (CIP) balances represent funds payable upon demand that, although properly accounted for on our books of record, are not immediately segregated and may be used in the operation of this firm's business as permissible under Securities and Exchange Commission regulations until such time as they are segregated.

CASH/CASH EQUIVALENTS

Cash/Client Interest Program

Description	Estimated Value	Estimated Dividend Yield	Annual Est Income
Client Interest Program	49,666.02	2.31%	1,147
Total value for Cash/Client Interest Program	$49,666.02		$1,147
Total value for Cash/Cash Equivalents	$49,666.02		$1,147

EQUITIES/OPTIONS

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
800	COUNTRYWIDE FINANCIAL CORPORATION		33.761	37.170	29,736.00	1,127.20	480	1.61%
	SYMBOL: CFC					3.94 %		
500	CFC	12/14/04	36.200		18,585.00	485.00	300	
100	CFC	01/04/05	36.950		3,717.00	22.00	60	
200	CFC	02/22/05	34.070		7,434.00	620.00	120	
500	DAVITA INCORPORATED		39.808	46.060	23,030.00	3,076.00		
	SYMBOL: DVA					15.42 %		
400	DVA	12/14/04	38.420		18,424.00	2,456.00		
100	DVA	01/04/05	39.860		4,606.00	620.00		

[redacted]

YOUR PORTFOLIO

KENNY EWELL MARCHANT SR ACCOUNT ID: [redacted] 05/31/05 PAGE 3

Stocks

Shares	Description		Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estim Divi Y
900	ENSCO INTERNATIONAL INCORPORATED			31.203	31.300	28,170.00	1,887.30	90	0
							6.72 %		
	SYMBOL: ESV								
600		ESV	12/14/04	31.250		19,980.00	1,230.00	60	
300		ESV	05/18/05	31.110		9,990.00	657.00	30	
800	HILTON HOTELS CORPORATION		12/14/04	21.890	24.230	19,384.00	1,872.00	64	0
	SYMBOL: HLT						10.69 %		
1,500	INSIGHT ENTERPRISES INCORPORATED			19.494	19.580	29,370.00	129.00		
							0.44 %		
	SYMBOL: NSIT								
900		NSIT	12/14/04	20.190		17,622.00	(549.00)		
100		NSIT	01/04/05	20.200		1,958.00	(62.00)		
500		NSIT	02/22/05	18.100		9,790.00	790.00		
3,100	MPS GROUP INCORPORATED			10.614	9.380	29,078.00	(3,825.40)		
	SYMBOL: MPS						(11.63) %		
1,600		MPS	12/14/04	11.120		15,008.00	(2,784.00)		
400		MPS	01/13/05	10.870		3,752.00	(696.00)		
500		MPS	02/22/05	10.320		4,690.00	(470.00)		
600		MPS	04/20/05	9.340		5,628.00	24.00		
500	MARSHALL & ILSLEY CORPORATION			42.836	43.510	21,755.00	337.00	480	
	SYMBOL: MI						1.57 %		
400		MI	12/14/04	42.680		17,404.00	332.00	384	
100		MI	01/04/05	43.460		4,351.00	75.00	96	
1,800	PIER 1 IMPORTS INCORPORATED			17.911	16.790	30,222.00	(2,017.80)	720	
	SYMBOL: PIR						(6.28) %		
1,000		PIR	12/14/04	18.630		16,790.00	(1,840.00)	400	
500		PIR	02/22/05	17.720		8,395.00	(465.00)	200	
300		PIR	04/20/05	15.830		5,037.00	288.00	120	
1,600	ULTRA PETROLEUM CORPORATION (CANADA)		02/16/03	11.494	27.210	43,536.00	25,145.60		
							136.73 %		
	SYMBOL: UPL								

[redacted]

11817830-003

2952

YOUR PORTFOLIO

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Estimated Est Income	Dividend Yield
2,600	FLEXTRONICS INTERNATIONAL LIMITED ORD (HONG KONG) SYMBOL: FLEX		11.238	11.780	30,628.00	(1,190.50) (3.46)%		
1,300	FLEX	12/14/04	14.470		16,614.00	(2,197.00)		
500	FLEX	01/05/05	15.650		7,814.00	(1,418.00)		
500	FLEX	03/17/05	12.470		6,390.00	155.00		
500	FLEX	04/20/05	10.950		6,390.00	965.00		
Total value for priced Stocks					$289,309.00	$26,540.10	$1,834	

Total value for priced Equities/Options	$289,309.00	$26,540.10	$1,834
TOTAL VALUE FOR PRICED PORTFOLIO & PROJECTED INCOME	$338,975.02	$26,540.10	$2,981

The cost information provided is believed to be accurate but should not be used for tax reporting purposes. Please read the following conditions as they may pertain to one or more of your investments: any gain/loss information shown for fixed income Unit Investment Trusts reflects cost information that is adjusted for return of principal; if cost information has not been adjusted for return of principal, then gain/loss information is not shown; Master Limited Partnership gain/loss information does not reflect return of principal; mutual fund reinvestments of dividend or capital gain distributions are excluded from 'Amount Invested', but are included in 'Total Cost Basis'; bonds purchased at a premium are not adjusted for bond premium amortization; unrealized gains/losses are calculated for discount bonds by accreting the cost basis at the purchase yield to maturity.

YOUR TRANSACTIONS

INVESTMENT ACCOUNT ACTIVITY

Date 2005	Description	Income/ (Expense)	Deposits/ (Withdrawals)	Sells/ (Buys)	Cash Balance
	OPENING BALANCE				38,863.15
May 09	Dividend on 500 shares CBRL GROUP INCORPORATED	60.00			38,923.15
May 10	Stock dividend of 800 shares ULTRA PETROLEUM CORPORATION (CANADA)				
May 18	Dividend on 1800 shares PIER 1 IMPORTS INCORPORATED	180.00			39,103.15 [redacted]

2953

YOUR TRANSACTIONS

KENNY EWELL MARCHANT SR ACCOUNT ID: [redacted] 05/31/05 PAGE 5

INVESTMENT ACCOUNT ACTIVITY

Date 2005	Description	Income/ (Expense)	Deposits/ (Withdrawals)	Sells/ (Buys)	Cash Balance
May 23	Purchased 300 shares @ $31.11			(9,333.00)	29,770.15
	ENSCO INTERNATIONAL INCORPORATED				
May 24	Sold 500 shares @ $39.4178			19,708.07	49,478.22
	CBRL GROUP INCORPORATED				
May 27	CREDIT INTEREST 28 DAYS AVG BL 39737.07 AVG RATE 2.224	67.80			49,546.02
May 31	Dividend on 800 shares	120.00			49,666.02
	COUNTRYWIDE FINANCIAL CORPORATION				
NET ACTIVITY/ENDING BALANCES		$427.80	$0.00	$10,375.07	$49,666.02

ACTIVITY SUMMARY

This summary is a tally of the above activity and may include transactions related to the prior year. The Deposits/(Withdrawals) section captures only money transactions and does not include the delivery or receipt of securities.

INVESTMENT ACCOUNT	THIS STATEMENT	THIS YEAR
Income/Receipts	$427.80	$1,348.26
(Expense)	$0.00	($2,751.62)
Net	$427.80	($1,403.36)
Deposits	$0.00	$0.00
(Withdrawals)	$0.00	$0.00
Net	$0.00	$0.00
Sells	$19,708.07	$19,708.07
(Buys)	($9,333.00)	($87,543.00)
Net	$10,375.07	($67,834.93)

[redacted]

11817830-004

FUTURE PAYMENTS

Cash and stock dividends as well as interest payment information are provided to Raymond James by outside vendors and are believed to be accurate. The future payments listed in this section include those available at the time the statements are printed, and may not represent all the dividends, interest and/or principal that will be paid into your account. For this reason, it is recommended these payments be used as an estimate only. The dividends and interest payments are not included as part of your portfolio value; however, the principal payments listed in this section are included in your total portfolio value.

Future Cash Dividends/Interest

Payable Date	Record Date		Amount
Jun 10	May 27	Dividend on 500 shares MARSHALL & ILSLEY CORPORATION	120.00
Total Future Cash Dividends/Interest			$120.00

Please notify your financial advisor or our Client Services Department immediately of any discrepancies between your records and this statement.
Please direct all other inquiries, including updates to your investment profile, to your advisor.

JAMES AUGHENBAUGH
(214) 720-7141

Client Services Department
800-647-SERV (7378)
Monday - Friday, 8:00 a.m. - 6:00 p.m. EST

THANK YOU FOR YOUR BUSINESS

*** End of Statement ***
1161783000002586634T00B8MARCHANT KENNY

WITH SUMMER AROUND THE CORNER, YOU MAY BE READY FOR A VACATION. FOR HELP MAKING SURE YOU'LL ALSO BE FINANCIALLY READY FOR YOUR IDEAL GETAWAY, CONTACT YOUR FINANCIAL ADVISOR TODAY.

[redacted]

2955

RAYMOND JAMES & ASSOCIATES, INC.
Member New York Stock Exchange/SIPC

STATEMENT

Account carried by Raymond James & Associates, Inc., member New York Stock Exchange/SIPC
880 Carillon Parkway • St. Petersburg, FL 33716 • 727-567-1000 • www.raymondjames.com
Committed to your financial future.

Investment Summary Report for March 31 to April 29, 2005

MARCHANT KENNY
KENNY EWELL MARCHANT SR
518 CHRISTI LN
COPPELL TX 75019-4055167

618

[redacted]

Assets Listed in Your Portfolio	Asset Allocation %	Current Value	Investment Account ID [redacted]	Investment Account ID [redacted]	Investment Account ID [redacted]
CASH/CASH EQUIVALENTS	31.9 %	$45,139.21	$30,853.15	$5,805.08	$389.98
MONEY MARKET FUNDS	0.0 %	0.00	0.00	0.00	0.00
EQUITIES/OPTIONS	85.5 %	323,782.90	274,140.00	49,642.90	0.00
MUTUAL FUNDS	0.0 %	0.00	0.00	0.00	0.00
CLOSED-END FUNDS	0.0 %	0.00	0.00	0.00	0.00
FIXED INCOME SECURITIES	2.6 %	9,883.50	0.00	0.00	9,883.50
INSURANCE/ANNUITIES	0.0 %	0.00	0.00	0.00	0.00
REIT's/TANGIBLES	0.0 %	0.00	0.00	0.00	0.00
ALTERNATIVE INVESTMENTS	0.0 %	0.00	0.00	0.00	0.00
TOTAL ASSETS	100.0 %	$378,804.61	$313,003.15	$55,527.98	$10,273.48
Total Liabilities		$0.00	$0.00	$0.00	$0.00
PORTFOLIO NET VALUE		$378,804.61	$313,003.15	$55,527.98	$10,273.48
NET VALUE PRIOR STATEMENT		$400,057.90	$330,547.09	$58,559.73	$10,951.08
NET VALUE 12/31/04		$410,495.47	$339,230.61	$59,883.88	$11,378.98
Net Income/(Expense) This Statement		$126.81	$108.21	$18.60	$0.00
Net Income/(Expense) YTD		$1,086.71	$921.16	$165.55	$0.00
Net Cash Deposits/(Withdrawals) This Statement		$0.00	$0.00	$0.00	$0.00
Net Cash Deposits/(Withdrawals) YTD		$0.00	$0.00	$0.00	$0.00

11817830-001

RAYMOND JAMES & ASSOCIATES, INC.

STATEMENT

Investment Portfolio Report for March 31 to April 29, 2005

Account ID [redacted]

KENNY EWELL MARCHANT SR
518 CHRISTI LN
COPPELL TX 75019-4055187

[redacted]

Statement copies to:
RAYMOND JAMES & ASSOCIATES

INVESTMENT PROFILE
PRIMARY OBJECTIVE -
Growth
RISK TOLERANCE - High
TIME HORIZON - < 5 Years
SECONDARY OBJECTIVE -
Growth
RISK TOLERANCE - Medium
TIME HORIZON - 5-10 Years

Account Value

Assets Listed in Your Portfolio:	Asset Allocation %	Current Value
CASH/CASH EQUIVALENTS	16.44	$30,853.15
MONEY MARKET FUNDS	0.0 %	0.00
EQUITIES/OPTIONS	87.56	274,150.00
MUTUAL FUNDS	0.0 %	0.00
CLOSED-END FUNDS	0.0 %	0.00
Fixed INCOME SECURITIES	0.0 %	0.00
INSURANCE/ANNUITIES	0.0 %	0.00
REIT's/TANGIBLES	0.0 %	0.00
ALTERNATIVE INVESTMENTS	0.0 %	0.00
TOTAL ASSETS	100.0 %	$313,003.15

Liabilities:

Ready Access Balance (Margin)	$0.00
Short Sales	0.00
Total Liabilities	$0.00

PORTFOLIO NET VALUE:

Current	$313,003.15
Prior Statement	$330,547.09
12/31/04	$339,230.56

Income & Expense Summary

Income/Receipts:	This Statement	This Year
INTEREST - TAXABLE	$108.21	$429.16
INTEREST - NON-TAXABLE	0.00	0.00
DIVIDENDS - REDIRECT MONEY MKT FDS	0.00	0.00
DIVIDENDS - TAXABLE	0.00	492.00
DIVIDENDS - NON-TAXABLE	0.00	0.00
INCOME - MUTUAL FUNDS	0.00	0.00
REDIRECT PRINCIPAL/CAPITAL	0.00	0.00
OTHER INCOME/RECEIPTS	0.00	0.00
TOTAL INCOME & RECEIPTS	$108.21	$921.16

Expenses:		
Interest-Ready Access(Margin)/Other	$0.00	$0.00
Taxes withheld	0.00	0.00
Dividend/Interest Charged	0.00	0.00
Total Expense	$0.00	$0.00
Net Income/Receipts and Expenses	$108.21	$921.16
Net Cash Deposits/(Withdrawals)	$0.00	$0.00

[redacted]

11817830-002

2958

Securities in accounts custodied by Raymond James & Associates are protected by the Securities Investor Protection Corporation (SIPC) up to $500,000, including up to $100,000 in cash, in the event of the firm's liquidation. Additional protection (excess SIPC) is provided by Customer Asset Protection Company, making the combined protection equal to the total net equity of cash and securities held in custody for your account. This coverage does not protect against market fluctuations.

Raymond James & Associates, Inc. and Raymond James Financial Services, Inc. are affiliated with Raymond James Bank, FSB, a federally chartered savings bank, member FDIC. Unless otherwise specified, products purchased from or held at Raymond James & Associates are not insured by the FDIC, are not deposits or other obligations of Raymond James Bank, are not guaranteed by Raymond James Bank and are subject to investment risk, including possible loss of the principal invested.

For information regarding FDIC, SIPC or a specific security, please contact your financial advisor or visit www.fdic.gov or www.sipc.org.

Any free cash or Client Interest Program (CIP) balances represent funds payable upon demand that, although properly accounted for on our books of record, are not immediately segregated and may be used in the operation of this firm's business as permissible under Securities and Exchange Commission regulations until such time as they are segregated.

CASH/CASH EQUIVALENTS

Cash/Client Interest Program

Description	Estimated Value	Estimated Dividend Yield	Annual Est Income
Client Interest Program	38,863.15	2.14%	$832
Total value for Cash/Client Interest Program	$38,863.15		$832
Total value for Cash/Cash Equivalents	$38,863.15		$832

EQUITIES/OPTIONS

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
500	CBRL GROUP INCORPORATED		31.224	38.530	19,265.00	(1,397.00)	240	1.25%
	SYMBOL: CBRL					(6.76)%		
	400 CBRL	12/20/04	41.480		15,412.00	(1,180.00)	192	
	100 CBRL	01/04/05	40.700		3,853.00	(217.00)	48	
800	COUNTRYWIDE FINANCIAL CORPORATION		35.761	36.190	28,952.00	343.20	480	1.66%
	SYMBOL: CFC					1.20 %		
	500 CFC	12/14/04	36.200		18,095.00	(5.00)	300	
	100 CFC	01/04/05	36.050		3,619.00	(76.00)	60	
	200 CFC	02/22/05	34.070		7,238.00	424.00	120	

[redacted]

2959

RAYMOND JAMES & ASSOCIATES, INC.
Member New York Stock Exchange/SIPC

STATEMENT

Account carried by Raymond James & Associates, Inc., member New York Stock Exchange/SIPC
880 Carillon Parkway • St. Petersburg, FL 33716 • 727-567-1000 • www.raymondjames.com
Committed to your Financial Future.

YOUR PORTFOLIO

KENNY EWELL MARCHANT SR ACCOUNT ID: [redacted] 04/29/05 PAGE 3

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Div Income
4,500	DAVITA INCORPORATED		34.700	40.300	203,850.00	196,200	
	SYMBOL: DVA					0.98 %	
	4,400 DVA	12/14/04	39.720		177,120.00	152,200	
	100 DVA	01/04/05	39.860		4,030.00	44.00	
600	EMSCO INTERNATIONAL INCORPORATED	12/14/04	31.250	33.600	19,360.00	810.00	60
						4.32 %	
	SYMBOL: [illegible]						
800	HILTON HOTELS CORPORATION	12/14/04	21.890	21.830	17,464.00	(48.00)	64
	SYMBOL: HLT					(0.27)%	
1,500	INSIGHT ENTERPRISES		19.494	18.100	27,150.00	(2,091.00)	
	INCORPORATED					(7.15)%	
	SYMBOL: NSIT						
	900 NSIT	12/14/04	20.100		16,290.00	(1,881.00)	
	100 NSIT	01/04/05	20.200		1,810.00	(210.00)	
	500 NSIT	02/22/05	18.100		9,050.00	0.00	
3,100	MPS GROUP INCORPORATED		10.614	7.990	24,769.00	(8,134.40)	
	SYMBOL: MPS					(24.72)%	
	1,600 MPS	12/14/04	11.120		12,784.00	(5,008.00)	
	500 MPS	01/13/05	10.870		3,996.00	(1,131.00)	
	500 MPS	02/22/05	10.320		3,995.00	(1,165.00)	
	600 MPS	04/20/05	8.340		4,794.00	(810.00)	
500	MARSHALL & ILSLEY CORPORATION		42.836	42.640	21,320.00	(98.00)	480
	SYMBOL: MI					(0.46)%	
	400 MI	12/14/04	42.680		17,056.00	(16.00)	384
	1,000 MI	01/04/05	43.460		42,264.00	(82.00)	960
1,800	PIER 1 IMPORTS INCORPORATED		17.911	14.520	26,136.00	(6,103.80)	720
	SYMBOL: PIR					(18.73)%	
	1,000 PIR	12/14/04	18.630		14,520.00	(4,110.00)	400
	500 PIR	02/22/05	17.760		7,260.00	(1,600.00)	200
	300 PIR	04/20/05	15.830		4,356.00	(393.00)	120
800	ULTRA PETROLEUM CORPORATION (CANADA)	12/14/04	22.988	50.480	40,384.00	21,993.60	
	SYMBOL: UPL					119.59 %	

[redacted]

11817830-003

YOUR PORTFOLIO

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
2,600	FLEXTRONICS INTERNATIONAL LIMITED ORD (HONG KONG)		13.230	11.150	28,990.00	(5,429.80) (15.77)%		
	SYMBOL: FLEX							
1,300	FLEX	12/14/04	14.470		14,495.00	(4,316.00)		
300	FLEX	01/04/05	13.160		3,345.00	(603.00)		
500	FLEX	03/17/05	12.470		5,575.00	(660.00)		
500	FLEX	04/20/05	10.850		5,575.00	150.00		
Total value for priced Stocks					$274,140.00	$41.80	$2,044	
Total value for priced Equities/Options					$274,140.00	$41.80	$2,044	
TOTAL VALUE FOR PRICED PORTFOLIO & PROJECTED INCOME					$313,003.15	$41.80	$2,876	

The cost information provided is believed to be accurate but should not be used for tax reporting purposes. Please read the following conditions as they may pertain to one or more of your investments: any gain/loss information shown for fixed income Unit Investment Trusts reflects cost information that is adjusted for return of principal; if cost information has not been adjusted for return of principal, then gain/loss information is not shown; Master Limited Partnership gain/loss information does not reflect return of principal; mutual fund reinvestments of dividend or capital gain distributions are excluded from 'Amount Invested', but are included in 'Total Cost Basis'; bonds purchased at a premium are not adjusted for bond premium amortization; unrealized gains/losses are calculated for discount bonds by accreting the cost basis at the purchase yield to maturity.

YOUR TRANSACTIONS

INVESTMENT ACCOUNT ACTIVITY

Date 2005	Description	Income/ (Expense)	Deposits/ (Withdrawals)	Sells/ (Buys)	Cash Balance
	OPENING BALANCE				55,769.09
Apr 20	2Q Fees for D91/365 Days at 1.50% on $330,547.10	(1,236.15)			54,532.94
Apr 25	Purchased 500 shares @ $10.85 FLEXTRONICS INTERNATIONAL LIMITED ORD (HONG KONG)			(5,425.00)	49,107.94
Apr 25	Purchased 300 shares @ $15.83 PIER 1 IMPORTS INCORPORATED			(4,749.00)	44,358.94

YOUR TRANSACTIONS

KENNY EWELL MARCHANT SR ACCOUNT ID: [redacted] 04/29/05 PAGE 5

INVESTMENT ACCOUNT ACTIVITY

Date 2005	Description	Income/ (Expense)	Deposits/ (Withdrawals)	Sells/ (Buys)	Cash Balance
Apr 25	Purchased 600 shares @ 19.34 MPS GROUP INCORPORATED			(11,604.00)	38,754.94
Apr 29	CREDIT INTEREST 36 DAYS AVERAGE 53704.02 AVG RATE 2.042	108.21			38,863.15
NET ACTIVITY/ENDING BALANCES		($1,127.94)	$0.00	($15,778.00)	$38,863.15

ACTIVITY SUMMARY

This summary is a tally of the above activity and may include transactions related to the prior year. The Deposits/ (Withdrawals) section captures only money transactions and does not include the delivery or receipt of securities.

INVESTMENT ACCOUNT	THIS STATEMENT	THIS YEAR
Income/Receipts	$ 108.21	$ 921.16
(Expense)	$ (1,236.15)	$ (2,751.62)
Net	$ (1,127.94)	$ (1,830.46)
Deposits	$ 0.00	$ 0.00
(Withdrawals)	$ 0.00	$ 0.00
Net	$ 0.00	$ 0.00
Sells	$ 0.00	$ 0.00
(Buys)	$ (15,778.00)	$ (78,310.00)
Net	$ (15,778.00)	$ (78,310.00)

[redacted]

2962

FUTURE PAYMENTS

Cash and stock dividends as well as interest payment information are provided to Raymond James by outside vendors and are believed to be accurate. The future payments listed in this section include those available at the time the statements are printed, and may not represent all the dividends, interest and/or principal that will be paid into your account. For this reason, it is recommended these payments be used as an estimate only. The dividends and interest payments are not included as part of your portfolio value; however, the principal payments listed in this section are included in your total portfolio value.

Future Cash Dividends/Interest

Payable Date	Record Date		Amount
May 09	[redacted]		[redacted]
		CBRL GROUP INCORPORATED	

Total Future Cash Dividends/Interest $60.00

Please notify your financial advisor or our Client Services Department immediately of any discrepancies between your records and this statement.
Please direct all other inquiries, including updates to your investment profile, to your advisor.

JAMES AUGHENBAUGH
(214) 720-7141

Client Services Department
800-647-SERV (7378)
Monday - Friday, 8:00 a.m. - 6:00 p.m. EST

THANK YOU FOR YOUR BUSINESS

*** End of Statement ***
31817830000025863 4TD068MARCHANT KENNY

IF YOU FEEL THE TAXES YOU PAID FOR 2004 WERE TOO HIGH, PLEASE CONTACT YOUR FINANCIAL ADVISOR TODAY FOR A PORTFOLIO REVIEW AND PLAN DESIGNED TO MINIMIZE YOUR TAXES FOR 2005. [redacted]

2963

RAYMOND JAMES & ASSOCIATES, INC. — STATEMENT

Investment Portfolio Report for February 28 to March 31, 2005

Account I[redacted]

KENNY EWELL MARCHANT SR
518 CHRISTI LN
COPPELL TX 75019-4055187

FINANCIAL ADVISOR
JAMES AUGH MBAUGH
(214) 220-7[illegible]
Washington
Dallas, Texas
2001 ROSS AVENUE
SUITE 5900
DALLAS TX 75201
(214) 220-7[illegible]
BROKER-DEALER (214) 220-0088

Statement copies to
RAYMOND JAMES & ASSOCIATES

INVESTMENT PROFILE
PRIMARY OBJECTIVE -
Growth
RISK TOLERANCE - High
TIME HORIZON - < 5 Years
SECONDARY OBJECTIVE -
Growth
RISK TOLERANCE - Medium
TIME HORIZON - 5-10 Years

Account Value

Assets Listed in Your Portfolio:	Asset Allocation %	Current Value
CASH/CASH EQUIVALENTS	16.9 %	$55,769.09
MONEY MARKET FUNDS	0.0 %	0.00
EQUITIES/OPTIONS	83.1 %	274,778.00
MUTUAL FUNDS	0.0 %	0.00
CLOSED-END FUNDS	0.0 %	0.00
FIXED INCOME SECURITIES	0.0 %	0.00
INSURANCE/ANNUITIES	0.0 %	0.00
REIT's/TANGIBLES	0.0 %	0.00
ALTERNATIVE INVESTMENTS	0.0 %	0.00
TOTAL ASSETS	100.0 %	$330,547.09

Liabilities:

Repay Access Balance (Margin)	$0.00
Short Sales	0.00
Total Liabilities	$0.00

PORTFOLIO NET VALUE:	
Current	$330,547.09
Prior Statement	$340,652.44
12/31/04	$339,230.36

Income & Expense Summary

Income/Receipts:	This Statement	This Year
INTEREST - TAXABLE	$82.65	$120.95
INTEREST - NON-TAXABLE	0.00	0.00
DIVIDENDS - REALIZABLE MONEY MKT FDS	0.00	0.00
DIVIDENDS - TAXABLE	220.00	492.00
DIVIDENDS - NON-TAXABLE	0.00	0.00
INCOME - MUTUAL FUNDS	0.00	0.00
RECEIPTS OF PRINCIPAL/CAPITAL	0.00	0.00
OTHER INCOME/RECEIPTS	0.00	0.00
TOTAL INCOME & RECEIPTS	$302.65	$812.95

Expenses:		
Interest-Repay Access(Margin)/Other	$0.00	$0.00
Taxes withheld	0.00	0.00
Dividend/Interest Charged	0.00	0.00
Total Expense	$0.00	$0.00
Net Income/Receipts and Expenses	$302.65	$812.95
Net Cash Deposits/(Withdrawals)	$0.00	$0.00

[redacted]

11817830-002

YOUR PORTFOLIO

Securities in accounts custodied by Raymond James & Associates are protected by the Securities Investor Protection Corporation (SIPC) up to $500,000, including up to $100,000 in cash, in the event of the firm's liquidation. Additional protection (excess SIPC) is provided by Customer Asset Protection Company, making the combined protection equal to the total net equity of cash and securities held in custody for your account. This coverage does not protect against market fluctuations.

Raymond James & Associates, Inc. and Raymond James Financial Services, Inc. are affiliated with Raymond James Bank, FSB, a federally chartered savings bank, member FDIC. Unless otherwise specified, products purchased from or held at Raymond James & Associates are not insured by the FDIC, are not deposits or other obligations of Raymond James Bank, are not guaranteed by Raymond James Bank and are subject to investment risk, including possible loss of the principal invested.

For information regarding FDIC, SIPC or a specific security, please contact your financial advisor or visit www.fdic.gov or www.sipc.org.

Any free cash or Client Interest Program (CIP) balances represent funds payable upon demand that, although properly accounted for on our books of record, are not immediately segregated and may be used in the operation of this firm's business as permissible under Securities and Exchange Commission regulations until such time as they are segregated.

CASH/CASH EQUIVALENTS

Cash/Client Interest Program

Description	Estimated Value	Estimated Dividend Yield	Annual Est Income
[redacted]	$55,769.09	[redacted]	$1,104
Total value for Cash/Client Interest Program	$55,769.09		$1,104
Total value for Cash/Cash Equivalents	$55,769.09		$1,104

EQUITIES/OPTIONS

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
500	CBRL GROUP, INCORPORATED SYMBOL: CBRL		[redacted]	[redacted]	[redacted]	(12.00) (0.06)%	240	[redacted]
[redacted]	[redacted]	12/20/04	[redacted]	[redacted]	18,520.00	(74.00)	192	
[redacted]	[redacted]	01/04/05	40.700		4,130.00	60.00	48	
100	CBRL							
800	COUNTRYWIDE FINANCIAL CORPORATION		[redacted]	[redacted]	[redacted]	(2,640.00) (9.23)%	240	[redacted]
[redacted]	[redacted]	12/14/04	36.200		16,230.00	(1,870.00)	280	
500	CFC							
[redacted]	[redacted]	01/04/05	[redacted]	[redacted]	6,240.00	(449.00)	112	
200	CFC	02/22/05	34.070		6,492.00	(322.00)	[redacted]	

2965

RAYMOND JAMES STATEMENT

YOUR PORTFOLIO KENNY EWELL MARCHANT SR ACCOUNT ID: [redacted] 03/31/05 PAGE 3

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estim Divi Y
500	DAVITA INCORPORATED		39.908	41.850	20,925.00	971.00		
	SYMBOL: DVA					4.87 %		
	400 DVA	12/14/04	39.820		16,740.00	772.00		
	100 DVA	01/04/05	39.860		4,185.00	199.00		
600	EMSCO INTERNATIONAL INCORPORATED	12/14/04	31.850	37.660	22,596.00	3,846.00	60	0
	SYMBOL: ESV					20.51 %		
800	HILTON HOTELS CORPORATION	12/14/04	21.890	22.350	17,880.00	368.00	64	0
	SYMBOL: HLT					2.10 %		
1,500	INSIGHT ENTERPRISES INCORPORATED		19.494	17.560	26,340.00	(2,901.00)		
	SYMBOL: NSIT					(9.92)%		
	1,400 NSIT	12/14/04	20.190		24,584.00	(2,367.00)		
	100 NSIT	01/04/05	20.200		1,756.00	(264.00)		
	500 NSIT	02/22/05	18.100		8,780.00	(270.00)		
2,500	MPS GROUP INCORPORATED		10.920	10.510	26,275.00	(1,025.00)		
	SYMBOL: MPS					(3.75)%		
	1,600 MPS	12/14/04	11.120		16,816.00	(976.00)		
	400 MPS	01/13/05	10.970		8,204.00	(144.00)		
	500 MPS	02/22/05	10.320		5,255.00	95.00		
500	MARSHALL & ILSLEY CORPORATION		42.835	41.750	20,875.00	(543.00)	420	2.
	SYMBOL: MI					(2.54)%		
	400 MI	12/14/04	42.600		16,700.00	(372.00)	336	
	100 MI	01/04/05	43.460		4,175.00	(171.00)	84	
1,500	PIER 1 IMPORTS INCORPORATED		18.327	18.230	27,345.00	(145.50)	600	2.
	SYMBOL: PIR					(0.53)%		
	1,000 PIR	12/14/04	18.630		18,230.00	(400.00)	400	
	500 PIR	02/22/05	17.720		9,115.00	255.00	200	
1,800	ULTRA PETROLEUM CORPORATION (CANADA)	12/16/03	22.988	50.800	40,640.00	22,243.60		
	SYMBOL: UPL					120.98 %		

[redacted]

11817830-003

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
[redacted]								
	LIMITED ORD (HONG KONG)					(12.80)%		
[redacted]								
1,300	FLEX	12/14/04	14.470		15,652.00	(3,159.00)		
[redacted]								
500	FLEX	03/17/05	12.470		6,020.00	(215.00)		
Total value for priced Stocks					$274,778.00	$16,456.60	$1,832	
Total value for priced Equities/Options					$274,778.00	$16,456.60	$1,832	
TOTAL VALUE FOR PRICED PORTFOLIO & PROJECTED INCOME					$330,547.09	$16,456.60	$2,936	

The cost information provided is believed to be accurate but should not be used for tax reporting purposes. Please read the following conditions as they may pertain to one or more of your investments: any gain/loss information shown for fixed income Unit Investment Trusts reflects cost information that is adjusted for return of principal; if cost information has not been adjusted for return of principal, then gain/loss information is not shown; Master Limited Partnership gain/loss information does not reflect return of principal; mutual fund reinvestments of dividend or capital gain distributions are excluded from 'Amount Invested', but are included in 'Total Cost Basis'; bonds purchased at a premium are not adjusted for bond premium amortization; unrealized gains/losses are calculated for discount bonds by accreting the cost basis at the purchase yield to maturity.

PERFORMANCE SUMMARY

Your Account Performance for Periods Ending 03/31/05	Last Quarter	Year-To-Date	1 Year	3 Year	5 Year	10 Year	Since 11/14/03
	(2.597)%	(2.597)%	4.932 %	N/A	N/A	N/A	7.820 %

Dollar-weighted performance results represent the return on your portfolio's assets and are impacted by deposits and withdrawals. Because these cash flows are beyond the control of the financial advisor, they should not be used to evaluate his/her performance. Performance returns for securities purchased on margin include the effects of leverage.

Performance returns are calculated net of management fees, if applicable. Returns for periods greater than one year are annualized returns. All performance figures exclude Annuities, Raymond James Bank Certificates of Deposit, Limited Partnerships, and unpriced securities which include securities of indeterminate value. Past performance is not a guarantee of future results. Information used to calculate performance may have been obtained from third party sources, thus Raymond James cannot guarantee accuracy. If you have questions regarding this performance return, please contact your financial advisor.

[redacted]

2967

RAYMOND JAMES STATEMENT

YOUR TRANSACTIONS

KENNY EWELL MARCHANT SR ACCOUNT ID: [redacted] 03/31/05 PAGE 5

INVESTMENT ACCOUNT ACTIVITY

Date 2005	Description	Income/ (Expense)	Deposits/ (Withdrawals)	Sells/ (Buys)	Cash Balance
[redacted]					61,701.44
Mar 03	Dividend on 600 shares	84.00			61,785.44
[redacted]					
	CORPORATION				
Mar 11	Dividend on 300 shares	105.00			61,890.44
	MARSHALL & ILSLEY CORPORATION				
Mar 18	Dividend on 2800 shares	16.00			61,906.44
	HILTON HOTELS CORPORATION				
Mar 22	Purchased 500 shares			(6,235.00)	55,671.44
	FLEXTRONICS INTERNATIONAL				
[redacted]					
Mar 24	CREDIT INTEREST 27 DAYS AVG BL	82.65			55,754.09
[redacted]					
Mar 31	Dividend on 600 shares	15.00			55,769.09
	INCORPORATED				
NET ACTIVITY/ENDING BALANCES		$302.65	$0.00	($6,235.00)	$55,769.09

[redacted]

11817830-004

ACTIVITY SUMMARY

This summary is a tally of the above activity and may include transactions related to the prior year. The Deposits/(Withdrawals) section captures only money transactions and does not include the delivery or receipt of securities.

INVESTMENT ACCOUNT	THIS STATEMENT	THIS YEAR
Income (Expense)	$ 50.53	$ 10,012.24
Net	$ 50.53	$ 290.13
Deposits	0.00	9,400.00
(Withdrawals)	20.00	0.00
	0.00	0.00
Sales	0.00	0.00
(Buys)	(6,233.00)	(68,532.00)
Net	$ (6,233.00)	$ (68,532.00)

Please notify your financial advisor or our Client Services Department immediately of any discrepancies between your records and this statement. Please direct all other inquiries, including updates to your investment profile, to your advisor.

JAMES AUGHENBAUGH
(214) 720-7141

Client Services Department
800-647-SERV (7378)
Monday - Friday, 8:00 a.m. - 6:00 p.m. EST

THANK YOU FOR YOUR BUSINESS

*** End of Statement ***
1181783000001424341T00B8MARCHANT KENNY

PREPARING FOR RETIREMENT IS PRAISEWORTHY. THE MOST IMPORTANT INVESTMENT OPPORTUNITIES ARE MAKING REGULAR IRA CONTRIBUTIONS CAN HELP SUPPORT THAT OBJECTIVE. [illegible] IS TO ENSURE THAT YOUR COURSE AND CONTRIBUTION LEVEL IS APPROPRIATE FOR YOUR EXISTING IRA. PLEASE CONTACT YOUR FINANCIAL ADVISOR TODAY.

[redacted]

RAYMOND JAMES ASSOCIATES, INC. — STATEMENT

Investment Summary Report for January 31 to February 28, 2005

MARCHANT KENNY
KENNY EWELL MARCHANT SR
510 CHRISTI LN
COPPELL TX 75019-4055167

758

Financial Advisor:
JAMES ROSENBAUGH
(214) 720-7141
jamesr@raymondjames.com
2001 ROSS AVENUE
SUITE 4500N
DALLAS TX 75201
(214) 720-7141
Branch: DUS 347 0088

Assets Listed in Your Portfolio	Asset Allocation %	Current Value	Investment Account ID [redacted]	Investment Account ID [redacted]	Investment Account ID [redacted]
CASH/CASH EQUIVALENTS	17.7 %	$72,923.59	$61,701.44	$10,882.17	$389.98
MONEY MARKET FUNDS	0.0 %	0.00	0.00	0.00	0.00
EQUITIES/OPTIONS	79.7 %	328,678.00	278,951.00	49,727.00	0.00
MUTUAL FUNDS	0.0 %	0.00	0.00	0.00	0.00
CLOSED-END FUNDS	0.0 %	0.00	0.00	0.00	0.00
FIXED INCOME SECURITIES	2.6 %	10,978.00	0.00	0.00	10,978.00
INSURANCE/ANNUITIES	0.0 %	0.00	0.00	0.00	0.00
REIT's/TANGIBLES	0.0 %	0.00	0.00	0.00	0.00
ALTERNATIVE INVESTMENTS	0.0 %	0.00	0.00	0.00	0.00
TOTAL ASSETS	100.0 %	$412,629.59	$340,652.44	$60,609.17	$11,367.98
Total Liabilities		$0.00	$0.00	$0.00	$0.00
PORTFOLIO NET VALUE		$412,629.59	$340,652.44	$60,609.17	$11,367.98
NET VALUE PRIOR STATEMENT		$409,535.52	$338,289.61	$60,015.43	$11,230.48
NET VALUE 12/31/04		$410,493.47	$339,230.61	$59,883.88	$11,378.98
Net Income/(Expense) This Statement		$323.97	$277.83	$46.14	$0.00
Net Income/(Expense) YTD		$607.94	$510.30	$97.64	$0.00
Net Cash Deposits/(Withdrawals) This Statement		$0.00	$0.00	$0.00	$0.00
Net Cash Deposits/(Withdrawals) YTD		$0.00	$0.00	$0.00	$0.00

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RAYMOND JAMES & ASSOCIATES, INC. — STATEMENT

Member New York Stock Exchange/SIPC
Raymond James & Associates, Inc., Member FINRA/NYSE/SIPC
www.raymondjames.com
Committed to your financial future

Investment Portfolio Report for January 31 to February 28, 2005

Account ID [redacted]

KENNY EWELL MARCHANT SR
518 CHRISTI LN
COPPELL TX 75019-4055187

[redacted]

Statement copies to:
RAYMOND JAMES & ASSOCIATES

INVESTMENT PROFILE
PRIMARY OBJECTIVE -
Growth
RISK TOLERANCE - High
TIME HORIZON - < 5 Years
SECONDARY OBJECTIVE -
Growth
RISK TOLERANCE - Medium
TIME HORIZON - 5-10 Years

Account Value

Assets Listed in Your Portfolio:	Asset Allocation %	Current Value
CASH/CASH EQUIVALENTS	0.0 %	$61,701.00
MONEY MARKET FUNDS	0.0 %	0.00
EQUITIES/OPTIONS	0.0 %	278,951.00
MUTUAL FUNDS	0.0 %	0.00
CLOSED-END FUNDS	0.0 %	0.00
FIXED INCOME SECURITIES	0.0 %	0.00
INSURANCE/ANNUITIES	0.0 %	0.00
REIT's/TANGIBLES	0.0 %	0.00
ALTERNATIVE INVESTMENTS	0.0 %	0.00
TOTAL ASSETS	100.0 %	$340,652.44

Liabilities:

Reply Access Balance (Margin)	$0.00
Short Sales	0.00
Total Liabilities	$0.00

PORTFOLIO NET VALUE:	
Current Statement	$340,652.44
Prior Statement	$338,289.61
12/31/04	$339,230.61

Income & Expense Summary

Income/Receipts:	This Statement	This Year
INTEREST - TAXABLE	$117.83	$238.30
INTEREST - NON-TAXABLE	0.00	0.00
DIVIDENDS - HERITAGE MONEYMKT-FOS	0.00	0.00
DIVIDENDS - TAXABLE	160.00	272.00
DIVIDENDS - NON-TAXABLE	0.00	0.00
INCOME - MUTUAL FUNDS	0.00	0.00
RECEIPTS OF PAYMENTS/CARITAS	0.00	0.00
OTHER INCOME/RECEIPTS	0.00	0.00
TOTAL INCOME & RECEIPTS	$277.83	$510.30

Expenses:

Interest Reply Access (Margin)/Other	$0.00	$0.00
Taxes withheld	0.00	0.00
Dividend/Interest Reversal	0.00	0.00
Total Expense	$0.00	$0.00
Net Income/Receipts and Expenses	$277.83	$510.30
Net Cash Deposits/(Withdrawals)	$0.00	$0.00

If you have any questions, or would like to update your Investment Profile information, please contact your Financial Advisor at (214) 720-7141 or call our Client Services Department at 1-800-647-SERV (7378) Monday - Friday, 8 AM - 6 PM Eastern.

[redacted]

Service

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YOUR PORTFOLIO

Securities in accounts custodied by Raymond James & Associates are protected by the Securities Investor Protection Corporation (SIPC) up to $500,000, including up to $100,000 in cash, in the event of the firm's liquidation. Additional protection (excess SIPC) is provided by Customer Asset Protection Company, making the combined protection equal to the total net equity of cash and securities held in custody for your account. This coverage does not protect against market fluctuations.

Raymond James & Associates, Inc. and Raymond James Financial Services, Inc. are affiliated with Raymond James Bank, FSB, a federally chartered savings bank, member FDIC. Unless otherwise specified, products purchased from or held at Raymond James & Associates are not insured by the FDIC, are not deposits or other obligations of Raymond James Bank, are not guaranteed by Raymond James Bank and are subject to investment risk, including possible loss of the principal invested.

For information regarding FDIC, SIPC or a specific security, please contact your Financial Advisor or visit www.fdic.gov or www.sipc.org.

Any free cash or Client Interest Program (CIP) balances represent funds payable upon demand that, although properly accounted for on our books of record, are not immediately segregated and may be used in the operation of this firm's business as permissible under Securities and Exchange Commission regulations until such time as they are segregated.

CASH/CASH EQUIVALENTS

Cash/Client Interest Program

Description	Estimated Value	Estimated Dividend Yield	Annual Est Income
Client Interest Program	61,701.44	1.77%	1,092
Total value for Cash/Client Interest Program	$61,701.44		$1,092
Total value for Cash/Cash Equivalents	$61,701.44		$1,092

EQUITIES/OPTIONS

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
500	CBRL GROUP INCORPORATED SYMBOL: CBRL		41.324	42.810	21,405.00	743.00 3.60 %	240	1.12%
400	CBRL	12/20/04	47.480		17,124.00	532.00	192	
100	CBRL	01/04/05	40.700		4,281.00	211.00	48	
800	COUNTRYWIDE FINANCIAL CORPORATION SYMBOL: CFC		35.761	34.750	27,800.00	(808.80) (2.83)%	448	1.61%
500	CFC	12/14/04	36.200		17,375.00	(725.00)	280	
100	CFC	01/04/05	36.950		3,475.00	(220.00)	56	
200	CFC	02/22/05	34.070		6,950.00	136.00	112	

2973

RAYMOND JAMES FINANCIAL SERVICES — STATEMENT

YOUR PORTFOLIO

KENNY EWELL MARCHANT SR — ACCOUNT ID: [redacted] — 02/28/05 — PAGE 3

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estim Divi Y
1,500	DAVITA INCORPORATED		30.000	42.240	21,120.00	1,166.00		
	SYMBOL: DVA					5.84 %		
	400 DVA	12/14/04	35.920		16,896.00	928.00		
	100 DVA	01/04/05	39.860		4,224.00	238.00		
600	EASTGROUP INTERNATIONAL INCORPORATED	12/14/04	31.250	40.280	24,168.00	5,418.00	60	0.
	SYMBOL: EGP					28.90 %		
800	HILTON HOTELS CORPORATION	12/14/04	21.890	21.060	16,848.00	(664.00)	64	0.
	SYMBOL: HLT					(3.79)%		
1,500	INSIGHT ENTERPRISES INCORPORATED		19.494	17.800	26,700.00	(2,541.00)		
	SYMBOL: NSIT					(8.69)%		
	1,500 NSIT	12/14/04	20.190		30,285.00	(2,151.00)		
	100 NSIT	01/04/05	20.200		1,780.00	(240.00)		
	500 NSIT	02/22/05	18.100		8,900.00	(150.00)		
2,500	MPS GROUP INCORPORATED		10.920	10.740	26,850.00	(450.00)		
	SYMBOL: MPS					(1.65)%		
	1,600 MPS	12/14/04	11.120		17,184.00	(608.00)		
	400 MPS	01/13/05	10.870		4,296.00	(152.00)		
	500 MPS	02/22/05	10.320		5,370.00	210.00		
500	MARSHALL & ILSLEY CORPORATION		42.835	40.490	20,245.00	(1,173.00)	420	2.
	SYMBOL: MI					(5.48)%		
	400 MI	12/14/04	42.690		16,196.00	(876.00)	336	
	100 MI	01/04/05	43.460		4,049.00	(297.00)	84	
1,500	PIER 1 IMPORTS INCORPORATED		18.327	16.260	24,375.00	(115.50)	600	2.
	SYMBOL: PIR					(0.42)%		
	1,000 PIR	12/14/04	18.630		16,260.00	(380.00)	400	
	500 PIR	02/22/05	17.720		8,125.00	265.00	200	
800	ULTRA PETROLEUM CORPORATION (CANADA)	12/15/03	22.088	56.350	45,080.00	25,689.60		
	SYMBOL: UPL					145.13 %		

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YOUR PORTFOLIO

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
1,600	FLEXTRONICS INTERNATIONAL LIMITED ORD (HONG KONG)		14.824	13.350	21,360.00	(1,998.40) (6.14)%		
	SYMBOL: FLEX							
1,300	FLEX	12/14/04	14.470		17,355.00	(1,456.00)		
300	FLEX	01/04/05	13.760		4,005.00	57.00		
Total value for priced Stocks					$278,951.00	$26,865.90	$1,832	
Total value for priced Equities/Options					$278,951.00	$26,865.90	$1,832	
TOTAL VALUE FOR PRICED PORTFOLIO & PROJECTED INCOME					$340,652.44	$26,865.90	$2,924	

The cost information provided is believed to be accurate but should not be used for tax reporting purposes. Please read the following conditions as they may pertain to one or more of your investments: any gain/loss information shown for fixed income Unit Investment Trusts reflects cost information that is adjusted for return of principal; if cost information has not been adjusted for return of principal, then gain/loss information is not shown; Master Limited Partnership gain/loss information does not reflect return of principal; mutual fund reinvestments of dividend or capital gain distributions are excluded from 'Amount Invested', but are included in 'Total Cost Basis'; bonds purchased at a premium are not adjusted for bond premium amortization; unrealized gains/losses are calculated for discount bonds by accreting the cost basis at the purchase yield to maturity.

YOUR TRANSACTIONS

INVESTMENT ACCOUNT ACTIVITY

Date 2005	Description	Income/ (Expense)	Deposits/ (Withdrawals)	Sells/ (Buys)	Cash Balance
	OPENING BALANCE				91,307.61
Feb 08	Dividend on 500 shares	60.00			91,367.61
	CBRL GROUP INCORPORATED				
Feb 16	Dividend on 1000 shares	100.00			91,467.61
	PIER 1 IMPORTS INCORPORATED				
Feb 25	Purchased 500 shares @ $17.72			(8,860.00)	82,607.61
	PIER 1 IMPORTS INCORPORATED				
Feb 25	Purchased 200 shares @ $34.07			(6,814.00)	75,793.61
	COUNTRYWIDE FINANCIAL CORPORATION				[redacted]

2975

RAYMOND JAMES ASSOCIATES INC.

STATEMENT

KENNY EVELL MARCHANT SR

ACCOUNT ID: [redacted] 02/28/05 PAGE 5

YOUR TRANSACTIONS

INVESTMENT ACCOUNT ACTIVITY

Date 2005	Description	Income/ (Expense)	Deposits/ (Withdrawals)	Sells/ (Buys)	Cash Balance
Feb 25	Purchased 1500 shares			($50.00)	70,633.61
	MPS GROUP INCORPORATED				
Feb 25	Purchased 15000 shares			($9,000.00)	61,583.61
	INSIGHT ENTERPRISES INCORPORATED				
Feb 25	CREDIT INTEREST 28 DAYS AVG BL	117.83			61,701.44
	$13762.18 AVG RATE 3.681				
NET ACTIVITY/ENDING BALANCES		$277.83	$0.00	($29,884.00)	$61,701.44

ACTIVITY SUMMARY

This summary is a tally of the above activity and may include transactions related to the prior year. The Deposits/(Withdrawals) section captures only money transactions and does not include the delivery or receipt of securities.

INVESTMENT ACCOUNT	THIS STATEMENT	THIS YEAR
Income/Expense	$ 277.83	$ 510.30
(Expense)	$ (0.00)	$ (15,516.47)
Net	$ 277.83	$ (15,006.17)
Deposits	$ 0.00	$ 0.00
(Withdrawals)	$ (0.00)	$ (0.00)
Net	$ 0.00	$ 0.00
Sells	$ 0.00	$ 0.00
(Buys)	$ (29,884.00)	$ (58,327.00)
Net	$ (29,884.00)	$ (58,327.00)

[redacted]

Service 1

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FUTURE PAYMENTS

Cash and stock dividends as well as interest payment information are provided to Raymond James by outside vendors and are believed to be accurate. The future payments listed in this section include those available at the time the statements are printed, and may not represent all the dividends, interest and/or principal that will be paid into your account. For this reason, it is recommended these payments be used as an estimate only. The dividends and interest payments are not included as part of your portfolio value; however, the principal payments listed in this section are included in your total portfolio value.

Future Cash Dividends/Interest

Payable Date	Record Date		Amount
Mar 03	Feb 14	Dividend on 600 shares COUNTRYWIDE FINANCIAL CORPORATION	$84.00
Total Future Cash Dividends/Interest			$84.00

If this statement does not agree with your records, or if you have any other questions regarding your account, please contact your Financial Advisor immediately at the number listed on the top of the first page, or our Client Services Department at 1-800-647-SERV (7378). You may wish to retain this statement for future reference.

THANK YOU FOR YOUR BUSINESS

*** End of Statement ***

1181783000001424 34T00BBMARCHANT KENNY

TAX DEADLINES ARE AROUND THE CORNER. IN ORDER TO BE WELL-PREPARED, REMAINING ATTENTIVE TO YOUR FINANCIAL SITUATION IS CRITICAL. IF YOU HAVE QUESTIONS ABOUT FILING OR CONTRIBUTION DEADLINES, OR OTHER ISSUES THAT MAY IMPACT YOUR OVERALL TAX PLANNING STRATEGY, PLEASE CONTACT YOUR FINANCIAL ADVISOR TODAY.

2977

RAYMOND JAMES & ASSOCIATES

STATEMENT

Investment Portfolio Report for December 31, 2004 to January 31, 2005

Account ID: [redacted]

KENNY EWELL MARCHANT SR
618 CHRISTI LN
COPPELL TX 75019-4055167

[redacted]

Statement copies to:
RAYMOND JAMES & ASSOCIATES

INVESTMENT PROFILE
PRIMARY OBJECTIVE -
Growth
RISK TOLERANCE - High
TIME HORIZON - < 5 Years
SECONDARY OBJECTIVE -
Growth
RISK TOLERANCE - Medium
TIME HORIZON - 5-10 Years

Account Value

Assets Listed in Your Portfolio:	Asset Allocation %	Current Value
CASH/CASH EQUIVALENTS	27.01%	$91,307.61
MONEY MARKET FUNDS	0.0 %	0.00
EQUITIES/OPTIONS	73.01%	246,982.00
MUTUAL FUNDS	0.0 %	0.00
CLOSED END FUNDS	0.00%	0.00
FIXED INCOME SECURITIES	0.0 %	0.00
INSURANCE/ANNUITIES	0.00%	0.00
REIT's/TANGIBLES	0.0 %	0.00
ALTERNATIVE INVESTMENTS	0.00%	0.00
TOTAL ASSETS	100.0 %	$338,289.61

Liabilities:

Ready Assets Balance (Margin)	$0.00
Short Sales	0.00
Total Liabilities	$0.00

PORTFOLIO NET VALUE:	
Current	$338,289.61
Prior Statement	$339,230.61
12731704	$339,230.61

Income & Expense Summary

Income/Receipts:	This Statement	This Year
INTEREST - TAXABLE	$120.47	$120.47
INTEREST - NON-TAXABLE	0.00	0.00
DIVIDENDS - VERITAS MONEY MKT FD	0.00	0.00
DIVIDENDS - TAXABLE	112.00	112.00
DIVIDENDS - NON-TAXABLE	0.00	0.00
INCOME - MUTUAL FUNDS	0.00	0.00
PROFIT/LOSS-PAID/TAX CAPITAL	0.00	0.00
OTHER INCOME/RECEIPTS	0.00	0.00
TOTAL INCOME & RECEIPTS	$232.47	$232.47

Expenses:		
Interest-Ready Accts/Margin/Other	$0.00	$0.00
Taxes withheld	0.00	0.00
Dividend/Interest Charged	0.00	0.00
Total Expense	$0.00	$0.00
Net Income/Receipts and Expenses	$232.47	$232.47
Net Cash Deposits/(Withdrawals)	$0.00	$0.00

If you have any questions, or would like to update your Investment Profile information, please contact your Financial Advisor at (214) 720-7141 or call our Client Services Department at 1-800-647-SERV (7378) Monday - Friday, 8 AM - 6 PM Eastern.

Service

11817830-002

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YOUR PORTFOLIO

Securities in accounts custodied by Raymond James & Associates are protected by the Securities Investor Protection Corporation (SIPC) up to $500,000, including up to $100,000 in cash, in the event of the firm's liquidation. Additional protection (excess SIPC) is provided by Customer Asset Protection Company, making the combined protection equal to the total net equity of cash and securities held in custody for your account. This coverage does not protect against market fluctuations.

Raymond James & Associates, Inc. and Raymond James Financial Services, Inc. are affiliated with Raymond James Bank, FSB, a federally chartered savings bank, member FDIC. Unless otherwise specified, products purchased from or held at Raymond James & Associates are not insured by the FDIC, are not deposits or other obligations of Raymond James Bank, are not guaranteed by Raymond James Bank and are subject to investment risk, including possible loss of the principal invested.

For information regarding FDIC, SIPC or a specific security, please contact your Financial Advisor or visit www.fdic.gov or www.sipc.org.

Any free cash or Client Interest Program (CIP) balances represent funds payable upon demand that, although properly accounted for on our books of record, are not immediately segregated and may be used in the operation of this firm's business as permissible under Securities and Exchange Commission regulations until such time as they are segregated.

CASH/CASH EQUIVALENTS

Cash/Client Interest Program

Description	Estimated Value	Estimated Dividend Yield	Annual Est Income
[redacted]	$91,307.61	1.59%	$1,452
Total value for Cash/Client Interest Program	$91,307.61		$1,452
Total value for Cash/Cash Equivalents	$91,307.61		$1,452

EQUITIES/OPTIONS

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estimated Dividend Yield
[redacted]	CBRL GROUP INCORPORATED		[redacted]	41.110	20,555.00	(107.00)	240	1.17%
	SYMBOL: CBRL					(0.52)%		
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	16,444.00	(148.00)	192	
	400 CBRL	01/04/05	40.700		4,111.00	41.00	48	
	100 CBRL		40.985	41.000	4,200.00	1.86 %	288	1.30%
600	COUNTRYWIDE FINANCIAL CORPORATION							
[redacted]	CFC				18,500.00	400.00	240	
	500 CFC	12/14/04	36.200		15,700.00	[redacted]		
	400 CFC	01/04/05	36.850		20,980.00	1,026.00		
500	DAVITA INCORPORATED		39.908	41.960				
	SYMBOL: DVA							

[redacted]

YOUR PORTFOLIO

KENNY EWELL MARCHANT_SR ... ACCOUNT ID: [redacted] 01/31/05 PAGE 3

Stocks

Shares	Description	Date Acquired	Estimated Avg Cost per Share	Estimated Price	Estimated Value	Estimated Gain/(Loss) & Pct	Annual Est Income	Estim Divi Y
[redacted]								
100	DVA	01/04/05	39.860		4,196.00	210.00		
[redacted]								
	INCORPORATED					9.54 %		
[redacted]								
800	HILTON HOTELS CORPORATION	12/14/04	21.890	22.250	17,800.00	288.00	64	0
[redacted]								
1,000	INSIGHT ENTERPRISES		20.191	19.350	19,350.00	(841.00)		
[redacted]								
	SYMBOL: NSIT							
[redacted]								
100	NSIT	01/04/05	20.200		1,935.00	(85.00)		
[redacted]								
	SYMBOL: NPS					1.99 %		
[redacted]								
400	NPS	01/13/05	10.870		4,516.00	168.00		
[redacted]								
	SYMBOL: MI					(0.06)%		
[redacted]								
100	MI	01/04/05	43.460		4,281.00	(65.00)	84	
[redacted]								
	SYMBOL: PIR					(4.94)%		
[redacted]								
	(CANADA)					124.16 %		
[redacted]								
1,600	FLEXTRONICS INTERNATIONAL		14.224	14.150	22,640.00	(118.40)		
[redacted]								
	SYMBOL: FLEX							
[redacted]								
300	FLEX	01/04/05	13.160		4,245.00	297.00		
Total value for priced Stocks					$246,982.00	$24,781.20	$1,472	
Total value for priced Equities/Options					$246,982.00	$24,781.20	$1,472	

[redacted]

Your statement is printed on both sides to conserve paper and preserve our environment

YOUR PORTFOLIO

	Estimated Value	Estimated Gain/(Loss)	Annual Est Income
TOTAL VALUE FOR PRICED PORTFOLIO & PROJECTED INCOME	$338,289.61	$24,781.20	$2,924

The cost information provided is believed to be accurate but should not be used for tax reporting purposes. Please read the following conditions as they may pertain to one or more of your investments: any gain/loss information shown for fixed income Unit Investment Trusts reflects cost information that is adjusted for return of principal; if cost information has not been adjusted for return of principal, then gain/loss information is not shown; Master Limited Partnership gain/loss information does not reflect return of principal; mutual fund reinvestments of dividend or capital gain distributions are excluded from 'Amount Invested', but are included in 'Total Cost Basis'; bonds purchased at a premium are not adjusted for bond premium amortization; unrealized gains/losses are calculated for discount bonds by accreting the cost basis at the purchase yield to maturity.

YOUR TRANSACTIONS

INVESTMENT ACCOUNT ACTIVITY

Date 2005	Description	Income/ (Expense)	Deposits/ (Withdrawals)	Sells/ (Buys)	Cash Balance
[redacted]					[redacted]
Jan 03	Dividend on 400 shares	112.00			119,003.61
					119,115.61
[redacted]					[redacted]
Jan 07	Purchased 300 shares @ $13.16			(3,948.00)	115,167.61
[redacted]					[redacted]
	LIMITED ORD (HONG KONG)				
[redacted]					[redacted]
	CBRL GROUP INCORPORATED				
[redacted]					[redacted]
	DAVITA INCORPORATED				
[redacted]					[redacted]
	INSIGHT ENTERPRISES				
[redacted]					[redacted]
Jan 07	Purchased 100 shares @ $36.95			(3,695.00)	101,396.61
[redacted]					[redacted]
	CORPORATION				
[redacted]					[redacted]
	MARSHALL & ILSLEY CORPORATION				
[redacted]					[redacted]
	MPS GROUP INCORPORATED				

2981

YOUR TRANSACTIONS

KENNY EWELL MARCHANT SR ACCOUNT ID: [redacted] 01/31/05 PAGE 5

INVESTMENT ACCOUNT ACTIVITY

Date 2005	Description	Income/ (Expense)	Deposits/ (Withdrawals)	Sells/ (Buys)	Cash Balance
Jan 19	AO Fees for 01/19/06 thru [illegible]	($60.37)			92,442.24
	at 1.50% on $334,374.68				
Jan 19	AO Fees for 01/19/06 thru [illegible]	($25.40)			91,181.[illegible]
	at 1.50% on $339,342.62				
Jan 28	CREDIT INTEREST DAILY SAVINGS	$126.[illegible]			91,307.61
	100670.17 AVG RATE 1.559				
NET ACTIVITY/ENDING BALANCES		($1,283.00)	$0.00	($26,413.00)	$91,307.61

ACTIVITY SUMMARY

This summary is a tally of the above activity and may include transactions related to the prior year. The Deposits/(Withdrawals) section captures only money transactions and does not include the delivery or receipt of securities.

INVESTMENT ACCOUNT	THIS STATEMENT	THIS YEAR
Income/Expenses	$ [illegible]	$ 232.07
(Expenses)	$ (1,510.[illegible])	$ (11,515.[illegible])
Net	$ (1,283.00)	$ (11,283.00)
Deposits	$ 0.00	$ 0.00
(Withdrawals)	$ 0.00	$ 0.00
Net	$ 0.00	$ 0.00
Sells	$ 0.00	$ 0.00
(Buys)	$ (26,413.00)	$ (26,413.00)
Net	$ (26,413.00)	$ (26,413.00)

YOUR TRANSACTIONS

FUTURE PAYMENTS

Cash and stock dividends as well as interest payment information are provided to Raymond James by outside vendors and are believed to be accurate. The future payments listed in this section include those available at the time the statements are printed, and may not represent all the dividends, interest and/or principal that will be paid into your account. For this reason, it is recommended these payments be used as an estimate only. The dividends and interest payments are not included as part of your portfolio value; however, the principal payments listed in this section are included in your total portfolio value.

Future Cash Dividends/Interest

Payable Date	Record Date		Amount
[redacted]		[redacted]	[redacted]
		CBRL GROUP INCORPORATED	
Total Future Cash Dividends/Interest			$60.00

If this statement does not agree with your records, or if you have any other questions regarding your account, please contact your Financial Advisor immediately at the number listed on the top of the first page, or our Client Services Department at 1-800-647-SERV (7378). You may wish to retain this statement for future reference.

THANK YOU FOR YOUR BUSINESS

*** End of Statement ***

1191783000001424347008GMARCHANT KENNY

[redacted]

2983

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

Medallion Account

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMENT

Sub / Branch / Rep
067 / FZ / FZ99 [redacted]

April 1 - April 30, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Mar 31	Value on Apr 30	Est. Ann. Income	% Total Assets
Cash and Money Market	$73,737.88	$95,561.83	2,343.13	7.05
Stocks and Options	$1,348,303.50	$1,260,299.51	13,469.88	92.95
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$1,422,041.38	$1,355,861.44	15,813.01	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$1,422,041.38	$1,355,861.44		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Mar 31	Value/Cost on Apr 30
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options

Cash Activity Summary

Total Cash and Money Market Funds on Mar 31:			$73,737.88
	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $115,511.99	- $730,558.94
Securities Sold and Redeemed	$139,284.15	$0.00	$464,549.30
Deposits and Withdrawals	$1,350.37	$0.00	$168,908.33
Withdrawals by Check	$0.00	- $4,566.67	- $5,908.29
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$1,143.84	$0.00	$2,485.53
Interest	$144.25	$0.00	$829.12
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$141,902.61	- $120,078.66	
Total Cash and Money Market Funds on Apr 30:			**$95,561.83**

Tax-Exempt Income Year-to-Date: $0.00
Other Inc[redacted] Year-to-Date: $2,485.53

Tax-exemp[redacted] income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No
067 / FZ / FZ99 / [redacted]

April 1 - April 30, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	- $42,269.46		
BANK DEPOSIT SWEEP OPTION Interest Period 04/01/05 - 04/30/05	$137,831.29	$2,343.13	1.70
Total Cash and Money Market Funds	**$95,561.83**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
ALLSTATE CORP	ALL	1,000	56.1600	$56,160.00	$1,280.00	2.27
BOEING CO	BA	1,000	59.5200	$59,520.00	$1,000.00	1.68
BURLINGTON NORTHN SANTA FE CORP	BNI	2,500	48.2500	$120,625.00	$1,700.00	1.40
CENTEX CORPORATION	CTX	1,000	57.7200	$57,720.00	$160.00	0.27
CHESAPEAKE ENERGY CORP	CHK	1,000	19.2400	$19,240.00	$180.00	0.93
COMMERCIAL METALS CO	CMC	2,000	25.5100	$51,020.00	$480.00	0.94
CONOCOPHILLIPS	COP	300	104.8500	$31,455.00	$744.00	2.36
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	1,000	16.8000	$16,800.00	$1,500.00	8.92
DEVON ENERGY CORP	DVN	1,000	45.1700	$45,170.00	$300.00	0.66
DIRECT GENERAL CORP	DRCT	1,500	17.7600	$26,640.00	$240.00	0.90
DOCUCORP INC	DOCC	1,000	7.0000	$7,000.00	N/A	N/A
E M C CORP MASS	EMC	2,000	13.1200	$26,240.00	N/A	N/A
E TRADE FINANCIAL	ET	1,000	11.1100 [redacted]	[redacted]	N/A	N/A
EXELON CORPORATION	EXC	1,000	49.5000	[redacted]	$1,600.00	3.23
EXXON MOBIL CORP	XOM	1,500	57.0300	$85,545.00	$1,740.00	2.03

2985

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
067 / FZ / FZ99[redacted]

April 1 - April 30, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
FROZEN FOOD EXPRESS INDS	FFEX	1,000	9.5000	$9,500.00	N/A	N/A
GAYLORD ENTERTAINMENT CL A	GET	500	40.0000	$20,000.00	N/A	N/A
HALLIBURTON COMPANY	HAL	500	41.5900	$20,795.00	$250.00	1.20
INTRUSION INC (NEW)	INTZ	4,951	2.1610	$10,699.11	N/A	N/A
ISHARES DOW JONES U S TRANSPORTATION INDEX FD	IYT	200	61.6100	$12,322.00	$72.68	0.58
ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	FXI	200	55.3200	$11,064.00	N/A	N/A
LUBY'S INC	LUB	15,000	7.5500	$113,250.00	N/A	N/A
NASDAQ-100 SHS	QQQQ	2,000	34.9800	$69,960.00	$34.20	0.04
ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	RMCF	1,450	19.8100	$28,724.50	$522.00	1.81
SPDR TR UNIT SER 1	SPY	400	115.7500	$46,300.00	$767.00	1.65
TEREX CORPORATION NEW	TEX	1,000	37.3800	$37,380.00	N/A	N/A
TEXAS INSTRUMENTS INC	TXN	5,000	24.9600	$124,800.00	$500.00	0.40
UNITED STATES STEEL CORP	X	1,000	42.7600	$42,760.00	$400.00	0.93
YELLOW ROADWAY CORP	YELL	1,000	49.0000	$49,000.00	N/A	N/A
Total Stocks				**$1,260,299.61**	**$13,489.88**	**1.07**
Total Stocks and Options				**$1,260,299.61**	**$13,489.88**	**1.07**

[redacted]

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

April 1 - April 30, 2005

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
04/01				BEGINNING BALANCE			$ 73,737.88
04/01	Cash	DIVIDEND		ALLSTATE CORP 040105 1,000		$320.00	
04/01	Cash	DIVIDEND		BURLINGTON NORTHN SANTA FE CORP 040105 2,000		$340.00	
04/01	Cash	DIVIDEND		KERR-MC GEE CORPORATION 040105 400		$180.00	$ 74,577.88
04/04	Cash	ASSET TRF		TRANSFER CASH BALANCE		$1,350.37	$ 75,928.25
04/11	Cash	SALE	- 2,549.00000	INTRUSION INC (NEW)	3.7457	$9,441.44	$ 85,369.69
04/14	Cash	SALE	- 500.00000	KERR-MC GEE CORPORATION	78.8800	$39,402.40	
04/14	Cash	SALE	- 400.00000	TEX PAC LAND TR SUB SH CTF PROPRIETARY UNIT	164.2500	$65,667.30	
04/14	Cash	PURCHASE	2,500.00000	INTRUSION INC (NEW)	2.4059	-$6,170.70	$ 184,268.69
04/15	Cash	DIVIDEND		CHESAPEAKE ENERGY CORP 041505 1,000		$45.00	
04/15	Cash	DIVIDEND		COMMERCIAL METALS CO 041505 1,200		$72.00	$ 184,385.69
04/18	Cash	PURCHASE	800.00000	COMMERCIAL METALS CO	27.2401	-$21,846.03	
04/18	Cash	CHECK ISSUED		CHK ISS #BR86713113 MGMTFE		-$4,566.67	$ 157,972.99
04/20	Cash	PURCHASE	500.00000	TEREX CORPORATION NEW	40.5000	-$20,285.95	$ 137,687.04
04/28	Cash	PURCHASE	500.00000	EXXON MOBIL CORP	56.6590	-$28,365.45	$ 109,321.59
04/29	Cash	DIVIDEND		SPDR TR UNIT SER 1 042905 400		$186.84	
04/29	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 042905 137 [redacted]		$144.25	

2987

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Reg / Account No.
G87 / FZ / FZ92[redacted]

ACCOUNT STATEMENT

April 1 - April 30, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
04/29	Cash	SALE	- 1,000.00000	TEXAS INSTRUMENTS INC	24.8200	$24,753.01	
04/29	Cash	PURCHASE	300.00000	CONOCOPHILLIPS	103.8220	-$31,170.55	
04/29	Cash	PURCHASE	400.00000	ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	18.1084	-$7,573.31	$ 95,561.83

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary

Date	Account Type	Transaction	Description	Amount
4/01			BEGINNING BALANCE	$112,536.38
4/01	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$38,798.51
4/05	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$2,190.37
4/15	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$9,441.44
4/20	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$72,603.30
4/25	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$20,285.95
/29	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$144.25
/30			ENDING BALANCE	$137,831.29

2988

Medallion Account

2875

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No
067 / FZ	/ FZ9[redacted]	

March 1 - March 31, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Feb 28	Value on Mar 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$155,685.21	$73,737.88	1,721.80	5.19
Stocks and Options	$1,113,502.50	$1,348,303.50	13,592.88	94.81
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
All Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$1,269,187.71	$1,422,041.38	15,314.68	100%
Margin Balance	$169,609.84	$0.00	0.00	
Net Portfolio Value	$1,438,797.55	$1,422,041.38		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Feb 28	Value/Cost on Mar 31
Direct Investments	$0.00	$0.00
Retail Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options

Cash Activity Summary

Total Cash and Money Market Funds on Feb 28:			$325,295.05
	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $338,870.40	- $615,046.95
Securities Sold and Redeemed	$86,285.23	$0.00	$425,285.15
Deposits and Withdrawals	$0.00	$0.00	$197,557.96
Withdrawals by Check	$0.00	$0.00	- $1,341.62
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$789.69	$0.00	$1,321.69
Interest	$238.31	$0.00	$684.87
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$87,313.23	- $338,870.40	
Total Cash and Money Market Funds on Mar 31:			$73,737.88

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $1,321.69

Tax-exem[redacted] income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No
067 / FZ / FZ99 [redacted]

March 1 - March 31, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	-$38,798.51		
BANK DEPOSIT SWEEP OPTION Interest Period 03/01/05 - 03/31/05	$112,536.39	$1,721.80	1.53
Total Cash and Money Market Funds	**$73,737.88**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
ALLSTATE CORP	ALL	1,000	54.0600	$54,060.00	$1,280.00	2.36
BOEING CO	BA	1,000	58.4600	$58,460.00	$1,000.00	1.71
BURLINGTON NORTHN SANTA FE CORP	BNI	2,500	53.9300	$134,825.00	$1,700.00	1.26
CENTEX CORPORATION	CTX	1,000	57.2700	$57,270.00	$180.00	0.27
CHESAPEAKE ENERGY CORP	CHK	1,000	21.9400	$21,940.00	$180.00	0.82
COMMERCIAL METALS CO	CMC	1,200	33.8900	$40,668.00	$288.00	0.70
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	1,000	16.3400	$16,340.00	$1,500.00	9.17
DEVON ENERGY CORP	DVN	1,000	47.7500	$47,750.00	$300.00	0.62
DIRECT GENERAL CORP	DRCT	1,500	20.5400	$30,810.00	$240.00	0.77
DOCUCORP INC	DOCC	1,000	7.7600	$7,760.00	N/A	N/A
E M C CORP MASS	EMC	2,000	12.3200	$24,640.00	N/A	N/A
E TRADE FINANCIAL	ET	1,000	12.0000	$12,000.00	N/A	N/A
EXELON CORPORATION	EXC	1,000	45.88[redacted]	[redacted]	$1,600.00	3.48
EXXON MOBIL CORP	XOM	1,000	59.60[redacted]	[redacted]	$1,080.00	1.81
FROZEN FOOD EXPRESS INDS	FFEX	1,000	11.5200	$11,520.00	N/A	N/A

2991

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ9[redacted]

ACCOUNT STATEMENT

March 1 - March 31, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
AYLORD ENTERTAINMENT L A	GET	500	40.4000	$20,200.00	N/A	N/A
ALLIBURTON COMPANY	HAL	500	43.2500	$21,625.00	$250.00	1.15
ITRUSION INC (NEW)	INTZ	5,000	2.1410	$10,705.00	N/A	N/A
HARES DOW JONES U S RANSPORTATION INDEX FD	IYT	200	66.4900	$13,298.00	$72.68	0.54
HARES FTSE/XINHUA HINA 25 INDEX FUND	FXI	200	54.6000	$10,920.00	N/A	N/A
ERR-MC GEE CORPORATION	KMG	500	78.3300	$39,165.00	$900.00	2.29
IBY'S INC	LUB	15,000	7.7500	$116,250.00	N/A	N/A
ASDAQ-100 SHS	QQQQ	2,000	36.5700	$73,140.00	$757.20	1.03
OCKY MOUNTAIN HOCOLATE FACTORY C (NEW)	RMCF	1,050	24.6700	$25,903.50	$378.00	1.45
DR TR UNIT SER 1	SPY	400	117.9600	$47,184.00	$767.00	1.62
REX CORPORATION NEW	TEX	500	43.3000	$21,650.00	N/A	N/A
K PAC LAND TR SUB SH F PROPRIETARY UNIT	TPL	400	156.0000	$62,400.00	$220.00	0.35
AS INSTRUMENTS INC	TXN	6,000	25.4900	$152,940.00	$600.00	0.39
TED STATES STEEL CORP	X	1,000	50.8500	$50,850.00	$320.00	0.62
LOW ROADWAY CORP	YELL	1,000	58.5400	$58,540.00	N/A	N/A
al Stocks				$1,348,303.50	$13,592.88	1.01
al Stocks and Options				$1,348,303.50	$13,592.88	1.01

[redacted]

2992

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99[redacted]

March 1 - March 31, 2005

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount
03/01	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$149,542.11
03/03	Cash	PURCHASE	5,000.00000	LUBY'S INC	6.9200	-$34,905.95
03/04	Cash	SALE	- 1,000.00000	GENERAL MOTORS CORP	35.3700	$35,302.88
03/07	Cash	PURCHASE	1,000.00000	BOEING CO	57.5200	-$57,585.95
03/07	Cash	PURCHASE	500.00000	EXELON CORPORATION	46.9900	-$23,530.95
03/07	Cash	PURCHASE	250.00000	HALLIBURTON COMPANY	44.0500	-$11,033.45
03/07	Cash	PURCHASE	4,600.00000	LUBY'S INC	6.9500	-$32,248.00
03/07	Cash	PURCHASE	400.00000	LUBY'S INC	6.9300	-$2,801.95
03/08	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$34,905.95
03/09	Cash	PURCHASE	1,000.00000	CHESAPEAKE ENERGY CORP	23.0900	-$23,155.95
03/09	Cash	PURCHASE	300.00000	DEVON ENERGY CORP	48.9300	-$14,702.95
03/09	Cash	PURCHASE	200.00000	DEVON ENERGY CORP	48.9400	-$9,800.00
03/09	Cash	PURCHASE	500.00000	EXXON MOBIL CORP	63.7700	-$31,920.95
03/10	Cash	DIVIDEND		EXELON CORPORATION 031005 500		$200.00
03/10	Cash	DIVIDEND		UNITED STATES STEEL CORP 031005 1,000		$80.00
03/10	Cash	STOCK DISTRIB	50.00000	ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)		$0.00
03/10	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$91,895.42
03/14	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$79,299.85
03/15	Cash	DIVIDEND		DIRECT GENERAL CORP 031505 1,500		$60.00
03/15	Cash	DIVIDEND		TEX PAC LAND TR SUB SH CTF PROPRIETARY UNIT 031505 400		$220.00
03/16	Cash	DIVIDEND		ROCKY MOU[redacted] CHOCOLATE FACTORY INC (NEW) 031605 1,050		$94.50

2993

FIRST DALLAS SECURITIES
2903 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
067 / FZ / FZ99[redacted]

March 1 - March 31, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount
03/22	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$374.50
03/23	Cash	DIVIDEND		CENTEX CORPORATION 032305 1,000		$40.00
03/24	Cash	DIVIDEND		HALLIBURTON COMPANY 032405 250		$31.25
03/28	Cash	SALE	- 1,500.00000	TEXAS INSTRUMENTS INC	25.5500	$38,233.73
03/28	Cash	SALE	- 500.00000	TEXAS INSTRUMENTS INC	25.5700	$12,748.62
03/28	Cash	PURCHASE	500.00000	BURLINGTON NORTHN SANTA FE CORP	55.0200	-$27,545.95
03/28	Cash	PURCHASE	500.00000	DEVON ENERGY CORP	45.8500	-$22,960.95
3/28	Cash	PURCHASE	100.00000	KERR-MC GEE CORPORATION	78.0500	-$7,816.95
3/29	Cash	PURCHASE	5,000.00000	LUBY'S INC	7.7113	-$38,862.45
1/29	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$71.25
1/31	Cash	DIVIDEND		DEVON ENERGY CORP 033105 500		$37.50
/31	Cash	DIVIDEND		ISHARES DOW JONES U S TRANSPORTATION INDEX FD 033105 200		$26.44
31	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 033105 112,298		$238.31
31	Cash	REINVEST INT		BANK DEPOSIT SWEEP OPTION		-$238.31
11	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$7,341.50

Medallion Account

FIRST DALLAS SECURITIES
1905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMEN

Sub / Branch / Rep
067 / FZ / FZ39 [redacted]

February 1 - February 28, 200

Your Investment Broker:
FIRST DALLAS SECURITIES
1905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Jan 31	Value on Feb 28	Est. Ann. Income	% Total Assets
Cash and Money Market	$270,902.02	$155,685.21	2,455.59	12.27
Stocks and Options	$572,338.00	$1,113,502.50	12,265.46	87.73
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$843,240.02	$1,269,187.71	14,761.15	100%
Margin Balance	$0.00	$169,609.84	0.00	
Net Portfolio Value	$843,240.02	$1,438,797.55		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Jan 31	Value/Cost on Feb 28
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Securities Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

Cash and Money Market
Stocks and Options

Cash Activity Summary

	Credits	Debits	Year to Date
Total Cash and Money Market Funds on Jan 31:			$270,902.02
Securities Purchased	$0.00	- $192,790.60	- $278,179.55
Securities Sold and Redeemed	$238,999.92	$0.00	$238,999.92
Deposits and Withdrawals	$7,557.96	$0.00	$167,557.96
Withdrawals by Check	$0.00	$0.00	- $1,341.62
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$375.00	$0.00	$532.00
Interest	$250.75	$0.00	$446.56
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$247,183.63	- $192,790.60	
Total Cash and Money Market Funds on Feb 28:			$325,295.05

Tax-Exempt Income Year-to-Date: $0.00
Other [redacted] Year-to-Date: $532.00

Tax-e[redacted] income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

Sub / Branch / Rep / Account no.
087 / FZ / FZ99 [redacted]

February 1 - February 28, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	-$20,067.73		
BANK DEPOSIT SWEEP OPTION Interest Period 02/01/05 - 02/28/05	$175,752.94	$2,495.69	1.42
Total Cash and Money Market Funds	**$155,685.21**		
Margin Balance	**$169,609.84**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
ALLSTATE CORP	ALL	1,000	53.6800	$53,680.00	$1,280.00	2.38
BURLINGTON NORTHN SANTA FE CORP	BNI	2,000	50.2700	$100,540.00	$1,360.00	1.35
CENTEX CORPORATION	CTX	1,000	63.5900	$63,590.00	$160.00	0.25
COMMERCIAL METALS CO	CMC	1,200	34.8000	$41,760.00	$288.00	0.68
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	1,000	16.3000	$16,300.00	$1,500.00	9.20
DIRECT GENERAL CORP	DRCT	1,500	20.2300	$30,345.00	$240.00	0.79
DOCUCORP INC	DOCC	1,000	8.7300	$8,730.00	N/A	N/A
E M C CORP MASS	EMC	2,000	12.6600	$25,320.00	N/A	N/A
E TRADE FINANCIAL	ET	1,000	13.2700	$13,270.00	N/A	N/A
EXELON CORPORATION	EXC	500	45.3600	$22,680.00	$800.00	3.52
EXXON MOBIL CORP	XOM	500	63.3100	$31,655.00	$540.00	1.70
FROZEN FOOD EXPRESS INDS	FFEX	1,000	11.61[redacted].00		N/A	N/A
GAYLORD ENTERTAINMENT CL A	GET	500	42.8000	$21,[redacted]00	N/A	N/A

2997

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMEN

February 1 - February 28, 200

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann Yield (%
GENERAL MOTORS CORP	GM	1,000	35.6500	$35,650.00	$2,000.00	N/
HALLIBURTON COMPANY	HAL	250	43.9700	$10,992.50	$125.00	1.1
INTRUSION INC (NEW)	INTZ	5,000	3.3500	$16,750.00	N/A	N/
ISHARES DOW JONES U S TRANSPORTATION INDEX FD	IYT	200	66.8700	$13,374.00	$57.18	0.4
ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	FXI	200	57.8000	$11,560.00	N/A	N/
KERR-MC GEE CORPORATION	KMG	400	77.6600	$31,064.00	$720.00	2.31
NASDAQ-100 SHS	QQQQ	2,000	37.2200	$74,440.00	$757.20	N/A
ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	RMCF	1,000	20.4700	$20,470.00	$360.00	1.75
SPDR TR UNIT SER 1	SPY	400	120.6300	$48,252.00	$738.08	1.52
TEREX CORPORATION NEW	TEX	500	45.2000	$22,600.00	N/A	N/A
TEX PAC LAND TR SUB SH CTF PROPRIETARY UNIT	TPL	400	139.0000	$55,600.00	$220.00	0.39
TEXAS INSTRUMENTS INC	TXN	8,000	26.4700	$211,760.00	$800.00	0.37
UNITED STATES STEEL CORP	X	1,000	62.3600	$62,360.00	$320.00	0.51
YELLOW ROADWAY CORP	YELL	1,000	57.7500	$57,750.00	N/A	N/A
Total Stocks				**$1,113,502.50**	**$12,265.46**	**1.10**
Total Stocks and Options				**$1,113,502.50**	**$12,265.46**	**1.10**

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount
02/01	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$29,623.60
02/11	Cash	PURCHASE	500.00000	ALLSTATE [redacted]	52.2800	-$26,175.95
02/11	Cash	PURCHASE	500.00000	DOCUCORP INC	9.7500	-$4,910.95
02/11	Cash	PURCHASE	500.00000	EXXON MOBIL CORP	56.0600	-$28,075.95

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

February 1 - February 28, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount
02/11	Cash	PURCHASE	400.00000	KERR-MC GEE CORPORATION	66.0900	-$26,465.95
02/15	Cash	DIVIDEND		CRESCENT REAL ESTATE REIT EQUITIES TRUST 021505 1,000		$375.00
02/15	Cash	SALE	- 1,000.00000	AMX CORP NEW	22.3206	$22,253.91
02/16	Cash	ASSET TRF		TRANSFER CASH BALANCE		$7,557.96
02/16	Cash	PURCHASE	250.00000	CENTEX CORPORATION	62.9040	-$15,746.95
02/16	Margin	ASSET TRF	2,300.00000	GENERAL MOTORS CORP		$0.00
02/16	Margin	ASSET TRF	5,300.00000	NASDAQ-100 SHS		$0.00
02/16	Margin	ASSET TRF	400.00000	SPDR TR UNIT SER 1		$0.00
02/16	Margin	ASSET TRF	8,000.00000	TEXAS INSTRUMENTS INC		$0.00
02/16	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$85,253.80
02/17	Cash	PURCHASE	500.00000	BURLINGTON NORTHN SANTA FE CORP	48.3500	-$24,210.95
02/17	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$7,557.96
02/23	Cash	SALE	- 1,000.00000	FOUNDRY NETWORKS INC	10.1036	$10,037.31
02/23	Cash	SALE	- 1,000.00000	HELEN OF TROY LIMITED	27.6200	$27,553.14
02/23	Cash	SALE	- 2,000.00000	PFSWEB INC	3.2460	$6,371.78
02/23	Cash	SALE	- 1,000.00000	PFSWEB INC	3.2400	$3,173.94
02/23	Margin	SALE	- 1,300.00000	GENERAL MOTORS CORP	36.7718	$47,717.81
02/23	Margin	SALE	- 3,300.00000	NASDAQ-100 SHS	37.0000	$121,392.03
02/23	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$17,703.99
02/24	Cash	PURCHASE	200.00000	GAYLORD ENTERTAINMENT CL A AS OF 2/23/05	42.7000	-$8,557.95

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2999

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMEN

February 1 - February 28, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount
02/24	Cash	PURCHASE	1,000.00000	YELLOW ROADWAY CORP AS OF 2/23/05	58.5800	-$58,645.95
02/28	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION		$250.75
02/28	Cash	REINVEST INT		BANK DEPOSIT SWEEP OPTION		-$250.75

3000

43/74

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

Medallion Account

14535

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
087 / FZ / FZ99 [redacted]

January 1 - January 31, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Dec 31	Value on Jan 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$195,276.78	$270,902.02	3,155.51	32.13
Stocks and Options	$499,222.50	$572,338.00	5,550.18	67.87
Preferred Stocks	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$694,499.28	$843,240.02	8,705.69	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$694,499.28	$843,240.02		

Other Assets

These positions reflect purchases made through us or information supplied to us, they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Dec 31	Value/Cost on Jan 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options

Cash Activity Summary

Total Cash and Money Market Funds on Dec 31:			$195,276.78
	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $83,385.95	- $83,385.95
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$160,000.00	$0.00	$160,000.00
Withdrawals by Check	$2,625.78	- $3,967.40	- $1,341.62
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$157.00	$0.00	$157.00
Interest	$195.81	$0.00	$195.81
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$162,978.59	- $87,353.35	
Total Cash and Money Market Funds on Jan 31:			$270,902.02

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $157.00

Tax-exe[redacted]er income year-to-date totals are taken from the tax reporting system. They may not [redacted] activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

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KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rec / Account No.
067 / FZ / FZ99 [redacted]

January 1 - January 31, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	- $29,623.50		
BANK DEPOSIT SWEEP OPTION Interest Period 01/01/05 - 01/31/05	$300,525.62	$3,155.51	1.05
Total Cash and Money Market Funds	**$270,902.02**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann Yield (%)
ALL STATE CORP	ALL	500	50.4400	$25,220.00	$560.00	2.22
AMX CORP NEW	AMXC	1,000	16.2100	$16,210.00	N/A	N/A
BURLINGTON NORTHN SANTA FE CORP	BNI	1,500	48.1800	$72,270.00	$1,020.00	1.41
CENTEX CORPORATION	CTX	750	61.3100	$45,982.50	$120.00	0.26
COMMERCIAL METALS CO	CMC	1,200	28.9000	$34,680.00	$288.00	0.83
CREBCENT REAL ESTATE REIT EQUITIES TRUST	CEI	1,000	16.5700	$16,570.00	$1,500.00	9.05
DIRECT GENERAL CORP	DRCT	1,500	18.7500	$28,125.00	$240.00	0.85
DOCUCORP INC	DOCC	500	9.7900	$4,895.00	N/A	N/A
E M C CORP MASS	EMC	2,000	13.1000	$26,200.00	N/A	N/A
E TRADE FINANCIAL	ET	1,000	13.7500	$13,750.00	N/A	N/A
EXELON CORPORATION	EXC	500	44.2500	$22,125.00	$800.00	3.61
FOUNDRY NETWORKS INC	FDRY	1,000	10.2800	$10,280.00	N/A	N/A
FROZEN FOOD EXPRESS INDS	FFEX	1,000	12.7[illegible]	[illegible]770.00	N/A	N/A
GAYLORD ENTERTAINMENT CL A	GET	300	39.2[illegible]	[illegible]775.00	N/A	N/A

3003

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

14,535

ACCOUNT STATEMENT

Sub / Branch / Reg / Account No.
067 / FZ / FZ99 / [redacted]

January 1 - January 31, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
HALLIBURTON COMPANY	HAL	250	41.1300	$10,282.50	$125.00	1.21
HELEN OF TROY LIMITED	HELE	1,000	31.9600	$31,960.00	N/A	N/A
INTRUSION INC (NEW)	INTZ	5,000	3.2000	$16,000.00	N/A	N/A
ISHARES DOW JONES U.S. TRANSPORTATION INDEX FD	IYT	200	64.7100	$12,942.00	$57.18	0.44
ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	FXI	200	54.1800	$10,836.00	N/A	N/A
PFSWEB INC	PFSW	3,000	3.2600	$9,780.00	N/A	N/A
ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	RMCF	1,000	16.5600	$16,560.00	$320.00	1.93
TEREX CORPORATION NEW	TEX	500	43.0500	$21,525.00	N/A	N/A
TEX PAC LAND TR SUB BH CTF PROPRIETARY UNIT	TPL	400	124.5000	$49,800.00	$200.00	0.40
UNITED STATES STEEL CORP	X	1,000	51.8000	$51,800.00	$320.00	0.61
Total Stocks				**$572,338.00**	**$5,550.18**	**0.97**
Total Stocks and Options				**$572,338.00**	**$5,550.18**	**0.97**

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount
/03	Cash	DIVIDEND		BURLINGTON NORTHN SANTA FE CORP 010305 500		$85.00
/03	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$16,167.16
D4	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$85.00
11	Cash	STOCK DISTRIB	600.00000	COMMERCIAL [redacted]		$0.00
18	Cash	CHECK ISSUED		CHK ISS #8860 [redacted] MGMTFE		-$2,825.78

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

January 1 - January 31, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount
01/19	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$2,625.78
01/21	Cash	STOP PAYMENT		STOP CK# 086712627 CK DATE 01/18/05		$2,625.78
01/21	Cash	CHECK ISSUED		CHK ISS #BR86712689 MGMTFE		-$1,341.62
01/25	Cash	DEPOSIT		FUNDS RECD		$160,000.00
01/25	Cash	PURCHASE	250.00000	BURLINGTON NORTHN SANTA FE CORP	47.3400	-$11,855.95
01/25	Cash	PURCHASE	250.00000	CENTEX CORPORATION	59.4300	-$14,878.45
01/25	Cash	PURCHASE	500.00000	UNITED STATES STEEL CORP	53.8400	-$26,955.95
01/25	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$1,284.16
01/26	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$160,000.00
01/27	Cash	PURCHASE	1,500.00000	DIRECT GENERAL CORP	19.7331	-$29,695.60
01/28	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$53,690.35
01/31	Cash	DIVIDEND		COMMERCIAL METALS CO 013106 1,200		$72.00
01/31	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION		$195.81
01/31	Cash	REINVEST INT		BANK DEPOSIT SWEEP OPTION		-$195.81

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3005

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FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ99	[redacted]

December 1 - December 31, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: LONG TERM GROWTH & INCOME

Portfolio Summary

Portfolio Assets

	Value on Nov 30	Value on Dec 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$67,274.13	$112,032.38	115.80	4.77
Stocks and Options	$2,183,348.00	$2,139,155.00	21,859.00	90.83
Preferreds/Fixed Rate Cap Secs	$104,000.00	$103,400.00	5,500.00	4.40
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$2,354,622.13	$2,348,587.38	27,474.80	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$2,354,622.13	$2,348,587.38		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Nov 30	Value/Cost on Dec 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Gain/Loss Summary

Realized Gain/Loss	This Period	Year to Date
Short-term	- $5,979.75	$219,900.53
Long-term	$0.00	$2,095.73
Total Realized Gain/Loss	- $5,979.75	$221,996.26

ACH and Wire Transfer activity that occurred prior to July 1 is included in the YTD total for ATM and CheckCard Activity. ACH and Wire Transfer activity from July 1 forward is included in Other Activity.

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options
- Preferreds/Fixed Rate Cap Secs

Cash Activity Summary

	Credits	Debits	Year to Date
Total Cash and Money Market Funds on Nov 30:			$67,274.13
Securities Purchased	$0.00	- $108,541.08	- $2,530,347.45
Securities Sold and Redeemed	$148,789.00	$0.00	$1,770,748.37
Deposits and Withdrawals	$0.00	$0.00	$166,452.37
Withdrawals by Check	$0.00	$0.00	- $10,937.70
ATM and CheckCard Activity *	$0.00	$0.00	$0.00
Dividends	$3,069.40	$0.00	$14,455.95
Interest	$1,456.96	$0.00	$6,390.09
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	- $16.03	- $16.03
Other Activity *	$0.00	$0.00	$500,000.00
Total Activity	$153,315.36	- $108,557.11	
Total Cash and Money Market Funds on Dec 31:			$112,032.38

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $17,205.95

Tax-exem[redacted] income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

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KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / [redacted]
067 / FZ / FZ99

December 1 - December 31, 2005

Portfolio Assets

This section includes estimated or unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information provided by the account owner is not verified by First Clearing, LLC and should not be relied upon for legal or tax purposes. Bonds purchased at a premium or O.I.D. (Original Issue Discount) will be carried at the original cost basis. Factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average. To update your cost information or provide omitted cost information, contact Your Investment Broker. Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors. FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	$107,759.20		
BANK DEPOSIT SWEEP OPTION Interest Period 12/01/05 - 12/31/05	$4,273.18	$115.80	2.71
Total Cash and Money Market Funds	**$112,032.38**		

Curious how your securities are performing? The "Unrealized Gain/Loss" column tells you how much each stock has increased or decreased in value since you purchased it (in some cases, this is based on cost data supplied by you or by an outside source, which may not be complete). Revisions to this information (because of corporate mergers, tenders, and other reorganizations, for example) may be necessary from time to time. To update your cost information or provide omitted costs, contact Your Investment Broker.

Stocks and Options

Stocks

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
BURLINGTON NORTHN SANTA FE CORP	BNI	3,500	48.24**	$168,872.55	70.6200	$247,170.00	$78,997.45	$2,800.00	1.12
CANADIAN NATL RY CO	CNI								
Acquired 11/28/05		500	79.64	$39,859.30	79.9900	$39,995.00	$135.70	$858.00	1.07
Acquired 12/09/05		500	80.38	$40,230.45		$39,995.00	- $235.45		
Total		1,000		$80,089.75	79.9900	$79,990.00	- $99.75	$858.00	1.07
COMMERCIAL METALS CO	CMC								
Acquired 12/13/04		600	21.19	$12,737.95	37.5400	$22,524.00	$9,786.05	$720.00	0.63
Acquired 12/22/04		600	25.62	$15,395.95		$22,524.00	$7,128.05		
Acquired 04/18/05		800	27.24	$21,846.03		[redacted]	$8,185.97		
Acquired 05/04/05		1,000	26.19	$26,255.95		[redacted]	$11,284.05		
Total		3,000		$76,235.88	37.5400	$112,620.00	$36,384.12	$720.00	0.64

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

December 1 - December 31, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI								
Acquired 12/13/04		1,000	18.81	$18,875.95	19.8200	$19,820.00	$944.05	$4,500.00	7.56
Acquired 05/20/05		1,000	18.60	$18,665.95		$19,820.00	$1,154.05		
Acquired 11/14/05		1,000	20.26	$20,325.95		$19,820.00	- $505.95		
Total		3,000		$57,867.85	19.8200	$59,460.00	$1,592.15	$4,500.00	7.57
EFJ INC	EFJI	10,000	8.12*	$81,213.60	10.1500	$101,500.00	$20,286.40	N/A	N/A
ENSCO INTL INC	ESV								
Acquired 10/25/05		1,000	46.63	$46,705.15	44.3500	$44,350.00	- $2,355.15	$200.00	0.22
Acquired 11/21/05		500	49.17	$24,620.95		$22,175.00	- $2,445.95		
Acquired 12/21/05		500	47.08	$23,575.95		$22,175.00	- $1,400.95		
Total		2,000		$94,902.05	44.3500	$88,700.00	- $6,202.05	$200.00	0.23
EXELON CORPORATION	EXC								
Acquired 12/20/04		500	44.00	$22,035.95	53.1400	$26,570.00	$4,534.05	$1,600.00	3.01
Acquired 03/07/05		500	46.99	$23,530.95		$26,570.00	$3,039.05		
Total		1,000		$45,566.90	53.1400	$53,140.00	$7,573.10	$1,600.00	3.01
GAYLORD ENTERTAINMENT CL A	GET								
Acquired 12/22/04		300	40.49	$12,170.95	43.5900	$13,077.00	$906.05	N/A	N/A
Acquired 02/23/05		200	42.70	$8,557.95		$8,718.00	$160.05		
Acquired 06/09/05		500	47.70	$23,885.95		$21,795.00	- $2,090.95		
Acquired 11/14/05		300	42.10	$12,653.95		$13,077.00	$423.05		
Acquired 12/21/05		200	44.34	$8,887.93		$8,718.00	- $169.93		
Total		1,500		$66,156.73	43.5900	$65,385.00	- $771.73	N/A	N/A
GENERAL ELECTRIC COMPANY	GE								
Acquired 12/15/05		500	35.99	$18,031.70	35.0500	$17,525.00	- $506.70	$1,000.00	2.85
Acquired 12/21/05		500	35.55	$17,815.05		$17,525.00	- $290.05		
Total		1,000		$35,846.75	35.0500	$35,050.00	- $796.75	$1,000.00	2.85
GLOBALSANTAFE CORP	GSF								
Acquired 10/12/05		900	42.03	$37,888.95	48.1500	$43,335.00	$5,446.05	$1,350.00	1.86
Acquired 10/12/05		100	42.00	$4,206.00		$4,815.00	$609.00		
Acquired 10/26/05		500	44.02	$22,049.55		$24,075.00	$2,025.45		
Total		1,500		$64,142.50	48.1500	[redacted]	$8,082.50	$1,350.00	1.87
HOME DEPOT INC	HD								
Acquired 11/02/05		1,000	41.25	$41,315.95	40.4800	$40,480.00	- $835.95	$600.00	0.98

3008

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
Acquired 11/11/05		500	41.78	$20,925.95		$20,240.00	- $685.95		
Total		1,500		$62,241.90	40.4800	$60,720.00	- $1,521.90	$600.00	0.99
INTRUSION INC (NEW)	INTZ								
Acquired 12/09/04		1,501	2.56	$3,937.30	2.0970	$3,147.60	- $789.70	N/A	N/A
Acquired 12/08/04		100	2.59	$265.00		$209.70	- $55.30		
Acquired 12/09/04		100	2.63	$269.00		$209.70	- $59.30		
Acquired 12/09/04		750	2.64	$2,025.00		$1,572.74	- $452.26		
Acquired 04/14/05		2,500	2.40	$6,170.70		$5,242.50	- $928.20		
Acquired 06/22/05		5,049	3.22	$16,566.67		$10,587.76	- $5,978.91		
Total		10,000		$29,233.67	2.0970	$20,970.00	- $8,263.67	N/A	N/A
ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	FXI								
Acquired 12/01/04		100	56.51	$5,662.95	61.6200	$6,162.00	$499.05	$1,252.40	2.03
Acquired 12/14/04		100	54.40	$5,451.95		$6,162.00	$710.05		
Acquired 07/29/05		300	61.88	$18,587.95		$18,486.00	- $101.95		
Acquired 08/09/05		500	63.25	$31,660.95		$30,810.00	- $850.95		
Total		1,000		$61,363.80	61.6200	$61,620.00	$256.20	$1,252.40	2.03
ISHARES MSCI BRAZIL INDEX	EWZ								
Acquired 07/12/05		100	25.19	$2,530.95	33.3700	$3,337.00	$806.05	$582.70	1.74
Acquired 07/29/05		300	25.55	$7,688.95		$10,011.00	$2,322.05		
Acquired 08/09/05		600	27.22	$16,373.95		$20,022.00	$3,648.05		
Total		1,000		$26,593.85	33.3700	$33,370.00	$6,776.15	$582.70	1.75
LEGG MASON INC	LM								
Acquired 06/27/05		700	98.32	$68,871.95	119.6900	$83,783.00	$14,911.05	$720.00	0.60
Acquired 06/28/05		300	104.68	$31,427.95		$35,907.00	$4,479.05		
Total		1,000		$100,299.90	119.6900	$119,690.00	$19,390.10	$720.00	0.60
LIFE TIME FITNESS INC	LTM								
Acquired 06/16/05		700	31.04	$21,775.95	38.0900	$26,663.00	$4,887.05	N/A	N/A
Acquired 06/22/05		300	31.04	$9,335.95		$11,427.00	$2,091.05		
Acquired 06/27/05		1,000	32.61	$32,675.95		$38,090.00	$5,414.05		
Total		2,000		$63,787.85	38.0900	$76,180.00	$12,392.15	N/A	N/A
NASDAQ-100 SHS	QQQQ								
Acquired 02/15/05		1,000	N/A##	N/A	40.4120	[redacted]	N/A	$135.90	0.33
NORFOLK SOUTHERN CORP	NSC								
Acquired 07/29/05		500	37.36	$18,715.95	44.8300	$22,415.00	$3,699.05	$780.00	1.15
Acquired 08/09/05		500	37.08	$18,575.95		$22,415.00	$3,839.05		

3009

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

December 1 - December 31, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
Acquired 11/21/05		500	43.80	$21,935.95		$22,415.00	$479.05		
Total		1,500		$59,227.85	44.8300	$67,245.00	$8,017.15	$780.00	1.16
ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	RMCF								
Acquired 08/30/04		700	8.00	$5,635.95	16.2760	$11,393.20	$5,757.25	$840.00	1.72
Acquired 12/13/04		700	10.69	$7,520.95		$11,393.20	$3,872.25		
Acquired 04/26/05		533.33333	14.33	$7,673.31		$8,680.53	$1,007.22		
Acquired 05/05/05		733.56867	16.36	$12,039.95		$11,941.16	- $98.79		
Acquired 06/28/05		333	21.79	$7,282.00		$5,419.91	- $1,862.09		
Total		3,000		$40,152.16	16.2760	$48,828.00	$8,675.84	$840.00	1.72
ROWAN COMPANIES INC	ROC								
Acquired 10/12/05		600	30.90	$18,581.95	35.6400	$21,384.00	$2,802.05	N/A	N/A
Acquired 10/12/05		400	30.93	$12,396.00		$14,256.00	$1,860.00		
Acquired 11/02/05		500	34.87	$17,470.95		$17,820.00	$349.05		
Acquired 11/11/05		500	33.11	$16,590.95		$17,820.00	$1,229.05		
Total		2,000		$65,039.85	35.6400	$71,280.00	$6,240.15	N/A	N/A
TEREX CORPORATION NEW	TEX								
Acquired 07/26/04		200	35.93	$7,203.95	59.4000	$11,880.00	$4,676.05	N/A	N/A
Acquired 11/17/04		100	43.58	$4,369.95		$5,940.00	$1,570.05		
Acquired 12/23/04		200	46.07	$9,231.95		$11,880.00	$2,648.05		
Acquired 04/26/05		500	40.50	$20,285.95		$29,700.00	$9,414.05		
Acquired 07/29/05		500	47.43	$23,750.95		$29,700.00	$5,949.05		
Acquired 11/02/05		500	55.77	$27,920.95		$29,700.00	$1,779.05		
Total		2,000		$92,763.70	59.4000	$118,800.00	$26,036.30	N/A	N/A
TEXAS INSTRUMENTS INC	TXN								
Acquired 02/16/05		5,000	N/A**	N/A	32.0700	$160,350.00	N/A	$600.00	0.37
TOOCO CL A	THE								
Acquired 10/12/05		1,000	38.16	$38,225.95	38.0600	$38,060.00	- $165.95	$1,500.00	2.62
Acquired 11/02/05		500	47.92	$23,995.95		$19,030.00	- $4,965.95		
Total		1,500		$62,221.90	38.0600	$57,090.00	- $5,131.90	$1,500.00	2.63
TRANSOCEAN INC	RIG								
Acquired 11/02/05		1,000	58.81	$58,876.35	69.6900	$69,690.00	$10,813.65	$120.00	0.17
UNITED STATES STEEL CORP	X	2,000	46.18**	$92,369.23	48.070[redacted]	[redacted]	$3,770.77	$800.00	0.83
WAL-MART STORES INC	WMT								
Acquired 11/02/05		1,000	47.72	$47,794.15	46.8000	$46,800.00	- $994.15	$900.00	1.28

3010

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99[redacted]

December 1 - December 31, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
Acquired 11/11/05		500	49.31	$24,680.95		$23,400.00	- $1,280.95		
Total		1,500		$72,485.10	46.8000	$70,200.00	- $2,285.10	$900.00	1.28
YELLOW ROADWAY CORP	YELL								
Acquired 05/05/05		200	52.87	$10,591.95	44.6100	$8,922.00	- $1,669.95	N/A	N/A
Acquired 06/09/05		500	42.66	$21,370.45		$22,305.00	$934.55		
Acquired 10/12/05		300	40.72	$12,242.65		$13,383.00	$1,140.35		
Total		1,000		$44,205.05	44.6100	$44,610.00	$404.95	N/A	N/A
Total Stocks				$1,701,756.72		$2,133,135.00	$230,616.28	$21,859.00	1.02
Total Stocks and Options				$1,701,756.72		$2,133,135.00	$230,616.28	$21,859.00	1.02

** Because you have more than 6 tax lots, we are showing the average cost per share
Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Investment Broker

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
TEXAS INDUSTRIES 5.5% CONV PFD DUE 6/2/28 CALLABLE 6/05/01	TXIS								
Acquired 07/07/05		1,000	48.93	$49,001.65	51.7000	$51,700.00	$2,698.35	$5,500.00	5.31
Acquired 07/14/05		500	53.25	$26,660.95		$25,850.00	- $810.95		
Acquired 08/30/05		500	54.25	$27,160.95		$25,850.00	- $1,310.95		
Total		2,000		$102,823.55	51.7000	$103,400.00	$576.45	$5,500.00	5.32
Total Preferreds/Fixed Rate Cap Securities				$102,823.55		$103,400.00	$576.45	$5,500.00	5.32

[redacted]

3011

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ9[redacted]

ACCOUNT STATEMENT

December 1 - December 31, 2005

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
12/01				BEGINNING BALANCE			$ 67,274.13
12/09	Cash	PURCHASE	500.00000	CANADIAN NATL RY CO	80.3890	-$40,230.45	$ 27,043.68
12/12	Cash	DIVIDEND		EXELON CORPORATION 121005 1,000 AS OF 12/10/05		$400.00	
12/12	Cash	DIVIDEND		NORFOLK SOUTHERN CORP 121005 1,000 AS OF 12/10/05		$130.00	
12/12	Cash	DIVIDEND		UNITED STATES STEEL CORP 121005 2,000 AS OF 12/10/05		$200.00	$ 27,773.68
12/15	Cash	DIVIDEND		HOME DEPOT INC 121505 1,500		$150.00	
12/15	Cash	PURCHASE	500.00000	GENERAL ELECTRIC COMPANY	35.9915	-$18,031.70	$ 9,891.98
12/16	Cash	DIVIDEND		ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW) 121605 3,000		$210.00	$ 10,101.98
12/21	Cash	SALE	- 700.00000	YELLOW ROADWAY CORP	44.4300	$31,057.69	
12/21	Cash	SALE	- 100.00000	YELLOW ROADWAY CORP	44.4600	$4,433.85	
2/21	Cash	SALE	- 100.00000	YELLOW ROADWAY CORP	44.4500	$4,438.81	
2/21	Cash	SALE	- 100.00000	YELLOW ROADWAY CORP	44.4400	$4,437.81	
2/21	Cash	PURCHASE	500.00000	ENSCO INTL INC	47.0800	-$23,575.95	
2/21	Cash	PURCHASE	200.00000	GAYLORD ENTERTAINMENT CL A	44.3499	-$8,887.93	
2/21	Cash	PURCHASE	500.00000	GENERAL ELECTRIC COMPANY	35.5582	-$17,815.05	$ 4,191.22
2/27	Cash	SALE	- 600.00000	TEX PAC LAND TR SUB SH CTF PROPRIETARY UNIT	139.0000	$83,361.43	
2/27	Cash	SALE	- 150.00000	TEX PAC LAND TR[redacted] CTF PROPRIETARY [redacted]	140.5000	$21,059.40	$ 108,612.05
/30	Cash	DIVIDEND		CANADIAN NATL RY CO 123005 500		$106.85	

3012

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No
067 / FZ / FZ99[redacted]

December 1 - December 31, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
12/30	Cash	DIVIDEND		ENSCO INTL INC 123005 1,500		$37.50	
12/30	Cash	DIVIDEND		ISHARES FTSE/XINHUA CHINA 25 INDEX FUND 123005 1,000		$1,252.36	
12/30	Cash	DIVIDEND		ISHARES MSCI BRAZIL INDEX 123005 1,000		$562.69	
12/30	Cash	INTEREST		TEXAS INDUSTRIES 5.5% CONV PFD DUE 8/2/28 CALLABLE 8/05/01 123005 2,000		$1,375.00	
12/30	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 123005 4,191		$81.96	
12/30	Cash	WITHHOLDING		FRGN-W/H @ SOURCE		-$16.03	$ 112,032.38

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
12/01			BEGINNING BALANCE	$107,133.43
12/01	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$39,859.30
12/14	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$39,500.45
12/20	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$17,671.70
12/27	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$5,910.76
12/30	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$81.96
12/31			ENDING BALANCE [redacted]	$4,273.18

3013

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account Nbr
067 / FZ	/ FZ99	[redacted]

December 1 - December 31, 2005

Realized Gain/Loss

The following section details security positions you closed this month through such transactions as sales, buys-to-close, redemptions, transfers, corporate actions, etc. If we have the acquisition date, close date, and cost information, the realized gain/loss will be calculated. The realized gains and losses for municipal bond positions purchased at a premium do not reflect the amortization of that premium. Capital gain distributions from mutual funds are not included. Please contact your tax advisor to determine the tax consequences of your securities transactions.

Realized Gain/Loss Summary	This Period Gain	This Period Loss	This Period Net	Year to Date Gain	Year to Date Loss	Year to Date Net
Short-term	$ 8,866.00	-$ 14,845.75	-$5,979.75	$ 251,804.01	-$ 31,903.48	$219,900.53
Long-term	$ 0.00	$ 0.00	$0.00	$ 2,095.73	$ 0.00	$2,095.73
Total Realized Gain/Loss	**$ 8,866.00**	**-$ 14,845.75**	**-$5,979.75**	**$ 253,899.74**	**-$ 31,903.48**	**$221,996.26**

Short-term Description	Quantity	Date Acquired	Acquisition Cost	Close Date	Proceeds	Gain/Loss
TEX PAC LAND TR SUB SH CTF PROPRIETARY UNIT	150.0000	10/26/05	$21,312.57	12/27/05	$21,059.40	- $253.17
TEX PAC LAND TR SUB SH CTF PROPRIETARY UNIT	300.0000	10/12/05	$35,705.92	12/27/05	$41,680.71	$5,974.79
TEX PAC LAND TR SUB SH CTF PROPRIETARY UNIT	200.0000	10/18/05	$24,895.93	12/27/05	$27,787.14	$2,891.21
TEX PAC LAND TR SUB SH CTF PROPRIETARY UNIT	100.0000	10/26/05	$14,208.38	12/27/05	$13,893.58	- $314.80
YELLOW ROADWAY CORP	100.0000	02/23/05	$5,864.60	12/21/05	$4,433.86	- $1,430.74
YELLOW ROADWAY CORP	100.0000	02/23/05	$5,864.59	12/21/05	$4,438.81	- $1,425.78
YELLOW ROADWAY CORP	100.0000	02/23/05	$5,864.59	12/21/05	$4,437.81	- $1,426.78
YELLOW ROADWAY CORP	700.0000	02/23/05	$41,052.17	12/21/05	$31,057.69	- $9,994.48
Total Short-term						**- $5,979.75**

3014

Medallion Account

45,253

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ99	[redacted]

November 1 - November 30, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Oct 31	Value on Nov 30	Est. Ann. Income	% Total Assets
Cash and Money Market	$464,851.53	$67,274.13	2,774.75	2.86
Stocks and Options	$1,660,077.50	$2,183,348.00	18,955.80	92.73
Preferreds/Fixed Rate Cap Secs	$104,800.00	$104,000.00	5,500.00	4.42
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$2,229,729.03	$2,354,622.13	27,230.55	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$2,229,729.03	$2,354,622.13		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Oct 31	Value/Cost on Nov 30
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Gain/Loss Summary

	This Period	Year to Date
Realized Gain/Loss		
short-term	$0.00	$225,880.28
long-term	$0.00	$2,095.73
Total Realized Gain/Loss	$0.00	$227,976.01

ACH and Wire Transfer activity that occurred prior to July 1 is included in the YTD total for ATM and CheckCard Activity. ACH and Wire Transfer activity from July 1 forward is included in Other Activity.

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options
- Preferreds/Fixed Rate Cap Secs

Cash Activity Summary

	Credits	Debits	Year to Date
Total Cash and Money Market Funds on Oct 31:			$464,851.53
Securities Purchased	$0.00	- $398,978.25	- $2,421,806.37
Securities Sold and Redeemed	$0.00	$0.00	$1,621,959.37
Deposits and Withdrawals	$0.00	$0.00	$166,462.37
Withdrawals by Check	$0.00	$0.00	- $10,937.70
ATM and CheckCard Activity *	$0.00	$0.00	$0.00
Dividends	$900.00	$0.00	$11,386.55
Interest	$500.85	$0.00	$4,993.13
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity *	$0.00	$0.00	$500,000.00
Total Activity	$1,400.85	- $398,978.25	
Total Cash and Money Market Funds on Nov 30:			$67,274.13

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $12,761.55

Tax-ex[redacted]her income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on the statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

Portfolio Assets

This section includes estimated or unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information provided by the account owner is not verified by First Clearing, LLC and should not be relied upon for legal or tax purposes. Bonds purchased at a premium or O.I.D. (Original Issue Discount) will be carried at the original cost basis. Factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average. To update your cost information or provide omitted cost information, contact Your Investment Broker. Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	- $39,859.30		
BANK DEPOSIT SWEEP OPTION Interest Period 11/01/05 - 11/30/05	$107,133.43	$2,774.75	2.59
Total Cash and Money Market Funds	**$67,274.13**		

Curious how your securities are performing? The "Unrealized Gain/Loss" column tells you how much each stock has increased or decreased in value since you purchased it (in some cases, this is based on cost data supplied by you or by an outside source, which may not be complete). Revisions to this information (because of corporate mergers, tenders, and other reorganizations, for example) may be necessary from time to time. To update your cost information or provide omitted costs, contact Your Investment Broker.

Stocks and Options

Stocks

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
BURLINGTON NORTHN SANTA FE CORP	BNI	3,500	48.24*	$168,872.55	66.1800	$231,630.00	$62,757.45	$2,800.00	1.20
CANADIAN NATL RY CO Acquired 11/28/05	CNI	500	79.64	$39,859.30	79.8600	$39,930.00	$70.70	$500.00	1.25
COMMERCIAL METALS CO	CMC								
Acquired 12/13/04		600	21.19	$12,737.95	35.1000	$21,060.00	$8,322.05	$720.00	0.68
Acquired 12/22/04		600	25.62	$15,395.95		$21,060.00	$5,664.05		
Acquired 04/18/05		800	27.24	$21,846.03		$28,080.00	$6,233.97		
Acquired 05/04/05		1,000	26.19	$26,255.95		$35,100.00	$8,844.05		
Total		3,000		$76,235.88	35.1000	[redacted]	$29,064.12	$720.00	0.68
CRESCENT REAL ESTATE REIT EQUITIES TRUST Acquired 12/13/04	CEI	1,000	18.81	$18,875.95	20.7700	$20,770.00	$1,894.05	$4,500.00	7.22

3017

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No
067 / FZ / FZ9[redacted]

ACCOUNT STATEMENT

November 1 - November 30, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
Acquired 05/20/05		1,000	18.50	$18,665.95		$20,770.00	$2,104.05		
Acquired 11/14/05		1,000	20.26	$20,325.95		$20,770.00	$444.05		
Total		3,000		$57,867.85	20.7700	$62,310.00	$4,442.15	$4,500.00	7.22
EFJ INC	EFJI	10,000	8.12*	$81,213.60	9.7600	$97,600.00	$16,386.40	N/A	N/A
ENSCO INTL INC	ESV								
Acquired 10/26/05		1,000	46.63	$46,705.15	47.3600	$47,360.00	$654.85	$150.00	0.21
Acquired 11/21/05		500	49.17	$24,620.95		$23,680.00	- $940.95		
Total		1,500		$71,326.10	47.3600	$71,040.00	- $286.10	$150.00	0.21
EXELON CORPORATION	EXC								
Acquired 12/20/04		500	44.00	$22,035.95	52.0400	$26,020.00	$3,984.05	$1,600.00	3.07
Acquired 03/07/06		500	46.99	$23,530.95		$26,020.00	$2,489.05		
Total		1,000		$45,566.90	52.0400	$52,040.00	$6,473.10	$1,600.00	3.07
GAYLORD ENTERTAINMENT CL A	GET								
Acquired 12/22/04		300	40.49	$12,170.95	43.2600	$12,978.00	$807.05	N/A	N/A
Acquired 02/23/05		200	42.70	$8,557.95		$8,652.00	$94.05		
Acquired 09/09/05		500	47.70	$23,885.95		$21,630.00	- $2,255.95		
Acquired 11/14/05		300	42.10	$12,653.95		$12,978.00	$324.05		
Total		1,300		$57,268.80	43.2600	$56,238.00	- $1,030.80	N/A	N/A
GLOBALSANTAFE CORP	GSF								
Acquired 10/12/05		900	42.03	$37,886.95	45.3600	$40,824.00	$2,937.05	$900.00	1.32
Acquired 10/12/05		100	42.00	$4,206.00		$4,536.00	$330.00		
Acquired 10/26/05		500	44.02	$22,049.55		$22,680.00	$630.45		
Total		1,500		$64,142.50	45.3600	$68,040.00	$3,897.50	$900.00	1.32
HOME DEPOT INC	HD								
Acquired 11/02/05		1,000	41.25	$41,315.95	41.7800	$41,780.00	$464.05	$600.00	0.95
Acquired 11/11/06		500	41.78	$20,925.95		$20,890.00	- $35.95		
Total		1,500		$62,241.90	41.7800	$62,670.00	$428.10	$600.00	0.96
INTRUSION INC (NEW)	INTZ								
Acquired 12/06/04		1,501	2.56	$3,937.30	2.6900	$4,037.69	$100.39	N/A	N/A
Acquired 12/09/04		100	2.59	$265.00		$269.00	$4.00		
Acquired 12/09/04		100	2.63	$269.00		$269.00	$0.00		
Acquired 12/09/04		750	2.64	$2,025.00		$2,017.50	- $7.50		
Acquired 04/14/05		2,500	2.40	$6,170.70		$6,725.00	$554.30		
Acquired 06/22/05		5,049	3.22	$16,566.67		$13,581.81	- $2,984.86		
Total		10,000		$29,233.67	2.6900	$26,900.00	- $2,333.67	N/A	N/A

3018

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	FXI								
Acquired 12/01/04		100	58.51	$5,862.95	60.9600	$6,096.00	$433.05	N/A	N/A
Acquired 12/14/04		100	54.40	$5,451.95		$6,096.00	$644.05		
Acquired 07/29/05		300	61.88	$18,587.95		$18,288.00	- $299.95		
Acquired 08/09/05		500	63.25	$31,660.95		$30,480.00	- $1,180.95		
Total		1,000		$61,363.80	60.9600	$60,960.00	- $403.80	N/A	N/A
ISHARES MSCI BRAZIL INDEX	EWZ								
Acquired 07/13/05		100	25.19	$2,530.95	33.8000	$3,380.00	$849.05	$461.40	1.36
Acquired 07/29/05		300	25.55	$7,688.95		$10,140.00	$2,451.05		
Acquired 08/03/05		600	27.22	$16,373.95		$20,280.00	$3,906.05		
Total		1,000		$26,593.85	33.8000	$33,800.00	$7,206.15	$461.40	1.37
LEGG MASON INC	LM								
Acquired 06/27/05		700	98.32	$68,871.95	122.6500	$85,855.00	$16,983.05	$720.00	0.58
Acquired 06/28/05		300	104.68	$31,427.95		$36,795.00	$5,367.05		
Total		1,000		$100,299.90	122.6500	$122,650.00	$22,350.10	$720.00	0.59
LIFE TIME FITNESS INC	LTM								
Acquired 06/16/05		700	31.04	$21,775.95	38.4300	$26,901.00	$5,125.05	N/A	N/A
Acquired 06/22/05		300	31.04	$9,335.95		$11,529.00	$2,193.05		
Acquired 06/27/05		1,000	32.61	$32,675.95		$38,430.00	$5,754.05		
Total		2,000		$63,787.85	38.4300	$76,860.00	$13,072.15	N/A	N/A
NASDAQ-100 SHS	QQQQ								
Acquired 02/15/05		1,000	N/A##	N/A	41.2400	$41,240.00	N/A	$51.90	0.12
NORFOLK SOUTHERN CORP	NSC								
Acquired 07/29/05		500	37.36	$18,715.95	44.2400	$22,120.00	$3,404.05	$780.00	1.17
Acquired 08/09/05		500	37.08	$18,575.95		$22,120.00	$3,544.05		
Acquired 11/21/05		500	43.80	$21,935.95		$22,120.00	$184.05		
Total		1,500		$59,227.85	44.2400	$66,360.00	$7,132.15	$780.00	1.18
ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	RMCF								
Acquired 06/30/04		700	8.00	$5,635.95	15.6000	$10,920.00	$5,284.05	$840.00	1.79
Acquired 12/13/04		700	10.69	$7,520.95		[redacted]	$3,399.05		
Acquired 04/29/05		533.33333	14.33	$7,673.31		[redacted]	$646.69		
Acquired 05/05/05		733.66667	16.36	$12,039.95		$11,445.19	- $594.76		

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

November 1 - November 30, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
Acquired 06/26/05		333	21.79	$7,282.00		$5,194.81	- $2,087.19		
Total		3,000		$40,152.18	15.6000	$46,800.00	$6,647.84	$840.00	1.79
ROWAN COMPANIES INC	ROC								
Acquired 10/12/05		600	30.90	$18,581.95	35.8800	$21,528.00	$2,946.05	N/A	N/A
Acquired 10/12/05		400	30.93	$12,396.00		$14,352.00	$1,956.00		
Acquired 11/02/05		500	34.87	$17,470.95		$17,940.00	$469.05		
Acquired 11/11/05		500	33.11	$16,590.95		$17,940.00	$1,349.05		
Total		2,000		$85,039.85	35.8800	$71,760.00	$6,720.15	N/A	N/A
TEREX CORPORATION NEW	TEX								
Acquired 07/26/04		200	35.93	$7,203.95	61.6200	$12,324.00	$5,120.05	N/A	N/A
Acquired 11/17/04		100	43.58	$4,369.95		$6,162.00	$1,792.05		
Acquired 12/23/04		200	46.07	$9,231.95		$12,324.00	$3,092.05		
Acquired 04/20/05		500	40.50	$20,285.95		$30,810.00	$10,524.05		
Acquired 07/29/05		500	47.43	$23,750.95		$30,810.00	$7,059.05		
Acquired 11/02/05		500	55.77	$27,920.95		$30,810.00	$2,889.05		
Total		2,000		$92,763.70	61.6200	$123,240.00	$30,476.30	N/A	N/A
TEXAS PACIFIC LAND TR SUB SH TR PROPRIETARY UNIT	TPL								
Acquired 10/12/05		300	118.93	$35,705.92	153.5000	$46,050.00	$10,344.08	$412.50	0.35
Acquired 10/18/05		200	124.38	$24,896.93		$30,700.00	$5,804.07		
Acquired 10/26/05		250	142.00	$35,520.95		$38,375.00	$2,854.05		
Total		750		$96,122.80	153.5000	$115,125.00	$19,002.20	$412.50	0.36
TEXAS INSTRUMENTS INC	TXN								
Acquired 02/16/05		5,000	N/A##	N/A	32.4800	$162,400.00	N/A	$600.00	0.36
TOGO CL A	THE								
Acquired 10/12/05		1,000	38.15	$38,225.95	41.5700	$41,570.00	$3,344.05	$1,500.00	2.40
Acquired 11/02/05		500	47.92	$23,995.95		$20,785.00	- $3,210.95		
Total		1,500		$62,221.90	41.5700	$62,355.00	$133.10	$1,500.00	2.41
TRANSOCEAN INC	RIG								
Acquired 11/02/05		1,000	58.81	$58,876.35	63.8400	$63,840.00	$4,963.65	$120.00	0.18
UNITED STATES STEEL CORP	X	2,000	46.18**	$92,369.23	47.6000	$95,200.00	$2,830.77	$800.00	0.84
WAL-MART STORES INC	WMT								
Acquired 11/02/05		1,000	47.72	$47,794.15	48.5600	[redacted]	$765.85	$900.00	1.23
Acquired 11/11/05		500	49.31	$24,690.95		[redacted]	- $410.95		
Total		1,500		$72,485.10	48.5600	$72,840.00	$354.90	$900.00	1.24

3020

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

November 1 - November 30, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
YELLOW ROADWAY CORP	YELL								
Acquired 02/23/05		1,000	58.58	$58,645.95	47.1100	$47,110.00	- $11,535.95	N/A	N/A
Acquired 05/05/05		200	52.87	$10,591.95		$9,422.00	- $1,169.95		
Acquired 06/09/05		500	42.66	$21,370.45		$23,555.00	$2,184.55		
Acquired 10/12/05		300	40.72	$12,242.65		$14,133.00	$1,890.35		
Total		2,000		$102,851.00	47.1100	$94,220.00	- $8,631.00	N/A	N/A
Total Stocks				**$1,747,984.39**		**$2,183,348.00**	**$231,723.61**	**$18,955.80**	**0.87**
Total Stocks and Options				**$1,747,984.39**		**$2,183,348.00**	**$231,723.61**	**$18,955.80**	**0.87**

** Because you have more than 6 tax lots, we are showing the average cost per share.
Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Investment Broker .

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
TEXAS INDUSTRIES 5 5% CONV PFD DUE 6/2/26 CALLABLE 6/05/61	TXI^S								
Acquired 03/07/05		1,000	48.93	$49,001.65	52.0000	$52,000.00	$2,998.35	$5,500.00	5.28
Acquired 07/14/05		500	53.25	$26,660.95		$26,000.00	- $660.95		
Acquired 08/30/05		500	54.25	$27,160.95		$26,000.00	- $1,160.95		
Total		2,000		$102,823.55	52.0000	$104,000.00	$1,176.45	$5,500.00	5.29
Total Preferreds/Fixed Rate Cap Securities				**$102,823.55**		**$104,000.00**	**$1,176.45**	**$5,500.00**	**5.29**

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3021

45257

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMENT

Sub / Branch / Rep [redacted]
067 / FZ / FZ99

November 1 - November 30, 2005

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
11/01				BEGINNING BALANCE			$ 464,851.53
11/02	Cash	PURCHASE	1,000.00000	HOME DEPOT INC	41.2500	-$41,315.95	
11/02	Cash	PURCHASE	500.00000	ROWAN COMPANIES INC	34.8700	-$17,470.95	
11/02	Cash	PURCHASE	500.00000	TEREX CORPORATION NEW	55.7700	-$27,920.95	
11/02	Cash	PURCHASE	500.00000	TODCO CL A	47.9200	-$23,995.95	
11/02	Cash	PURCHASE	1,000.00000	TRANSOCEAN INC	58.8104	-$58,876.35	
11/02	Cash	PURCHASE	1,000.00000	WAL-MART STORES INC	47.7282	-$47,794.15	$ 247,477.23
11/11	Cash	PURCHASE	500.00000	HOME DEPOT INC	41.7800	-$20,925.95	
11/11	Cash	PURCHASE	500.00000	ROWAN COMPANIES INC	33.1100	-$16,590.95	
11/11	Cash	PURCHASE	500.00000	WAL-MART STORES INC	49.3100	-$24,690.95	$ 185,269.38
11/14	Cash	PURCHASE	1,000.00000	CRESCENT REAL ESTATE REIT EQUITIES TRUST	20.2600	-$20,325.95	
1/14	Cash	PURCHASE	300.00000	GAYLORD ENTERTAINMENT CL A	42.1000	-$12,653.95	$ 152,289.48
1/15	Cash	DIVIDEND		CRESCENT REAL ESTATE REIT EQUITIES TRUST 111505 2,000		$750.00	$ 153,039.48
1/21	Cash	DIVIDEND		TEXAS INSTRUMENTS INC 112105 5,000		$150.00	
1/21	Cash	PURCHASE	500.00000	ENSCO INTL INC	49.1700	-$24,620.95	
1/21	Cash	PURCHASE	500.00000	NORFOLK SOUTHERN CORP	43.8000	-$21,935.95	$ 106,632.58
1/28	Cash	PURCHASE	500.00000	CANADIAN NATL RY CO	79.8467	-$39,859.30	$ 66,773.28
1/30	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 113005 106,632		$500.85	$ 67,274.13

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KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
087 / FZ / FZ99 [redacted]

November 1 - November 30, 2005

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
11/01			BEGINNING BALANCE	$464,851.53
11/07	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$217,374.30
11/18	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$61,457.85
11/17	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$32,979.90
11/22	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$150.00
11/25	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$46,556.90
11/30	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$500.85
11/30			ENDING BALANCE	$107,133.43

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3023

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
087 / FZ	/ FZ99	[redacted]

October 1 - October 31, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Sept 30	Value on Oct 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$502,314.82	$464,851.53	10,970.49	20.85
Stocks and Options	$1,668,099.00	$1,660,077.50	14,525.80	74.45
Preferreds/Fixed Rate Cap Secs	$110,000.00	$104,800.00	5,500.00	4.70
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annu/Life/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$2,280,413.82	$2,229,729.03	30,996.29	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$2,280,413.82	$2,229,729.03		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Sept 30	Value/Cost on Oct 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

ACH and Wire Transfer activity that occurred prior to July 1 is included in the YTD total for ATM and Check/Card Activity. ACH and Wire Transfer activity from July 1 forward is included in Other Activity.

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options
- Preferreds/Fixed Rate Cap Secs

Cash Activity Summary

Total Cash and Money Market Funds on Sept 30: $502,314.82

	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $288,417.00	- $2,022,829.12
Securities Sold and Redeemed	$253,745.78	$0.00	$1,621,959.37
Deposits and Withdrawals	$0.00	$0.00	$166,462.37
Withdrawals by Check	$0.00	- $5,029.41	- $10,937.70
ATM and Check/Card Activity *	$0.00	$0.00	$0.00
Dividends	$1,235.00	$0.00	$10,486.55
Interest	$1,002.36	$0.00	$4,432.28
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity *	$0.00	$0.00	$500,000.00
Total Activity	$255,983.12	- $293,446.41	

Total Cash and Money Market Funds on Oct 31: $464,851.53

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $11,861.55

Tax-exemp[redacted]ome year-to-date totals are taken from the tax reporting system. They may not m[redacted]y summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

October 1 - October 31, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
BANK DEPOSIT SWEEP OPTION Interest Period 10/01/05 - 10/31/05	$464,851.53	$10,970.49	2.36
Total Cash and Money Market Funds	**$464,851.53**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
BURLINGTON NORTHN SANTA FE CORP	BNI	3,500	62.0600	$217,210.00	$2,800.00	1.28
COMMERCIAL METALS CO	CMC	3,000	31.7900	$95,370.00	$720.00	0.75
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	2,000	19.9500	$39,900.00	$3,000.00	7.51
EFJ INC	EFJI	10,000	9.9400	$99,400.00	N/A	N/A
ENSCO INTL INC	ESV	1,000	45.5900	$45,590.00	$100.00	0.21
EXELON CORPORATION	EXC	1,000	52.0300	$52,030.00	$1,600.00	3.07
GAYLORD ENTERTAINMENT CL A	GET	1,000	39.4800	$39,480.00	N/A	N/A
GLOBALSANTAFE CORP	GSF	1,500	44.5500	$66,825.00	$900.00	1.34
INTRUSION INC (NEW)	INTZ	10,000	2.9500	$29,500.00	N/A	N/A
ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	FXI	1,000	57.6100	$57,610.00	N/A	N/A
ISHARES MSCI BRAZIL INDEX	EWZ	1,000	31.5800	$31,580.00	$461.40	1.46
LEGG MASON INC	LM	1,000	107.3100	[redacted]	$720.00	0.67
LIFE TIME FITNESS INC	LTM	2,000	37.1400	[redacted]	N/A	N/A
NASDAQ-100 SHS	QQQQ	1,000	38.8700	$38,870.00	$51.90	0.13

3025

FIRST DALLAS SECURITIES
2005 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

October 1 - October 31, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
NORFOLK SOUTHERN CORP	NSC	1,000	40.2000	$40,200.00	$520.00	1.29
ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	RMCF	3,000	15.8600	$47,580.00	$840.00	1.76
ROWAN COMPANIES INC	RDC	1,000	32.9900	$32,990.00	N/A	N/A
TEREX CORPORATION NEW	TEX	1,500	54.9700	$82,455.00	N/A	N/A
TEX PAC LAND TR SUB SH CTF PROPRIETARY UNIT	TPL	750	147.2500	$110,437.50	$412.50	0.37
TEXAS INSTRUMENTS INC	TXN	5,000	28.5500	$142,750.00	$600.00	0.42
TODCO CL A	THE	1,000	44.7500	$44,750.00	$1,000.00	2.23
UNITED STATES STEEL CORP	X	2,000	36.5300	$73,060.00	$800.00	1.09
YELLOW ROADWAY CORP	YELL	2,000	45.4500	$90,900.00	N/A	N/A
Total Stocks				**$1,660,077.50**	**$14,525.80**	**0.88**
Total Stocks and Options				**$1,660,077.50**	**$14,525.80**	**0.88**

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
TEXAS INDUSTRIES 5.5% CONV PFD DUE 6/2/28 CALLABLE 6/05/01	TXI/S	2,000	52.4000	$104,800.00	$5,500.00	5.24
Total Preferreds/Fixed Rate Cap Securities				**$104,800.00**	**$5,500.00**	**5.25**

[redacted]

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99[redacted]

October 1 - October 31, 2005

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	CashAloney MarketSweep Fund Balance
10/01				BEGINNING BALANCE			$ 502,314.82
10/03	Cash	DIVIDEND		BURLINGTON NORTHN SANTA FE CORP 100305 3,500		$700.00	
10/03	Cash	DIVIDEND		KERR-MC GEE CORPORATION 100306 1,500		$75.00	
10/03	Cash	DIVIDEND		LEGG MASON INC 100306 1,000		$180.00	$ 503,269.82
10/12	Cash	SALE	- 4,600.00000	LUBYS INC	13.1000	$59,981.48	
10/12	Cash	SALE	- 400.00000	LUBYS INC	13.2300	$5,261.82	
10/12	Cash	PURCHASE	900.00000	GLOBALSANTAFE CORP	42.0300	-$37,886.95	
10/12	Cash	PURCHASE	100.00000	GLOBALSANTAFE CORP	42.0000	-$4,206.00	
10/12	Cash	PURCHASE	600.00000	ROWAN COMPANIES INC	30.9000	-$18,581.95	
10/12	Cash	PURCHASE	400.00000	ROWAN COMPANIES INC	30.9300	-$12,396.00	
10/12	Cash	PURCHASE	300.00000	TEX PAC LAND TR SUB SH CTF PROPRIETARY UNIT	118.9399	-$35,705.92	
10/12	Cash	PURCHASE	1,000.00000	TODCO CL A	38.1500	-$38,225.95	
10/12	Cash	PURCHASE	300.00000	YELLOW ROADWAY CORP	40.7290	-$12,242.65	$ 409,267.70
10/13	Cash	SALE	- 1,000.00000	CHESAPEAKE ENERGY CORP	31.7700	$31,702.72	
10/13	Cash	SALE	- 400.00000	DEVON ENERGY CORP	60.5000	$24,174.98	
10/13	Cash	SALE	- 400.00000	DEVON ENERGY CORP	60.4900	$24,170.98	
10/13	Cash	SALE	- 200.00000	DEVON ENERGY CORP	60.5200	$12,085.54	$ 501,401.92
10/14	Cash	CHECK ISSUED		CHK ISS #BRB6713976 MGMTFE		-$5,029.41	$ 496,372.51
10/17	Cash	DIVIDEND		CHESAPEAKE ENERGY CORP 101705 2,000		$100.00	$ 496,472.51
10/18	Cash	PURCHASE	200.00000	TEX PAC LAND TR SUB SH CTF PROPRIETARY[redacted]	124.3899	-$24,895.93	$ 471,576.58
10/19	Cash	SALE	- 1,000.00000	CHESAPEAKE ENERGY CORP	31.2500	$31,182.74	
10/19	Cash	SALE	- 1,000.00000	DIRECT GENERAL CORP	17.8601	$17,793.40	

3027

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

October 1 - October 31, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
10/19	Cash	SALE	- 500.00000	HALLIBURTON COMPANY	58.1900	$29,057.83	$ 549,610.55
10/21	Cash	DIVIDEND		COMMERCIAL METALS CO 102105 3,000		$180.00	$ 549,790.55
10/26	Cash	SALE	- 900.00000	DIRECT GENERAL CORP	18.4000	$16,505.30	
10/26	Cash	SALE	- 100.00000	DIRECT GENERAL CORP	18.4100	$1,828.97	
10/26	Cash	PURCHASE	1,000.00000	ENSCO INTL INC	46.6392	-$46,705.15	
10/26	Cash	PURCHASE	500.00000	GLOBALSANTAFE CORP	44.0272	-$22,049.55	
10/26	Cash	PURCHASE	250.00000	TEX PAC LAND TR SUB SH CTF PROPRIETARY UNIT	142.0000	-$35,520.96	$ 463,849.17
10/31	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 103105 463,849		$1,002.36	$ 464,851.53

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
10/01			BEGINNING BALANCE	$500,864.82
10/04	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$2,405.00
0/17	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$99,031.53
0/18	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$100.00
0/19	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$92,134.22
0/21	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$24,895.93
0/25	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$78,213.97
0/31	Cash	REINVEST INT	BANK DEPOSIT SWE[redacted] OPTION	$1,002.36
0/31	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$85,941.38

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub	Branch	Rep	Account No.
067	FZ	FZ99	[redacted]

October 1 - October 31, 2005

Money Fund/Bank Deposit Sweep Activity continued

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
10/31			ENDING BALANCE	$464,851.53

[redacted]

Medallion Account

40,68

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ99	[redacted]

September 1 - September 30, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Aug 31	Value on Sept 30	Est. Ann. Income	% Total Assets
Cash and Money Market	$103,044.63	$502,314.82	11,019.02	22.03
Stocks and Options	$1,951,183.00	$1,668,099.00	13,253.30	73.15
Preferreds/Fixed Rate Cap Secs	$118,000.00	$110,000.00	5,500.00	4.82
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$2,172,227.63	$2,280,413.82	29,772.32	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$2,172,227.63	$2,280,413.82		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Aug 31	Value/Cost on Sept 30
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options
- Preferreds/Fixed Rate Cap Secs

Cash Activity Summary

	Credits	Debits	Year to Date
Total Cash and Money Market Funds on Aug 31:			$103,044.63
Securities Purchased	$0.00	- $92,812.85	- $1,734,411.12
Securities Sold and Redeemed	$488,575.85	$0.00	$1,368,213.61
Deposits and Withdrawals	$0.00	$0.00	$166,462.37
Withdrawals by Check	$0.00	$0.00	- $5,908.29
ATM and CheckCard Activity	$0.00	$0.00	$0.00
Dividends	$1,965.00	$0.00	$9,251.55
Interest	$1,542.19	$0.00	$3,429.92
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$500,000.00
Total Activity	$492,083.04	- $92,812.85	
Total Cash and Money Market Funds on Sept 30:			$502,314.82

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $10,626.55

Tax-exempt income and other income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual Income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	$1,450.00		
BANK DEPOSIT SWEEP OPTION *Interest Period 09/01/05 - 09/30/05*	$500,864.82	$11,019.02	2.20
Total Cash and Money Market Funds	**$502,314.82**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
BURLINGTON NORTHN SANTA FE CORP	BNI	3,500	59.8000	$209,300.00	$2,800.00	1.33
CHESAPEAKE ENERGY CORP	CHK	2,000	38.2500	$76,500.00	$400.00	0.52
COMMERCIAL METALS CO	CMC	3,000	33.7400	$101,220.00	$720.00	0.71
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	2,000	20.5100	$41,020.00	$3,000.00	7.31
DEVON ENERGY CORP	DVN	1,000	68.6400	$68,640.00	$300.00	0.43
DIRECT GENERAL CORP	DRCT	2,000	19.7300	$39,460.00	$320.00	0.81
EFJ INC	EFJI	10,000	10.2900	$102,900.00	N/A	N/A
EXELON CORPORATION	EXC	1,000	53.4400	$53,440.00	$1,600.00	2.99
GAYLORD ENTERTAINMENT CL A	GET	1,000	47.6500	$47,650.00	N/A	N/A
HALLIBURTON COMPANY	HAL	500	68.5200	$34,260.00	$250.00	0.72
INTRUSION INC (NEW)	INTZ	10,000	3.2300	$32,300.00	N/A	N/A
ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	FXI	1,000	64.2400	[redacted]	N/A	N/A
ISHARES MSCI BRAZIL INDEX	EWZ	1,000	33.3200	$33,320.00	$461.40	1.38

3031

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMEN'

Sub / Branch / Rep / Account No.
067 / FZ / FZ9[redacted]

September 1 - September 30, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
LEGG MASON INC	LM	1,000	109.6900	$109,690.00	$720.00	0.65
LIFE TIME FITNESS INC	LTM	2,000	33.1400	$66,280.00	N/A	N/A
LUBY'S INC	LUB	5,000	13.0600	$65,300.00	N/A	N/A
NASDAQ-100 SHS	QQQQ	1,000	39.4600	$39,460.00	$51.90	0.13
NORFOLK SOUTHERN CORP	NSC	1,000	40.5600	$40,560.00	$520.00	1.28
ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	RMCF	3,000	14.6000	$43,800.00	$810.00	1.84
TEREX CORPORATION NEW	TEX	1,500	49.4300	$74,145.00	N/A	N/A
TEXAS INSTRUMENTS INC	TXN	5,000	33.9000	$169,500.00	$500.00	0.29
UNITED STATES STEEL CORP	X	2,000	42.3500	$84,700.00	$800.00	0.94
YELLOW ROADWAY CORP	YELL	1,700	41.4200	$70,414.00	N/A	N/A
Total Stocks				**$1,868,099.00**	**$13,253.30**	**0.79**
Total Stocks and Options				**$1,868,099.00**	**$13,253.30**	**0.79**

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
TEXAS INDUSTRIES 5.5% CONV PFD DUE 8/2/28 CALLABLE 6/05/01	TXI'S	2,000	55.0000	$110,000.00	$5,500.00	5.00
Total Preferreds/Fixed Rate Cap Securities				**$110,000.00**	**$5,500.00**	**5.00**

[redacted]

KENNY E MARCHANT AND
DONNA MAE MARCHANT J'TTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ29[redacted]

September 1 - September 30, 2005

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
09/01				BEGINNING BALANCE			$ 103,044.63
09/01	Cash	DIVIDEND		CONOCOPHILLIPS 090105 1,000		$310.00	$ 103,354.63
09/09	Cash	DIVIDEND		EXXON MOBIL CORP 090905 2,000		$580.00	
09/09	Cash	SALE	- 1,000.00000	FROZEN FOOD EXPRESS INDS	10.4300	$10,363.61	
09/09	Cash	PURCHASE	500.00000	GAYLORD ENTERTAINMENT CL A	47.7000	-$23,885.95	
09/09	Cash	PURCHASE	1,000.00000	PALM HBR HOMES INC	19.5500	-$19,818.95	
09/09	Cash	PURCHASE	1,000.00000	SHAW GROUP INC	23.3606	-$23,446.55	
09/09	Cash	PURCHASE	100.00000	UNITED STATES STEEL CORP	44.8200	-$4,493.95	
09/09	Cash	PURCHASE	500.00000	YELLOW ROADWAY CORP	42.6690	-$21,370.45	$ 21,485.39
09/12	Cash	DIVIDEND		EXELON CORPORATION 091005 1,000 AS OF 9/10/05		$400.00	
09/12	Cash	DIVIDEND		NORFOLK SOUTHERN CORP 091005 500 AS OF 9/10/05		$85.00	
09/12	Cash	DIVIDEND		UNITED STATES STEEL CORP 091005 1,900 AS OF 9/10/05		$190.00	$ 22,140.39
09/15	Cash	DIVIDEND		DIRECT GENERAL CORP 091505 2,000		$80.00	$ 22,220.39
09/16	Cash	DIVIDEND		ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW) 091605 3,000		$202.50	$ 22,422.89
09/23	Cash	DIVIDEND		HALLIBURTON COMPANY 092305 500		$62.50	
09/23	Cash	SALE	- 1,000.00000	CONOCOPHILLIPS [redacted]	68.2700	$68,201.19	
09/23	Cash	SALE	- 1,000.00000	E TRADE FINANCIA[redacted]	16.4000	$16,333.35	
09/23	Cash	SALE	- 2,000.00000	EXXON MOBIL CORP	64.0100	$127,888.69	

3033

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

September 1 - September 30, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
09/23	Cash	SALE	- 800.00000	KERR-MC GEE CORPORATION	93.0400	$74,380.88	
09/23	Cash	SALE	- 700.00000	KERR-MC GEE CORPORATION	93.0500	$65,084.32	
09/23	Cash	SALE	- 3,600.00000	LUBYS INC	12.4600	$44,638.12	
09/23	Cash	SALE	- 800.00000	LUBYS INC	12.5700	$10,001.62	
09/23	Cash	SALE	- 600.00000	LUBYS INC	12.5100	$7,488.88	
09/23	Cash	SALE	- 800.00000	PALM HBR HOMES INC	19.8200	$15,807.33	
09/23	Cash	SALE	- 700.00000	PALM HBR HOMES INC	19.8600	$13,853.46	
09/23	Cash	SALE	- 300.00000	PALM HBR HOMES INC	19.8500	$5,936.75	
09/23	Cash	SALE	- 200.00000	PALM HBR HOMES INC	19.8300	$3,953.83	
09/23	Cash	SALE	- 1,000.00000	SHAW GROUP INC	24.7300	$24,643.01	$ 500,697.63
09/30	Cash	DIVIDEND		DEVON ENERGY CORP 092005 1,500		$75.00	
09/30	Cash	INTEREST		TEXAS INDUSTRIES 5.5% CONV PFD DUE 6/2/28 CALLABLE 6/05/01 092005 2,000		$1,375.00	
09/30	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 092005 500,697		$167.19	$ 502,314.82

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
09/01			BEGINNING BALANCE	$123,233.76
09/02	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$1,317.98
09/06	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$18,561.15
09/14	Cash	TRANSFER FROM	BANK DEPOSIT S[redacted] OPTION	-$91,214.24
09/20	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$282.50

3034

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub	Branch	Rep	Account No.
067	FZ	FZ99	[redacted]

September 1 - September 30, 2003

Money Fund/Bank Deposit Sweep Activity continued

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
09/27	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$82.50
09/29	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$478,212.24
09/30	Cash	REINVEST INT.	BANK DEPOSIT SWEEP OPTION	$167.19
09/30			ENDING BALANCE	$500,884.82

[redacted]

3035

Medallion Account

26.1

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

August 1 - August 31, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on July 31	Value on Aug 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$152,288.03	$103,044.63	2,525.29	4.74
Stocks and Options	$1,929,905.00	$1,951,183.00	17,073.30	89.82
Preferreds/Fixed Rate Cap Secs	$81,000.00	$118,000.00	5,500.00	5.43
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$2,163,193.03	$2,172,227.63	25,099.59	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$2,163,193.03	$2,172,227.63		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on July 31	Value/Cost on Aug 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options
- Preferreds/Fixed Rate Cap Secs

Cash Activity Summary

Total Cash and Money Market Funds on July 31: $152,288.03

	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $179,760.65	- $1,641,596.27
Securities Sold and Redeemed	$129,322.67	$0.00	$879,637.75
Deposits and Withdrawals	$0.00	$0.00	$166,462.37
Withdrawals by Check	$0.00	$0.00	- $5,908.29
ATM and Check/Card Activity	$0.00	$0.00	$0.00
Dividends	$935.00	$0.00	$7,286.55
Interest	$259.58	$0.00	$1,887.72
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$500,000.00
Total Activity	$130,517.25	- $179,760.65	

Total Cash and Money Market Funds on Aug 31: $103,044.63

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $7,286.55

Tax-exe[redacted] income year-to-date totals are taken from the tax reporting system. They may not [redacted] activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / [redacted]
067 / FZ / FZ99

August 1 - August 31, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. Income	Est current yield(%)
Cash	- $20,189.13		
BANK DEPOSIT SWEEP OPTION Interest Period 08/01/05 - 08/31/05	$123,233.76	$2,526.29	2.05
Total Cash and Money Market Funds	$103,044.63		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
BURLINGTON NORTHN SANTA FE CORP	BNI	3,500	53.0200	$185,570.00	$2,800.00	1.50
CHESAPEAKE ENERGY CORP	CHK	2,000	31.6100	$63,220.00	$400.00	0.63
COMMERCIAL METALS CO	CMC	3,000	29.9300	$89,790.00	$720.00	0.80
CONOCOPHILLIPS	COP	1,000	65.9400	$65,940.00	$1,240.00	1.88
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	2,000	19.6300	$39,260.00	$3,000.00	7.64
DEVON ENERGY CORP	DVN	1,000	60.7700	$60,770.00	$300.00	0.49
DIRECT GENERAL CORP	DRCT	2,000	17.2000	$34,400.00	$320.00	0.93
E TRADE FINANCIAL	ET	1,000	16.0000	$16,000.00	N/A	N/A
EFJI INC	EFJI	10,000	8.5500	$85,500.00	N/A	N/A
EXELON CORPORATION	EXC	1,000	53.6900	$53,690.00	$1,600.00	2.98
EXXON MOBIL CORP	XOM	2,000	59.9000	$119,800.00	$2,320.00	1.93
FROZEN FOOD EXPRESS INDS	FFEX	1,000	10.7000	$10,700.00	N/A	N/A
GAYLORD ENTERTAINMENT CL A	GET	500	42.6000	[redacted]	N/A	N/A
HALLIBURTON COMPANY	HAL	500	62.0000	$31,000.00	$250.00	0.80

3037

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

August 1 - August 31, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
INTRUSION INC (NEW)	INTZ	10,000	2.9600	$29,600.00	N/A	N/A
ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	FXI	1,000	61.7900	$61,790.00	N/A	N/A
ISHARES MSCI BRAZIL INDEX	EWZ	1,000	28.0900	$28,090.00	$461.40	1.64
KERR-MC GEE CORPORATION	KMG	1,500	88.0300	$132,045.00	$300.00	0.22
LEGG MASON INC	LM	1,000	104.5300	$104,530.00	$720.00	0.68
LIFE TIME FITNESS INC	LTM	2,000	33.5000	$67,000.00	N/A	N/A
LUBY'S INC	LUB	10,000	13.1400	$131,400.00	N/A	N/A
NASDAQ-100 SHS	QQQQ	1,000	38.9800	$38,980.00	$51.00	0.13
NORFOLK SOUTHERN CORP	NSC	1,000	35.6100	$35,610.00	$520.00	1.46
PALM HBR HOMES INC	PHHM	1,000	18.4700	$18,470.00	N/A	N/A
ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	RMCF	3,000	18.0300	$54,090.00	$810.00	1.49
TEREX CORPORATION NEW	TEX	1,500	48.7800	$73,170.00	N/A	N/A
TEXAS INSTRUMENTS INC	TXN	5,000	32.6800	$163,400.00	$500.00	0.30
UNITED STATES STEEL CORP	X	1,900	41.9200	$79,648.00	$760.00	0.95
YELLOW ROADWAY CORP	YELL	1,200	48.8500	$58,220.00	N/A	N/A
Total Stocks				**$1,951,183.00**	**$17,973.30**	**0.88**
Total Stocks and Options				**$1,951,183.00**	**$17,973.30**	**0.88**

[redacted]

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

August 1 - August 31, 2005

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
TEXAS INDUSTRIES 5.5% CONV PFD DUE 6/2/28 CALLABLE 6/05/01	TXIS	2,000	59.0000	$118,000.00	$5,500.00	4.66
Total Preferreds/Fixed Rate Cap Securities				**$118,000.00**	**$5,500.00**	**4.66**

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
08/01				BEGINNING BALANCE			$ 152,288.03
08/09	Cash	SALE	- 1,500.00000	CENTEX CORPORATION	69.2600	$103,789.70	
08/09	Cash	PURCHASE	500.00000	ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	63.2500	-$31,660.95	
08/09	Cash	PURCHASE	600.00000	ISHARES MSCI BRAZIL INDEX	27.2200	-$18,373.95	
08/09	Cash	PURCHASE	500.00000	KERR-MC GEE CORPORATION	85.3100	-$42,690.95	
08/09	Cash	PURCHASE	500.00000	NORFOLK SOUTHERN CORP	37.0800	-$18,575.95	$ 146,775.93
08/15	Cash	DIVIDEND		CRESCENT REAL ESTATE REIT EQUITIES TRUST 081505 2,000		$750.00	$ 147,525.93
08/22	Cash	DIVIDEND		TEXAS INSTRUMENTS INC 082205 5,000		$125.00	$ 147,650.93
08/23	Cash	PURCHASE	3,000.00000	EFJ INC	8.1836	-$24,736.75	$ 122,914.18
08/24	Cash	DIVIDEND		CENTEX CORPORATION 082405 1,600		$60.00	$ 122,974.18
08/26	Cash	SALE	- 2,000.00000	E M C CORP MASS	12.8300	$25,532.97	$ 148,507.15

[redacted]

3039

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

August 1 - August 31, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
08/30	Cash	PURCHASE	500.00000	TEXAS INDUSTRIES 5.5% CONV PFD DUE 6/2/28 CALLABLE 6/05/01	54.2500	-$27,160.95	$ 121,346.20
08/31	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 063105 122,974		$259.58	
08/31	Cash	PURCHASE	1,000.00000	PALM HBR HOMES INC	18.4952	-$18,561.15	$ 103,044.63

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
08/01			BEGINNING BALANCE	$220,802.02
08/03	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$68,513.99
08/12	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$5,512.10
08/16	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$750.00
08/23	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$125.00
08/26	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$24,676.75
08/31	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$259.58
08/31			ENDING BALANCE	$123,233.76

Medallion Account

26,40

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

July 1 - July 31, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on June 30	Value on July 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$253,081.21	$152,288.03	4,526.44	7.04
Stocks and Options	$1,749,333.00	$1,929,905.00	16,676.46	89.22
Preferreds/Fixed Rate Cap Secs	$0.00	$81,000.00	4,125.00	3.74
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$2,002,414.21	$2,163,193.03	25,327.90	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$2,002,414.21	$2,163,193.03		

Other Assets
These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on June 30	Value/Cost on July 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options
- Preferreds/Fixed Rate Cap Secs

Cash Activity Summary

Total Cash and Money Market Funds on June 30:			$253,081.21
	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $161,831.30	- $1,461,837.62
Securities Sold and Redeemed	$81,897.51	$0.00	$750,315.09
Deposits and Withdrawals	$3,506.31	- $5,952.27	$166,462.37
Withdrawals by Check	$0.00	$0.00	- $5,908.29
ATM and Check/Card Activity	$0.00	$0.00	$0.00
Dividends	$1,381.81	$0.00	$6,351.55
Interest	$404.76	$0.00	$1,628.15
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$500,000.00
Total Activity	$86,990.39	- $167,783.57	
Total Cash and Money Market Funds on July 31:			$152,288.03

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $6,351.55

Tax-exem[redacted] income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

July 1 - July 31, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	- $68,513.99		
BANK DEPOSIT SWEEP OPTION Interest Period 07/01/05 - 07/31/05	$220,802.02	$4,526.44	2.05
Total Cash and Money Market Funds	**$152,288.03**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
BURLINGTON NORTHN SANTA FE CORP	BNI	3,500	54.2500	$189,875.00	$2,800.00	1.47
CENTEX CORPORATION	CTX	1,500	73.9800	$110,970.00	$240.00	0.21
CHESAPEAKE ENERGY CORP	CHK	2,000	26.1100	$52,220.00	$400.00	0.76
COMMERCIAL METALS CO	CMC	3,000	28.7400	$86,220.00	$720.00	0.83
CONOCOPHILLIPS	COP	1,000	62.5900	$62,590.00	$1,240.00	1.98
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	2,000	19.5200	$39,040.00	$3,000.00	7.68
DEVON ENERGY CORP	DVN	1,000	58.0900	$58,090.00	$300.00	0.53
DIRECT GENERAL CORP	DRCT	2,000	18.5900	$37,180.00	$320.00	0.86
E M C CORP MASS	EMC	2,000	13.6900	$27,380.00	N/A	N/A
E TRADE FINANCIAL	ET	1,000	15.5100	$15,510.00	N/A	N/A
EFJ INC	EFJI	7,000	7.2100	$50,470.00	N/A	N/A
EXELON CORPORATION	EXC	1,000	53.5200	$53,520.00	$1,600.00	2.98
EXXON MOBIL CORP	XOM	2,000	58.7500	[redacted]	$2,320.00	1.97
FROZEN FOOD EXPRESS INDS	FFEX	1,000	10.4500	$10,450.00	N/A	N/A

3043

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

ACCOUNT STATEMENT

July 1 - July 31, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
GAYLORD ENTERTAINMENT CL A	GET	500	47.9400	$23,970.00	N/A	N/A
HALLIBURTON COMPANY	HAL	500	56.0500	$28,025.00	$250.00	0.89
INTRUSION INC (NEW)	INTZ	10,000	3.0800	$30,800.00	N/A	N/A
ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	FXI	500	61.7600	$30,880.00	N/A	N/A
ISHARES MSCI BRAZIL INDEX	EWZ	400	25.3700	$10,148.00	$184.58	1.81
KERR-MC GEE CORPORATION	KMG	1,000	80.2100	$80,210.00	$200.00	0.24
LEGG MASON INC	LM	1,000	102.1500	$102,150.00	$720.00	0.70
LIFE TIME FITNESS INC	LTM	2,000	33.6000	$67,200.00	N/A	N/A
LUBY'S INC	LUB	10,000	14.1000	$141,000.00	N/A	N/A
NASDAQ-100 SHS	QQQQ	1,000	39.5750	$39,575.00	$51.90	0.13
NORFOLK SOUTHERN CORP	NSC	500	37.2100	$18,605.00	$260.00	1.39
ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	RMCF	3,000	24.1200	$72,360.00	$810.00	1.11
TEREX CORPORATION NEW	TEX	1,500	48.4200	$72,630.00	N/A	N/A
TEXAS INSTRUMENTS INC	TXN	5,000	31.7600	$158,800.00	$500.00	0.31
UNITED STATES STEEL CORP	X	1,900	42.6500	$81,035.00	$760.00	0.93
YELLOW ROADWAY CORP	YELL	1,200	52.9100	$63,492.00	N/A	N/A
Total Stocks				$1,929,905.00	$16,676.46	0.86
Total Stocks and Options				$1,929,905.00	$16,676.46	0.86

[redacted]

3044

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

July 1 - July 31, 2005

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
TEXAS INDUSTRIES 5.5% CONV PFD DUE 6/2/28 CALLABLE 6/05/01	TXTS	1,500	54.0000	$81,000.00	$4,125.00	5.09
Total Preferreds/Fixed Rate Cap Securities				**$81,000.00**	**$4,125.00**	**5.09**

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
07/01				BEGINNING BALANCE			$ 253,081.21
07/01	Cash	DIVIDEND		ALLSTATE CORP 070105 1,000		$320.00	
07/01	Cash	DIVIDEND		BURLINGTON NORTHN SANTA FE CORP 070105 3,100		$527.00	
07/01	Cash	DIVIDEND		KERR-MC GEE CORPORATION 070105 500		$26.00	$ 253,953.21
07/07	Cash	PURCHASE	1,000.00000	TEXAS INDUSTRIES 5.5% CONV PFD DUE 6/2/28 CALLABLE 6/05/01	48.9357	-$49,001.85	$ 204,951.56
07/11	Cash	SALE	- 400.00000	SPDR TR UNIT SER 1	121.9500	$48,748.01	
07/11	Cash	PURCHASE	400.00000	UNITED STATES STEEL CORP	37.1600	-$14,893.95	$ 238,805.62
07/12	Cash	SALE	- 200.00000	ISHARES DOW JONES U S TRANSPORTATION INDEX FD	64.8400	$12,949.50	
07/12	Cash	PURCHASE	100.00000	ISHARES MSCI BRAZIL INDEX	25.1900	-$2,530.95	$ 249,224.17
07/14	Cash	PURCHASE	500.00000	TEXAS INDUSTRIES 5.5% CONV PFD DUE 6/2/28 CALLABLE 6/05/01	53.2500	-$26,660.95	$ 222,563.22
07/15	Cash	DIVIDEND		CHESAPEAKE E[redacted] 071505 2,000		$100.00	

3045

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ39[redacted]

ACCOUNT STATEMENT

July 1 - July 31, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
07/15	Cash	DIVIDEND		COMMERCIAL METALS CO 071505 3,000		$180.00	$ 222,843.22
07/19	Cash	JOURNAL		CHK ISS #86713509 AS OF 7/14/05		-$5,952.27	$ 216,890.95
07/22	Cash	DEPOSIT		FUNDS RECD		$3,506.31	$ 220,397.26
07/29	Cash	DIVIDEND		NASDAQ-100 SHS 072905 1,000		$34.76	
07/29	Cash	DIVIDEND		SPDR TR UNIT SER 1 072905 400		$195.05	
07/29	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 072905 220,397		$404.76	
07/29	Cash	PURCHASE	300.00000	ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	61.8800	-$18,587.95	
07/29	Cash	PURCHASE	300.00000	ISHARES MSCI BRAZIL INDEX	25.5500	-$7,688.95	
07/29	Cash	PURCHASE	500.00000	NORFOLK SOUTHERN CORP	37.3600	-$18,718.95	
07/29	Cash	PURCHASE	500.00000	TEREX CORPORATION NEW	47.4300	-$23,750.95	$ 152,284.03

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
7/01			BEGINNING BALANCE	$324,506.42
7/01	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$71,424.21
7/05	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$872.00
7/12	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$49,001.85
7/15	Cash	TRANSFER TO	BANK DEPOS[redacted] OPTION	$33,854.06
7/19	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$15,962.40

3046

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sum / Branch / Rep / [redacted]
067 / FZ / FZ99

July 1 - July 31, 2005

Money Fund/Bank Deposit Sweep Activity continued

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
07/20	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$5,952.27
07/26	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$3,506.31
07/29	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$404.78
07/31			ENDING BALANCE	$220,802.02

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3047

Medallion Account

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMEN

Sub / Branch / Rep
057 / FZ / FZ99 [redacted]

June 1 - June 30, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on May 31	Value on June 30	Est. Ann. Income	% Total Assets
Cash and Money Market	$16,407.94	$253,081.21	6,392.75	12.64
Stocks and Options	$1,400,215.82	$1,749,333.00	16,405.04	87.36
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$1,416,623.76	$2,002,414.21	22,797.79	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$1,416,623.76	$2,002,414.21		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on May 31	Value/Cost on June 30
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options

Cash Activity Summary

Total Cash and Money Market Funds on May 31:			$16,407.94
	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $447,261.38	- $1,300,006.32
Securities Sold and Redeemed	$181,659.24	$0.00	$688,617.58
Deposits and Withdrawals	$0.00	$0.00	$168,906.33
Withdrawals by Check	$0.00	$0.00	- $5,905.29
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$1,979.21	$0.00	$4,969.74
Interest	$296.20	$0.00	$1,223.39
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$500,000.00	$0.00	$500,000.00
Total Activity	$683,934.65	- $447,261.38	
Total Cash and Money Market Funds on June 30:			$253,081.21

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $4,969.74

Tax-exe[redacted]er income year-to-date totals are taken from the tax reporting system. They may not reflect back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

WSD23RLD 021462 182162817123 YNNNN NYNNN NNNNNNNN 000002

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	-$71,424.21		
BANK DEPOSIT SWEEP OPTION Interest Period 06/01/05 - 06/30/05	$324,505.42	$6,392.75	1.97
Total Cash and Money Market Funds	**$253,081.21**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
BURLINGTON NORTHN SANTA FE CORP	BNI	3,500	47.0800	$164,780.00	$2,380.00	1.44
CENTEX CORPORATION	CTX	1,500	70.6700	$106,005.00	$240.00	0.22
CHESAPEAKE ENERGY CORP	CHK	2,000	22.8000	$45,600.00	$400.00	0.87
COMMERCIAL METALS CO	CMC	3,000	23.8200	$71,460.00	$720.00	1.00
CONOCOPHILLIPS	COP	1,000	57.4900	$57,490.00	$1,240.00	2.15
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	2,000	18.7500	$37,500.00	$3,000.00	8.00
DEVON ENERGY CORP	DVN	1,000	50.6800	$50,680.00	$300.00	0.59
DIRECT GENERAL CORP	DRCT	2,000	18.6100	$37,220.00	$320.00	0.85
E M C CORP MASS	EMC	2,000	13.7100	$27,420.00	N/A	N/A
E TRADE FINANCIAL	ET	1,000	13.9900	$13,990.00	N/A	N/A
EFJ INC	EFJI	7,000	6.7500	$47,250.00	N/A	N/A
EXELON CORPORATION	EXC	1,000	51.3300	$51,330.00	$1,600.00	3.11
EXXON MOBIL CORP	XOM	2,000	57.4700	[redacted]	$2,320.00	2.01
FROZEN FOOD EXPRESS INDS	FFEX	1,000	11.3200	[redacted]	N/A	N/A

3049

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

June 1 - June 30, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
GAYLORD ENTERTAINMENT CL A	GET	500	46.4900	$23,245.00	N/A	N/A
HALLIBURTON COMPANY	HAL	500	47.8200	$23,910.00	$250.00	1.04
INTRUSION INC (NEW)	INTZ	10,000	3.3000	$33,000.00	N/A	N/A
ISHARES DOW JONES U S TRANSPORTATION INDEX FD	IYT	200	62.5700	$12,514.00	$76.58	0.61
ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	FXI	200	57.1100	$11,422.00	N/A	N/A
KERR-MC GEE CORPORATION	KMG	1,000	76.3100	$76,310.00	$200.00	0.26
LEGG MASON INC	LM	1,000	104.1100	$104,110.00	$600.00	0.57
LIFE TIME FITNESS INC	LTM	2,000	32.8100	$65,620.00	N/A	N/A
LUBY'S INC	LUB	10,000	11.9500	$119,500.00	N/A	N/A
NASDAQ-100 SHS	QQQQ	1,000	36.7800	$36,780.00	$51.80	0.14
ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	RMCF	3,000	22.0000	$66,000.00	$810.00	1.22
SPDR TR UNIT SER 1	SPY	400	119.1800	$47,672.00	$796.56	1.67
TEREX CORPORATION NEW	TEX	1,000	39.4000	$39,400.00	N/A	N/A
TEXAS INSTRUMENTS INC	TXN	5,000	28.0700	$140,350.00	$500.00	0.35
UNITED STATES STEEL CORP	X	1,500	34.3700	$51,555.00	$600.00	1.16
YELLOW ROADWAY CORP	YELL	1,200	50.8000	$60,960.00	N/A	N/A
Total Stocks				**$1,749,333.00**	**$16,405.04**	**0.94**
Total Stocks and Options				**$1,749,333.00**	**$16,405.04**	**0.94**

[redacted]

3050

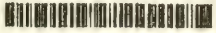
KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99[redacted]

June 1 - June 30, 2005

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
06/01				BEGINNING BALANCE			$ 16,407.94
06/01	Cash	DIVIDEND		CONOCOPHILLIPS 060105 500		$310.00	$ 16,717.94
06/02	Cash	STOCK DISTRIB	500.00000	CONOCOPHILLIPS			$ 16,717.94
06/03	Cash	DIVIDEND		BOEING CO 060305 1,000		$230.00	$ 16,947.94
06/10	Cash	DIVIDEND		EXELON CORPORATION 061005 1,000		$400.00	
06/10	Cash	DIVIDEND		EXXON MOBIL CORP 061005 1,500		$435.00	
06/10	Cash	DIVIDEND		UNITED STATES STEEL CORP 061005 1,200		$120.00	$ 17,922.94
06/13	Cash	PURCHASE	600.00000	BURLINGTON NORTHN SANTA FE CORP AS OF 5/04/05	50.0800	-$30,084.00	
06/13	Cash	PURCHASE	500.00000	CENTEX CORPORATION AS OF 5/04/05	57.8900	-$28,960.95	
06/13	Cash	PURCHASE	1,000.00000	CHESAPEAKE ENERGY CORP AS OF 5/23/05	19.3000	-$19,343.95	
06/13	Cash	PURCHASE	1,000.00000	CRESCENT REAL ESTATE REIT EQUITIES TRUST AS OF 5/20/05	18.6000	-$18,665.95	
06/13	Cash	PURCHASE	200.00000	EBAY INC AS OF 5/20/05	36.1899	-$7,258.93	
06/13	Cash	PURCHASE	500.00000	EXXON MOBIL CORP AS OF 5/04/05	56.7400	-$28,405.95	-$ 114,835.79
06/14	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 061405		$11.50	
06/14	Cash	ADJUSTMENT		FR 85380688 DEPOSIT CORRE[redacted] AS OF 5/04/05		$500,000.00	
06/14	Cash	PURCHASE	1,000.00000	COMMERCIAL METALS CO AS OF 5/04/05	26.1900	-$26,255.95	



3051

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
087 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

June 1 - June 30, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
06/14	Cash	STOCK DISTRIB	667.00000	ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)			$ 358,919.76
06/15	Cash	DIVIDEND		DIRECT GENERAL CORP 061505 1,500		$60.00	$ 358,979.76
06/16	Cash	DIVIDEND		ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW) 061605 2,000		$180.00	
06/16	Cash	PURCHASE	500.00000	DIRECT GENERAL CORP	15.9999	-$8,033.90	
06/16	Cash	PURCHASE	700.00000	LIFE TIME FITNESS INC	31.0400	-$21,775.95	
06/16	Cash	PURCHASE	2,900.00000	EFJ INC	7.4000	-$21,634.00	
06/16	Cash	PURCHASE	100.00000	EFJ INC	7.3800	-$749.95	
06/16	Cash	PURCHASE	300.00000	UNITED STATES STEEL CORP	41.4486	-$12,458.53	$ 294,505.43
06/22	Cash	DIVIDEND		CENTEX CORPORATION 062205 1,500		$60.00	
06/22	Cash	SALE	- 4,700.00000	LUBY'S INC	11.1500	$52,120.80	
06/22	Cash	SALE	- 300.00000	LUBY'S INC	11.2400	$3,347.90	
06/22	Cash	PURCHASE	5,049.00000	INTRUSION INC (NEW)	3.2200	-$16,566.67	
06/22	Cash	PURCHASE	300.00000	LIFE TIME FITNESS INC	31.0400	-$9,335.95	$ 324,131.51
06/23	Cash	DIVIDEND		HALLIBURTON COMPANY 062305 500		$62.50	
06/23	Cash	SALE	- 1,000.00000	ALLSTATE CORP	59.5417	$59,473.28	$ 383,667.27
06/24	Cash	DIVIDEND		ISHARES DOW JONES U S TRANSPORTATION INDEX FD 062405 200		$26.71	$ 383,693.98
06/27	Cash	SALE	- 400.00000	BOEING CO	60.0300	$23,981.04	
06/27	Cash	SALE	- 400.00000	BOEING CO	60.0100	$23,978.99	
06/27	Cash	SALE	- 200.00000	BOEING CO [redacted]	60.0200	$11,991.49	
06/27	Cash	SALE	- 200.00000	EBAY INC	33.9200	$6,785.76	
06/27	Cash	PURCHASE	700.00000	LEGG MASON INC	98.3200	-$68,871.95	

3052

Medallion Account

25/63

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ99	/ [redacted]

May 1 - May 31, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Apr 30	Value on May 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$95,561.83	$16,407.94	301.90	1.16
Stocks and Options	$1,260,299.61	$1,400,215.82	14,326.78	98.84
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$1,355,861.44	$1,416,623.76	14,628.68	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$1,355,861.44	$1,416,623.76		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Apr 30	Value/Cost on May 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

- Stocks and Options
- Other

Cash Activity Summary

	Credits	Debits	Year to Date
Total Cash and Money Market Funds on Apr 30:			$95,561.83
Securities Purchased	$0.00	- $122,186.00	- $852,744.94
Securities Sold and Redeemed	$42,409.04	$0.00	$508,958.34
Deposits and Withdrawals	$0.00	$0.00	$188,908.33
Withdrawals by Check	$0.00	$0.00	- $5,908.29
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$525.00	$0.00	$2,990.53
Interest	$98.07	$0.00	$927.19
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$43,032.11	- $122,186.00	
Total Cash and Money Market Funds on May 31:			$16,407.94

Tax-Exempt Income Year-to-Date:	$0.00
Other [redacted] Year-to-Date:	$2,990.53

Tax-exe[redacted]ther income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

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Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income dividend by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
BANK DEPOSIT SWEEP OPTION Interest Period 05/01/05 - 05/31/05	$16,407.94	$301.90	1.84
Total Cash and Money Market Funds	**$16,407.94**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
ALLSTATE CORP	ALL	1,000	58.2000	$58,200.00	$1,280.00	2.19
BOEING CO	BA	1,000	63.9000	$63,900.00	$1,000.00	1.56
BURLINGTON NORTHN SANTA FE CORP	BNI	2,500	49.4200	$123,550.00	$1,700.00	1.37
CENTEX CORPORATION	CTX	1,000	65.4800	$65,480.00	$160.00	0.24
CHESAPEAKE ENERGY CORP	CHK	1,000	20.4700	$20,470.00	$180.00	0.87
COMMERCIAL METALS CO	CMC	2,000	26.1600	$52,320.00	$480.00	0.91
CONOCOPHILLIPS	COP	500	107.8400	$53,920.00	$1,240.00	2.29
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	1,000	18.3900	$18,390.00	$1,500.00	8.15
DEVON ENERGY CORP	DVN	1,000	45.9000	$45,900.00	$300.00	0.65
DIRECT GENERAL CORP	DRCT	1,500	16.5100	$24,765.00	$240.00	0.96
E M C CORP MASS	EMC	2,000	14.0600	$28,120.00	N/A	N/A
E TRADE FINANCIAL	ET	1,000	12.3500	$12,350.00	N/A	N/A
EFJ INC	EFJI	4,000	7.1000	$28,400.00	N/A	N/A
EXELON CORPORATION	EXC	1,000	46.8500	[redacted]	$1,600.00	3.41
EXXON MOBIL CORP	XOM	1,500	56.2000	$84,300.00	$1,740.00	2.06
FROZEN FOOD EXPRESS INDS	FFEX	1,000	10.7500	$10,750.00	N/A	N/A

3055

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99[redacted]

ACCOUNT STATEMENT

May 1 - May 31, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
GAYLORD ENTERTAINMENT CL A	GET	500	42.0300	$21,015.00	N/A	N/A
HALLIBURTON COMPANY	HAL	500	42.7400	$21,370.00	$250.00	1.16
INTRUSION INC (NEW)	INTZ	4,951	2.8200	$13,961.82	N/A	N/A
ISHARES DOW JONES U S TRANSPORTATION INDEX FD	IYT	200	64.8700	$12,974.00	$72.68	0.56
ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	FXI	200	54.6400	$10,928.00	N/A	N/A
KERR-MC GEE CORPORATION	KMG	500	73.8600	$36,930.00	$100.00	0.27
LUBY'S INC	LUB	15,000	8.2400	$123,600.00	N/A	N/A
NASDAQ-100 SHS	QQQQ	1,000	38.0800	$38,080.00	$17.10	0.04
ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	RMCF	2,000	23.5600	$47,120.00	$720.00	1.52
SPDR TR UNIT SER 1	SPY	400	119.4800	$47,792.00	$767.00	1.60
TEREX CORPORATION NEW	TEX	1,000	39.5200	$39,520.00	N/A	N/A
TEXAS INSTRUMENTS INC	TXN	5,000	27.6400	$138,200.00	$500.00	0.36
UNITED STATES STEEL CORP	X	1,200	39.7700	$47,724.00	$480.00	1.00
YELLOW ROADWAY CORP	YELL	1,200	52.7800	$63,336.00	N/A	N/A
Total Stocks				**$1,400,215.82**	**$14,326.78**	**1.02**
Total Stocks and Options				**$1,400,215.82**	**$14,326.78**	**1.02**

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3056

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / [redacted]
067 / FZ / FZ99

May 1 - May 31, 2005

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
05/01				BEGINNING BALANCE			$ 95,541.83
05/05	Cash	SALE	- 589.00000	DOCUCORP INC	6.8200	$3,840.32	
05/05	Cash	SALE	- 300.00000	DOCUCORP INC	6.7500	$2,006.91	
05/05	Cash	SALE	- 131.00000	DOCUCORP INC	6.7600	$877.66	
05/05	Cash	SALE	- 1,000.00000	NASDAQ-100 SHS	35.7316	$35,684.15	
05/05	Cash	PURCHASE	200.00000	CONOCOPHILLIPS	105.1700	-$21,051.95	
05/05	Cash	PURCHASE	550.00000	ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	21.8200	-$12,009.95	
05/05	Cash	PURCHASE	1,900.00000	EFJ INC	8.6300	-$16,511.00	
05/05	Cash	PURCHASE	1,000.00000	EFJ INC	8.6800	-$8,710.00	
05/05	Cash	PURCHASE	100.00000	EFJ INC	8.6400	-$875.95	
05/05	Cash	PURCHASE	200.00000	UNITED STATES STEEL CORP	44.4300	-$8,903.95	
05/05	Cash	PURCHASE	200.00000	YELLOW ROADWAY CORP	52.8700	-$10,591.95	$ 59,288.12
05/13	Cash	DIVIDEND		CRESCENT REAL ESTATE REIT EQUITIES TRUST 051305 1,000		$375.00	$ 59,661.12
05/20	Cash	PURCHASE	500.00000	KERR-MC GEE CORPORATION	70.9387	-$35,505.30	$ 24,155.82
05/23	Cash	DIVIDEND		TEXAS INSTRUMENTS INC 052305 6,000		$150.00	
05/23	Cash	PURCHASE	800.00000	EFJ INC	7.9400	-$6,400.00	
05/23	Cash	PURCHASE	200.00000	EFJ INC	7.8900	-$1,505.95	$ 16,398.87
05/26	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 052605		$93.91	$ 16,403.78
05/31	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 053105 16,4[redacted]		$4.16	$ 16,407.94

3057

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMENT

Sub	/ Branch	/ Rep	/ Account No.
067	/ FZ	/ FZ9[redacted]	

May 1 - May 31, 2005

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
05/01			BEGINNING BALANCE	$137,831.29
05/03	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$28,178.61
05/04	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$14,090.85
05/10	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$36,275.71
05/17	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$378.00
05/25	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$35,355.30
05/26	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$24,305.82
05/27	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$16,403.78
05/31	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$4.16
05/31			ENDING BALANCE	$16,407.94

3058

Medallion Account

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMENT

Sub / Branch / Rep
067 / FZ / FZ99 [redacted]

April 1 - April 30, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Mar 31	Value on Apr 30	Est. Ann. Income	% Total Assets
Cash and Money Market	$73,737.88	$95,561.83	2,343.13	7.05
Stocks and Options	$1,348,303.50	$1,260,299.61	13,469.88	92.95
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$1,422,041.38	$1,355,861.44	15,813.01	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$1,422,041.38	$1,355,861.44		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Mar 31	Value/Cost on Apr 30
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options

Cash Activity Summary

Total Cash and Money Market Funds on Mar 31:			$73,737.88
	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $115,511.99	- $730,558.94
Securities Sold and Redeemed	$139,264.15	$0.00	$464,549.30
Deposits and Withdrawals	$1,350.37	$0.00	$168,908.33
Withdrawals by Check	$0.00	- $4,566.67	- $5,908.29
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$1,143.84	$0.00	$2,465.53
Interest	$144.25	$0.00	$829.12
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$141,902.61	- $120,078.66	
Total Cash and Money Market Funds on Apr 30:			$95,561.83

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $2,465.53

Tax-exemp[redacted] income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No
067 / FZ / FZ99 / [redacted]

April 1 - April 30, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	- $42,269.46		
BANK DEPOSIT SWEEP OPTION Interest Period 04/01/05 - 04/30/05	$137,831.29	$2,343.13	1.70
Total Cash and Money Market Funds	**$95,561.83**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	1	Est. Ann. Income	Est. Ann. Yield (%)
ALLSTATE CORP	ALL	1,000	58.1600	$58,160.00		$1,280.00	2.27
BOEING CO	BA	1,000	59.5200	$59,520.00		$1,000.00	1.68
BURLINGTON NORTHN SANTA FE CORP	BNI	2,500	48.2500	$120,625.00		$1,700.00	1.40
CENTEX CORPORATION	CTX	1,000	57.7200	$57,720.00		$160.00	0.27
CHESAPEAKE ENERGY CORP	CHK	1,000	19.2400	$19,240.00		$180.00	0.93
COMMERCIAL METALS CO	CMC	2,000	25.5100	$51,020.00		$480.00	0.94
CONOCOPHILLIPS	COP	300	104.8500	$31,455.00		$744.00	2.36
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	1,000	18.8000	$18,800.00		$1,500.00	8.92
DEVON ENERGY CORP	DVN	1,000	45.1700	$45,170.00		$300.00	0.66
DIRECT GENERAL CORP	DRCT	1,500	17.7600	$26,640.00		$240.00	0.90
DOCUCORP INC	DOCC	1,000	7.0000	$7,000.00		N/A	N/A
E M C CORP MASS	EMC	2,000	13.1200	$26,240.00		N/A	N/A
E TRADE FINANCIAL	ET	1,000	11.1100 [redacted]	[redacted]		N/A	N/A
EXELON CORPORATION	EXC	1,000	49.5000	$49,500.00		$1,600.00	3.23
EXXON MOBIL CORP	XOM	1,500	57.0300	$85,545.00		$1,740.00	2.03

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

April 1 - April 30, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
FROZEN FOOD EXPRESS INDS	FFEX	1,000	9.5000	$9,500.00	N/A	N/A
GAYLORD ENTERTAINMENT CL A	GET	500	40.0000	$20,000.00	N/A	N/A
HALLIBURTON COMPANY	HAL	500	41.5900	$20,795.00	$250.00	1.20
INTRUSION INC (NEW)	INTZ	4,951	2.1610	$10,699.11	N/A	N/A
ISHARES DOW JONES U S TRANSPORTATION INDEX FD	IYT	200	61.6100	$12,322.00	$72.88	0.58
ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	FXI	200	55.3200	$11,064.00	N/A	N/A
LUBY'S INC	LUB	15,000	7.5500	$113,250.00	N/A	N/A
NASDAQ-100 SHS	QQQQ	2,000	34.9800	$69,960.00	$34.20	0.04
ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	RMCF	1,450	19.8100	$28,724.50	$522.00	1.81
SPDR TR UNIT SER 1	SPY	400	115.7500	$46,300.00	$787.00	1.85
TEREX CORPORATION NEW	TEX	1,000	37.3800	$37,380.00	N/A	N/A
TEXAS INSTRUMENTS INC	TXN	5,000	24.9600	$124,800.00	$500.00	0.40
UNITED STATES STEEL CORP	X	1,000	42.7600	$42,760.00	$400.00	0.93
YELLOW ROADWAY CORP	YELL	1,000	49.0000	$49,000.00	N/A	N/A
Total Stocks				**$1,260,299.61**	**$13,469.68**	**1.07**
Total Stocks and Options				**$1,260,299.61**	**$13,469.68**	**1.07**

[redacted]

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

April 1 - April 30, 2005

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
04/01				BEGINNING BALANCE			$ 73,737.86
04/01	Cash	DIVIDEND		ALLSTATE CORP 040105 1,000		$320.00	
04/01	Cash	DIVIDEND		BURLINGTON NORTHN SANTA FE CORP 040105 2,000		$340.00	
04/01	Cash	DIVIDEND		KERR-MC GEE CORPORATION 040105 400		$180.00	$ 74,577.86
04/04	Cash	ASSET TRF		TRANSFER CASH BALANCE		$1,350.37	$ 75,928.25
04/11	Cash	SALE	- 2,549.00000	INTRUSION INC (NEW)	3.7457	$9,441.44	$ 85,369.69
04/14	Cash	SALE	- 500.00000	KERR-MC GEE CORPORATION	78.8800	$39,402.40	
04/14	Cash	SALE	- 400.00000	TEX PAC LAND TR SUB SH CTF PROPRIETARY UNIT	164.2500	$65,647.30	
04/14	Cash	PURCHASE	2,500.00000	INTRUSION INC (NEW)	2.4059	-$6,170.70	$ 184,268.69
04/15	Cash	DIVIDEND		CHESAPEAKE ENERGY CORP 041505 1,000		$45.00	
04/15	Cash	DIVIDEND		COMMERCIAL METALS CO 041505 1,200		$72.00	$ 184,385.69
04/18	Cash	PURCHASE	800.00000	COMMERCIAL METALS CO	27.2401	-$21,846.03	
04/18	Cash	CHECK ISSUED		CHK ISS #BR88713113 MGMTFE		-$4,566.67	$ 157,972.99
04/20	Cash	PURCHASE	500.00000	TEREX CORPORATION NEW	40.5000	-$20,265.95	$ 137,687.04
04/28	Cash	PURCHASE	500.00000	EXXON MOBIL CORP	56.6590	-$28,365.45	$ 109,321.59
04/29	Cash	DIVIDEND		SPDR TR UNIT SER 1 042905 400		$166.84	
04/29	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 042905 137[redacted]		$144.25	

3063

FIRST DALLAS SECURITIES
1905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No
067 / FZ / FZ99[redacted]

ACCOUNT STATEMENT

April 1 - April 30, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
04/29	Cash	SALE	- 1,000.00000	TEXAS INSTRUMENTS INC	24.6200	$24,753.01	
04/29	Cash	PURCHASE	300.00000	CONOCOPHILLIPS	103.8220	-$31,170.55	
04/29	Cash	PURCHASE	400.00000	ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	19.1084	-$7,673.31	$ 95,561.83

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
4/01			BEGINNING BALANCE	$112,538.39
4/01	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$38,796.51
4/05	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$2,190.37
4/15	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$9,441.44
4/20	Cash	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	$72,903.30
4/25	Cash	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-$20,285.95
4/29	Cash	REINVEST INT	BANK DEPOSIT SWEEP OPTION	$144.25
/30			ENDING BALANCE	$137,831.29

Medallion Account

2875

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No
067 / FZ / FZ9[redacted]

March 1 - March 31, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Feb 28	Value on Mar 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$155,895.21	$73,737.88	1,721.80	5.19
Stocks and Options	$1,113,502.50	$1,348,303.50	13,592.98	94.81
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$1,269,167.71	$1,422,041.38	15,314.68	100%
Margin Balance	$169,609.84	$0.00	0.00	
Net Portfolio Value	$1,438,797.55	$1,422,041.38		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Feb 28	Value/Cost on Mar 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

Cash Activity Summary

Total Cash and Money Market Funds on Feb 28:			$325,295.05
	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $338,870.40	- $815,046.95
Securities Sold and Redeemed	$86,285.23	$0.00	$325,285.15
Deposits and Withdrawals	$0.00	$0.00	$167,557.96
Withdrawals by Check	$0.00	$0.00	- $1,341.82
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$789.69	$0.00	$1,321.69
Interest	$238.31	$0.00	$684.87
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$87,313.23	- $338,870.40	
Total Cash and Money Market Funds on Mar 31:			**$73,737.88**

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $1,321.69

Tax-exem[redacted]ncome year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

March 1 - March 31, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	- $38,798.51		
BANK DEPOSIT SWEEP OPTION Interest Period 03/01/05 - 03/31/05	$112,536.39	$1,721.80	1.53
Total Cash and Money Market Funds	**$73,737.88**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
ALLSTATE CORP	ALL	1,000	54.0600	$54,060.00	$1,280.00	2.36
BOEING CO	BA	1,000	58.4600	$58,460.00	$1,000.00	1.71
BURLINGTON NORTHN SANTA FE CORP	BNI	2,500	53.9300	$134,825.00	$1,700.00	1.26
CENTEX CORPORATION	CTX	1,000	57.2700	$57,270.00	$180.00	0.27
CHESAPEAKE ENERGY CORP	CHK	1,000	21.9400	$21,940.00	$180.00	0.82
COMMERCIAL METALS CO	CMC	1,200	33.8900	$40,668.00	$288.00	0.70
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	1,000	16.3400	$16,340.00	$1,500.00	9.17
DEVON ENERGY CORP	DVN	1,000	47.7500	$47,750.00	$300.00	0.62
DIRECT GENERAL CORP	DRCT	1,500	20.5400	$30,810.00	$240.00	0.77
DOCUCORP INC	DOCC	1,000	7.7800	$7,780.00	N/A	N/A
E M C CORP MASS	EMC	2,000	12.3200	$24,640.00	N/A	N/A
E TRADE FINANCIAL	ET	1,000	12.0000	$12,000.00	N/A	N/A
EXELON CORPORATION	EXC	1,000	45.89[redacted]	[redacted]	$1,600.00	3.48
EXXON MOBIL CORP	XOM	1,000	59.60[redacted]	[redacted]	$1,080.00	1.81
FROZEN FOOD EXPRESS INDS	FFEX	1,000	11.5200	$11,520.00	N/A	N/A

3067

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ9[redacted]

ACCOUNT STATEMENT

March 1 - March 31, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
AYLORD ENTERTAINMENT L A	GET	500	40.4000	$20,200.00	N/A	N/A
ALLIBURTON COMPANY	HAL	500	43.2500	$21,625.00	$250.00	1.15
NTRUSION INC (NEW)	INTZ	5,000	2.1410	$10,705.00	N/A	N/A
HARES DOW JONES U S RANSPORTATION INDEX FD	IYT	200	66.4900	$13,298.00	$72.68	0.54
HARES FTSE/XINHUA HINA 25 INDEX FUND	FXI	200	54.6000	$10,920.00	N/A	N/A
ERR-MC GEE CORPORATION	KMG	500	78.3300	$39,165.00	$900.00	2.29
IBY'S INC	LUB	15,000	7.7500	$116,250.00	N/A	N/A
ASDAQ-100 SHS	QQQQ	2,000	36.5700	$73,140.00	$757.20	1.03
OCKY MOUNTAIN HOCOLATE FACTORY C (NEW)	RMCF	1,050	24.6700	$25,903.50	$378.00	1.45
DR TR UNIT SER 1	SPY	400	117.9600	$47,184.00	$767.00	1.62
REX CORPORATION NEW	TEX	500	43.3000	$21,650.00	N/A	N/A
K PAC LAND TR SUB BH E PROPRIETARY UNIT	TPL	400	156.0000	$62,400.00	$220.00	0.35
AS INSTRUMENTS INC	TXN	6,000	25.4900	$152,940.00	$600.00	0.39
TED STATES STEEL CORP	X	1,000	50.8500	$50,850.00	$320.00	0.62
LOW ROADWAY CORP	YELL	1,000	58.5400	$58,540.00	N/A	N/A
al Stocks				$1,348,303.50	$13,592.88	1.01
al Stocks and Options				$1,348,303.50	$13,592.88	1.01

[redacted]

3068

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount
03/01	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$149,542.11
03/03	Cash	PURCHASE	5,000.00000	LUBY'S INC	6.9200	-$34,905.95
03/04	Cash	SALE	- 1,000.00000	GENERAL MOTORS CORP	35.3700	$35,302.88
03/07	Cash	PURCHASE	1,000.00000	BOEING CO	57.5200	-$57,585.95
03/07	Cash	PURCHASE	500.00000	EXELON CORPORATION	46.9900	-$23,530.95
03/07	Cash	PURCHASE	250.00000	HALLIBURTON COMPANY	44.0500	-$11,033.45
03/07	Cash	PURCHASE	4,600.00000	LUBY'S INC	6.9500	-$32,246.00
03/07	Cash	PURCHASE	400.00000	LUBY'S INC	6.9300	-$2,801.95
03/08	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$34,905.95
03/09	Cash	PURCHASE	1,000.00000	CHESAPEAKE ENERGY CORP	23.0900	-$23,155.95
03/09	Cash	PURCHASE	300.00000	DEVON ENERGY CORP	48.9300	-$14,702.95
03/09	Cash	PURCHASE	200.00000	DEVON ENERGY CORP	48.9400	-$9,800.00
03/09	Cash	PURCHASE	500.00000	EXXON MOBIL CORP	63.7700	-$31,920.95
03/10	Cash	DIVIDEND		EXELON CORPORATION 031006 500		$200.00
03/10	Cash	DIVIDEND		UNITED STATES STEEL CORP 031005 1,000		$80.00
03/10	Cash	STOCK DISTRIB	50.00000	ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)		$0.00
03/10	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$91,895.42
03/14	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$79,299.85
03/15	Cash	DIVIDEND		DIRECT GENERAL CORP 031505 1,500		$60.00
03/15	Cash	DIVIDEND		TEX PAC LAND TR SUB SH CTF PROPRIETARY UNIT 031505 400		$220.00
03/16	Cash	DIVIDEND		ROCKY MOU[redacted] CHOCOLATE[redacted] INC (NEW) 031605 1,050		$94.50

3069

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No
067 / FZ / FZ98[redacted]

ACCOUNT STATEMENT

March 1 - March 31, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount
03/22	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$374.50
03/23	Cash	DIVIDEND		CENTEX CORPORATION 032305 1,000		$40.00
03/24	Cash	DIVIDEND		HALLIBURTON COMPANY 032405 250		$31.25
03/28	Cash	SALE	- 1,500.00000	TEXAS INSTRUMENTS INC	25.5500	$38,233.73
03/28	Cash	SALE	- 500.00000	TEXAS INSTRUMENTS INC	25.5700	$12,748.62
03/28	Cash	PURCHASE	500.00000	BURLINGTON NORTHN SANTA FE CORP	55.0200	-$27,545.95
03/28	Cash	PURCHASE	500.00000	DEVON ENERGY CORP	45.8500	-$22,960.85
03/28	Cash	PURCHASE	100.00000	KERR-MC GEE CORPORATION	78.0500	-$7,816.95
03/29	Cash	PURCHASE	5,000.00000	LUBY'S INC	7.7113	-$38,862.45
03/29	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$871.25
03/31	Cash	DIVIDEND		DEVON ENERGY CORP 033105 500		$37.50
03/31	Cash	DIVIDEND		ISHARES DOW JONES U S TRANSPORTATION INDEX FD 033105 200		$26.44
03/31	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 033105 112,298		$238.31
03/31	Cash	REINVEST INT		BANK DEPOSIT SWEEP OPTION		-$238.31
04/01	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$7,341.50

Medallion Account

FIRST DALLAS SECURITIES
2903 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMEN

Sub / Branch / Rep
067 / FZ / FZ99 [redacted]

February 1 - February 28, 200

Your Investment Broker:
FIRST DALLAS SECURITIES
2903 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Jan 31	Value on Feb 28	Est. Ann. Income	% Total Assets
Cash and Money Market	$270,902.02	$155,685.21	2,495.69	12.27
Stocks and Options	$572,338.00	$1,113,502.50	12,285.48	87.73
Preferreds/Fixed Rate Cap Secs	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$843,240.02	$1,269,187.71	14,781.15	100%
Margin Balance	$0.00	$169,609.84	0.00	
Net Portfolio Value	$843,240.02	$1,438,797.55		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Jan 31	Value/Cost on Feb 28
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options

Cash Activity Summary

Total Cash and Money Market Funds on Jan 31:			$270,902.02
	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $192,790.60	- $278,176.55
Securities Sold and Redeemed	$238,999.92	$0.00	$238,999.92
Deposits and Withdrawals	$7,557.96	$0.00	$167,557.96
Withdrawals by Check	$0.00	$0.00	- $1,341.52
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$375.00	$0.00	$532.00
Interest	$250.75	$0.00	$446.56
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$247,183.63	- $192,790.60	
Total Cash and Money Market Funds on Feb 28:			$325,295.05

Tax-Exempt Income Year-to-Date: $0.00
Other [redacted] Year-to-Date: $532.00

Tax-e[redacted] income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current/estimated yield for the interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the *current* estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	- $20,067.73		
BANK DEPOSIT SWEEP OPTION Interest Period 02/01/05 - 02/28/05	$175,752.94	$2,495.69	1.42
Total Cash and Money Market Funds	**$155,685.21**		
Margin Balance	**$169,609.84**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
ALLSTATE CORP	ALL	1,000	53.6800	$53,680.00	$1,280.00	2.38
BURLINGTON NORTHN SANTA FE CORP	BNI	2,000	50.2700	$100,540.00	$1,380.00	1.35
CENTEX CORPORATION	CTX	1,000	63.5900	$63,590.00	$160.00	0.25
COMMERCIAL METALS CO	CMC	1,200	34.8000	$41,760.00	$288.00	0.68
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	1,000	16.3000	$16,300.00	$1,500.00	9.20
DIRECT GENERAL CORP	DRCT	1,500	20.2300	$30,345.00	$240.00	0.79
DOCUCORP INC	DOCC	1,000	8.7300	$8,730.00	N/A	N/A
E M C CORP MASS	EMC	2,000	12.6600	$25,320.00	N/A	N/A
E TRADE FINANCIAL	ET	1,000	13.2700	$13,270.00	N/A	N/A
EXELON CORPORATION	EXC	500	45.3600	$22,680.00	$800.00	3.52
EXXON MOBIL CORP	XOM	500	63.3100	$31,655.00	$540.00	1.70
FROZEN FOOD EXPRESS INDS	FFEX	1,000	11,61[redacted]00		N/A	N/A
GAYLORD ENTERTAINMENT CL A	GET	500	42.8000	[redacted]$21,400.00	N/A	N/A

3073

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ98 [redacted]

ACCOUNT STATEMEN

February 1 - February 28, 200

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann Yield (%
GENERAL MOTORS CORP	GM	1,000	35.6500	$35,650.00	$2,000.00	N/
HALLIBURTON COMPANY	HAL	250	43.9700	$10,992.50	$125.00	1.1
INTRUSION INC (NEW)	INTZ	5,000	3.3500	$16,750.00	N/A	N/
ISHARES DOW JONES U S TRANSPORTATION INDEX FD	IYT	200	66.8700	$13,374.00	$87.18	0.4
ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	FXI	200	57.8000	$11,560.00	N/A	N/
KERR-MC GEE CORPORATION	KMG	400	77.6500	$31,054.00	$720.00	2.31
NASDAQ-100 SHS	QQQQ	2,000	37.2200	$74,440.00	$757.20	N/A
ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	RMCF	1,000	20.4700	$20,470.00	$360.00	1.75
SPDR TR UNIT SER 1	SPY	400	120.6300	$48,252.00	$738.06	1.52
TEREX CORPORATION NEW	TEX	500	45.2000	$22,600.00	N/A	N/A
TEX PAC LAND TR SUB SH CTF PROPRIETARY UNIT	TPL	400	139.0000	$55,600.00	$220.00	0.39
TEXAS INSTRUMENTS INC	TXN	8,000	26.4700	$211,760.00	$800.00	0.37
UNITED STATES STEEL CORP	X	1,000	62.3600	$62,360.00	$320.00	0.51
YELLOW ROADWAY CORP	YELL	1,000	57.7500	$57,750.00	N/A	N/A
Total Stocks				**$1,113,502.50**	**$12,265.46**	**1.10**
Total Stocks and Options				**$1,113,502.50**	**$12,265.46**	**1.10**

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount
02/01	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$29,623.60
02/11	Cash	PURCHASE	500.00000	ALLSTATE [redacted]	52.2800	-$26,175.95
02/11	Cash	PURCHASE	500.00000	DOCUCORP INC	9.7500	-$4,910.95
02/11	Cash	PURCHASE	500.00000	EXXON MOBIL CORP	56.0800	-$28,075.95

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

February 1 - February 28, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount
02/11	Cash	PURCHASE	400 00000	KERR-MC GEE CORPORATION	66 0900	-$26,465.95
02/15	Cash	DIVIDEND		CRESCENT REAL ESTATE REIT EQUITIES TRUST 021505 1,000		$375 00
02/15	Cash	SALE	- 1,000.00000	AMX CORP NEW	22.3206	$22,253.91
02/16	Cash	ASSET TRF		TRANSFER CASH BALANCE		$7,557.96
02/16	Cash	PURCHASE	250.00000	CENTEX CORPORATION	62.9040	-$15,748.95
02/16	Margin	ASSET TRF	2,300.00000	GENERAL MOTORS CORP		$0.00
02/16	Margin	ASSET TRF	5,300.00000	NASDAQ-100 SHS		$0.00
02/16	Margin	ASSET TRF	400.00000	SPDR TR UNIT SER 1		$0.00
02/16	Margin	ASSET TRF	8,000.00000	TEXAS INSTRUMENTS INC		$0.00
02/16	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$85,253.80
02/17	Cash	PURCHASE	500.00000	BURLINGTON NORTHN SANTA FE CORP	48.3500	-$24,210.95
02/17	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$7,557.96
02/23	Cash	SALE	- 1,000.00000	FOUNDRY NETWORKS INC	10.1036	$10,037.31
02/23	Cash	SALE	- 1,000.00000	HELEN OF TROY LIMITED	27.6200	$27,553.14
02/23	Cash	SALE	- 2,000.00000	PFSWEB INC	3.2460	$6,371.78
02/23	Cash	SALE	- 1,000.00000	PFSWEB INC	3.2400	$3,173.94
02/23	Margin	SALE	- 1,300.00000	GENERAL MOTORS CORP	36.7716	$47,717.81
02/23	Margin	SALE	- 3,300.00000	NASDAQ-100 SHS	37.0000	$121,892.03
02/23	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$17,703.99
02/24	Cash	PURCHASE	200.00000	GAYLORD ENTERTAINMENT CL A AS OF 2/23/05	42.7000	-$8,557.95

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3075

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub. / Branch / Reg. / Account No.
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMEN

February 1 - February 28, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount
02/24	Cash	PURCHASE	1,000.00000	YELLOW ROADWAY CORP AS OF 2/23/05	58.5800	-$58,648.95
02/28	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION		$250.75
02/28	Cash	REINVEST INT		BANK DEPOSIT SWEEP OPTION		-$250.75

Medallion Account

14533

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

January 1 - January 31, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Dec 31	Value on Jan 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$195,276.78	$270,902.02	3,155.51	32.13
Stocks and Options	$499,222.50	$572,338.00	5,550.18	67.87
Preferred Stocks	$0.00	$0.00	0.00	
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$694,499.28	$843,240.02	8,705.69	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$694,499.28	$843,240.02		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Dec 31	Value/Cost on Jan 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options

Cash Activity Summary

Total Cash and Money Market Funds on Dec 31:			$195,276.78
	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $83,385.95	- $83,385.95
Securities Sold and Redeemed	$0.00	$0.00	$0.00
Deposits and Withdrawals	$160,000.00	$0.00	$160,000.00
Withdrawals by Check	$2,625.78	- $3,967.40	- $1,341.62
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$157.00	$0.00	$157.00
Interest	$195.61	$0.00	$195.61
Money Market Income	$0.00	$0.00	$0.00
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$162,978.59	- $87,353.35	
Total Cash and Money Market Funds on Jan 31:			**$270,902.02**

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $157.00

Tax-exe[redacted]er income year-to-date totals are taken from the tax reporting system. They may not [redacted] activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	- $29,623.60		
BANK DEPOSIT SWEEP OPTION Interest Period 01/01/05 - 01/31/05	$300,525.62	$3,155.51	1.05
Total Cash and Money Market Funds	**$270,902.02**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
ALLSTATE CORP	ALL	500	50.4400	$25,220.00	$560.00	2.22
AMX CORP NEW	AMXC	1,000	16.2100	$16,210.00	N/A	N/A
BURLINGTON NORTHN SANTA FE CORP	BNI	1,500	48.1800	$72,270.00	$1,020.00	1.41
CENTEX CORPORATION	CTX	750	61.3100	$45,982.50	$120.00	0.26
COMMERCIAL METALS CO	CMC	1,200	28.9000	$34,680.00	$288.00	0.83
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	1,000	16.5700	$16,570.00	$1,500.00	9.05
DIRECT GENERAL CORP	DRCT	1,500	18.7500	$28,125.00	$240.00	0.85
DOCUCORP INC	DOCC	500	9.7900	$4,895.00	N/A	N/A
E M C CORP MASS	EMC	2,000	13.1000	$26,200.00	N/A	N/A
E TRADE FINANCIAL	ET	1,000	13.7500	$13,750.00	N/A	N/A
EXELON CORPORATION	EXC	500	44.2500	$22,125.00	$800.00	3.61
FOUNDRY NETWORKS INC	FDRY	1,000	10.2800	$10,280.00	N/A	N/A
FROZEN FOOD EXPRESS INDS	FFEX	1,000	12.7[redacted]	[redacted]770.00	N/A	N/A
GAYLORD ENTERTAINMENT CL A	GET	300	39.2[redacted]	[redacted]775.00	N/A	N/A

3079

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

January 1 - January 31, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
HALLIBURTON COMPANY	HAL	250	41.1300	$10,282.50	$125.00	1.21
HELEN OF TROY LIMITED	HELE	1,000	31.9600	$31,960.00	N/A	N/A
INTRUSION INC (NEW)	INTZ	5,000	3.2000	$16,000.00	N/A	N/A
ISHARES DOW JONES U S TRANSPORTATION INDEX FD	IYT	200	64.7100	$12,942.00	$57.16	0.44
ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	FXI	200	54.1800	$10,836.00	N/A	N/A
PFSWEB INC	PFSW	3,000	3.2600	$9,780.00	N/A	N/A
ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	RMCF	1,000	16.5600	$16,560.00	$320.00	1.93
TEREX CORPORATION NEW	TEX	500	43.0500	$21,525.00	N/A	N/A
TEX PAC LAND TR SUB SH CTF PROPRIETARY UNIT	TPL	400	124.5000	$49,800.00	$200.00	0.40
UNITED STATES STEEL CORP	X	1,000	51.8000	$51,800.00	$320.00	0.61
Total Stocks				**$572,338.00**	**$5,550.18**	**0.97**
Total Stocks and Options				**$572,338.00**	**$5,550.18**	**0.97**

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount
/03	Cash	DIVIDEND		BURLINGTON NORTHN SANTA FE CORP 010305 500		$85.00
/03	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$16,167.16
04	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$85.00
11	Cash	STOCK DISTRIB	600.00000	COMMERCIAL [redacted]		$0.00
18	Cash	CHECK ISSUED		CHK ISS #BR[redacted] MGMTFE		-$2,625.78

3080

KENNY E MARCHANT AND
DONNA MAE MARCHANT JTTEN

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

January 1 - January 31, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount
01/19	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$2,625.78
01/21	Cash	STOP PAYMENT		STOP CK# 086712627 CK DATE 01/18/05		$2,625.78
01/21	Cash	CHECK ISSUED		CHK ISS #3R86712669 MGMTFE		-$1,341.62
01/25	Cash	DEPOSIT		FUNDS RECD		$160,000.00
01/25	Cash	PURCHASE	250.00000	BURLINGTON NORTHN SANTA FE CORP	47.3400	-$11,855.95
01/25	Cash	PURCHASE	250.00000	CENTEX CORPORATION	59.4300	-$14,878.45
01/25	Cash	PURCHASE	500.00000	UNITED STATES STEEL CORP	53.8400	-$28,955.95
01/25	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$1,284.16
01/26	Cash	TRANSFER TO		BANK DEPOSIT SWEEP OPTION		-$160,000.00
01/27	Cash	PURCHASE	1,500.00000	DIRECT GENERAL CORP	19.7331	-$29,595.60
01/28	Cash	TRANSFER FROM		BANK DEPOSIT SWEEP OPTION		$53,690.35
01/31	Cash	DIVIDEND		COMMERCIAL METALS CO 013105 1,200		$72.00
01/31	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION		$195.81
01/31	Cash	REINVEST INT		BANK DEPOSIT SWEEP OPTION		-$195.81

[redacted]

3081

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Ewell Merchant
PC2 Partner Account

Statement Period
December 31, 2004 to January 31, 2005

Account Summary

	12/31/04 Value	01/31/05 Value
Cash & Money Market Funds	75,837.36	179,052.86
Equities	572,101.35	449,254.40
Total Account Value	647,938.71	628,307.26
Net Account Value	647,938.71	628,307.26

Change in Account Value

	Cash/MMkt Margin/Short	Investments	Total Account
Beginning Balance	75,837.36	572,101.35	647,938.71
Funds Deposited			
Income Activity	206.25		206.25
Securities Sold/Matured	105,006.16	(105,006.16)	
Securities Received			
Funds Withdrawn	(1,996.91)		(1,996.91)
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		(17,840.79)	(17,840.79)
Ending Balance	179,052.86	449,254.40	628,307.26

Account Holdings

- Cash & Money Market Funds 28.50%
- Equities 71.50%

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on January 31, 2005

Money Market Fund	1.39%
U.S. Government Fund	1.25%
Municipal Money Market Fund	0.89%

Annual Percentage Yield for Bank Insured Funds on January 31, 2005

PC² Bank Insured Funds	1.50%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

3082

SIPC

CUSTOMER STATEMENT

Account Num[redacted]
Kenny Ewell Merchant
PC2 Partner Account

Statement Period
December 31, 2004 to January 31, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				179,052.86	N/A	N/A
Total Cash & Money Market Funds					179,052.86		
Equities							
ISHARES DOW JONES US TRANSPORTATION INDEX FUND	Cash	IYT	255.000	64.710	16,501.05	14,636.18	1,864.87
ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	640.000	81.400	52,096.00	52,963.50	(867.50)
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	380.000	157.200	59,736.00	52,781.70	6,954.30
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	470.000	110.160	51,775.20	44,174.10	7,601.10
ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	415.000	82.250	34,133.75	30,843.50	3,290.25
ISHARES NASDAQ BIOTECHNOLOGY	Cash	IBB	205.000	71.240	14,604.20	14,702.00	(97.80)
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,350.000	65.210	88,033.50	79,140.50	8,893.00
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,300.000	47.480	61,724.00	61,506.50	217.50
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	110.000	185.450	20,399.50	18,107.30	2,292.20
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	305.000	64.400	19,642.00	17,763.65	1,878.35
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	290.000	54.880	15,915.20	14,867.15	1,048.05
ISHARES DJ US BASIC MATERIAL SECTOR	Cash	IYM	300.000	48.980	14,694.00	14,657.81	36.19
Total Equities					449,254.40	416,143.89	33,110.51

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
01/06	Taxable Dividend	ISHARES TRUST LEHMAN 1-3 YR SHY on 640.000000 PD:2005-01-06	Cash	SHY	96.47
01/28	Credit Interest	28 Days AvgBal 114796.42 AvgRate 1.207	Cash		107.78
Total Income Activity					204.25

[redacted]

SIPC

CUSTOMER STATEMENT

Account Number [redacted]
Kenny Ewell Marshall
PC2 Partner Account

Statement Period
December 31, 2004 to January 31, 2005

Account Activity

Securities Sold/Matured

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
01/04	Sell	ISHARES DJ US TECH SECT INDEX	Cash	IYW	(330.000)	46.980	15,492.93
01/04	Sell	ISHARES GOLDMAN SACHS SEMICONDUCTOR INDEX FD	Cash	IGW	(40.000)	50.980	2,039.16
01/04	Sell	ISHARES GOLDMAN SACHS SEMICONDUCTOR INDEX FD	Cash	IGW	(115.000)	50.990	5,863.71
01/04	Sell	ISHARES GOLDMAN SACHS SEMICONDUCTOR INDEX FD	Cash	IGW	(120.000)	50.980	6,113.95
01/05	Sell	ISHARES DJ US BASIC MATERIAL SECTOR	Cash	IYM	(45.000)	47.910	2,155.89
01/05	Sell	ISHARES DJ US BASIC MATERIAL SECTOR	Cash	IYM	(20.000)	47.910	954.57
01/05	Sell	ISHARES DJ US BASIC MATERIAL SECTOR	Cash	IYM	(110.000)	47.910	5,269.97
01/05	Sell	ISHARES DJ US BASIC MATERIAL SECTOR	Cash	IYM	(125.000)	47.910	5,988.80
01/20	Sell	ISHARES DOW JONES US TRANSPORTATION INDEX FUND	Cash	IYT	(290.000)	63.310	18,456.56
01/21	Sell	ISHARES DJ US TECH SECT INDEX	Cash	IYW	(325.000)	44.980	14,614.52
01/21	Sell	ISHARES NASDAQ BIOTECHNOLOGY	Cash	IBB	(206.000)	71.000	14,651.02
01/24	Sell	ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	(290.000)	53.480	15,505.19
Total Securities Sold/Matured							105,006.16

Funds Withdrawn

Date	Transaction	Description	Account Type	Transaction Number	Amount
01/19	Journal	ADV FEE 12/31/2004-3/31/2005	Cash		(1,996.91)
Total Funds Withdrawn					(1,996.91)

End of Account Activity

[redacted]

3084

Account Numb[redacted]
Kenny Ewell Merchant
PC2 Partner Account

Statement Period
December 31, 2004 to January 31, 2005

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	107.78		107.78	
Dividends-Ordinary	98.47		98.47	
Total Income	206.25		206.25	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds	105,006.16	105,006.16

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	650.000	40.470	26,305.50
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	650.000	42.850	27,852.50
12/01/04	Sell	ISHARES DJ US BASIC MATERIAL	Cash	IYM	300.000	48.000	13,800.00
12/01/04	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	150.000	58.380	8,457.00
12/01/04	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	155.000	59.700	9,253.50
12/01/04	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	55.000	162.520	8,930.60
12/01/04	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	55.000	173.140	9,522.70
12/01/04	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	55.510	37,469.25
12/01/04	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	58.770	39,669.75
12/01/04	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	92.540	21,861.90
12/01/04	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	99.050	23,276.75
12/01/04	Sell	ISHARES DOW JONES US TRANSPORTATION	Cash	IYT	255.000	61.600	15,692.50
12/01/04	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	210.000	73.890	15,474.90

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3085

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Ewell Merchant
PC2 Partner Account

Statement Period
December 31, 2004 to January 31, 2005

Other Important Information

Open Orders (continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
12/01/04	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	205.000	69.800	14,268.00
12/01/04	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	190.000	133.880	25,395.40
12/01/04	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	190.000	141.530	26,890.70
12/02/04	Sell	ISHARES NASDAQ BIOTECHNOLOGY	Cash	IBB	205.000	69.000	14,145.00
12/15/04	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	290.000	61.500	14,835.00
Total Open Orders							353,373.95

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At June 24, 2004, the Company had net capital of $110,948,000, or approximately 21% of aggregate debit balances, which is $100,410,000 in excess of its minimum net capital requirement of $10,538,000 at that date. The audited statement of financial condition, available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-326-2174, is provided pursuant to NASD Conduct Rule 2280 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site

End of Statement

3086

SIPC CUSTOMER STATEMENT

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CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Ewell Ma[redacted]
PC2 Partner Account

Statement Period
January 31, 2005 to February 28, 2005

Account Summary

	01/31/05 Value	02/28/05 Value
Cash & Money Market Funds	179,052.86	193,488.47
Equities	449,254.40	445,741.75
Total Account Value	628,307.26	639,230.22
Net Account Value	628,307.26	639,230.22

Change in Account Value

	Cash/Money Margin/Short	Investments	Total Account
Beginning Balance	179,052.86	449,254.40	628,307.26
Funds Deposited			
Income Activity	294.58		294.58
Securities Sold/Matured	14,141.03	(14,141.03)	
Securities Received			
Funds Withdrawn			
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		10,628.38	10,628.38
Ending Balance	193,488.47	445,741.75	639,230.22

Account Holdings

- Cash & Money Market Funds 30.27%
- Equities 69.73%

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on February 28, 2005

Money Market Fund	1.52%
U.S. Government Fund	1.48%
Municipal Money Market Fund	0.94%

Annual Percentage Yield for Bank Insured Funds on February 28, 2005

PC² Bank Insured Funds	1.60%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

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3088

SIPC CUSTOMER STATEMENT

Account Number [redacted]
Kenny Ewell [redacted]
PC2 Partner Account

Statement Period
January 31, 2005 to February 28, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				193,488.47	N/A	N/A
Total Cash & Money Market Funds					193,488.47		
Equities							
ISHARES DOW JONES US TRANSPORTATION INDEX FUND	Cash	IYT	255.000	66.870	17,051.85	14,636.18	2,415.67
ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	640.000	81.020	51,852.80	52,963.50	(1,110.70)
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	380.000	163.160	61,997.00	52,781.70	9,215.30
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	470.000	114.000	53,580.00	44,174.10	9,405.90
ISHARES RUSSELL MIDCP INDEX GRW	Cash	IWP	415.000	84.360	35,005.25	30,843.50	4,161.75
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,350.000	67.440	91,044.00	79,140.50	11,903.50
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,300.000	48.050	62,465.00	61,506.50	958.50
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	110.000	189.300	20,823.00	18,107.30	2,715.70
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	305.000	65.290	19,913.45	17,763.85	2,149.60
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	290.000	55.560	16,112.40	14,867.15	1,245.25
ISHARES DJ US BASIC MATERIAL SECTOR	Cash	IYM	300.000	52.990	15,897.00	14,657.81	1,239.19
Total Equities					445,741.75	401,441.89	44,299.86

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
02/07	Taxable Dividend	ISHARES TRUST LEHMAN 1-3 YR SHY on 640.000000 PD:2005-02-07	Cash	SHY	109.23
02/25	Credit Interest	28 Days AvgBal 179126.88 AvgRate 1.330	Cash		185.35
Total Income Activity					294.58

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SIPC

CUSTOMER STATEMENT

Account Numbe[redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
January 31, 2005 to February 28, 2005

Account Activity

Securities Sold/Matured

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
02/23	Sell	ISHARES NASDAQ BIOTECHNOLOGY	Cash	IBB	(205.000)	69.000	14,141.03
Total Securities Sold/Matured							14,141.03

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	185.35		293.13	
Dividends-Ordinary	109.23		207.70	
Total Income	294.58		500.83	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds	14,141.03	119,147.19

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	650.000	40.470	26,305.50
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	650.000	42.850	27,852.50
12/01/04	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	55.000	163.520	8,993.60
12/01/04	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	55.000	173.140	9,522.70
12/01/04	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	155.000	59.700	9,253.50

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3090

Account Numb[redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
January 31, 2005 to February 28, 2005

Other Important Information

Open Orders (continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
12/01/04	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	150.000	56.380	8,457.00
12/01/04	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	58.770	39,669.75
12/01/04	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	55.510	37,469.25
12/01/04	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	99.050	23,276.75
12/01/04	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	93.540	21,981.90
12/01/04	Sell	ISHARES DOW JONES US TRANSPORTATION	Cash	IYT	255.000	61.500	15,682.50
12/01/04	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	210.000	73.690	15,474.90
12/01/04	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	205.000	69.800	14,258.00
12/01/04	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	190.000	133.660	25,395.40
12/01/04	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	190.000	141.530	26,890.70
12/15/04	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	280.000	51.600	14,936.00
02/07/05	Sell	ISHARES DJ US BASIC MATERIAL	Cash	IYM	300.000	47.500	14,250.00
Total Open Orders							339,678.85

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End of Statement

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3091

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
February 28, 2005 to March 31, 2005

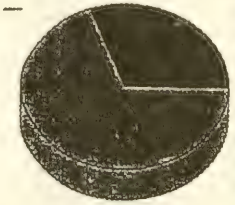
Account Summary

	02/28/05 Value	03/31/05 Value
Cash & Money Market Funds	193,468.47	195,308.82
Equities	445,741.75	438,994.00
Total Account Value	639,230.22	632,302.82
Net Account Value	639,230.22	632,302.82

Change in Account Value

	Cash/Money Margin/Short	Investments	Total Account
Beginning Balance	193,468.47	445,741.75	639,230.22
Funds Deposited			
Income Activity	1,820.35		1,820.35
Securities Sold/Matured			
Securities Received			
Funds Withdrawn			
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		(8,747.75)	(8,747.75)
Ending Balance	195,308.82	434,994.00	632,302.82

Account Holdings



Cash & Money Market Funds 30.89%
Equities 69.11%

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Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on March 31, 2005

Money Market Fund	1.77%
U.S. Government Fund	1.66%
Municipal Money Market Fund	1.23%

Annual Percentage Yield for Bank Insured Funds on March 31, 2005

PC² Bank Insured Funds	1.93%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

Account Numb[redacted]
Kenny Ewell M[redacted]
PC2 Partner Account

Statement Period
February 28, 2005 to March 31, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				195,308.82	N/A	N/A
Total Cash & Money Market Funds					195,308.82		
Equities							
ISHARES DOW JONES US TRANSPORTATION INDEX FUND	Cash	IYT	255.000	66.490	16,954.95	14,636.18	2,318.77
ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	640.000	80.870	51,756.80	52,963.50	(1,206.70)
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	380.000	164.870	62,670.60	62,791.70	7,546.90
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	470.000	112.870	53,048.90	44,174.10	8,874.80
ISHARES RUSSELL MIDCP INDEX GRW	Cash	IWP	415.000	82.910	34,407.65	30,843.50	3,564.15
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,350.000	65.810	88,843.50	79,140.50	9,703.00
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,300.000	46.870	60,931.00	61,506.50	(575.50)
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	110.000	184.250	20,267.50	18,107.30	2,160.20
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	305.000	62.580	19,085.90	17,763.65	1,322.25
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	290.000	55.180	16,002.20	14,867.15	1,135.05
ISHARES DJ US BASIC MATERIAL SECTOR	Cash	IYM	300.000	51.080	15,324.00	14,657.81	666.19
Total Equities					438,294.00	401,441.89	35,852.11

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
03/07	Taxable Dividend	ISHARES TRUST LEHMAN 1-3 YR SHY on 640.000000 PD:2005-03-07	Cash	SHY	104.35
03/30	Credit Interest	33 Days AvgBal 192707.33 AvgRate 1.451	Cash		258.01
03/31	Taxable Dividend	ISHARES DJ US BASIC MATERIAL SECTOR IYM on 300.000000 PD:2005-03-31	Cash	IYM	33.74
03/31	Taxable Dividend	ISHARES DJ US INDUSTRIAL SECTOR IYJ on 290.000000 PD:2005-03-31	Cash	IYJ	41.38

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3093

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Ewell Merchant
PC2 Partner Account

Statement Period
February 28, 2005 to March 31, 2005

Account Activity

Income Activity (Continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
03/31	Taxable Dividend	ISHARES RUSSELL 2000 GROWTH FD IWO on 305.000000 PD:2005-03-31	Cash	IWO	29.16
03/31	Taxable Dividend	ISHARES RUSSELL 2000 VAL IND IWN on 110.000000 PD:2005-03-31	Cash	IWN	107.95
03/31	Taxable Dividend	ISHARES RUSSELL 1000 GROWTH INDEX FUND IWF on 1300.000000 PD:2005-03-31	Cash	IWF	179.64
03/31	Taxable Dividend	ISHARES RUSSELL 1000 VALUE INDEX FUND IWD on 1350.000000 PD:2005-03-31	Cash	IWD	707.45
03/31	Taxable Dividend	ISHARES RUSSELL MIDCP INDEX GRW IWP on 415.000000 PD:2005-03-31	Cash	IWP	42.13
03/31	Taxable Dividend	ISHARES RUSSELL MIDCAP VALUE IWS on 470.000000 PD:2005-03-31	Cash	IWS	282.83
03/31	Taxable Dividend	ISHARES DOW JONES US TRANSPORTATION INDEX FUND IYT on 255.000000 PD:2005-03-31	Cash	IYT	33.71
Total Income Activity					1,820.35

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	258.01		551.14	
Dividends-Ordinary	1,562.34		1,770.04	
Total Income	1,820.35		2,321.18	

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Account Numb[redacted]
Kenny Ewell Merchant
PC2 Partner Account

Statement Period
February 28, 2005 to March 31, 2005

Other Important Information

Other Tax Information

	Current Period	Year to Date
Sales Proceeds		119,147.19

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	650.000	40.470	26,305.50
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	650.000	42.850	27,852.50
12/01/04	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	55.000	163.520	8,993.60
12/01/04	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	55.000	173.140	9,522.70
12/01/04	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	150.000	56.380	8,457.00
12/01/04	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	165.000	59.700	9,253.50
12/15/04	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	290.000	51.500	14,935.00
03/02/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	103.550	24,334.25
03/02/05	Sell	ISHARES DOW JONES US TRANSPORTATION	Cash	IYT	255.000	63.000	16,065.00
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	57.690	38,940.75
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	61.080	41,229.00
03/02/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	190.000	138.780	26,368.20
03/02/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	190.000	146.940	27,918.60
03/02/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	210.000	78.720	16,531.20
03/02/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	205.000	72.470	14,858.35
03/02/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	97.800	22,983.00
03/02/05	Sell	ISHARES DJ US BASIC MATERIAL	Cash	IYM	300.000	49.000	14,700.00
Total Open Orders							348,828.25

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3095

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Ewell [redacted]
PCZ Partner Account

Statement Period
February 28, 2005 to March 31, 2005

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At December 31, 2004, the Company had net capital of $114,729,000, or approximately 22.8% of aggregate debit balances, which was $104,675,000 in excess of its minimum net capital requirement of $10,053,000 at that date. The unaudited statement of financial condition as of December 31, 2004 and the audited statement of financial condition as of June 25, 2004 are available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-326-2174, and are provided pursuant to NASD Conduct Rule 2260 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site.

End of Statement

SIPC CUSTOMER STATEMENT

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
March 31, 2005 to April 29, 2005

Account Summary

	03/31/05 Value	04 /29/05 Value
Cash & Money Market Funds	195,308.82	243,219.98
Equities	436,994.00	377,015.00
Total Account Value	632,302.82	620,234.98
Net Account Value	632,302.82	620,234.98

Change in Account Value

	Cash/Mkt Margin/Short	Investments	Total Account
Beginning Balance	195,308.82	436,994.00	632,302.82
Funds Deposited			
Income Activity	402.27		402.27
Securities Sold/Matured	49,479.43	(49,479.43)	
Securities Received			
Funds Withdrawn	(1,870.54)		(1,870.54)
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		(10,499.57)	(10,499.57)
Ending Balance	243,219.98	377,015.00	620,234.98

Account Holdings

- Cash & Money Market Funds 39.21%
- Equities 60.79%

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on April 29, 2005

Money Market Fund	1.92%
U.S. Government Fund	1.83%
Municipal Money Market Fund	1.90%

Annual Percentage Yield for Bank Insured Funds on April 29, 2005

PC² Bank Insured Funds	2.02%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

3098

Account Numb[redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
March 31, 2005 to April 29, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				233,714.38	N/A	N/A
Cash	Cash				9,505.60	N/A	N/A
Total Cash & Money Market Funds					243,219.98		
Equities							
ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	640.000	81.100	51,904.00	52,963.50	(1,059.50)
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	380.000	156.300	59,394.00	52,781.70	6,612.30
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	470.000	110.100	51,747.00	44,174.10	7,572.90
ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	415.000	79.550	33,013.25	30,843.50	2,169.75
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,350.000	64.670	87,304.50	79,140.50	8,164.00
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,300.000	46.010	59,813.00	61,508.50	(1,695.50)
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	55.000	174.650	9,605.75	9,053.65	552.10
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	150.000	58.800	8,820.00	8,736.22	83.78
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	290.000	53.160	15,413.50	14,867.15	546.35
Total Equities					377,015.00	354,066.82	22,948.18

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
04/07	Taxable Dividend	ISHARES TRUST LEHMAN 1-3 YR SHY on 640.000000 PD:2005-04-07	Cash	SHY	121.50
04/28	Credit Interest	29 Days AvgBal 208761.20 AvgRate 1.669	Cash		280.87
Total Income Activity					402.37

Securities Sold/Matured

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
04/13	Sell	ISHARES DJ US BASIC MATERIAL SECTOR	Cash	IYM	(300.000)	48.970	14,686.89

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3099

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Ewell Marshall
PC2 Partner Account

Statement Period
March 31, 2005 to April 29, 2005

Account Activity

Securities Sold/Matured (Continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
04/14	Sell	ISHARES DOW JONES US TRANSPORTATION INDEX FUND	Cash	IYT	(255.000)	62.920	16,040.43
04/15	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	(155.000)	59.680	9,248.51
04/28	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	(55.000)	172.900	9,505.80
Total Securities Sold/Matured							49,479.43

Funds Withdrawn

Date	Transaction	Description	Account Type	Transaction Number	Amount
04/19	Journal	ADV FEE 493202479 2005-03-31-2005-06-30	Cash		(1,970.54)
Total Funds Withdrawn					(1,970.54)

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	280.67		831.81	
Dividends-Ordinary	121.60		1,891.64	
Total Income	402.27		2,723.45	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds	49,479.43	168,626.82

[redacted]

Other Important Information

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	650.000	42.850	27,852.50
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	650.000	40.470	26,305.50
12/01/04	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	150.000	56.380	8,457.00
12/01/04	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	55.000	163.520	8,993.60
12/15/04	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	290.000	51.500	14,935.00
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	57.690	38,940.75
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	61.080	41,229.00
03/02/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	97.800	22,983.00
03/02/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	103.560	24,334.25
03/02/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	190.000	138.780	26,368.20
03/02/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	190.000	146.940	27,918.60
03/02/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	210.000	76.730	16,113.30
03/02/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	205.000	72.470	14,858.35
Total Open Orders							299,287.65

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At December 31, 2004, the Company had net capital of $114,728,000, or approximately 22.8% of aggregate debit balances, which was $104,675,000 in excess of its minimum net capital requirement of $10,053,000 at that date. The unaudited statement of financial condition as of December 31, 2004 and the audited statement of financial condition as of June 25, 2004 are available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-326-2174, and are provided pursuant to NASD Conduct Rule 2280 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site.

End of Statement

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Eyrell Marchent
PC2 Partner Account

Statement Period
April 29, 2005 to May 31, 2005

Page
1 of 4

Account Summary

	04/29/05 Value	05/31/05 Value
Cash & Money Market Funds	243,219.98	243,701.22
Equities	377,015.00	387,479.05
Total Account Value	620,234.98	631,180.27
Net Account Value	620,234.98	631,180.27

Change in Account Value

	Cash/MMKT Margin/Short	Investments	Total Account
Beginning Balance	243,219.98	377,015.00	620,234.98
Funds Deposited			
Income Activity	481.24		481.24
Securities Sold/Matured			
Securities Received			
Funds Withdrawn			
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		10,464.05	10,464.05
Ending Balance	243,701.22	387,479.05	631,180.27

Account Holdings

- Cash & Money Market Funds 38.61%
- Equities 61.39%

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on May 31, 2005

Money Market Fund	2.07%
U.S. Government Fund	1.99%
Municipal Money Market Fund	1.91%

Annual Percentage Yield for Bank Insured Funds on May 31, 2005

PC² Bank Insured Funds	2.00%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

SIPC

CUSTOMER STATEMENT

Account Numbe [redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
April 29, 2005 to May 31, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				243,701.22	N/A	N/A
Total Cash & Money Market Funds					243,701.22		
Equities							
ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	640.000	81.220	51,997.20	52,963.50	(976.30)
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	380.000	154.950	58,881.00	52,781.70	6,099.30
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	470.000	114.750	53,932.50	44,174.10	9,758.40
ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	415.000	84.300	34,984.50	30,843.50	4,141.00
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,350.000	66.210	89,383.50	79,140.50	10,243.00
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,300.000	48.310	62,803.00	61,508.50	1,295.50
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	55.000	185.150	10,183.25	9,053.65	1,129.60
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	150.000	62.900	9,435.00	8,736.22	698.78
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	290.000	54.790	15,889.10	14,867.15	1,021.95
Total Equities					387,479.05	354,066.82	33,412.23

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
05/06	Taxable Dividend	ISHARES TRUST LEHMAN 1-3 YR SHY on 640.000000 PD:2005-05-06	Cash	SHY	121.60
05/27	Credit Interest	29 Days AvgBal 242001.11 AvgRate 1.845	Cash		359.64
Total Income Activity					481.24

End of Account Activity

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SIPC CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
April 29, 2005 to May 31, 2005

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	359.64		1,191.45	
Dividends-Ordinary	121.60		2,013.24	
Total Income	481.24		3,204.69	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds		168,826.62

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	650.000	42.650	27,852.50
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	650.000	40.470	26,305.50
12/01/04	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	150.000	56.380	8,457.00
12/01/04	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	55.000	163.520	8,993.60
12/15/04	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	290.000	51.500	14,935.00
03/02/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	210.000	78.730	16,113.30
03/02/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	205.000	72.470	14,856.35
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	61.080	41,229.00
03/02/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	97.800	22,983.00
03/02/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	103.550	24,334.25
03/02/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	190.000	138.780	26,368.20
03/02/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	190.000	148.940	27,918.80
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	57.690	38,940.75
Total Open Orders							296,287.05

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Account Numb[redacted]
Kenny Ewell Merchant
PC2 Partner Account

Statement Period
April 29, 2005 to May 31, 2005

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At December 31, 2004, the Company had net capital of $114,728,000, or approximately 22.8% of aggregate debit balances, which was $104,675,000 in excess of its minimum net capital requirement of $10,053,000 at that date. The unaudited statement of financial condition as of December 31, 2004 and the audited statement of financial condition as of June 25, 2004 are available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-329-2174, and are provided pursuant to NASD Conduct Rule 2280 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site.

End of Statement

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SIPC

CUSTOMER STATEMENT

Account Number [redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
May 31, 2005 to June 30, 2005

Page
1 of 8

Account Summary

	05/31/05 Value	06/30/05 Value
Cash & Money Market Funds	243,701.22	157,348.70
Equities	387,479.05	477,571.25
Total Account Value	631,180.27	634,919.95
Net Account Value	631,180.27	634,919.95

Change in Account Value

	Cash/MMkt Margin/Short	Investments	Total Account
Beginning Balance	243,701.22	387,479.05	631,180.27
Funds Deposited			
Income Activity	1,628.58		1,628.58
Securities Sold/Matured	27,135.92	(27,135.92)	
Securities Received			
Funds Withdrawn			
Margin Interest			
Securities Purchased	(115,115.00)	115,115.00	
Securities Delivered			
Change in Value of Securities		2,113.12	2,113.12
Ending Balance	157,348.70	477,571.25	634,919.95

Account Holdings

- Cash & Money Market Funds 24.78%
- Equities 75.22%

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on June 30, 2005

Money Market Fund	2.34%
U.S. Government Fund	2.10%
Municipal Money Market Fund	1.37%

Annual Percentage Yield for Bank Insured Funds on June 30, 2005

PC² Bank Insured Funds 2.35%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

Account Numb[redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
May 31, 2005 to June 30, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				157,348.70	N/A	N/A
Total Cash & Money Market Funds					157,348.70		
Equities							
ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	640.000	81.240	51,993.60	52,963.50	(969.90)
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	1,140.000	52.390	59,724.60	52,781.70	6,942.90
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	470.000	118.090	55,502.30	44,174.10	11,328.20
ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	415.000	85.540	35,544.75	30,843.50	4,701.25
ISHARES GOLDMAN SACHS SEMICONDUCTOR INDEX FD	Cash	IGW	170.000	52.720	8,962.40	9,137.60	(175.20)
ISHARES GOLDMAN SACHS NETWORKING INDEX FD	Cash	IGN	325.000	27.220	8,846.50	9,022.25	(175.75)
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,350.000	66.640	89,964.00	79,140.50	10,823.50
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,300.000	48.000	62,400.00	61,506.50	893.50
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	166.000	64.300	10,609.50	9,053.65	1,555.85
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	150.000	64.830	9,724.50	8,736.22	988.28
ISHARES DJ US UTILITY SECTOR	Margin	IDU	390.000	77.350	30,166.50	29,159.90	1,006.60
ISHARES DJ US REAL ESTATE	Cash	IYR	150.000	63.600	9,540.00	9,365.00	175.00
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	290.000	53.690	15,570.10	14,867.15	702.95
ISHARES DJ US HEALTHCARE SECTOR	Margin	IYH	475.000	61.100	29,022.50	29,301.50	(279.00)
Total Equities					477,571.25	440,053.07	37,518.18

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
06/07	Taxable Dividend	ISHARES TRUST LEHMAN 1-3 YR SHY on 640.000000 PD:2005-06-07	Cash	SHY	121.32
06/24	Taxable Dividend	ISHARES DJ US HEALTHCARE SECTOR IYH on 475.000000 PD:2005-06-24	Margin	IYH	56.37

[redacted]

3107

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Ewell Merchant
PC2 Partner Account

Statement Period
May 31, 2005 to June 30, 2005

Account Activity

Income Activity (Continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
06/24	Taxable Dividend	ISHARES DJ US INDUSTRIAL SECTOR IYJ on 290.000000 PD:2005-06-24	Cash	IYJ	18.74
06/24	Taxable Dividend	ISHARES DJ US REAL ESTATE IYR on 150.000000 PD:2005-06-24	Cash	IYR	98.42
06/24	Taxable Dividend	ISHARES DJ US UTILITY SECTOR IDU on 390.000000 PD:2005-06-24	Margin	IDU	210.99
06/24	Taxable Dividend	ISHARES RUSSELL 2000 GROWTH FD IWO on 150.000000 PD:2005-06-24	Cash	IWO	11.36
06/24	Taxable Dividend	ISHARES RUSSELL 2000 VAL IND IWN on 185.000000 PD:2005-06-24	Cash	IWN	35.41
06/24	Taxable Dividend	ISHARES RUSSELL 1000 GROWTH INDEX FUND IWF on 1300.000000 PD:2005-06-24	Cash	IWF	108.59
06/24	Taxable Dividend	ISHARES RUSSELL 1000 VALUE INDEX FUND IWD on 1350.000000 PD:2005-06-24	Cash	IWD	380.98
06/24	Taxable Dividend	ISHARES RUSSELL MDCP INDEX GRW IWP on 415.000000 PD:2005-06-24	Cash	IWP	23.88
06/24	Taxable Dividend	ISHARES RUSSELL MIDCAP VALUE IWS on 470.000000 PD:2005-06-24	Cash	IWS	200.47
06/24	Taxable Dividend	ISHARES DOW JONES US TRANSPORTATION INDEX FUND IYT on 445.000000 PD:2005-06-24	Margin	IYT	59.44
06/29	Credit Interest	33 Days AvgBal 157469.81 AvgRate 2.044	Cash		295.06
06/29	Credit Interest	4 Days AvgBal 43770.25 AvgRate 2.000	Margin		9.73
Total Income Activity					1,626.54

Securities Sold/Matured

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
06/27	Sell	ISHARES DOW JONES US TRANSPORTATION INDEX FUND	Cash	IYT	(445.000)	60.990	27,135.92
Total Securities Sold/Matured							27,135.92

[redacted]

Account Number [redacted]
Kenny Ewell Merchant
PC2 Partner Account

Statement Period
May 31, 2005 to June 30, 2005

Account Activity

Securities Received

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Amount
06/09	Stock Split	ISHARES DJ US REAL ESTATE IYR on 75.000000 PD:2005-06-08	Cash	IYR	75.000	
06/09	Stock Split	ISHARES RUSSELL 2000 VAL IND IWN on 55.000000 PD:2005-06-08	Cash	IWN	110.000	
06/09	Stock Split	ISHARES MSCI EAFE INDEX FUND EFA on 380.000000 PD:2005-06-08	Cash	EFA	760.000	
06/28	From Account	ISHARES DOW JONES US TRANSPORTATION INDEX FUND margin to cash kr	Cash	IYT	445.000	
Total Securities Received						

Securities Purchased

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
06/01	Buy	ISHARES DJ US HEALTHCARE SECTOR	Margin	IYH	475.000	61.680	(29,301.50)
06/01	Buy	ISHARES DJ US UTILITY SECTOR	Margin	IDU	390.000	74.760	(29,159.90)
06/01	Buy	ISHARES DOW JONES US TRANSPORTATION INDEX FUND	Margin	IYT	445.000	65.460	(29,128.75)
06/06	Buy	ISHARES DJ US REAL ESTATE	Cash	IYR	75.000	124.820	(9,365.00)
06/06	Buy	ISHARES GOLDMAN SACHS NETWORKING INDEX FD	Cash	IGN	325.000	27.750	(9,022.25)
06/06	Buy	ISHARES GOLDMAN SACHS SEMICONDUCTOR INDEX FD	Cash	IGW	170.000	53.730	(9,137.60)
Total Securities Purchased							(115,115.00)

Securities Delivered

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Amount
06/28	From Account	ISHARES DOW JONES US TRANSPORTATION INDEX FUND margin to cash kr	Margin	IYT	(445.000)	
Total Securities Delivered						

End of Account Activity

[redacted]

3109

SIPC

CUSTOMER STATEMENT

Account Num[redacted]
Kenny Ewell [redacted]
PC2 Partner Account

Statement Period
May 31, 2005 to June 30, 2005

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	304.78		1,496.24	
Dividends-Ordinary	1,321.77		3,335.01	
Total Income	1,626.55		4,831.25	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds	27,135.92	165,782.34

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	650.000	40.470	26,306.50
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	650.000	42.850	27,852.50
12/01/04	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	160.000	56.380	8,457.00
12/15/04	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	290.000	51.500	14,935.00
03/02/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	205.000	72.470	14,856.35
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	57.690	38,940.75
03/02/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	97.800	22,983.00
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	61.060	41,229.00
03/02/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	103.550	24,334.25
03/02/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	210.000	76.730	16,113.30
06/01/05	Sell	ISHARES DJ US HEALTHCARE	Cash	IYH	475.000	57.500	27,312.50
06/09/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	570.000	48.980	27,918.60
06/09/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	570.000	48.250	26,368.20

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Account Numb[redacted]
Kenny Ewell Marchant
PC3 Partner Account

Statement Period
May 31, 2005 to June 30, 2005

Other Important Information

Open Orders (continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
06/16/05	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	165.000	57.870	9,548.55
06/20/05	Sell	ISHARES DJ US REAL ESTATE	Cash	IYR	150.000	60.000	9,000.00
06/23/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGN	325.000	26.500	8,612.50
06/23/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGW	170.000	51.500	8,755.00
06/23/05	Sell	ISHARES DJ US UTILITY SECTOR	Cash	IDU	390.000	71.000	27,690.00
Total Open Orders							341,212.00

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At December 31, 2004, the Company had net capital of $114,729,000, or approximately 22.6% of aggregate debit balances, which was $104,676,000 in excess of its minimum net capital requirement of $10,053,000 at that date. The unaudited statement of financial condition as of December 31, 2004 and the audited statement of financial condition as of June 25, 2004 are available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-326-2174, and are provided pursuant to NASD Conduct Rule 2280 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site.

End of Statement

[redacted]

3111

SIPC

CUSTOMER STATEMENT

Account Num[redacted]
Kenny Elwell Marchant
PC2 Partner Account

Statement Period
June 30, 2005 to July 29, 2005

Account Summary

	06/30/05 Value	07/29/05 Value
Cash & Money Market Funds	157,348.70	8,225.59
Equities	477,571.25	645,407.95
Total Account Value	634,919.95	653,633.54
Net Account Value	634,919.95	653,633.54

Change in Account Value

	Cash/Money Margin/Short	Investments	Total Account
Beginning Balance	157,348.70	477,571.25	634,919.95
Funds Deposited			
Income Activity	307.53		307.53
Securities Sold/Matured			
Securities Received			
Funds Withdrawn	(2,000.44)		(2,000.44)
Margin Interest			
Securities Purchased	(147,430.20)	147,430.20	
Securities Delivered			
Change in Value of Securities		20,406.50	20,406.50
Ending Balance	8,225.59	645,407.95	653,633.54

Account Holdings

- Cash & Money Market Funds 1.26%
- *Equities 98.74%*

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on July 29, 2005

Money Market Fund	2.39%
U.S. Government Fund	2.29%
Municipal Money Market Fund	1.40%

Annual Percentage Yield for Bank Insured Funds on July 29, 2005

PC² Bank Insured Funds	2.49%

For questions concerning the PC2 Bank Insured Funds (*Southwest Securities' new FDIC cash management account*), contact your Financial Advisor today. (Not available for all accounts)

3112

Account Numb[redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
June 30, 2005 to July 29, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				8,225.59	N/A	N/A
Total Cash & Money Market Funds					8,225.59		
Equities							
ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	640.000	80.750	51,680.00	52,963.50	(1,283.50)
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	1,505.000	53.960	81,209.80	72,320.00	8,889.80
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	470.000	123.710	58,143.70	44,174.10	13,969.60
ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	635.000	90.700	57,594.50	50,490.80	7,103.70
ISHARES GOLDMAN SACHS SEMICONDUCTOR INDEX FD	Cash	IGW	340.000	58.840	20,005.60	18,982.40	1,023.20
ISHARES GOLDMAN SACHS NETWORKING INDEX FD	Cash	IGN	660.000	30.000	19,800.00	18,814.45	985.55
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,350.000	68.700	92,745.00	79,140.50	13,604.50
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,890.000	50.440	95,331.60	90,874.30	4,457.30
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	385.000	68.180	26,249.30	23,836.75	2,412.55
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	365.000	69.600	25,404.00	23,407.02	1,996.98
ISHARES DJ US UTILITY SECTOR	Cash	IDU	515.000	78.970	40,669.55	39,080.90	1,588.65
ISHARES DJ US REAL ESTATE	Cash	IYR	300.000	67.800	20,340.00	19,267.00	1,073.00
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	470.000	56.120	26,376.40	24,830.05	1,546.35
ISHARES DJ US HEALTHCARE SECTOR	Cash	IYH	475.000	62.860	29,858.50	29,301.50	557.00
Total Equities					645,407.95	587,483.27	57,924.68

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
07/08	Taxable Dividend	ISHARES TRUST LEHMAN 1-3 YR SHY on 640.000000 PD:2005-07-08	Cash	SHY	119.27
07/28	Credit Interest	29 Days AvgBal 104914.40 AvgRate 2.228	Cash		188.26
Total Income Activity					307.53

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3113

SIPC CUSTOMER STATEMENT

Account Number: [redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
June 30, 2005 to July 29, 2005

Account Activity

Securities Received

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Amount
07/19	From Account	ISHARES DJ US HEALTHCARE SECTOR margin to cash jgl	Cash	IYH	475.000	
07/19	From Account	ISHARES DJ US UTILITY SECTOR margin to cash jgl	Cash	IDU	390.000	
07/19	From Account	ISHARES RUSSELL 2000 VAL IND margin to cash jgl	Cash	IWN	220.000	
07/19	From Account	ISHARES RUSSELL 1000 GROWTH INDEX FUND margin to cash jgl	Cash	IWF	690.000	
07/19	From Account	ISHARES RUSSELL MDCP INDEX GRW margin to cash jgl	Cash	IWP	220.000	
Total Securities Received						

Funds Withdrawn

Date	Transaction	Description	Account Type	Transaction Number	Amount
07/07	Journal	ADV FEE 493202479 2005-06-30-2005-09-30	Cash		(2,000.44)
Total Funds Withdrawn					(2,000.44)

Securities Purchased

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
07/14	Buy	ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	180.000	55.330	(9,952.80)
07/14	Buy	ISHARES DJ US REAL ESTATE	Cash	IYR	150.000	85.890	(9,902.00)
07/14	Buy	ISHARES DJ US UTILITY SECTOR	Cash	IDU	125.000	79.340	(9,921.00)
07/14	Buy	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	216.000	68.220	(14,670.80)
07/14	Buy	ISHARES RUSSELL 2000 VAL IND	Margin	IWN	220.000	67.180	(14,783.10)
07/14	Buy	ISHARES RUSSELL 1000 GROWTH INDEX FUND	Margin	IWF	590.000	49.770	(29,367.80)
07/14	Buy	ISHARES GOLDMAN SACHS NETWORKING INDEX FD	Cash	IGN	335.000	29.220	(9,792.20)
07/14	Buy	ISHARES GOLDMAN SACHS SEMICONDUCTOR INDEX FD	Cash	IGW	170.000	57.890	(9,844.80)
07/14	Buy	ISHARES RUSSELL MDCP INDEX GRW	Margin	IWP	220.000	89.290	(19,647.30)
07/14	Buy	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	365.000	53.520	(19,538.30)
Total Securities Purchased							(147,430.20)

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Account Numb[redacted]
Kenny Ewell Matchant
PC2 Partner Account

Statement Period
June 30, 2005 to July 29, 2005

Account Activity

Securities Delivered

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Amount
07/19	From Account	ISHARES DJ US HEALTHCARE SECTOR margin to cash jgl	Margin	IYH	(475.000)	
07/19	From Account	ISHARES DJ US UTILITY SECTOR margin to cash jgl	Margin	IDU	(390.000)	
07/19	From Account	ISHARES RUSSELL 2000 VAL IND margin to cash jgl	Margin	IWN	(220.000)	
07/19	From Account	ISHARES RUSSELL 1000 GROWTH INDEX FUND margin to cash jgl	Margin	IWF	(590.000)	
07/19	From Account	ISHARES RUSSELL MDCP INDEX GRW margin to cash jgl	Margin	IWP	(220.000)	

Total Securities Delivered

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	188.26		1,684.50	
Dividends-Ordinary	119.27		3,454.28	
Total Income	307.53		5,138.78	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds		195,762.94

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3115

SIPC CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
June 30, 2005 to July 29, 2005

Other Important Information

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
12/15/04	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	290.000	51.500	14,935.00
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	61.080	41,229.00
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	57.690	38,940.75
06/01/05	Sell	ISHARES DJ US HEALTHCARE	Cash	IYH	475.000	57.500	27,312.50
07/06/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	101.720	23,904.20
07/06/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	107.700	25,309.50
07/11/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGW	170.000	52.500	8,925.00
07/11/05	Sell	ISHARES DJ US UTILITY SECTOR	Cash	IDU	390.000	73.500	28,665.00
07/11/05	Sell	ISHARES DJ US REAL ESTATE	Cash	IYR	150.000	61.500	9,225.00
07/14/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGW	170.000	50.500	8,585.00
07/14/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	320.000	79.790	25,532.80
07/14/05	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	195.000	60.460	11,789.70
07/14/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	750.000	46.260	34,695.00
07/14/05	Sell	ISHARES DJ US REAL ESTATE	Cash	IYR	150.000	58.500	8,925.00
07/14/05	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	180.000	57.930	10,427.40
07/14/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	756.000	48.980	36,979.90
07/14/05	Sell	ISHARES DJ US UTILITY SECTOR	Cash	IDU	125.000	71.500	8,937.50
07/14/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	316.000	75.360	23,738.40
07/14/05	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	180.000	49.500	8,910.00
07/14/05	Sell	ISHARES RUSSELL 2000 VAL IND	Margin	IWN	190.000	57.100	10,849.00
07/14/05	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	185.000	61.340	11,347.90
07/14/05	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	945.000	44.370	41,929.85
07/14/05	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	945.000	41.910	39,604.95

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Account Numb[redacted]
Kenny Ewell Merchant
PC2 Partner Account

Statement Period
June 30, 2005 to July 29, 2005

Other Important Information

Open Orders (continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
07/14/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGN	330.000	24.500	8,085.00
07/14/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGN	330.000	26.500	8,745.00
Total Open Orders							$17,528.13

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At December 31, 2004, the Company had net capital of $114,729,000, or approximately 22.8% of aggregate debit balances, which was $104,875,000 in excess of its minimum net capital requirement of $10,053,000 at that date. The unaudited statement of financial condition as of December 31, 2004 and the audited statement of financial condition as of June 25, 2004 are available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-328-2174, and are provided pursuant to NASD Conduct Rule 2260 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site.

End of Statement

[redacted]

3117

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
July 29, 2005 to August 31, 2005

Account Summary

	07/29/05 Value	08/31/05 Value
Cash & Money Market Funds	8,225.59	17,893.58
Equities	645,407.95	634,895.90
Total Account Value	653,633.54	652,789.48
Net Account Value	653,633.54	652,789.48

Change in Account Value

	Cash/Mkt Margin/Short	Investments	Total Account
Beginning Balance	8,225.59	645,407.95	653,633.54
Funds Deposited			
Income Activity	148.89		148.89
Securities Sold/Matured	9,521.10	(9,521.10)	
Securities Received			
Funds Withdrawn			
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		(990.95)	(990.95)
Ending Balance	17,893.58	634,895.90	652,789.48

Account Holdings

- Cash & Money Market Funds 2.74%
- Equities 97.26%

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on August 31, 2005

Money Market Fund	2.53%
U.S. Government Fund	2.48%
Municipal Money Market Fund	1.53%

Annual Percentage Yield for Bank Insured Funds on August 31, 2005

PC² Bank Insured Funds	2.68%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

3118

SIPC CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Ewell Merchant
PC2 Partner Account

Statement Period
July 29, 2005 to August 31, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				17,893.58	N/A	N/A
Total Cash & Money Market Funds					17,893.58		
Equities							
ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	640.000	81.100	51,904.00	52,963.50	(1,059.50)
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	1,505.000	56.030	84,325.15	72,320.00	12,005.15
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	470.000	122.980	57,800.60	44,174.10	13,626.50
ISHARES RUSSELL MIDCP INDEX GRW	Cash	IWP	635.000	90.420	57,416.70	50,490.80	6,925.90
ISHARES GOLDMAN SACHS SEMICONDUCTOR INDEX FD	Cash	IGW	340.000	58.950	20,043.00	18,982.40	1,060.60
ISHARES GOLDMAN SACHS NETWORKING INDEX FD	Cash	IGN	660.000	30.360	20,037.60	18,814.45	1,223.15
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,350.000	68.360	92,286.00	79,140.50	13,145.50
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,890.000	49.700	93,933.00	90,874.30	3,058.70
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	385.000	66.580	25,633.30	23,836.75	1,796.55
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	365.000	68.630	25,013.46	23,407.02	1,606.43
ISHARES DJ US UTILITY SECTOR	Cash	IDU	515.000	79.650	41,019.75	39,080.90	1,938.85
ISHARES DJ US REAL ESTATE	Cash	IYR	150.000	64.890	9,733.50	9,902.00	(168.50)
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	470.000	55.280	25,981.60	24,830.05	1,151.55
ISHARES DJ US HEALTHCARE SECTOR	Cash	IYH	475.000	62.670	29,768.25	29,301.50	466.75
Total Equities					634,895.90	578,118.27	56,777.63

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
08/05	Taxable Dividend	ISHARES TRUST LEHMAN 1-3 YR SHY on 640.000000 PD:2005-08-05	Cash	SHY	116.00
08/30	Credit Interest	33 Days AvgBal 14087.35 AvgRate 2.392	Cash		30.89
Total Income Activity					146.89

SIPC **CUSTOMER STATEMENT**

Account Numb[redacted]
Kenny Ewell Merchant
PC2 Partner Account

Statement Period
July 29, 2005 to August 31, 2005

Account Activity

Securities Sold/Matured

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
08/08	Sell	ISHARES DJ US REAL ESTATE	Cash	IYR	(150.000)	63.600	9,521.10
Total Securities Sold/Matured							9,521.10

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	30.69		1,716.39	
Dividends-Ordinary	118.00		3,570.28	
Total Income	148.69		5,286.67	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds	9,521.10	205,283.84

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	57.690	38,940.75
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	61.080	41,229.00
07/08/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	107.700	25,309.50
07/08/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	101.720	23,904.20
07/11/05	Sell	ISHARES DJ US UTILITY SECTOR	Cash	IDU	390.000	73.500	28,665.00

[redacted]

3120

Account Numbe[redacted]
Kenny Ewell Merchant
PC2 Partner Account

Statement Period
July 29, 2005 to August 31, 2005

Other Important Information

Open Orders (continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
07/14/05	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	195.000	60.460	11,789.70
07/14/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	750.000	46.260	34,695.00
07/14/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGN	330.000	26.500	8,745.00
07/14/05	Sell	ISHARES RUSSELL 2000 VAL IND	Margin	IWN	190.000	57.100	10,849.00
07/14/05	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	945.000	41.910	39,604.95
07/14/05	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	945.000	44.370	41,929.65
07/14/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	320.000	79.790	25,532.80
07/14/05	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	185.000	61.340	11,347.90
07/14/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	315.000	75.360	23,738.40
07/14/05	Sell	ISHARES DJ US UTILITY SECTOR	Cash	IDU	125.000	71.500	8,937.50
07/14/05	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	180.000	57.930	10,427.40
07/14/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	755.000	48.980	36,979.90
08/02/05	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	290.000	50.500	14,645.00
08/02/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGW	170.000	54.000	9,180.00
08/02/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGW	170.000	56.000	9,520.00
08/02/05	Sell	ISHARES DJ US REAL ESTATE	Cash	IYR	150.000	61.500	9,225.00
08/02/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGN	330.000	28.500	9,405.00
08/02/05	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	180.000	52.500	9,450.00
08/02/05	Sell	ISHARES DJ US HEALTHCARE	Cash	IYH	475.000	59.000	28,025.00
Total Open Orders							312,075.65

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3121

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Evell Mc[redacted]
PC2 Partner Account

Statement Period
July 29, 2005 to August 31, 2005

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At December 31, 2004, the Company had net capital of $114,723,000, or approximately 22.8% of aggregate debit balances, which was $104,675,000 in excess of its minimum net capital requirement of $10,053,000 at that date. The unaudited statement of financial condition as of December 31, 2004 and the audited statement of financial condition as of June 28, 2004 are available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-328-2174, and are provided pursuant to NASD Conduct Rule 2260 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site.

End of Statement

3122

SIPC CUSTOMER STATEMENT

SIPC

CUSTOMER STATEMENT

Account Numbe[redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
August 31, 2005 to September 30, 2005

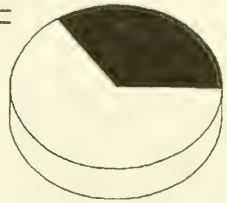
Account Summary

	08/31/05 Value	09/30/05 Value
Cash & Money Market Funds	17,893.58	19,795.85
Equities	634,895.90	641,227.95
Total Account Value	**652,789.48**	**661,023.80**
Net Account Value	**652,789.48**	**661,023.80**

Change in Account Value

	Cash/MMkt Margin/Short	Investments	Total Account
Beginning Balance	17,893.58	634,895.90	652,789.48
Funds Deposited			
Income Activity	1,902.27		1,902.27
Securities Sold/Matured			
Securities Received			
Funds Withdrawn			
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		6,332.05	6,332.05
Ending Balance	**19,795.85**	**641,227.95**	**661,023.80**

Account Holdings



- PC2 Bank Insured Funds 48.11%
- Cash & Money Market Funds 2.99%
- Equities 97.01%

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on September 30, 2005

Money Market Fund	2.78%
U.S. Government Fund	2.70%
Municipal Money Market Fund	1.72%

Annual Percentage Yield for Bank Insured Funds on September 30, 2005

PC² Bank Insured Funds	2.93%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today (Not available for all accounts)

3124

Account Number [redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
August 31, 2005 to September 30, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				19,785.85	N/A	N/A
Cash	Cash				9.90	N/A	N/A
Total Cash & Money Market Funds					19,795.85		
Equities							
ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	640.000	80.630	51,603.20	52,963.50	(1,360.30)
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	1,505.000	58.100	87,440.50	72,320.00	15,120.50
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	470.000	123.850	58,209.50	44,174.10	14,035.40
ISHARES RUSSELL MIDCP INDEX GRW	Cash	IWP	635.000	91.160	57,886.60	50,490.80	7,395.80
ISHARES GOLDMAN SACHS SEMICONDUCTOR INDEX FD	Cash	IGW	340.000	59.260	20,148.40	18,982.40	1,166.00
ISHARES GOLDMAN SACHS NETWORKING INDEX FD	Cash	IGN	660.000	31.290	20,651.40	18,814.45	1,836.95
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,350.000	68.840	92,934.00	79,140.50	13,793.50
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,890.000	49.830	94,178.70	90,874.30	3,304.40
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	385.000	65.930	25,383.05	23,838.75	1,546.30
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	365.000	68.800	25,112.00	23,407.02	1,704.98
ISHARES DJ US UTILITY SECTOR	Cash	IDU	515.000	82.140	42,302.10	39,080.90	3,221.20
ISHARES DJ US REAL ESTATE	Cash	IYR	150.000	64.270	9,640.50	9,902.00	(261.50)
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	470.000	55.750	26,202.50	24,830.05	1,372.45
ISHARES DJ US HEALTHCARE SECTOR	Cash	IYH	475.000	62.180	29,535.50	29,301.50	234.00
Total Equities					541,227.95	578,118.27	63,109.68

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
09/08	Taxable Dividend	ISHARES TRUST LEHMAN 1-3 YR SHY on 640.000000 PD:2005-09-08	Cash	SHY	146.10

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3125

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
August 31, 2005 to September 30, 2005

Account Activity

Income Activity (Continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
09/29	Taxable Dividend	ISHARES DJ US HEALTHCARE SECTOR IYH on 475 000000 PD:2005-09-29	Cash	IYH	50.10
09/29	Taxable Dividend	ISHARES DJ US INDUSTRIAL SECTOR IYJ on 470 000000 PD:2005-09-29	Cash	IYJ	61.01
09/29	Taxable Dividend	ISHARES DJ US REAL ESTATE IYR on 150 000000 PD:2005-09-29	Cash	IYR	99.35
09/29	Taxable Dividend	ISHARES DJ US UTILITY SECTOR IDU on 515 000000 PD:2005-09-29	Cash	IDU	274.60
09/29	Taxable Dividend	ISHARES RUSSELL 2000 GROWTH FD IWO on 365 000000 PD:2005-09-29	Cash	IWO	27.27
09/29	Taxable Dividend	ISHARES RUSSELL 2000 VAL IND IWN on 385 000000 PD:2005-09-29	Cash	IWN	106.13
09/29	Taxable Dividend	ISHARES RUSSELL 1000 GROWTH INDEX FUND IWF on 1890 000000 PD:2005-09-29	Cash	IWF	187.35
09/29	Taxable Dividend	ISHARES RUSSELL 1000 VALUE INDEX FUND IWD on 1350 000000 PD:2005-09-29	Cash	IWD	575.32
09/29	Taxable Dividend	ISHARES RUSSELL MDCP INDEX GRW IWP on 635 000000 PD:2005-09-29	Cash	IWP	73.89
09/29	Taxable Dividend	ISHARES RUSSELL MIDCAP VALUE IWS on 470 000000 PD:2005-09-29	Cash	IWS	253.09
09/29	Credit Interest	30 Days AvgBal 18052.78 AvgRate 2.537	Cash		38.16
09/30	Taxable Dividend	ISHARES GOLDMAN SACHS SEMICONDUCTOR INDEX FD IGW on 340 000000 PD:2005-09-30	Cash	IGW	9.90
Total Income Activity					1,902.37

End of Account Activity

[redacted]

Account Numb[redacted]
Kenny Ewell Merchant
PC2 Partner Account

Statement Period
August 31, 2005 to September 30, 2005

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	38.16		1,753.55	
Dividends-Ordinary	1,864.11		5,434.39	
Total Income	1,902.27		7,187.94	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds		205,283.64

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	57.690	38,940.75
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	61.080	41,229.00
07/11/05	Sell	ISHARES DJ US UTILITY SECTOR	Cash	IDU	390.000	73.500	28,665.00
07/14/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	315.000	75.360	23,738.40
07/14/05	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	195.000	60.460	11,789.70
07/14/05	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	180.000	57.930	10,427.40
07/14/05	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	185.000	61.340	11,347.90
07/14/05	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	945.000	41.910	39,604.95
07/14/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	320.000	79.790	25,532.80
07/14/05	Sell	ISHARES DJ US UTILITY SECTOR	Cash	IDU	125.000	71.500	8,937.50
07/14/05	Sell	ISHARES RUSSELL 2000 VAL IND	Margin	IWN	190.000	57.100	10,849.00
07/14/05	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	945.000	44.370	41,929.65
07/14/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGN	330.000	26.500	8,745.00

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3127

Account Numb[redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
August 31, 2005 to September 30, 2005

Other Important Information

Open Orders (continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
08/02/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGW	170.000	54.000	9,180.00
08/02/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGN	330.000	28.500	9,405.00
08/02/05	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	180.000	52.500	9,450.00
08/02/05	Sell	ISHARES DJ US HEALTHCARE	Cash	IYH	475.000	59.000	28,025.00
08/02/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGW	170.000	56.000	9,520.00
08/02/05	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	290.000	50.500	14,645.00
09/07/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	111.770	26,265.95
09/07/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	755.000	51.840	39,139.20
09/07/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	105.560	24,806.60
09/07/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	750.000	48.960	36,720.00
09/23/05	Sell	ISHARES DJ US REAL ESTATE	Cash	IYR	150.000	60.830	9,124.50
Total Open Orders							518,018.30

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At December 31, 2004, the Company had net capital of $114,728,000, or approximately 22.8% of aggregate debit balances, which was $104,675,000 in excess of its minimum net capital requirement of $10,053,000 at that date. The unaudited statement of financial condition as of December 31, 2004 and the audited statement of financial condition as of June 25, 2004 are available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-326-2174, and are provided pursuant to NASD Conduct Rule 2280 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site.

End of Statement

Southwest Securities, Inc. Privacy Policy

At Southwest Securities, Inc. (Southwest), we are committed to protecting your privacy and the confidentiality of your personal and financial information. The measures we take to keep your personal information private and secure are outlined below

How We Protect Confidentiality

Southwest uses procedural, physical and electronic system safeguards to store and secure information about you in compliance with federal standards. Our systems protect your information from unauthorized access, alteration, and destruction. Access is permitted only to those individuals within our organization who need the information to perform their job responsibilities.

When we enter into agreements with other companies to provide services to us or to make products and services available to you, we include a confidentiality clause. Under such an agreement, these companies may receive information about you, but they may only use it for the intended purpose - to benefit you.

Persons Covered by the Privacy Policy

The Southwest Privacy Policy applies to anyone who is a current or former Southwest brokerage client or who registers with one of our services or promotional offers. We provide you with a copy of this policy when you open an account, and we send you annual notifications thereafter. If we change our policy regarding the sharing of information, we will notify you in advance and give you the opportunity to "opt out" of such disclosure.

How We Obtain Information About You

In the normal course of business, we collect, retain and use information about you to serve your financial needs, administer your account(s) and inform you of products and services that may be of interest. This data, known as non-public personal information, may be collected from several sources, including: applications and other forms you file with us (e.g., name, assets, income), records of transactions with us, our affiliates, non-affiliated third parties and others (e.g., credit report). Because we strive to provide you with the best possible service, the accuracy and completeness of your personal information is important to us. We ask that you review your information regularly to ensure that it is correct. Please contact your account representative or Southwest directly if you need to correct or update your personal information.

Sharing Information-With Whom and Why

Southwest does not sell your personal information to anyone. We restrict the types of information we share and the types of entities with whom we share it. The primary reason for sharing information about you is to increase your convenience in transacting business with us and to give you more financial service choices.

We do not disclose your personal information to non-affiliated third parties, unless one of the following exceptions applies: We disclose personal information to service providers that assist us in processing your transactions or servicing your account(s). An example would be the company that prints and mails your account statement. We disclose or report personal information in limited circumstances when we believe in good faith that disclosure is required or permitted under law. For example, we would provide information in cooperation with securities regulators or law enforcement authorities, to resolve consumer disputes, or to perform credit evaluations and authenticate checks.

Southwest Securities, Inc. has multiple affiliates, including SWS Financial Services, Inc., SWS Capital Corporation, Southwest Securities Bank and Southwest Insurance Agency. These companies, along with Southwest Securities, Inc., are wholly owned subsidiaries of SWS Group, Inc., a financial holding company whose stock is listed on the New York Stock Exchange under the ticker symbol SWS. Since the affiliates are all part of one corporate family, they work with one another and may work together to service your financial needs. The sharing of your information among our affiliates enables us to serve you more efficiently and makes it more convenient for you to do business with us. We are permitted by law to share information with our affiliates about your account history and your experiences with us. All of our affiliates follow similar privacy policies.

Internet Security

We do not retrieve account or personal information from visitors who browse the public (pre-logon) areas of our Web sites. Clients who have the ability to access their accounts online are required to log on with their user name and individually selected password. Your password is known solely to you, the client, and should never be shared with anyone. You may change your password as often as you wish.

When you access your account online, it is through a Secure Socket Layer-encrypted session between your browser and our servers. To ensure the highest level of security, we have installed VeriSign Global Server IDs on each server. We will continue to enhance security procedures as new technologies become available. Each time you access your account please don't forget to log off when you are finished. This will prevent someone else from accessing your account if you leave your computer and your session hasn't automatically shut down. Southwest employees use information about you to respond to your needs and to provide you with information about specific products in which you may have an interest. We instruct our employees to use strict standards of care in handling the personal, confidential information of customers and remind them on a regular basis of their obligations with regard to the confidentiality of customer information.

Option to Opt Out and Change Notices

If for any reason at any time in the future, we find it necessary to disclose any of your personal information in a way that is inconsistent with this policy, we will give you advance notice of the proposed change and the opportunity to opt out of such disclosure. For your reference, this policy has been posted to our Web site at www.swst.com. If you have questions or concerns, please contact us via email at info@swst.com

Special Statement to Customers Maintaining Margin Accounts

Securities purchased on margin are the firm's collateral for the loan to you. If the securities in your account decline in value, so does the value of the collateral supporting your loan and, as a result, the firm can take action, such as issue a margin call and/or sell securities or other assets in any of your accounts held with the member, in order to maintain the required equity in the account. It is important that you fully understand the risks involved in the trading securities on margin. These risks include the following:

You can lose more funds than you deposit in the margin account.

The firm can force the sale of securities or other assets in your account(s).

The firm can sell your securities or other assets without contacting you.

You are not entitled to choose which securities or other assets in your account(s) are liquidated or sold to meet a margin call.

The firm can increase its house maintenance margin requirements at any time and is not required to provide you advance written notice.

You are not entitled to an extension of time on a margin call.

3129

SIPC CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
September 30, 2005 to October 31, 2005

Account Summary

	09/30/05 Value	10/31/05 Value
Cash & Money Market Funds	19,795.85	74,445.72
Equities	641,227.95	563,877.35
Total Account Value	661,023.80	638,323.08
Net Account Value	661,023.80	638,323.08

Change in Account Value

	Cash/Money Margin/Short	Investments	Total Account
Beginning Balance	19,795.85	641,227.95	661,023.80
Funds Deposited			
Income Activity	227.02		227.02
Securities Sold/Matured	58,505.54	(58,505.54)	
Securities Received			
Funds Withdrawn	(2,082.68)		(2,082.68)
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		(20,845.06)	(20,845.06)
Ending Balance	74,445.72	563,877.35	638,323.08

Account Holdings

- Cash & Money Market Funds 11.68%
- Equities 88.34%

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on October 31, 2005

Money Market Fund	2.90%
U.S. Government Fund	2.85%
Municipal Money Market Fund	1.76%

Annual Percentage Yield for Bank Insured Funds on October 31, 2005

PC² Bank Insured Funds	2.93%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Ewell Merchant
PC2 Partner Account

Statement Period
September 30, 2005 to October 31, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				74,445.73	N/A	N/A
Total Cash & Money Market Funds					74,445.73		
Equities							
ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	640.000	80.330	51,411.20	52,963.50	(1,552.30)
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	1,506.000	58.250	84,656.25	72,320.00	12,336.25
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	470.000	119.940	56,371.80	44,174.10	12,197.70
ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	635.000	88.400	56,134.00	50,490.80	5,643.20
ISHARES GOLDMAN SACHS NETWORKING INDEX FD	Cash	IGN	660.000	29.720	19,615.20	18,814.45	800.75
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,350.000	66.830	90,220.50	79,140.50	11,080.00
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,890.000	49.140	92,874.60	90,874.30	2,000.30
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	385.000	63.750	24,543.75	23,836.75	707.00
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	365.000	65.700	23,980.50	23,407.02	573.48
ISHARES DJ US UTILITY SECTOR	Cash	IDU	125.000	76.350	9,543.75	9,921.00	(377.25)
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	470.000	54.990	25,845.30	24,830.05	1,015.25
ISHARES DJ US HEALTHCARE SECTOR	Cash	IYH	475.000	60.380	28,680.50	29,301.50	(621.00)
Total Equities					563,877.35	520,073.97	43,803.38

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
10/07	Taxable Dividend	ISHARES TRUST LEHMAN 1-3 YR SHY on 640.000000 PD:2005-10-07	Cash	SHY	149.79
10/28	Credit Interest	29 Days AvgBal 34860.80 AvgRate 2.750	Cash		77.23
Total Income Activity					227.02

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SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
September 30, 2005 to October 31, 2005

Account Activity

Securities Sold/Matured

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
10/06	Sell	ISHARES DJ US REAL ESTATE	Cash	.IYR	(150.000)	61.400	9,206.12
10/10	Sell	ISHARES GOLDMAN SACHS SEMICONDUCTOR INDEX FD	Cash	IGW	(170.000)	55.980	9,512.70
10/19	Sell	ISHARES GOLDMAN SACHS SEMICONDUCTOR INDEX FD	Cash	IGW	(170.000)	53.960	9,169.32
10/20	Sell	ISHARES DJ US UTILITY SECTOR	Cash	IDU	(390.000)	73.390	28,617.40
Total Securities Sold/Matured							56,505.54

Funds Withdrawn

Date	Transaction	Description	Account Type	Transaction Number	Amount
10/11	Journal	ADV FEE 493202479 2005-09-30-2005-12-31	Cash		(2,082.68)
Total Funds Withdrawn					(2,082.68)

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	77.23		1,830.78	
Dividends-Ordinary	149.79		5,584.18	
Total Income	227.02		7,414.96	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds	56,505.54	261,789.18

[redacted]

3132

Other Important Information

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	57.690	38,940.75
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	61.080	41,229.00
07/14/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGN	330.000	26.500	8,745.00
07/14/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	320.000	79.790	25,532.80
07/14/05	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	945.000	44.370	41,929.65
07/14/05	Sell	ISHARES DJ US UTILITY SECTOR	Cash	IDU	125.000	71.500	8,937.50
07/14/05	Sell	ISHARES RUSSELL 2000 VAL IND	Margin	IWN	190.000	57.100	10,849.00
07/14/05	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	945.000	41.910	39,604.95
07/14/05	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	195.000	60.460	11,789.70
07/14/05	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	180.000	57.930	10,427.40
07/14/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	315.000	75.360	23,738.40
07/14/05	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	185.000	61.340	11,347.90
08/02/05	Sell	ISHARES DJ US HEALTHCARE	Cash	IYH	475.000	59.000	28,025.00
08/02/05	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	180.000	52.500	9,450.00
08/02/05	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	290.000	50.500	14,645.00
08/02/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGN	330.000	28.500	9,405.00
09/07/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	750.000	48.960	36,720.00
09/07/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	755.000	51.840	39,139.20
09/07/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	111.770	26,265.95
09/07/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	105.560	24,806.60
Total Open Orders							481,328.80

[redacted]

3133

SIPC

CUSTOMER STATEMENT

Account Num[redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
October 31, 2005 to November 30, 2005

Account Summary

	10/31/05 Value	11/30/05 Value
Cash & Money Market Funds	74,445.73	2,085.93
Equities	563,877.35	533,367.55
Total Account Value	638,323.08	535,453.48
Net Account Value	638,323.08	535,453.48

Change in Account Value

	Cash/MMMF Margin/Short	Investments	Total Account
Beginning Balance	74,445.73	563,877.35	638,323.08
Funds Deposited			
Income Activity	265.37		265.37
Securities Sold/Matured	61,374.83	(51,374.83)	
Securities Received			
Funds Withdrawn	(124,000.00)		(124,000.00)
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		20,865.03	20,865.03
Ending Balance	2,085.93	533,367.55	535,453.48

Account Holdings

- Cash & Money Market Funds 0.39%
- Equities 99.61%

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on November 30, 2005

Money Market Fund	3.11%
U.S. Government Fund	3.01%
Municipal Money Market Fund	2.03%

Annual Percentage Yield for Bank Insured Funds on November 30, 2005

PC² Bank Insured Funds	3.26%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

3134

SIPC

CUSTOMER STATEMENT

Account Numbe[redacted]
Kenny Earll Marchant
PC2 Partner Account

Statement Period
October 31, 2005 to November 30, 2006

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				2,085.93	N/A	N/A
Total Cash & Money Market Funds					2,085.93		
Equities							
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	1,505.000	67.550	88,612.75	72,320.00	14,292.75
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	470.000	124.440	58,488.80	44,174.10	14,312.70
ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	635.000	93.200	59,182.00	50,490.80	8,691.20
ISHARES GOLDMAN SACHS NETWORKING INDEX FD	Cash	IGN	660.000	31.550	20,823.00	18,814.45	2,008.55
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,350.000	69.380	93,663.00	79,140.50	14,522.50
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,890.000	51.430	97,202.70	90,874.30	6,328.40
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	385.000	66.950	25,775.75	23,836.75	1,939.00
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	365.000	69.950	25,535.40	23,407.02	2,128.38
ISHARES DJ US UTILITY SECTOR	Cash	IDU	125.000	76.310	9,538.75	9,921.00	(382.25)
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	470.000	58.220	27,363.40	24,830.05	2,530.35
ISHARES DJ US HEALTHCARE SECTOR	Cash	IYH	475.000	61.440	29,184.00	29,301.50	(117.50)
Total Equities					533,347.55	467,110.47	66,237.08

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
11/07	*Taxable Dividend*	ISHARES TRUST LEHMAN 1-3 YR SHY on 640.000000 PD:2005-11-07	Cash	SHY	144.55
11/29	*Credit Interest*	32 Days AvgBal 44933.71 AvgRate 2.921	Cash		118.82
Total Income Activity					263.37

[redacted]

SIPC CUSTOMER STATEMENT

Account Number [redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
October 31, 2005 to November 30, 2005

Account Activity

Securities Sold/Matured

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
11/17	Sell	ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	(640.000)	80.282	51,374.83
Total Securities Sold/Matured							51,374.83

Funds Withdrawn

Date	Transaction	Description	Account Type	Transaction Number	Amount
11/17	Cash Disbursement	Check Request	Cash		(74,000.00)
11/22	Cash Disbursement	Check Request	Cash		(50,000.00)
Total Funds Withdrawn					(124,000.00)

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	116.82		1,947.60	
Dividends-Ordinary	148.55		5,732.73	
Total Income	265.37		7,680.33	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds	51,374.83	313,164.01

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
03/02/06	Sell [redacted]	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	61.080	41,229.00

Account Numb[redacted]
Kenny Ewell Mitchell
PC2 Partner Account

Statement Period
October 31, 2005 to November 30, 2005

Other Important Information

Open Orders (continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	675.000	57.690	38,940.75
07/14/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGN	330.000	26.500	8,745.00
07/14/05	Sell	ISHARES RUSSELL 2000 VAL IND	Margin	IWN	190.000	57.100	10,849.00
07/14/05	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	185.000	61.340	11,347.90
07/14/05	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	180.000	57.930	10,427.40
07/14/05	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	195.000	60.460	11,789.70
08/02/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGN	330.000	28.500	9,405.00
09/07/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	105.560	24,806.60
09/07/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	235.000	111.770	26,265.95
09/07/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	750.000	48.960	36,720.00
09/07/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	756.000	51.840	39,139.20
11/18/05	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	945.000	46.000	43,470.00
11/18/05	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	945.000	43.440	41,050.80
11/18/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	320.000	83.130	26,601.60
11/18/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	315.000	78.510	24,730.65
Total Open Orders							425,318.55

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At June 24, 2005, the Company had net capital of $108,054,000, or approximately 22.7% of aggregate debit balances, which was $98,536,000 in excess of its minimum net capital requirement of $9,618,000 at that date. The audited statement of financial condition as of June 24, 2005 is available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-326-2174, and is provided pursuant to NASD Conduct Rule 2260 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site.

End of Statement

3137

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Ewell Merchant
PC2 Partner Account

Statement Period
November 30, 2005 to December 30, 2005

Account Summary

	11/30/05 Value	12/30/05 Value
Cash & Money Market Funds	2,085.93	45,825.39
Equities	533,367.55	
Total Account Value	535,453.48	45,825.39
Net Account Value	535,453.48	45,825.39

Change in Account Value

	Cash/Mkt Margin/Short	Investments	Total Account
Beginning Balance	2,085.93	533,367.55	535,453.48
Funds Deposited			
Income Activity	1,844.47		1,844.47
Securities Sold/Matured	541,894.99	(541,894.99)	
Securities Received			
Funds Withdrawn	(500,000.00)		(500,000.00)
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		8,527.44	8,527.44
Ending Balance	45,825.39		45,825.39

Account Holdings

- Cash & Money Market Funds 100.00%
- Equities 0.00%

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on December 30, 2005

Money Market Fund	3.35%
U.S. Government Fund	3.26%
Municipal Money Market Fund	2.45%

Annual Percentage Yield for Bank Insured Funds on December 30, 2005

PC² Bank Insured Funds	3.49%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

SIPC

CUSTOMER STATEMENT

Account Num[redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
November 30, 2005 to December 30, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				45,825.39	N/A	N/A
Total Cash & Money Market Funds					45,825.39		

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
12/29	Taxable Dividend	ISHARES DJ US HEALTHCARE SECTOR IYH on 475.000000 PD:2005-12-29	Cash	IYH	58.36
12/29	Taxable Dividend	ISHARES DJ US INDUSTRIAL SECTOR IYJ on 470.000000 PD:2005-12-29	Cash	IYJ	141.46
12/29	Taxable Dividend	ISHARES DJ US UTILITY SECTOR IDU on 125.000000 PD:2005-12-29	Cash	IDU	72.71
12/29	Taxable Dividend	ISHARES RUSSELL 2000 GROWTH FD IWO on 365.000000 PD:2005-12-29	Cash	IWO	29.86
12/29	Taxable Dividend	ISHARES RUSSELL 2000 VAL IND IWN on 385.000000 PD:2005-12-29	Cash	IWN	131.65
12/29	Taxable Dividend	ISHARES RUSSELL 1000 GROWTH INDEX FUND IWF on 1890.000000 PD:2005-12-29	Cash	IWF	254.73
12/29	Taxable Dividend	ISHARES RUSSELL 1000 VALUE INDEX FUND IWD on 1350.000000 PD:2005-12-29	Cash	IWD	625.91
12/29	Taxable Dividend	ISHARES RUSSELL MDCP INDEX GRW IWP on 635.000000 PD:2005-12-29	Cash	IWP	104.75
12/29	Taxable Dividend	ISHARES RUSSELL MIDCAP VALUE IWS on 470.000000 PD:2005-12-29	Cash	IWS	406.91
12/30	Credit Interest	32 Days AvgBal 7493.74 AvgRate 3.172	Cash		21.13
Total Income Activity					1,844.47

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SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
November 30, 2005 to December 30, 2005

Account Activity

Securities Sold/Matured

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
12/22	Sell	ISHARES DJ US HEALTHCARE SECTOR	Cash	IYH	(200.000)	63.610	18,082.41
12/22	Sell	ISHARES DJ US HEALTHCARE SECTOR	Cash	IYH	(175.000)	63.610	11,127.91
12/22	Sell	ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	(200.000)	58.610	11,721.84
12/22	Sell	ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	(270.000)	58.610	15,820.71
12/22	Sell	ISHARES DJ US UTILITY SECTOR	Cash	IDU	(125.000)	76.938	9,613.50
12/22	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	(365.000)	70.671	25,790.79
12/22	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	(385.000)	66.635	25,660.11
12/22	Sell	ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	(1,890.000)	51.696	97,698.84
12/22	Sell	ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	(1,350.000)	69.845	94,284.36
12/22	Sell	ISHARES GOLDMAN SACHS NETWORKING INDEX FD	Cash	IGN	(660.000)	31.427	20,737.88
12/22	Sell	ISHARES RUSSELL MIDCP INDEX GRW	Cash	IWP	(635.000)	94.779	60,179.32
12/22	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	(470.000)	125.197	58,837.19
12/22	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	(800.000)	60.701	48,559.31
12/22	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	(453.000)	60.700	27,496.26
12/22	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	(200.000)	60.710	12,141.83
12/22	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	(52.000)	60.710	3,153.32
Total Securities Sold/Matured							541,894.99

Funds Withdrawn

Date	Transaction	Description	Account Type	Transaction Number	Amount
12/28	Branch Check Disbursement	483202479-6 73740	Cash		(500,000.00)
Total Funds Withdrawn					(500,000.00)

End of Account Activity

[redacted]

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Ewell Marchant
PC2 Partner Account

Statement Period
November 30, 2005 to December 30, 2005

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	21.13		1,965.70	
Dividends-Ordinary	1,823.34		7,558.07	
Total Income	1,844.47		9,524.80	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds	541,894.99	832,059.00

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At June 24, 2005, the Company had net capital of $118,054,000, or approximately 22.7% of aggregate debit balances, which was $88,536,000 in excess of its minimum net capital requirement of $3,518,000 at that date. The audited statement of financial condition as of June 24, 2005 is available on the Company's web site at www.swsi.com, or by dialing our toll-free request line, 800-336-2174, and is provided pursuant to NASD Conduct Rule 2280 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site.

End of Statement

[redacted]

3141

Investment Account

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.	
067 / FZ	/ FZ99	[redacted]	January 1 - January 31, 2005

Your Investment Broker
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Dec 31	Value on Jan 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$1,024.41	$214,528.16	3,148.16	17.97
Stocks and Options	$939,521.00	$954,328.00	10,442.18	79.92
Preferred Stocks	$25,325.00	$25,250.00	1,375.00	2.11
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$965,870.41**	**$1,194,106.16**	14,965.34	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$965,870.41**	**$1,194,106.16**		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Dec 31	Value/Cost on Jan 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options
- Preferred Stocks

Cash Activity Summary

	Credits	Debits	Year to Date
Total Cash and Money Market Funds on Dec 31:			**$1,024.41**
Securities Purchased	$0.00	-$44,591.30	-$44,591.30
Securities Sold and Redeemed	$20,523.37	$0.00	$20,523.37
Deposits and Withdrawals	$240,000.00	$0.00	$240,000.00
Withdrawals by Check	$0.00	-$3,398.87	-$3,398.87
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$915.00	$0.00	$915.00
Interest	$0.00	$0.00	$0.00
Money Market Income	$55.55	$0.00	$55.55
Short term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$0.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$261,493.92	-$47,990.17	
Total Cash and Money Market Funds on Jan 31:			**$214,528.16**

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $915.00

Tax-exempt income and other income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No
067 / FZ / FZ99 / [redacted]

January 1 - January 31, 2005

Portfolio Assets

Interest Period held on Money Market Funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on [illegible] if your current position and dividend income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the [illegible] date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	$29,515.60		
EVERGREEN MONEY MKT CL A Interest Period 01/01/05 - 01/31/05	$244,043.76	$3,148.16	1.29
Total Cash and Money Market Funds	**$214,528.16**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
BURLINGTON NORTH SANTA FE CORP	BNI	1,500	48.1800	$72,270.00	$1,020.00	1.41
ENTEX CORPORATION	CEIX	1,500	61.3100	$91,965.00	$240.00	0.26
HUSAPEAKE ENERGY CORP	CHK	1,500	17.5700	$26,355.00	$270.00	1.02
[illegible]	CXR	3,000	28.9000	$86,700.00	$720.00	0.83
[illegible]	[illegible]	200	92.7900	$18,558.00	$400.00	2.15
[illegible] REAL ESTATE [illegible]	[illegible]	1,000	16.5700	$16,570.00	$1,500.00	9.05
[illegible] GENERAL CORP	DRCT	1,500	18.7500	$28,125.00	$240.00	0.85
[illegible]	[illegible]	2,000	9.7900	$19,580.00	N/A	N/A
[illegible]	[illegible]	1,000	13.1000	$13,100.00	N/A	N/A
TRADE FINANCIAL	[illegible]	1,000	13.7500	$13,750.00	N/A	N/A
[illegible]	EHAX	100	81.5000	$8,150.00	N/A	N/A
[illegible] CORP	XOM	1,000	51.6000	$51,600.00	$1,080.00	2.09
[illegible]	[illegible]	1,000	21.9900	$21,990.00	$200.00	0.90
[illegible] COMPANY	[illegible]	1,000	41.1300	$41,130.00	$500.00	1.21
[illegible]	[illegible]	500	31.9600	$15,980.00	N/A	N/A

3143

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

January 1 - January 31, 2005

Stocks and Options

Stocks continued

[illegible]	Symbol	Quantity	Current Price	Current Market Value	Est Ann Income	Est Ann Yield (%)
[illegible]	[illegible]	1,500	41.2600	$61,890.00	$510.00	0.82
[illegible]	[illegible]	500	54.9200	$27,460.00	$30.00	0.10
[illegible]	[illegible]	5,000	3.2000	$16,000.00	N/A	N/A
[illegible]	[illegible]	200	64.7100	$12,942.00	$57.18	0.44
[illegible]	[illegible]	200	54.1800	$10,836.00	N/A	N/A
[illegible]	[illegible]	5,000	5.9200	$29,600.00	N/A	N/A
[illegible]	[illegible]	1,000	25.2700	$25,270.00	$1,650.00	6.52
[illegible]	[illegible]	500	39.9500	$19,975.00	$1,225.00	6.13
[illegible]	[illegible]	1,500	16.5600	$24,840.00	$480.00	1.93
[illegible]	[illegible]	1,500	43.0500	$64,575.00	N/A	N/A
[illegible]	[illegible]	500	124.5000	$62,250.00	$250.00	0.40
[illegible]	[illegible]	700	23.2100	$16,247.00	$70.00	0.43
[illegible]	[illegible]	1,000	56.6200	$56,620.00	N/A	N/A
Total Stocks				**$954,028.00**	**$10,442.18**	**1.09**
Total Stocks and Options				**$954,028.00**	**$10,442.18**	**1.09**

[redacted]

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

January 1 - January 31, 2005

Preferred Stocks

Symbol	Quantity	Current Price	Current Market Value	Est. Ann Income	Est. Ann Yield (%)
[illegible]	500	50.5000	$25,250.00	$1,375.00	5.44
Total Preferred Stocks			**$25,250.00**	**$1,375.00**	**5.45**

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount
01/03	Cash	DIVIDEND		BURLINGTON NORTHN SANTA FE CORP 010305 1,500		$255.00
01/03	Cash	TRANSFER TO		EVERGREEN MONEY MKT FD CL A	1.0000	-$611.74
01/03	Cash	STOCK DISTRIB	1,500.00000	COMMERCIAL METALS CO		$0.00
01/18	Cash	DIVIDEND		CHESAPEAKE ENERGY CORP 011805 1,500		$67.50
01/18	Cash	DIVIDEND		NEW PLAN EXCEL RLTY TR INC 011805 1,000		$412.50
01/18	Cash	SALE	-100.00000	CHICAGO MERCANTILE EXCHANGE	205.3600	$20,523.37
01/20	Cash	PURCHASE	100.00000	EBAY INC	85.0000	-$8,511.95
01/21	Cash	CHECK ISSUED		CHK ISS #6R8671268 MGMTFE		-$3,398.87
01/24	Cash	TRANSFER TO		EVERGREEN MONEY MKT FD CL A	1.0000	-$9,092.55
01/25	Cash	DEPOSIT		FUNDS RECD		$240,000.00
01/25	Cash	PURCHASE	200.00000	HELEN OF TROY LIMITED	31.8290	-$6,383.75
01/26	Cash	TRANSFER TO		EVERGREEN MONEY MKT FD CL A	1.0000	-$240,000.00
01/27	Cash	PURCHASE	1,500.00000	DIRECT GENERAL CORP	19.7331	-$29,605.60
01/28	Cash	TRANSFER FROM		EVERGREEN MONEY MKT FD CL A	1.0000	$6,383.75

3145

FIRST DALLAS SECURITIES
2005 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

January 1 - January 31, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount
01/31	Cash	DIVIDEND		COMMERCIAL METALS CO 013105 3,000		$180.00
01/31	Cash	DIVIDEND		EVERGREEN MONEY MKT FD CL A 013105 243.988		$55.55
01/31	Cash	REINVST DIVINT		EVERGREEN MONEY MKT FD CL A		-$55.55

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ99	/ [redacted]

February 1 - February 28, 2005

Your Investment Broker
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Jan 31	Value on Feb 28	Est. Ann. Income	% Total Assets
Cash and Money Market	$214,528.16	$62,293.88	1,411.52	4.87
Stocks and Options	$954,328.00	$1,189,584.00	12,377.18	93.09
Preferreds/Fixed Rate Cap Secs	$25,250.00	$26,000.00	1,375.00	2.03
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures-Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$1,194,106.16**	**$1,277,877.88**	15,163.70	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$1,194,106.16**	**$1,277,877.88**		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC

	Value/Cost on Jan 31	Value/Cost on Feb 28
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options
- Preferreds/Fixed Rate Cap Secs

Cash Activity Summary

	Credits	Debits	Year-to-Date
Total Cash and Money Market Funds on Jan 31:			**$214,528.16**
Securities Purchased	$0.00	$153,121.17	$107,712.47
Securities Sold and Redeemed	$0.00	$0.00	$20,523.37
Deposits and Withdrawals	$0.00	$0.00	$240,000.00
Withdrawals by Check	$0.00	$0.00	-$3,398.87
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$392.50	$0.00	$1,307.50
Interest	$0.00	$0.00	$0.00
Money Market Income	$188.14	$0.00	$243.69
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$306.25	$0.00	$306.25
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$886.89	-$153,121.17	
Total Cash and Money Market Funds on Feb 28:			**$62,293.88**

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $1,307.50

Tax-exempt income and other income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only

3148

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No
067 / FZ / FZ99 / [redacted]

February 1 - February 28, 2005

Portfolio Assets

[illegible] Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on [illegible] Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the [illegible] obtained from various outside vendors, FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

[illegible]	Current market Value	Est. ann. Income	Est current yield(%)
[illegible]	- $36,414.37		
[illegible] MONEY MKT TO [illegible]	$98,708.25	$1,411.52	1.43
[illegible]			
Total Cash and Money Market Funds	**$82,293.88**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
BURLINGTON NORTHERN SANTA FE CORP	BNI	1,500	50.2700	$75,405.00	$1,020.00	1.35
CENTEX CORPORATION	CTX	1,500	63.5900	$95,385.00	$240.00	0.25
DESERT SOUTHWEST ENERGY CORP	DSE	1,500	21.6900	$32,535.00	$270.00	0.82
COLUMBIA GLOBAL METALS CO	CGL	3,000	34.8000	$104,400.00	$720.00	0.68
[illegible]	CDP	200	110.8900	$22,178.00	$400.00	1.80
[illegible] REAL ESTATE [illegible] TRUST	CEI	1,000	16.3000	$16,300.00	$1,500.00	9.20
[illegible] GENERAL CORP	DGC	1,500	20.2300	$30,345.00	$240.00	0.79
[illegible]	DGG	2,000	8.7300	$17,460.00	N/A	N/A
[illegible]	LNG	1,000	12.6600	$12,660.00	N/A	N/A
[illegible]	LT	1,000	13.2700	$13,270.00	N/A	N/A
[illegible]	HAY	200	42.8400	$8,568.00	N/A	N/A
[illegible]	XOM	1,500	63.3100	$94,965.00	$1,620.00	1.70
[illegible]	HGR	1,000	20.5100	$20,510.00	$200.00	0.97
[illegible] COMPANY	HAI	1,000	43.9700	$43,970.00	$500.00	1.13
[illegible] LIMITED	HELP	500	28.3300	$14,165.00	N/A	N/A

3149

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch / Reg / Account No
067 / FZ / FZ99 / [redacted]

February 1 - February 28, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est Ann Income	Est Ann Yield Per
HOME DEPOT INC	HD	1,500	40.0200	$60,030.00	$600.00	0.90
INTERNATIONAL SPEEDWAY CORP CL A	ISCA	500	53.3000	$26,650.00	$30.00	0.11
INTRUSION INC (NEW)	INTZ	5,000	3.3500	$16,750.00	N/A	N/A
ISHARES DOW JONES U.S. TRANSPORTATION INDEX FD	IYT	200	66.8700	$13,374.00	$57.18	0.42
ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	FXI	200	57.8000	$11,560.00	N/A	N/A
KENNAMETAL CORPORATION	KMT	500	77.6600	$38,830.00	$900.00	2.31
LILLY ELI INC	LLY	10,000	6.7900	$67,900.00	N/A	N/A
NEW PLAN EXCEL RLTY TR INC	NXL	1,000	26.1600	$26,160.00	$1,650.00	6.30
PLAINS ALL AMERICAN PIPELINE LP	PAA	500	39.1400	$19,570.00	$1,225.00	6.25
ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	RMCF	1,500	20.4700	$30,705.00	$540.00	1.75
TEREX CORPORATION NEW	TEX	1,500	45.2000	$67,800.00	N/A	N/A
TEX PAC LAND TR SUB SH CTF PROPRIETARY UNIT	TPL	500	139.0000	$69,500.00	$275.00	0.39
TEXAS INSTRUMENTS INC	TXN	700	26.4700	$18,529.00	$70.00	0.37
UNITED STATES STEEL CORP	X	1,000	62.3600	$62,360.00	$320.00	0.51
YELLOW ROADWAY CORP	YELL	1,000	57.7500	$57,750.00	N/A	N/A
Total Stocks				**$1,189,584.00**	**$12,377.18**	**1.04**
Total Stocks and Options				**$1,189,584.00**	**$12,377.18**	**1.04**

3150

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rec / Account No
067 / FZ / FZ99 / [redacted]

February 1 - February 28, 2005

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity	Current Price	Current Market Value	Est Ann Income	Est Ann Yield (%)
TEXAS INDUSTRIES 5.5% CONVERTIBLE DUE 02/28 CALLABLE 50/5.01	TXIS	500	52.0000	$26,000.00	$1,375.00	5.28
Total Preferreds/Fixed Rate Cap Securities				$26,000.00	$1,375.00	5.29

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount
02/01	Cash	TRANSFER FROM		EVERGREEN MONEY MKT FD CL A	1.0000	$29,515.60
02/11	Cash	PURCHASE	500.00000	EXXON MOBIL CORP	56.0800	-$28,075.95
02/11	Cash	PURCHASE	500.00000	KERR MC GEE CORPORATION	66.0900	-$33,080.95
02/14	Cash	PRTNRSHP DIST		PLAINS ALL AMERICAN PIPELINE LP 021405 500		$306.25
02/14	Cash	DIVIDEND		TEXAS INSTRUMENTS INC 021405 700		$17.50
02/15	Cash	DIVIDEND		CRESCENT REAL ESTATE REIT EQUITIES TRUST 021505 1,000		$375.00
02/16	Cash	PURCHASE	3,500.00000	LUBY'S INC	6.5000	-$22,960.00
02/16	Cash	PURCHASE	1,200.00000	LUBY'S INC	6.5200	-$7,896.00
02/16	Cash	PURCHASE	300.00000	LUBY'S INC	6.4800	-$1,967.05
02/16	Cash	PURCHASE	400.00000	UNITED STATES STEEL CORP	56.7400	-$22,725.95
02/16	Cash	TRANSFER FROM		EVERGREEN MONEY MKT FD CL A	1.0000	$60,458.15
02/17	Cash	STOCK DISTRIB	100.00000	EBAY INC		$0.00
02/22	Cash	TRANSFER FROM		EVERGREEN MONEY MKT FD CL A	1.0000	$55,549.00

[redacted]

3151

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

ACCOUNT STATEMENT

February 1 - February 28, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount
02/25	Cash	PURCHASE	600.00000	UNITED STATES STEEL CORP	60.6207	$36,414.37
02/28	Cash	DIVIDEND		EVERGREEN MONEY MKT FD CL A 022805 98,520		$188.14
02/28	Cash	REINVST DIV/INT		EVERGREEN MONEY MKT FD CL A		-$188.14

Investment Account

FIRST DALLAS SECURITIES
2805 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub	Branch	Rep	Account No
067	FZ	FZ99	[redacted]

March 1 - March 31, 2005

Your Investment Broker:
[illegible]

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Feb 28	Value on Mar 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$62,293.88	$150,276.24	3,293.14	9.95
Stocks and Options	$1,189,584.00	$1,336,704.25	14,039.88	88.48
Preferred/Fixed Rate Cap Secs	$26,000.00	$23,825.00	1,375.00	1.58
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures, Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Real Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$1,277,877.88	$1,510,805.49	18,707.82	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$1,277,877.88	$1,510,805.49		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Feb 28	Value/Cost on Mar 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements-Hourly Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options
- Other

Cash Activity Summary

Total Cash and Money Market Funds on Feb 28: $62,293.88

	Credit	Debit	Year to Date
Securities Purchased	$0.00	-$163,715.20	-$361,427.67
Securities Sold and Redeemed	$0.00	$0.00	$20,523.37
Deposits and Withdrawals	$250,000.00	$0.00	$490,000.00
Withdrawals by Check	$0.00	$0.00	-$3,398.87
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$1,258.19	$0.00	$2,565.69
Interest	$343.75	$0.00	$343.75
Money Market Income	$95.62	$0.00	$339.31
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	-$308.25
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$251,697.56	-$163,715.20	

Total Cash and Money Market Funds on Mar 31: $150,276.24

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $2,909.44

Tax-exempt income and other income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

3154

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch	/ Rep	/ Account No
067 / FZ	/ FZ99	/ [redacted]

March 1 - March 31, 2005

Portfolio Assets

[illegible] Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on [illegible] your current portfolio and the total income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the [illegible] date. The information used to derive these estimates is obtained from various outside vendors; HCC is not responsible for incorrect or missing estimated annual income and yields

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	$66,378.21		
[illegible] MONEY MKT FD CL A	$216,654.45	$3,293.14	1.52
[illegible]			
Total Cash and Money Market Funds	**$150,278.24**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
[illegible]	[illegible]	500	58.4600	$29,230.00	$500.00	1.71
[illegible]	[illegible]	2,000	53.9300	$107,860.00	$1,360.00	1.26
[illegible]	[illegible]	1,500	57.2700	$85,905.00	$240.00	0.27
[illegible]	[illegible]	1,500	21.9400	$32,910.00	$270.00	0.82
[illegible]	[illegible]	300	58.3100	$17,493.00	$480.00	2.74
[illegible]	[illegible]	3,000	33.8900	$101,670.00	$720.00	0.70
[illegible]	[illegible]	200	107.8400	$21,568.00	$400.00	1.85
[illegible]	[illegible]	1,000	16.3400	$16,340.00	$1,500.00	9.17
[illegible]	[illegible]	500	47.7500	$23,875.00	$150.00	0.62
[illegible]	[illegible]	1,500	20.5400	$30,810.00	$240.00	0.77
[illegible]	[illegible]	2,000	7.7600	$15,520.00	N/A	N/A
[illegible]	[illegible]	1,000	12.3200	$12,320.00	N/A	N/A
[illegible]	[illegible]	1,000	12.0000	$12,000.00	N/A	N/A
[illegible]	[illegible]	200	37.2600	$7,452.00	N/A	N/A
[illegible]	[illegible]	1,500	59.6000	$89,400.00	$1,620.00	1.81

3155

FIRST DALLAS SECURITIES
2909 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

March 1 - March 31, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
[illegible]	[illegible]	1,000	20.1900	$20,190.00	$200.00	0.99
[illegible] COMPANY	HAT	1,000	43.2500	$43,250.00	$500.00	1.15
[illegible] LIMITED	HLTI	500	27.3800	$13,690.00	N/A	N/A
[illegible]	[illegible]	1,500	38.2400	$57,360.00	$800.00	1.04
[illegible] INTERNATIONAL SPEEDWAY [illegible] A	ISCA	500	54.2500	$27,125.00	$30.00	0.11
[illegible]	[illegible]	5,000	2.1410	$10,705.00	N/A	N/A
[illegible]	[illegible]	200	66.4900	$13,298.00	$72.68	0.54
[illegible] CALIFORNIA [illegible]	[illegible]	200	54.6000	$10,920.00	N/A	N/A
[illegible] CORPORATION	KMG	500	78.3300	$39,165.00	$900.00	2.29
[illegible]	[illegible]	15,000	7.7500	$116,250.00	N/A	N/A
NEW PLAN EXCELLENCY TR [illegible]	NXL	1,000	25.1100	$25,110.00	$1,650.00	6.57
PLAINS ALL AMERICAN PIPELINE LP	PAA	500	38.7500	$19,375.00	$1,225.00	6.32
ROCKY MOUNTAIN CHOCOLATE FACTORY INC. (NEW)	RMCF	1,575	24.6700	$38,855.25	$567.00	1.45
TEXAS COMMUNICATION NEW	TEX	1,500	43.3000	$64,950.00	N/A	N/A
TEXAS LAND & TRUST SET (LTD PARTNERSHIP UNIT)	TH	500	156.0000	$78,000.00	$275.00	0.35
TEXAS INDUSTRIES INC	TXI	500	53.7500	$26,875.00	$150.00	0.55
TEXAS INSTRUMENTS INC	TXN	700	25.4900	$17,843.00	$70.00	0.39
UNITED STATES STEEL CORP	X	1,000	50.8500	$50,850.00	$320.00	0.62
YELLOW ROADWAY CORP	YELL	1,000	58.5400	$58,540.00	N/A	N/A
Total Stocks				[redacted]	$14,039.68	1.05
Total Stocks and Options				$1,336,704.25	$14,039.68	1.05

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

March 1 - March 31, 2005

Preferreds/Fixed Rate Cap Securities

[illegible]	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
[illegible]	[illegible]	500	47.6500	$23,825.00	$1,375.00	5.77
Total Preferreds Fixed Rate Cap Securities				$23,825.00	$1,375.00	5.77

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount
03/01	Cash	DIVIDEND		CONOCOPHILLIPS 030105 200		$100.00
03/02	Cash	TRANSFER FROM		EVERGREEN MONEY MKT FD CL A	1.0000	$35,314.37
03/07	Cash	DIVIDEND		HAGGAR CORP 030705 1,000		$50.00
03/07	Cash	PURCHASE	500.00000	BOEING CO	57.5200	-$28,795.05
03/08	Cash	TRANSFER TO		EVERGREEN MONEY MKT FD CL A	1.0000	-$50.00
03/10	Cash	DIVIDEND		EXXON MOBIL CORP 031005 1,000		$270.00
03/10	Cash	STOCK DISTRIB	75.00000	ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)		$0.00
03/10	Cash	TRANSFER FROM		EVERGREEN MONEY MKT FD CL A	1.0000	$28,795.05
03/15	Cash	DIVIDEND		DIRECT GENERAL CORP 031505 1,500		$60.00
03/15	Cash	DIVIDEND		TEX PAC LAND TR SUB SH CTF PROPRIETARY UNIT 031505 500		$275.00
03/15	Cash	TRANSFER TO		EVERGREEN MONEY MKT FD CL A	1.0000	-$270.00
03/16	Cash	DIVIDEND		ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW) 031605 1,575		$141.75

3157

FIRST DALLAS SECURITIES
2909 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub	Branch	Rep	Account No.
067	FZ	FZ99	[redacted]

March 1 - March 31, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount
03/22	Cash	TRANSFER TO		EVERGREEN MONEY MKT FD CL A	1.0000	-$476.75
03/23	Cash	DIVIDEND		CENTEX CORPORATION 032905 1,600		$60.00
03/24	Cash	DEPOSIT		FUNDS RECD		$250,000.00
03/24	Cash	DIVIDEND		HALLIBURTON COMPANY 032405 1,000		$125.00
03/24	Cash	DIVIDEND		HOME DEPOT INC 032405 1,500		$150.00
03/28	Cash	PURCHASE	500.00000	BURLINGTON NORTHN SANTA FE CORP	55.0000	-$27,535.95
03/28	Cash	PURCHASE	300.00000	CHEVRONTEXACO CORP	58.4500	-$17,558.95
03/28	Cash	PURCHASE	500.00000	DEVON ENERGY CORP	46.0800	-$23,075.95
03/28	Cash	TRANSFER TO		EVERGREEN MONEY MKT FD CL A	1.0000	-$250,335.00
03/29	Cash	PURCHASE	5,000.00000	LUBY'S INC	7.7113	-$38,862.45
03/29	Cash	PURCHASE	500.00000	TEXAS INDUSTRIES INC	55.7000	-$27,885.05
03/31	Cash	DIVIDEND		ISHARES DOW JONES U S TRANSPORTATION INDEX FD 033105 200		$26.44
03/31	Cash	INTEREST		TEXAS INDUSTRIES 5.5% CONV PFD DUE 6/2/28 CALLABLE 6/05/01 033105 500		$343.75
03/31	Cash	DIVIDEND		EVERGREEN MONEY MKT FD CL A 033105 216,558		$95.62
03/31	Cash	REINVST DIV/INT		EVERGREEN MONEY MKT FD CL A		-$95.62
03/31	Cash	TRANSFER FROM		EVERGREEN MONEY MKT FD CL A	1.0000	$68,170.85

Investment Account

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Page 1 of 5

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No
067 / FZ / FZ99 [redacted]

April 1 - April 30, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214 954 1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Mar 31	Value on Apr 30	Est. Ann Income	% Total Assets
Cash and Money Market	$150,276.24	$217,830.53	3,572.42	15.49
Stocks and Options	$1,336,704.25	$1,164,798.75	13,594.68	82.85
Preferreds/Fixed Rate Cap Secs	$23,825.00	$23,200.00	1,375.00	1.65
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$1,510,805.49	$1,405,829.28	18,542.10	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$1,510,805.49	$1,405,829.28		

Other Assets
These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Mar 31	Value/Cost on Apr 30
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

Asset Allocation (Portfolio Assets)

Cash and Money Market
Stocks and Options
Other

Cash Activity Summary

Total Cash and Money Market Funds on Mar 31: $150,276.24

	Credits	Debits	Year to Date
Securities Purchased	$0.00	-$49,801.05	-$411,228.72
Securities Sold and Redeemed	$120,883.04	$0.00	$141,406.41
Deposits and Withdrawals	$0.00	$0.00	$490,000.00
Withdrawals by Check	$0.00	-$4,910.29	-$8,309.16
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$1,140.00	$0.00	$3,705.69
Interest	$0.00	$0.00	$343.75
Money Market Income	$242.59	$0.00	$581.90
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$306.25
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$122,265.63	-$54,711.34	

Total Cash and Money Market Funds on Apr 30: $217,830.53

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $4,049.44

Tax-exempt income and other income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

WNIT 910 012A9 1208304413 NNNNN NNNNN NNNNNNNN 000002

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

April 1 - April 30, 2005

Portfolio Assets

Annualized Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn annually if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual Income divided by the current value of the security as of the Statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual Income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. Income	Est current yield(%)
LEVERGREEN MONEY MKT FD CL A Interest Period 04/01/05 - 05/01/05	$217,830.53	$3,572.42	1.64
Total Cash and Money Market Funds	**$217,830.53**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann Yield (%)
BOEING CO	BA	500	59.5200	$29,760.00	$500.00	1.68
BURLINGTON NORTHN SANTA FE CORP	BNI	2,300	48.2500	$110,975.00	$1,564.00	1.40
CENTEX CORPORATION	CTX	1,500	57.7200	$86,580.00	$240.00	0.27
CHESAPEAKE ENERGY CORP	CHK	1,500	19.2400	$28,860.00	$270.00	0.93
CHEVRONTEXACO CORP	CVX	300	52.0000	$15,600.00	$540.00	3.46
COMMERCIAL METALS CO	CMC	3,000	25.5100	$76,530.00	$720.00	0.94
CONOCOPHILLIPS	COP	200	104.8500	$20,970.00	$496.00	2.36
CRESCENT REAL ESTATE REIT EQUITIES TRUST	CEI	1,000	16.8000	$16,800.00	$1,500.00	8.92
DEVON ENERGY CORP	DVN	500	45.1700	$22,585.00	$150.00	0.66
DIRECT GENERAL CORP	DRCT	1,500	17.7600	$26,640.00	$240.00	0.90
DOCUCORP INC	DOCC	2,000	7.0000	$14,000.00	N/A	N/A
EMC CORP MASS	EMC	1,000	13.1200	$13,120.00	N/A	N/A
E TRADE FINANCIAL	ET	1,000	11.1100	$11,110.00	N/A	N/A
EBAY INC	EBAY	200	31.7100	[redacted]342.00	N/A	N/A
EXXON MOBIL CORP	XOM	1,500	57.0300	$85,545.00	$1,740.00	2.03
HAGGAR CORP	HGGR	1,000	19.4000	$19,400.00	$200.00	1.03

3161

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ99	[redacted]

April 1 - April 30, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
HALLIBURTON COMPANY	HAL	1,000	41.5900	$41,590.00	$500.00	1.20
HELEN OF TROY LIMITED	HELE	500	27.9400	$13,970.00	N/A	N/A
HOME DEPOT INC	HD	1,500	35.3700	$53,055.00	$600.00	1.13
INTERNATIONAL SPEEDWAY CORP CL A	ISCA	500	53.2000	$26,600.00	$30.00	0.11
[illegible] (NEW)	[illegible]	5,000	2.1610	$10,805.00	N/A	N/A
[illegible]	[illegible]	200	61.6100	$12,322.00	$72.68	0.58
[illegible]	[illegible]	200	55.3200	$11,064.00	N/A	N/A
[illegible]	[illegible]	15,000	7.5500	$113,250.00	N/A	N/A
[illegible]	[illegible]	1,000	25.8100	$25,810.00	$1,650.00	6.39
MAINS ALL AMERICAN [illegible]	MAA	500	40.8100	$20,405.00	$1,275.00	6.24
[illegible]	[illegible]	1,575	19.8100	$31,200.75	$567.00	1.81
[illegible] CORPORATION NEW	[illegible]	2,000	37.3800	$74,760.00	N/A	N/A
[illegible] INDUSTRIES INC	[illegible]	500	46.1800	$23,090.00	$150.00	0.64
TEXAS INSTRUMENTS INC	TXN	700	24.9600	$17,472.00	$70.00	0.40
UNITED STATES STEEL CORP	X	1,300	42.7600	$55,588.00	$520.00	0.93
YELLOW ROADWAY CORP	YELL	1,000	49.0000	$49,000.00	N/A	N/A
Total Stocks				**$1,164,798.75**	**$13,594.68**	**1.17**
Total Stocks and Options				**$1,164,798.75**	**$13,594.68**	**1.17**

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[illegible] 012/A6 1201630 4113 [illegible] 00000

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

April 1 - April 30, 2005

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
TEXAS INDUSTRIES 5.5% CONV PFD DUE 6/2/26 CALLABLE 6/05/01	TXI S	500	46.4000	$23,200.00	$1,375.00	5.92
Total Preferreds/Fixed Rate Cap Securities				**$23,200.00**	**$1,375.00**	**5.93**

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
04/01				BEGINNING BALANCE			$ 150,276.24
04/01	Cash	DIVIDEND		BURLINGTON NORTHN SANTA FE CORP 040105 1,500		$255.00	
04/01	Cash	DIVIDEND		KERR-MC GEE CORPORATION 040105 500		$225.00	$ 150,756.24
04/04	Cash	PURCHASE	300.00000	BURLINGTON NORTHN SANTA FE CORP	53.7740	-$16,156.15	$ 134,600.09
04/14	Cash	SALE	-500.00000	KERR-MC GEE CORPORATION	78.9200	$39,422.40	
04/14	Cash	SALE	-500.00000	TEX PAC LAND TR SUB SH CTF PROPRIETARY UNIT	163.0000	$81,460.84	$ 255,483.13
04/15	Cash	DIVIDEND		CHESAPEAKE ENERGY CORP 041505 1,500		$67.50	
04/15	Cash	DIVIDEND		COMMERCIAL METALS CO 041505 3,000		$180.00	
04/15	Cash	DIVIDEND		NEW PLAN EXCEL RLTY TR INC 041505 1,000		$412.50	$ 256,143.13
04/19	Cash	CHECK ISSUED		CHK ISS #BR88713112 MGMTFE		-$4,910.29	$ 251,232.84

3163

FIRST DALLAS SECURITIES
2005 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

April 1 - April 30, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market(Sweep) Fund Balance
4/19	Cash	PURCHASE	300.00000	UNITED STATES STEEL CORP AS OF 4/18/05	44.4500	-$13,358.95	$ 237,873.80
4/20	Cash	PURCHASE	500.00000	TEREX CORPORATION NEW	40.5000	-$20,285.95	$ 217,587.94
4/29	Cash	DIVIDEND		EVERGREEN MONEY MKT FD CL A 042905 217,587		$242.59	$ 217,830.53

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
4/01			BEGINNING BALANCE	$216,654.45
4/01	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$66,378.21
4/06	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$480.00
4/07	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$16,156.15
4/20	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$103,273.80
4/25	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$20,285.95
4/29	Cash	REINVST DIVINT	EVERGREEN MONEY MKT FD CL A	$242.59
4/30			ENDING BALANCE	$217,830.53

Investment Account

FIRST DALLAS SECURITIES
2505 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Page 1 Of 6

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No
067 / FZ	/ FZ99	/ [redacted]

May 1 - May 31, 2005

Your Investment Broker:
[illegible]

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Apr 30	Value on May 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$217,830.53	$567,671.05	10,557.75	22.96
Stocks and Options	$1,164,798.75	$1,859,272.00	22,827.68	75.20
Preferred/Fixed Rate Cap Secs	$23,200.00	$45,500.00	2,750.00	1.84
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures Hedge Funds	$0.00	$0.00	0.00	
Annuities Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$1,405,829.28**	**$2,472,443.05**	**35,135.43**	**100%**
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$1,405,829.28**	**$2,472,443.05**		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Apr 30	Value/Cost on May 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options
- Other

Cash Activity Summary

	Credits	Debits	Year to Date
Total Cash and Money Market Funds on Apr 30:			**$217,830.53**
Securities Purchased	$0.00	-$652,101.43	-$1,063,330.15
Securities Sold and Redeemed	$0.00	$0.00	$141,408.41
Deposits and Withdrawals	$1,000,000.00	$0.00	$1,450,000.00
Withdrawals by Check	$0.00	$0.00	-$9,309.16
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$480.00	$0.00	$4,185.69
Interest	$0.00	$0.00	$343.75
Money Market Income	$1,143.20	$0.00	$1,725.10
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$318.75	$0.00	$625.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	$0.00
Total Activity	$1,001,941.95	-$652,101.43	
Total Cash and Money Market Funds on May 31:			**$567,671.05**

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $4,529.44

Tax-exempt income and other income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

May 1 - May 31, 2005

Portfolio Assets

[illegible] / Market Value, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn [illegible] income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the [illegible] these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

	Current market value	Est. ann. income	Est current yield(%)
[illegible]	$50.00		
[illegible] MONEY MARKET FD	$567,621.05	$10,557.75	1.86
[illegible]			
Total Cash and Money Market Funds	**$567,671.05**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
[illegible]	HA	500	63.9000	$31,950.00	$500.00	1.56
[illegible] SOUTHERN NORTHERN SANTA [illegible]	[illegible]	4,000	49.4200	$197,680.00	$2,720.00	1.37
[illegible] CORPORATION	[illegible]	2,000	65.4800	$130,960.00	$320.00	0.24
[illegible] SAPELAKE ENERGY CORP	[illegible]	4,000	20.4700	$81,880.00	$720.00	0.87
[illegible] WORLD HANDIN	[illegible]	1,000	53.7800	$53,780.00	$1,800.00	3.34
[illegible] AMERICAN METALS CO	[illegible]	4,000	26.1600	$104,640.00	$960.00	0.91
[illegible]	COP	1,000	107.8400	$107,840.00	$2,480.00	2.29
[illegible] REAL ESTATE INVESTORS TRUST	CLI	2,000	18.3900	$36,780.00	$3,000.00	8.15
[illegible] ENERGY CORP	[illegible]	1,000	45.9000	$45,900.00	$300.00	0.65
[illegible] CORP	[illegible]	1,500	16.5100	$24,765.00	$240.00	0.96
[illegible] INC	[illegible]	2,000	6.7000	$13,400.00	N/A	N/A
[illegible] CORP MASS	[illegible]	1,000	14.0600	$14,060.00	N/A	N/A
[illegible] FINANCIAL	[illegible]	1,000	12.3500	$12,350.00	N/A	N/A
[illegible]	[illegible]	400	38.0000	$15,200.00	N/A	N/A
[illegible] MOBIL CORP	[illegible]	2,000	56.2000	$112,400.00	$2,320.00	2.06

3167

FIRST DALLAS SECURITIES
2005 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

May 1 - May 31, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
HASBRO INC	HAS	1,000	18.4600	$18,460.00	$200.00	1.08
HARTFORD FIN SVCS GROUP INC	HIG	1,500	42.7400	$64,110.00	$750.00	1.16
HOLLINGER INTERNATIONAL INC	HLR	1,000	22.9300	$22,930.00	N/A	N/A
HOME DEPOT INC	HD	2,000	39.3500	$78,700.00	$800.00	1.01
INTERNATIONAL SPEEDWAY CORP CLA	ISCA	500	54.6600	$27,330.00	$30.00	0.10
INTERSTATE BAKERIES CORP (NEW)	IBC	5,000	2.8200	$14,100.00	N/A	N/A
ISHARES DOW JONES US TRANSPORTATION INDEX FD	IYT	200	64.8700	$12,974.00	$72.68	0.56
ISHARES S&P 500 INDEX CHINA 25 INDEX FUND	IXI	200	54.6400	$10,928.00	N/A	N/A
KOHL'S CORP (WISCONSIN)	KSS	700	73.8600	$51,702.00	$140.00	0.27
LEGG MASON INC	LM	500	82.1800	$41,090.00	$300.00	0.73
LOWE'S INC	LOW	15,000	8.2400	$123,600.00	N/A	N/A
MEDICAL PROPERTIES TRUST INC	MPW	1,000	26.9400	$26,940.00	$1,650.00	6.12
PLAINS ALL AMERICAN PIPELINE LP	PAA	500	42.2300	$21,115.00	$1,275.00	6.03
ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	RMCF	3,000	23.5600	$70,680.00	$1,080.00	1.52
TEREX CORPORATION NEW	TEX	2,000	39.5200	$79,040.00	N/A	N/A
TEXAS INDUSTRIES INC	TXI	1,000	46.0200	$46,020.00	$300.00	0.65
TEXAS INSTRUMENTS INC	TXN	700	27.6400	$19,348.00	$70.00	0.36
THE GAP INC (OLD) APPAREL INC	GPS	1,000	14.3000	$14,300.00	N/A	N/A
UNITED STATES STEEL CORP	X	2,000	39.7700	$79,540.00	$800.00	1.00
YELLOW ROADWAY CORP	YELL	1,000	62.7800	$62,780.00	N/A	N/A
Total Stocks				**$1,859,272.00**	**$22,827.68**	**1.23**
Total Stocks and Options				**$1,859,272.00**	**$22,827.68**	**1.23**

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch	/ Rep	/ Account No
067 / FZ	/ FZ99	/ [redacted]

May 1 - May 31, 2005

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
TEXAS INDUSTRIES 5.5% CONV PFD DUE 6/2/28 CALLABLE 6/05/01	TXIS	1,000	45.5000	$45,500.00	$2,750.00	6.04
Total Preferreds/Fixed Rate Cap Securities				**$45,500.00**	**$2,750.00**	**6.04**

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
05/01				BEGINNING BALANCE			$ 217,830.53
05/01	Cash	DEPOSIT		FUNDS RECD		$500,000.00	$ 717,830.53
05/04	Cash	DEPOSIT		FUNDS RECD		$500,000.00	
05/04	Cash	PURCHASE	1,100.00000	BURLINGTON NORTHN SANTA FE CORP	50.0700	-$55,148.95	
05/04	Cash	PURCHASE	600.00000	BURLINGTON NORTHN SANTA FE CORP	50.0800	-$30,084.00	
05/04	Cash	PURCHASE	500.00000	CENTEX CORPORATION	57.8900	-$28,960.95	
05/04	Cash	PURCHASE	800.00000	CHESAPEAKE ENERGY CORP	19.2400	-$15,440.00	
05/04	Cash	PURCHASE	700.00000	CHESAPEAKE ENERGY CORP	19.2300	-$13,508.95	
05/04	Cash	PURCHASE	1,000.00000	COMMERCIAL METALS CO	26.1900	-$26,255.95	
05/04	Cash	PURCHASE	500.00000	DEVON ENERGY CORP	44.2200	-$22,145.95	
05/04	Cash	PURCHASE	500.00000	EXXON MOBIL CORP	56.7400	-$28,405.95	
05/04	Cash	PURCHASE	500.00000	HALLIBURTON COMPANY	42.5400	-$21,305.95	
05/04	Cash	PURCHASE	700.00000	UNITED STATES STEEL CORP	44.3000	-$31,057.95	$ 945,495.03
05/05	Cash	PURCHASE	700.00000	CHEVRONTEXACO CORP	53.4300	-$37,448.95	
05/05	Cash	PURCHASE	800.00000	CONOCOPHILLIPS	105.2600	-$84,261.95	
05/05	Cash	PURCHASE	500.00000	HOME DEPOT INC	37.3200	-$18,665.95	
05/05	Cash	PURCHASE	500.00000	TEXAS INDUSTRIES [redacted]	46.7500	-$23,410.95	
05/05	Cash	PURCHASE	500.00000	TEXAS INDUSTRIES 5.5% CONV PFD DUE 6/2/28 CALLABLE 6/05/01	45.9500	-$23,010.95	$ 758,667.18

3169

FIRST DALLAS SECURITIES
2005 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

May 1 - May 31, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
05/12	Cash	NAME CHANGE	-1,000.00000	CHEVRONTEXACO CORP XXX			
05/12	Cash	NAME CHANGE	1,000.00000	CHEVRON CORPORATION CHG FR CHEVRONTEXACO CO @ 1.00			$758,667.18
05/13	Cash	DIVIDEND		CRESCENT REAL ESTATE REIT EQUITIES TRUST 051305 1,000		$375.00	
05/13	Cash	PRTNRSHP DIST		PLAINS ALL AMERICAN PIPELINE LP 051305 500		$318.75	$759,360.93
05/20	Cash	PURCHASE	1,000.00000	CRESCENT REAL ESTATE REIT EQUITIES TRUST	18.6000	-$18,665.95	
05/20	Cash	PURCHASE	200.00000	EBAY INC	36.1899	-$7,255.93	
05/20	Cash	PURCHASE	500.00000	HELEN OF TROY LIMITED	23.1899	-$11,630.90	
05/20	Cash	PURCHASE	700.00000	KERR-MC GEE CORPORATION	70.9387	-$49,705.04	
05/20	Cash	PURCHASE	500.00000	LEGG MASON INC	83.2400	-$41,655.95	
05/20	Cash	PURCHASE	425.00000	ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	21.9499	-$9,360.16	
05/20	Cash	PURCHASE	1,000.00000	TRUE RELIGION APPAREL INC	12.7663	-$12,832.25	$608,254.75
05/23	Cash	DIVIDEND		TEXAS INSTRUMENTS INC 052305 700		$17.50	
05/23	Cash	PURCHASE	1,000.00000	ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	22.4000	-$22,465.95	$585,806.30
05/26	Cash	PURCHASE	1,000.00000	CHESAPEAKE ENERGY CORP AS OF 5/23/05	19.3000	-$19,365.95	$566,440.35
05/27	Cash	DIVIDEND		TEXAS INDUSTRIES INC 052705 500		$37.50	$566,477.85

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MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No
067 / FZ / FZ99 / [redacted]

May 1 - May 31, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
05/31	Cash	DIVIDEND		HAGGAR CORP 053105 1,000		$50.00	
05/31	Cash	DIVIDEND		EVERGREEN MONEY MKT FD CL A 053105 566.477		$1,143.20	$ 567,671.05

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
05/01			BEGINNING BALANCE	$217,830.53
05/04	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$500,000.00
05/05	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$500,000.00
05/09	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$272,334.60
05/10	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$186,828.75
05/17	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$693.75
05/25	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$151,088.68
05/26	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$22,465.95
05/27	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$10,365.95
05/31	Cash	REINVST DIV/INT	EVERGREEN MONEY MKT FD CL A	$1,143.20
05/31	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$37.50
05/31			ENDING BALANCE	$567,621.05

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3171

Investment Account

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ99	/ [redacted]

June 1 - June 30, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS TX 75201
214 954 1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on May 31	Value on June 30	Est. Ann. Income	% Total Assets
Cash and Money Market	$567,671.05	$120,075.87	2,385.66	5.72
Stocks and Options	$1,859,272.00	$1,930,122.30	22,143.58	91.98
Preferreds/Fixed Rate Cap Secs	$45,500.00	$48,200.00	2,750.00	2.30
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$2,472,443.05**	**$2,098,398.17**	**27,279.24**	**100%**
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$2,472,443.05**	**$2,098,398.17**		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on May 31	Value/Cost on June 30
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements-Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options
- Preferreds/Fixed Rate Cap Secs

Cash Activity Summary

	Credits	Debits	Year to Date
Total Cash and Money Market Funds on May 31:			**$567,671.05**
Securities Purchased	$159,014.68	- $181,907.90	- $1,088,223.37
Securities Sold and Redeemed	$71,201.15	$0.00	$212,607.56
Deposits and Withdrawals	$0.00	$0.00	$1,490,000.00
Withdrawals by Check	$0.00	$0.00	- $8,309.16
Electronic Banking Activity	$0.00	$0.00	$0.00
Dividends	$2,884.21	$0.00	$7,069.90
Interest	$687.50	$0.00	$1,031.25
Money Market Income	$525.18	$0.00	$2,250.28
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$625.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	- $500,000.00	$500,000.00
Total Activity	$234,312.72	- $681,907.90	
Total Cash and Money Market Funds on June 30:			**$120,075.87**

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $8,101.15

Tax-exempt income and other income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

June 1 - June 30, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield when available, reflects the current estimated annual income divided by the current value of the security as of the settlement closing date. The information used to derive these estimates is obtained from various outside vendors. FCG is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	$792.50		
EVERGREEN MONEY MKT FD CL A Interest Period 06/01/05 - 06/30/05	$119,283.37	$2,385.66	2.00
Total Cash and Money Market Funds	**$120,075.87**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann Yield (%)
[illegible] NORTHERN SANTA [illegible]	[illegible]	3,400	47.0800	$160,072.00	$2,312.00	1.44
[illegible] CORPORATION	CTX	1,000	70.6700	$70,670.00	$160.00	0.22
[illegible] SAPEAKE ENERGY CORP	CHK	3,000	22.8000	$68,400.00	$600.00	0.87
CHEVRON CORPORATION	CVX	1,000	55.9200	$55,920.00	$1,800.00	3.21
COMMERCIAL METALS CO	CMC	3,000	23.8200	$71,460.00	$720.00	1.00
[illegible] INC COMMON	[illegible]	2,000	57.4900	$114,980.00	$2,480.00	2.15
CORNERSTONE REAL ESTATE INVESTMENTS TRUST	CRT	1,000	18.7500	$18,750.00	$1,500.00	8.00
DEVON ENERGY CORP	DVN	1,000	50.6800	$50,680.00	$300.00	0.59
[illegible] GENERAL CORP	DRCT	1,500	18.6100	$27,915.00	$240.00	0.85
[illegible]	[illegible]	2,000	7.3800	$14,760.00	N/A	N/A
[illegible] COMMUNICATIONS	[illegible]	1,000	13.7100	$13,710.00	N/A	N/A
[illegible] FINANCIAL	[illegible]	1,000	13.9900	$13,990.00	N/A	N/A
[illegible] CORPORATION	[illegible]	1,000	51.3300	[illegible] 00	$1,600.00	3.11
[illegible]	[illegible]	1,500	57.4700	$86,205.00	$1,740.00	2.01
[illegible]	[illegible]	1,000	20.3500	$20,350.00	$200.00	0.98

3173

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

June 1 - June 30, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
[illegible] COMPANY	[illegible]	1,500	47.8200	$71,730.00	$750.00	1.04
[illegible] LIMITED	HULL	1,000	25.4600	$25,460.00	N/A	N/A
HOME DEPOT INC	HD	2,000	38.9000	$77,800.00	$800.00	1.02
INTERNATIONAL SPEEDWAY CORP CL A	ISCA	500	56.2400	$28,120.00	$30.00	0.10
[illegible] INC (NEW)	INTZ	8,141	3.3000	$26,865.30	N/A	N/A
ISHARES DOW JONES U S TRANSPORTATION INDEX FD	IYT	200	62.5700	$12,514.00	$76.58	0.61
ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	FXI	200	57.1100	$11,422.00	N/A	N/A
KEYCORP NEW CORPORATION	KMG	1,000	76.3100	$76,310.00	$200.00	0.26
LEGG MASON INC	LM	1,000	104.1100	$104,110.00	$600.00	0.57
LIFE TIME FITNESS INC	LTM	1,000	32.8100	$32,810.00	N/A	N/A
LOWES INC	LOW	15,000	11.9500	$179,250.00	N/A	N/A
NEW PLAN EXCEL RLTY TR INC	NXL	1,000	27.1700	$27,170.00	$1,650.00	6.07
PLAINS ALL AMERICAN PIPELINE LP	PAA	500	43.8600	$21,930.00	$1,275.00	5.81
[illegible] MOUNTAIN CHOCOLATE FACTORY INC (NEW)	TOUCH	4,000	22.0000	$88,000.00	$1,080.00	1.22
TEREX CORPORATION NEW	TEX	2,000	39.4000	$78,800.00	N/A	N/A
TEXAS INDUSTRIES INC	TXI	1,000	56.2300	$56,230.00	$300.00	0.53
TEXAS INSTRUMENTS INC	TXN	700	28.0700	$19,649.00	$70.00	0.35
TRUE RELIGION APPAREL INC	TRLG	1,000	13.7000	$13,700.00	N/A	N/A
UNITED STATES STEEL CORP	X	2,000	34.3700	$68,740.00	$800.00	1.16
XCEL ENERGY INC	XEL	1,000	19.5200	$19,520.00	$860.00	4.40
YELLOW ROADWAY CORP	YELL	1,000	50.8000	$50,800.00	N/A	N/A
Total Stocks				**$1,930,122.30**	**$22,143.58**	**1.15**
Total Stocks and Options				**$1,930,122.30**	**$22,143.58**	**1.15**

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

June 1 - June 30, 2005

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
TEXAS INDUSTRIES 5.5% CONV PFD DUE 02/26 CALLABLE 6/05/01	TXIS	1,000	48.2000	$48,200.00	$2,750.00	5.70
Total Preferreds/Fixed Rate Cap Securities				$48,200.00	$2,750.00	5.71

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
06/01				BEGINNING BALANCE			$567,671.05
06/01	Cash	DIVIDEND		CONOCOPHILLIPS 060105 1,000		$820.00	
06/01	Cash	PURCHASE	300.00000	KERR-MC GEE CORPORATION	73.4700	-$22,064.95	$546,226.10
06/02	Cash	STOCK DISTRIB	1,000.00000	CONOCOPHILLIPS			$546,226.10
06/03	Cash	DIVIDEND		BOEING CO 060305 500		$125.00	$546,351.10
06/07	Cash	PURCHASE	600.00000	EXELON CORPORATION	48.9100	-$29,382.00	
06/07	Cash	PURCHASE	200.00000	EXELON CORPORATION	48.9200	-$9,801.95	
06/07	Cash	PURCHASE	200.00000	EXELON CORPORATION	48.9000	-$9,792.00	
06/07	Cash	PURCHASE	1,000.00000	XCEL ENERGY INC	18.9400	-$19,005.95	$478,369.20
06/10	Cash	DIVIDEND		CHEVRON CORPORATION 061005 1,000		$450.00	
06/10	Cash	DIVIDEND		EXXON MOBIL CORP 061005 2,000		$580.00	
06/10	Cash	DIVIDEND		UNITED STATES STEEL CORP 061005 2,000		$200.00	$479,599.20
06/13	Cash	CANCEL	-600.00000	BURLINGTON NORTHN SANTA FE CORP [redacted] AS OF 5/04/05		$30,084.00	
06/13	Cash	CANCEL	-500.00000	CENTEX CORPORATION AS OF 5/04/05		$28,980.95	

3175

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

June 1 - June 30, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
06/24	Cash	DIVIDEND		ISHARES DOW JONES U S TRANSPORTATION INDEX FD 062405 200		$26.71	$ 129,528.04
06/27	Cash	SALE	- 500.00000	BOEING CO	60.0000	$29,962.79	
06/27	Cash	SALE	- 500.00000	CENTEX CORPORATION	69.0200	$34,472.60	
06/27	Cash	SALE	- 200.00000	EBAY INC	33.9200	$6,765.76	
06/27	Cash	PURCHASE	500.00000	LEGG MASON INC	98.4400	-$49,255.95	
06/27	Cash	PURCHASE	1,000.00000	LIFE TIME FITNESS INC	32.6500	-$32,715.95	$ 118,758.19
06/30	Cash	DIVIDEND		DEVON ENERGY CORP 063005 1,000		$75.00	
06/30	Cash	DIVIDEND		INTERNATIONAL SPEEDWAY CORP CL A 063005 600		$30.00	
06/30	Cash	INTEREST		TEXAS INDUSTRIES 5 5% CONV PFD DUE 6/0/28 CALLABLE 6/05/01 063005 1,000		$687.50	
06/30	Cash	DIVIDEND		EVERGREEN MONEY MKT FD CL A 063005 118,758		$525.18	$ 120,075.87

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
06/01			BEGINNING BALANCE	$567,621.05
06/06	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$21,269.95
06/10	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$67,081.90
06/13	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$1,230.00
06/14	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$132,758.73

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub	Branch	Rep	Account No.
067	FZ	FZ99	[redacted]

ACCOUNT STATEMENT

June 1 - June 30, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
06/13	Cash	CANCEL	- 1,000.00000	CHESAPEAKE ENERGY CORP AS OF 5/23/05		$19,365.95	
06/13	Cash	CANCEL	- 1,000.00000	CRESCENT REAL ESTATE HEIT EQUITIES TRUST AS OF 5/20/05		$18,665.05	
06/13	Cash	CANCEL	- 200.00000	EBAY INC AS OF 5/20/05		$7,255.93	
06/13	Cash	CANCEL	- 500.00000	EXXON MOBIL CORP AS OF 5/04/05		$28,405.95	$ 612,357.03
06/14	Cash	CANCEL	- 1,000.00000	COMMERCIAL METALS CO AS OF 5/04/05		$26,255.95	
06/14	Cash	ADJUSTMENT		TO 85260770 DEPOSIT CORRECTION AS OF 5/04/05		-$500,000.00	
06/14	Cash	STOCK DISTRIB	1,000.00000	ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)			$ 138,613.88
06/15	Cash	DIVIDEND		DIRECT GENERAL CORP 061505 1,500		$60.00	$ 138,673.88
06/16	Cash	DIVIDEND		ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW) 061605 3,000		$270.00	
06/16	Cash	PURCHASE	2,105.00000	INTRUSION INC (NEW)	3.1000	-$6,651.80	
06/16	Cash	PURCHASE	800.00000	INTRUSION INC (NEW)	3.0500	-$2,493.95	
06/16	Cash	PURCHASE	238.00000	INTRUSION INC (NEW)	3.0900	-$743.40	$ 129,054.73
06/22	Cash	DIVIDEND		CENTEX CORPORATION 062205 1,500		$60.00	$ 129,114.73
06/23	Cash	DIVIDEND		HALLIBURTON COMPANY 062305 1,500		$187.50	
06/23	Cash	DIVIDEND		HOME DEPOT INC [redacted] 062305 2,000		$200.00	$ 129,502.23

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FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub	Branch	Rep	Account No.
067	FZ	FZ99	[redacted]

June 1 - June 30, 2005

Money Fund/Bank Deposit Sweep Activity continued

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
06/15	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$473,744 05
06/21	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$9,559 15
06/28	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$474 21
06/30	Cash	REINVST DIV/INT	EVERGREEN MONEY MKT FD CL A	$525 18
06/30	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$10,770 75
06/30			ENDING BALANCE	$119,283.37

3178

Investment Account

FIRST DALLAS SECURITIES
5005 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No
067 / FZ	/ FZ99	[redacted]

July 1 - July 31, 2005

Your Investment Broker:
[illegible]
5005 MAPLE AVENUE
DALLAS, TX 75201
214 [illegible]

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on June 30	Value on July 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$120,075.87	$39,830.03	852.36	1.72
Stocks and Options	$1,930,122.30	$2,170,224.28	23,158.42	93.62
Preferreds/Fixed Rate Cap Secs	$48,200.00	$108,000.00	5,500.00	4.66
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$2,098,398.17**	**$2,318,054.31**	29,510.78	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$2,098,398.17**	**$2,318,054.31**		

Other Assets

These positions reflect purchases made through us or information supplied to us, they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC

	Value/Cost on June 30	Value/Cost on July 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Asset Allocation (Portfolio Assets)

- Stocks and Options
- Preferreds/Fixed Rate Cap Secs
- Other

Cash Activity Summary

	Credits	Debits	Year-to-Date
Total Cash and Money Market Funds on June 30:			$120,075.87
Securities Purchased	$0.00	-$92,521.55	-$1,178,744.92
Securities Sold and Redeemed	$12,883.51	$0.00	$225,491.07
Deposits and Withdrawals	$3,641.61	$0.00	$1,491,641.61
Withdrawals by Check	$0.00	-$6,014.56	-$14,323.72
ATM and CheckCard Activity	$0.00	$0.00	$0.00
Dividends	$1,645.50	$0.00	$8,715.40
Interest	$0.00	$0.00	$1,031.25
Money Market Income	$119.65	$0.00	$2,369.93
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$625.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	-$500,000.00
Total Activity	$18,290.27	-$98,536.11	
Total Cash and Money Market Funds on July 31:			$39,830.03

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $9,746.65

Tax-exempt income and other income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

July 1 - July 31, 2005

Portfolio Assets

[illegible] Money Market Funds, when available, reflects the current estimated yield for the interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn [illegible] current [illegible] income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of this Statement Date. The information used to derive these estimates is obtained from various outside vendors. FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
EVERGREEN MONEY MKT FD CLA Interest Period 07/01/05 - 07/31/05	$39,830.03	$852.36	2.14
Total Cash and Money Market Funds	**$39,830.03**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
[illegible] NORTHERN SANTA [illegible]	[illegible]	3,400	54.2500	$184,450.00	$2,720.00	1.47
[illegible] CORPORATION	[illegible]	1,000	73.9800	$73,980.00	$160.00	0.21
[illegible] ENERGY CORP	[illegible]	3,000	26.1100	$78,330.00	$600.00	0.76
[illegible] CORPORATION	CVX	1,000	58.0100	$58,010.00	$1,800.00	3.10
[illegible] METALS CO	GMC	3,000	28.7400	$86,220.00	$720.00	0.83
[illegible]	COP	2,000	62.5900	$125,180.00	$2,480.00	1.98
[illegible] CENTRAL ESTATE [illegible] EQUITIES TRUST	[illegible]	1,000	19.5200	$19,520.00	$1,500.00	7.68
[illegible] ENERGY CORP	DVN	1,000	56.0900	$56,090.00	$300.00	0.53
[illegible] GENERAL CORP	[illegible]	1,500	18.5900	$27,885.00	$240.00	0.86
[illegible] INC	[illegible]	2,000	6.9000	$13,800.00	N/A	N/A
[illegible] CORP MASS	LMP	1,000	13.6900	$13,690.00	N/A	N/A
[illegible] TRADE FINANCIAL	[illegible]	1,000	15.5100	$15,510.00	N/A	N/A
[illegible] CORPORATION	[illegible]	1,000	53.5200	$53,520.00	$1,600.00	2.98
[illegible] CORP	[illegible]	1,500	58.7500	[illegible]125.00	$1,740.00	1.07
[illegible] CORP	[illegible]	1,000	22.5000	$22,500.00	$200.00	0.88
[illegible] COMPANY	HAL	1,500	56.0500	$84,075.00	$750.00	0.89

3181

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

ACCOUNT STATEMENT

July 1 - July 31, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est Ann Income	Est Ann Yield (%)
HELENTA TROY LIMITED	HELE	1,000	23.4400	$23,440.00	N/A	N/A
HOME DEPOT INC	HD	2,000	43.5100	$87,020.00	$800.00	0.91
INTERNATIONAL SPEEDWAY CORP CL A	ISCA	500	58.1400	$29,070.00	$30.00	0.10
INTRUSION INC (NEW)	INTZ	8,141	3.0800	$25,074.28	N/A	N/A
ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	FXI	200	61.7600	$12,352.00	N/A	N/A
ISHARES MSCI BRAZIL INDEX	EWZ	300	25.3700	$7,611.00	$138.42	1.81
KERR-MCGEE CORPORATION	KMG	1,000	80.2100	$80,210.00	$200.00	0.24
LEGG MASON INC	LM	1,000	102.1500	$102,150.00	$720.00	0.70
LIFE TIME FITNESS INC	LTM	1,000	33.6000	$33,600.00	N/A	N/A
LOBY'S INC	LOB	15,000	14.1000	$211,500.00	N/A	N/A
NEW PLAN EXCEL REALTY TR INC	NXL	1,000	27.3800	$27,380.00	$1,650.00	6.02
PLANTATION AUSTRALIAN DOLLAR	PAA	500	47.0600	$23,530.00	$1,300.00	5.52
PLANTATION CANADIAN DEPOSITARY TRUST (NEW)	PACD	4,000	24.1200	$96,480.00	$1,080.00	1.11
TEREX CORPORATION NEW	TEX	2,000	48.4200	$96,840.00	N/A	N/A
TEXAS INDUSTRIES INC	TXI	1,000	73.6300	$73,630.00	$300.00	0.40
TEXAS INSTRUMENTS INC	TXN	700	31.7600	$22,232.00	$70.00	0.31
TRUE RELIGION APPAREL INC	TRLG	1,000	16.9500	$16,950.00	N/A	N/A
UNITED STATES STEEL CORP	X	3,000	42.6500	$127,950.00	$1,200.00	0.93
VALERO ENERGY INC	VLO	1,000	19.4100	$19,410.00	$860.00	4.43
YELLOW ROADWAY CORP	YELL	1,000	52.9100	$52,910.00	N/A	N/A
Total Stocks				**$2,170,224.28**	**$23,158.42**	**1.07**
Total Stocks and Options				**$2,170,224.28**	**$23,158.42**	**1.07**

3182

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No.
067 / FZ . / FZ99 / [redacted]

July 1 - July 31, 2005

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
TEXAS INDUSTRIES 5.5% CONV PFD DUE 6/2/28 CALLABLE 6/05/01	TXIS	2,000	54.0000	$108,000.00	$5,500.00	5.09
Total Preferreds/Fixed Rate Cap Securities				**$108,000.00**	**$5,500.00**	**5.09**

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
07/01				BEGINNING BALANCE			$ 120,075.87
07/01	Cash	DIVIDEND		BURLINGTON NORTHN SANTA FE CORP 070105 3,400		$578.00	
07/01	Cash	DIVIDEND		KERR MC GEE CORPORATION 070105 700		$35.00	$ 120,688.87
07/05	Cash	DIVIDEND		LEGG MASON INC 070505 500		$75.00	$ 120,763.87
07/07	Cash	PURCHASE	1,000.00000	TEXAS INDUSTRIES 5.5% CONV PFD DUE 6/2/28 CALLABLE 6/05/01	48.9357	-$49,001.65	
07/07	Cash	PURCHASE	1,000.00000	UNITED STATES STEEL CORP	35.8400	-$35,905.95	$ 35,855.27
07/12	Cash	SALE	- 200.00000	ISHARES DOW JONES U S TRANSPORTATION INDEX FD	64.5100	$12,883.51	
07/12	Cash	PURCHASE	300.00000	ISHARES MSCI BRAZIL INDEX	25.3000	-$7,613.95	$ 41,125.83
07/14	Cash	CHECK ISSUED		CHK ISS #BR86713508 MGMT FEES		-$6,014.56	$ 35,111.27
07/15	Cash	DIVIDEND		CHESAPEAKE ENERGY CORP 071505 3,000		$150.00	
07/15	Cash	DIVIDEND		COMMERCIAL METALS CO 071505 3,000		$180.00	

3183

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No
067 / FZ / FZ99 [redacted]

ACCOUNT STATEMENT

July 1 - July 31, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
07/15	Cash	DIVIDEND		NEW PLAN EXCEL RLTY TR INC 071505 1,000		$412.50	$35,853.77
07/20	Cash	DIVIDEND		XCEL ENERGY INC 072005 1,000		$215.00	$36,068.77
07/22	Cash	DEPOSIT		FUNDS RECD		$3,641.61	$39,710.38
07/29	Cash	DIVIDEND		EVERGREEN MONEY MKT FD CL A 072905 39,710		$119.65	$39,830.03

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
07/01			BEGINNING BALANCE	$119,283.37
07/05	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$1,405.50
07/12	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$84,832.60
07/15	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$745.00
07/19	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$742.50
07/29	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$3,856.61
07/29	Cash	REINVST DIVINT	EVERGREEN MONEY MKT FD CL A	$119.65
07/31			ENDING BALANCE	$39,830.03

Investment Account

FIRST DALLAS SECURITIES
2909 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No
067 / FZ	/ FZ99	/ [redacted]

August 1 - August 31, 2005

Your Investment Broker
[illegible]

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on July 31	Value on Aug 31	Est. Ann Income	% Total Assets
Cash and Money Market	$39,830.03	$11,878.00	709.23	0.51
Stocks and Options	$2,170,224.28	$2,190,241.36	25,330.70	94.40
Preferreds/Fixed Rate Cap Secs	$108,000.00	$118,000.00	5,500.00	5.09
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$2,318,054.31**	**$2,320,119.36**	**31,539.93**	**100%**
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$2,318,054.31**	**$2,320,119.36**		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on July 31	Value/Cost on Aug 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Asset Allocation (Portfolio Assets)

- Stocks and Options
- Preferreds/Fixed Rate Cap Secs
- Other

Cash Activity Summary

	Credits	Debits	Year to Date
Total Cash and Money Market Funds on July 31:			**$39,830.03**
Securities Purchased	$0.00	- $147,270.75	$1,326,015.67
Securities Sold and Redeemed	$118,385.81	$0.00	$343,876.88
Deposits and Withdrawals	$0.00	$0.00	$1,493,641.61
Withdrawals by Check	$0.00	$0.00	- $14,323.72
ATM and CheckCard Activity	$0.00	$0.00	$0.00
Dividends	$557.50	$0.00	$9,272.90
Interest	$0.00	$0.00	$1,031.25
Money Market Income	$50.41	$0.00	$2,420.34
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$325.00	$0.00	$950.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	- $500,000.00
Total Activity	$119,318.72	- $147,270.75	
Total Cash and Money Market Funds on Aug 31:			**$11,878.00**

Tax-Exempt Income Year-to-Date: **$0.00**
Other Inco[redacted]Date: **$10,304.15**

Tax-exempt income and other income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ99	/ [redacted]

August 1 - August 31, 2005

Portfolio Assets

[illegible] Money Market Funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn [illegible] income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the [illegible] The information used to derive these estimates is obtained from various outside vendors. FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
[illegible]	$18,561.15		
[illegible] MARKET FUND [illegible]	$30,439.15	$709.23	2.33
[illegible]			
Total Cash and Money Market Funds	**$11,878.00**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	*Est. Ann. Income	Est Ann Yield(%)
[illegible] SANTA [illegible]	[illegible]	3,400	53.0200	$180,268.00	$2,720.00	1.50
[illegible] CORPORATION	[illegible]	1,000	67.7500	$67,750.00	$160.00	0.23
[illegible] STEEL	[illegible]	2,000	22.3200	$44,640.00	N/A	N/A
[illegible] ENERGY CORP	[illegible]	3,000	31.6100	$94,830.00	$600.00	0.63
[illegible] CORPORATION	[illegible]	1,000	61.4000	$61,400.00	$1,800.00	2.93
[illegible]	[illegible]	3,000	29.9300	$89,790.00	$720.00	0.80
[illegible] PROPERTIES	[illegible]	2,000	65.9400	$131,880.00	$2,480.00	1.88
[illegible] REAL ESTATE [illegible]	[illegible]	2,000	19.6300	$39,260.00	$3,000.00	7.64
[illegible]	[illegible]	1,000	60.7700	$60,770.00	$300.00	0.49
[illegible]	[illegible]	2,000	6.7700	$13,540.00	N/A	N/A
[illegible]	[illegible]	1,000	12.8600	$12,860.00	N/A	N/A
[illegible]	[illegible]	1,000	53.8900	[illegible]	$1,600.00	2.96
[illegible]	[illegible]	1,500	59.9000	$89,850.00	$1,740.00	1.93
[illegible]	[illegible]	1,000	23.1400	$23,140.00	$200.00	0.86
HALLIBURTON COMPANY	HAL	1,500	62.0000	$93,000.00	$750.00	0.80

3187

FIRST DALLAS SECURITIES
2005 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

August 1 - August 31, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
HELEN OF TROY LIMITED	HELE	1,000	22.7600	$22,760.00	N/A	N/A
HOME DEPOT INC	HD	2,000	40.3200	$80,640.00	$800.00	0.99
INTERNATIONAL SPEEDWAY CORP CL A	ISCA	500	56.0700	$28,035.00	$30.00	0.10
INTERTOUSAN INC (NEW)	INTZ	8,141	2.9600	$24,097.36	N/A	N/A
ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	FXI	500	61.7900	$30,895.00	N/A	N/A
ISHARES MSCI BRAZIL INDEX	EWZ	500	28.0900	$14,045.00	$230.70	1.64
KERR-MC GEE CORPORATION	KMG	1,000	88.0300	$88,030.00	$200.00	0.22
LEGG MASON INC	LM	1,000	104.5300	$104,530.00	$720.00	0.68
LIFE TIME FITNESS INC	LTM	1,000	33.5900	$33,590.00	N/A	N/A
LILLY'S INC	LUB	10,000	13.1400	$131,400.00	N/A	N/A
LUFKIN INDS INC	LUFK	1,000	46.1400	$46,140.00	$360.00	0.78
NEW PLAN EXCEL RLTY TR INC	NXL	1,000	23.9500	$23,950.00	$1,250.00	5.21
PALM HBT HOMES INC	PHHM	1,000	18.4700	$18,470.00	N/A	N/A
PLAINS ALL AMERICAN PIPELINE LP	PAA	500	46.8500	$23,425.00	$1,300.00	5.54
ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	RMCF	4,000	18.0300	$72,120.00	$1,080.00	1.49
TEREX CORPORATION NEW	TEX	2,000	48.7800	$97,560.00	N/A	N/A
TEXAS INDUSTRIES INC	TXI	1,000	59.8100	$59,810.00	$300.00	0.50
TEXAS INSTRUMENTS INC	TXN	700	32.6800	$22,876.00	$70.00	0.30
UNITED STATES STEEL CORP	X	3,000	41.9200	$125,760.00	$1,200.00	0.95
XCEL ENERGY INC	XEL	2,000	19.2400	$38,480.00	$1,720.00	4.46
YELLOW ROADWAY CORP	YELL	1,000	46.8500	[redacted]	N/A	N/A
Total Stocks				**$2,190,241.36**	**$25,330.70**	**1.16**
Total Stocks and Options				**$2,190,241.36**	**$25,330.70**	**1.16**

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

August 1 - August 31, 2005

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
[illegible]	[illegible]	2,000	59.0000	$118,000.00	$5,500.00	4.66
Total Preferreds/Fixed Rate Cap Securities				$118,000.00	$5,500.00	4.66

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
08/01				BEGINNING BALANCE			$ 39,830.03
08/01	Cash	PURCHASE	300.00000	ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	62.5000	-$18,773.95	
08/01	Cash	PURCHASE	200.00000	ISHARES MSCI BRAZIL INDEX	25.7800	-$5,173.95	
08/01	Cash	PURCHASE	200.00000	LUFKIN INDS INC	48.9100	-$9,799.95	
08/01	Cash	DISTRIBUTION	1,000.00000	CHAPARRAL STEEL SPIN FR TEX INDUSTRIES I			$ 6,082.18
08/09	Cash	SALE	- 1,500.00000	DIRECT GENERAL CORP	17.5174	$26,179.05	
08/09	Cash	SALE	- 1,000.00000	E TRADE FINANCIAL	16.5600	$16,493.35	
08/09	Cash	SALE	- 1,000.00000	TRUE RELIGION APPAREL INC	15.5000	$15,433.40	
08/09	Cash	PURCHASE	300.00000	LUFKIN INDS INC	47.9100	-$14,396.95	$ 40,791.03
08/12	Cash	PRTNRSHP DIST		PLAINS ALL AMERICAN PIPELINE LP 081205 500		$325.00	
08/12	Cash	SALE	- 5,000.00000	LUBYS INC	12.1177	$60,280.01	$ 110,336.04
08/15	Cash	DIVIDEND		CRESCENT REAL ESTATE REIT EQUITIES TRUST 081506 1,000		$375.00	$ 110,771.04
08/16	Cash	PURCHASE	1,000.00000	CHAPARRAL STEEL [redacted]	18.4000	-$18,465.95	
08/16	Cash	PURCHASE	600.00000	CRESCENT REAL ESTATE REIT EQUITIES TRUST	19.1900	-$11,550.00	

3189

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

August 1 - August 31, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
08/16	Cash	PURCHASE	400.00000	CRESCENT REAL ESTATE REIT EQUITIES TRUST	19.1800	-$7,701.95	
08/16	Cash	PURCHASE	500.00000	LUFKIN INDS INC	48.0300	-$24,050.95	
08/16	Cash	PURCHASE	1,000.00000	XCEL ENERGY INC	18.7300	-$18,795.95	$ 30,206.24
08/22	Cash	DIVIDEND		TEXAS INSTRUMENTS INC 082205 700		$17.50	$ 30,223.74
08/24	Cash	DIVIDEND		CENTEX CORPORATION 082405 1,000		$40.00	$ 30,263.74
08/26	Cash	DIVIDEND		TEXAS INDUSTRIES INC 082605 1,000		$75.00	$ 30,338.74
08/29	Cash	DIVIDEND		HAGGAR CORP 082905 1,000		$50.00	$ 30,388.74
08/31	Cash	DIVIDEND		EVERGREEN MONEY MKT FD CL A 083105 30,388		$50.41	
08/31	Cash	PURCHASE	1,000.00000	PALM HBR HOMES INC	18.4952	-$18,561.15	$ 11,876.00

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
08/01			BEGINNING BALANCE	$39,830.03
08/04	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$33,747.85
08/15	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$44,033.85
08/16	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$375.00
08/19	Cash	TRANSFER FROM	EVERGREEN MONEY [redacted] CL A	-$20,284.79
08/30	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$17.50

Money Fund/Bank Deposit Sweep Activity continued

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Activity	Transaction	Description	Amount
08/01	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$105.00
08/31	Cash	REINVST DIVINT	EVERGREEN MONEY MKT FD CL A	$50.31
08/31			ENDING BALANCE	$30,400.15

[redacted]

Investment Account

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No
067 / FZ	/ FZ99	/ [redacted]

September 1 - September 30, 2005

Your Investment Broker:
FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TX 75201
214 924 1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

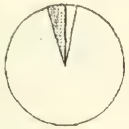
	Value on Aug 31	Value on Sept 30	Est Ann Income	% Total Assets
Cash and Money Market	$11,878.00	$76,404.80	1,933.83	3.18
Stocks and Options	$2,190,241.36	$2,216,730.00	26,660.70	92.24
Preferreds/Fixed Rate Cap Secs	$118,000.00	$110,000.00	5,500.00	4.58
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities Insurance	$0.00	$0.00	0.00	
Real Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$2,320,119.36**	**$2,403,134.80**	**34,094.53**	**100%**
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$2,320,119.36**	**$2,403,134.80**		

Other Assets

These positions reflect purchases made through us or information supplied to us, they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC

	Value/Cost on Aug 31	Value/Cost on Sept 30
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Asset Allocation (Portfolio Assets)



- Cash and Money Market
- Stocks and Options
- Preferreds/Fixed Rate Cap Secs

Cash Activity Summary

Total Cash and Money Market Funds on Aug 31:			**$11,878.00**
	Credits	Debits	Year to Date
Securities Purchased	$0.00	- $71,864.31	- $1,397,879.98
Securities Sold and Redeemed	$128,892.01	$0.00	$472,768.89
Deposits and Withdrawals	$0.00	$0.00	$1,493,641.61
Withdrawals by Check	$0.00	$0.00	- $14,323.72
ATM and Check/Card Activity	$0.00	$0.00	$0.00
Dividends	$6,027.50	$0.00	$15,300.40
Interest	$1,375.00	$0.00	$2,406.25
Money Market Income	$96.60	$0.00	$2,516.94
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$950.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity	$0.00	$0.00	- $500,000.00
Total Activity	$136,391.11	- $71,864.31	
Total Cash and Money Market Funds on Sept 30:			**$76,404.80**

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $17,706.65

Tax-exempt income and other income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. (Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No
067 / FZ / FZ99 / [redacted]

September 1 - September 30, 2005

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a quantity of your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. income	Est current yield(%)
Cash	$1,450.00		
FIDELITY INSTITUTIONAL MONEY MKT FD CLASS A	$74,954.80	$1,933.83	2.58
Interest Period 09/01/05 - 10/01/05			
Total Cash and Money Market Funds	**$76,404.80**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
[illegible] REGION NORTHERN SANTA [illegible]	[illegible]	3,400	59.8000	$203,320.00	$2,720.00	1.33
[illegible] CORPORATION	[illegible]	1,000	64.5800	$64,580.00	$160.00	0.24
[illegible]	[illegible]	2,000	25.2200	$50,440.00	N/A	N/A
[illegible] ENERGY [illegible]	[illegible]	3,000	38.2500	$114,750.00	$600.00	0.52
[illegible] CORPORATION	[illegible]	1,000	64.7300	$64,730.00	$1,800.00	2.78
[illegible]	[illegible]	3,000	33.7400	$101,220.00	$720.00	0.71
[illegible]	[illegible]	2,000	69.9100	$139,820.00	$2,480.00	1.77
[illegible] REAL ESTATE [illegible] TRUST	[illegible]	3,000	20.5100	$61,530.00	$4,500.00	7.31
[illegible]	[illegible]	1,000	68.6400	$68,640.00	$300.00	0.43
[illegible]	[illegible]	2,000	6.8500	$13,700.00	N/A	N/A
[illegible] CORPORATION	[illegible]	1,000	53.4400	$53,440.00	$1,600.00	2.99
[illegible]	[illegible]	1,500	63.5400	$95,310.00	$1,740.00	1.82
[illegible] COMPANY	[illegible]	1,500	68.5200	[illegible]780.00	$750.00	0.72
[illegible]	[illegible]	2,000	38.1400	$76,280.00	$800.00	1.04

3193

FIRST DALLAS SECURITIES
2005 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

September 1 - September 30, 2005

Stocks and Options

Stocks continued

[illegible]	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
[illegible]	[illegible]	500	52.4700	$26,235.00	$30.00	0.11
[illegible]	[illegible]	10,000	3.2300	$32,300.00	N/A	N/A
[illegible]	[illegible]	500	64.2400	$32,120.00	N/A	N/A
[illegible]	[illegible]	500	33.3200	$16,660.00	$230.70	1.38
[illegible]	[illegible]	1,000	97.1100	$97,110.00	$200.00	0.20
[illegible]	[illegible]	1,000	109.6900	$109,690.00	$720.00	0.65
[illegible]	[illegible]	2,000	33.1400	$66,280.00	N/A	N/A
[illegible]	[illegible]	5,000	13.0600	$65,300.00	N/A	N/A
[illegible]	[illegible]	1,000	43.5500	$43,550.00	$360.00	0.82
[illegible]	[illegible]	1,000	22.9500	$22,950.00	$1,250.00	5.44
[illegible]	[illegible]	1,000	19.4300	$19,430.00	N/A	N/A
[illegible]	[illegible]	500	42.6300	$21,315.00	$1,300.00	6.09
[illegible]	[illegible]	4,000	14.6000	$58,400.00	$1,080.00	1.84
[illegible]	[illegible]	2,000	49.4300	$98,860.00	N/A	N/A
[illegible]	[illegible]	1,000	54.4000	$54,400.00	$300.00	0.55
[illegible]	[illegible]	1,000	33.9000	$33,900.00	$100.00	0.29
UNITED STATES STEEL CORP	X	3,000	42.3500	$127,050.00	$1,200.00	0.94
[illegible]	[illegible]	2,000	19.6100	$39,220.00	$1,720.00	4.38
[illegible]	[illegible]	1,000	41.4200	$41,420.00	N/A	N/A
Total Stocks				$2,216,730.00	$26,660.70	1.20
Total Stocks and Options				$2,216,730.00	$26,660.70	1.20

3194

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

September 1 - September 30, 2005

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
[illegible]	[illegible]	2,000	55.0000	$110,000.00	$5,500.00	5.00
Total Preferreds/Fixed Rate Cap Securities				**$110,000.00**	**$5,500.00**	**5.00**

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
09/01				BEGINNING BALANCE			$ 11,878.00
09/01	Cash	DIVIDEND		CONOCOPHILLIPS 090105 2,000		$620.00	
09/01	Cash	SALE	- 1,000.00000	HADGAR CORP	28.2806	$28,213.46	$ 40,711.46
09/09	Cash	DIVIDEND		EXXON MOBIL CORP 090905 1,500		$435.00	
09/09	Cash	DIVIDEND		LUFKIN INDS INC 090905 1,000		$90.00	
09/09	Cash	SALE	- 1,700.00000	LUBY'S INC	13.7200	$23,221.02	
09/09	Cash	SALE	- 300.00000	LUBY'S INC	13.7500	$4,100.87	
09/09	Cash	PURCHASE	659.00000	INTRUSION INC (NEW)	3.1350	-$2,105.51	
09/09	Cash	PURCHASE	600.00000	INTRUSION INC (NEW)	3.1000	-$1,896.00	
09/09	Cash	PURCHASE	400.00000	INTRUSION INC (NEW)	3.1500	-$1,284.00	
09/09	Cash	PURCHASE	200.00000	INTRUSION INC (NEW)	3.0900	-$635.95	
09/09	Cash	PURCHASE	600.00000	LIFE TIME FITNESS INC	35.0000	-$21,036.00	
09/09	Cash	PURCHASE	200.00000	LIFE TIME FITNESS INC	34.9400	-$7,005.95	
09/09	Cash	PURCHASE	200.00000	LIFE TIME FITNESS INC	34.9500	-$7,002.00	
09/09	Cash	PURCHASE	300.00000	TEXAS INSTRUMENTS INC	33.9900	-$10,220.95	$ 17,371.99
09/12	Cash	DIVIDEND		CHEVRON CORPORATION [redacted] 091205 1,000		$450.00	
09/12	Cash	DIVIDEND		EXELON CORPORATION 091005 1,000 AS OF 9/10/05		$400.00	

3195

FIRST DALLAS SECURITIES
2600 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No
067 / FZ / FZ99 / [redacted]

ACCOUNT STATEMENT

September 1 - September 30, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
09/12	Cash	DIVIDEND		UNITED STATES STEEL CORP 091005 3,000 AS OF 9/10/05		$300.00	
09/12	Cash	SALE	- 1,000.00000	E M C CORP MASS	13.0200	$12,953.50	$ 31,475.40
09/13	Cash	SALE	- 3,000.00000	LUBYS INC	13.6300	$40,702.34	
09/13	Cash	PURCHASE	800.00000	CRESCENT REAL ESTATE REIT EQUITIES TRUST	20.6100	-$16,541.95	
09/13	Cash	PURCHASE	200.00000	CRESCENT REAL ESTATE REIT EQUITIES TRUST	20.6200	-$4,136.00	$ 51,499.88
09/15	Cash	DIVIDEND		HOME DEPOT INC 091505 2,000		$200.00	$ 51,699.88
09/16	Cash	DIVIDEND		ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW) 091605 4,000		$270.00	$ 51,969.88
09/23	Cash	DIVIDEND		HALLIBURTON COMPANY 092305 1,500		$187.50	$ 52,157.38
09/26	Cash	SALE	- 1,000.00000	HELEN OF TROY LIMITED	19.7676	$19,700.82	$ 71,858.20
09/27	Cash	DIVIDEND		NEW PLAN EXCEL RLTY TR INC 092705 1,000		$3,000.00	$ 74,858.20
09/30	Cash	DIVIDEND		DEVON ENERGY CORP 093005 1,000		$75.00	
09/30	Cash	INTEREST		TEXAS INDUSTRIES 5.5% CONV PFD DUE 6/2/26 CALLABLE 6/05/01 093005 2,000		$1,375.00	
09/10	Cash	DIVIDEND		EVERGREEN MONEY MKT FD CL A 093005 74,858		$96.60	$ 76,404.80

Sub / Branch / Rep / Account No
087 / FZ / FZ99 / [redacted]

September 1 - September 30, 2005

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

[illegible]	Account Type	Transaction	Description	Amount
[illegible]	Cash	TRANSFER FROM	BEGINNING BALANCE EVERGREEN MONEY MKT FD CL A	$30,439.15
[illegible]	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	-$17,941.15
[illegible]	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	$28,213.46
[illegible]	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	-$22,189.47
[illegible]	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$13,153.50
[illegible]	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$20,294.39
[illegible]	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$187.50
[illegible]	Cash	REINVST DIV/INT	EVERGREEN MONEY MKT FD CL A	$3,000.00
[illegible]	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$96.60
[illegible]			EVERGREEN MONEY MKT FD CL A	$19,700.82
[illegible]			ENDING BALANCE	$74,954.80

[redacted]

3197

Investment Account

FIRST DALLAS SECURITIES
2909 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub	Branch	Rep	Account No
067	FZ	FZ99	[redacted]

October 1 - October 31, 2005

Your Investment Broker:
[illegible]
[illegible] AVENUE
DALLAS, TEXAS 75201
[illegible]

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Sept 30	Value on Oct 31	Est. Ann. Income	% Total Assets
Cash and Money Market	$76,404.80	$303,046.59	8,333.78	13.18
Stocks and Options	$2,216,730.00	$1,891,331.50	25,260.70	82.26
Preferreds/Fixed Rate Cap Secs	$110,000.00	$104,800.00	5,500.00	4.56
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	$2,403,134.80	$2,299,178.09	39,094.48	100%
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	$2,403,134.80	$2,299,178.09		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Sept 30	Value/Cost on Oct 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	$0.00	$0.00

* ACH and Wire Transfer activity that occurred prior to July 1 is included in the YTD total for ATM and CheckCard Activity. ACH and Wire Transfer activity from July 1 forward is included in Other Activity.

Asset Allocation (Portfolio Assets)

- Cash and Money Market
- Stocks and Options
- Preferreds/Fixed Rate Cap Secs

Cash Activity Summary

Total Cash and Money Market Funds on Sept 30: $76,404.80

	Credits	Debits	Year to Date
Securities Purchased	$0.00	-$131,835.96	-$1,529,715.94
Securities Sold and Redeemed	$361,330.50	$0.00	$834,099.39
Deposits and Withdrawals	$0.00	$0.00	$1,493,641.61
Withdrawals by Check	$0.00	-$5,300.96	-$19,623.79
ATM and CheckCard Activity *	$0.00	$0.00	$0.00
Dividends	$1,982.50	$0.00	$17,282.90
Interest	$0.00	$0.00	$2,406.25
Money Market Income	$464.81	$0.00	$2,981.75
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$950.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity *	$0.00	$0.00	-$500,000.00
Total Activity	$363,777.81	-$137,136.92	
Total Cash and Money Market Funds on Oct 31:			$303,046.59

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $19,689.15

Tax-exempt income and other income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch	/ Reg	/ Account No
067 / FZ	/ FZ99	/ [redacted]

October 1 - October 31, 2005

Portfolio Assets

Currently Invested Balance in Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn [illegible] if your current investment in this related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the [illegible] date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current market value	Est. ann. Income	Est current yield(%)
FEDERATED NAM MNY MKT FD CLA	$303,046.59	$8,333.78	2.75
[illegible]			
Total Cash and Money Market Funds	**$303,046.59**		

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
BURLINGTON NORTHN SANTA FE CORP	BNI	3,400	62.0600	$211,004.00	$2,720.00	1.28
CHAPARRAL STEEL	CHAP	2,000	24.9800	$49,960.00	N/A	N/A
CHESAPEAKE ENERGY CORP	CHK	2,000	32.1000	$64,200.00	$400.00	0.62
CHEVRON CORPORATION	CVX	1,000	57.0700	$57,070.00	$1,800.00	3.15
COMMERCIAL METALS CO	CMC	3,000	31.7900	$95,370.00	$720.00	0.75
[illegible]	COP	2,000	65.3800	$130,760.00	$2,480.00	1.89
CRESCENT REAL ESTATE EQUITIES TRUST	CEI	3,000	19.9500	$59,850.00	$4,500.00	7.51
EMSCO INTERING	ESV	1,000	45.5900	$45,590.00	$100.00	0.21
EXXON CORPORATION	EXC	1,000	52.0300	$52,030.00	$1,600.00	3.07
EXXON MOBIL CORP	XOM	1,500	56.1400	$84,210.00	$1,740.00	2.06
GLOBAL SANTAFE CORP	GSF	550	44.5500	$24,502.50	$330.00	1.34
HALLIBURTON COMPANY	HAL	1,000	59.1000	$59,100.00	$500.00	0.84
HOME DEPOT INC	HD	2,000	41.0400	$82,080.00	$800.00	0.97
INTERNATIONAL SPEEDWAY CORP CL A	ISCA	500	51.6900	[illegible]845.00	$30.00	0.11
INTRUSION INC (NEW)	INTZ	10,000	2.9500	$29,500.00	N/A	N/A

3199

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No
067 / FZ / FZ99 / [redacted]

October 1 - October 31, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	FXI	500	57.6100	$28,805.00	N/A	N/A
ISHARES MSCI BRAZIL INDEX	EWZ	500	31.5800	$15,790.00	$230.70	1.46
LEGG MASON INC	LM	1,000	107.3100	$107,310.00	$720.00	0.67
LIFE TIME FITNESS INC	LTM	2,000	37.1400	$74,280.00	N/A	N/A
LUFKIN INDS INC	LUFK	1,000	46.5000	$46,500.00	$360.00	0.77
NEW PLAN EXCEL RLTY TR INC	NXL	1,000	22.9900	$22,990.00	$1,250.00	5.43
NORFOLK SOUTHERN CORP	NSC	1,000	40.2000	$40,200.00	$520.00	1.29
PALM HARBOR HOMES INC	PHHM	1,000	18.4300	$18,430.00	N/A	N/A
ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	RMCF	4,000	15.8600	$63,440.00	$1,120.00	1.76
TEREX CORPORATION NEW	TEX	2,000	54.9700	$109,940.00	N/A	N/A
TEXAS INDUSTRIES INC	TXI	1,000	49.6000	$49,600.00	$300.00	0.60
TEXAS INSTRUMENTS INC	TXN	1,000	28.5500	$28,550.00	$120.00	0.42
UNITED STATES STEEL CORP	X	3,000	36.5300	$109,590.00	$1,200.00	1.09
XCEL ENERGY INC	XEL	2,000	18.3300	$36,660.00	$1,720.00	4.69
YELLOW ROADWAY CORP	YELL	1,500	45.4500	$68,175.00	N/A	N/A
Total Stocks				**$1,891,331.50**	**$25,260.70**	**1.34**
Total Stocks and Options				**$1,891,331.50**	**$25,260.70**	**1.34**

[redacted]

3200

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

October 1 - October 31, 2005

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity	Current Price	Current Market Value	Est Ann Income	Est Ann Yield %
TEXAS INDUSTRIES 5.5% CONVERTIBLE PREFERR (ACTIVE CUSIP)	TXIS	2,000	52.4000	$104,800.00	$5,500.00	5.24
Total Preferreds/Fixed Rate Cap Securities				**$104,800.00**	**$5,500.00**	**5.25**

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Total Money Market Balance Total Balance
10/01				BEGINNING BALANCE			$ 76,404.80
10/03	Cash	DIVIDEND		BURLINGTON NORTHN SANTA FE CORP 100305 3,400		$680.00	
10/03	Cash	DIVIDEND		KERR-MC GEE CORPORATION 100305 1,000		$50.00	
10/03	Cash	DIVIDEND		LEGG MASON INC 100305 1,000		$180.00	$ 77,314.80
10/13	Cash	SALE	- 1,000.00000	CENTEX CORPORATION	59.6600	$59,501.55	
10/13	Cash	SALE	- 600.00000	DEVON ENERGY CORP	60.2600	$36,112.53	
10/13	Cash	SALE	- 300.00000	DEVON ENERGY CORP	60.2700	$18,062.24	
10/13	Cash	SALE	- 100.00000	DEVON ENERGY CORP	60.2900	$6,022.74	
10/13	Cash	SALE	- 1,000.00000	KERR-MC GEE CORPORATION	83.4153	$83,345.86	
10/13	Cash	SALE	- 4,700.00000	LUBY'S INC	13.0100	$60,862.44	
10/13	Cash	SALE	- 300.00000	LUBY'S INC	13.1500	$3,920.88	$ 345,233.04
10/14	Cash	CHECK ISSUED		CHK ISS #BR86713970 MGMTFE		-$5,300.06	$ 339,932.98
10/17	Cash	DIVIDEND		CHESAPEAKE ENERGY CORP 101705 3,000		$150.00	
10/17	Cash	DIVIDEND		NEW PLAN EXCEL RLTY [redacted] INC 101705 1,000		$312.50	$ 340,395.48
10/19	Cash	SALE	- 1,000.00000	CHESAPEAKE ENERGY CORP	31.2500	$31,182.74	

3201

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

ACCOUNT STATEMENT

October 1 - October 31, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
10/19	Cash	SALE	- 500.00000	HALLIBURTON COMPANY	58.0600	$28,992.83	
10/19	Cash	SALE	- 500.00000	PLAINS ALL AMERICAN PIPELINE LP	40.8000	$20,383.19	$420,934.24
10/20	Cash	DIVIDEND		XCEL ENERGY INC 102005 2,000		$430.00	$421,364.24
10/21	Cash	DIVIDEND		COMMERCIAL METALS CO 102105 3,000		$180.00	$421,544.24
10/26	Cash	SALE	- 2,000.00000	DOCUCORP INC	6.5000	$12,873.60	
10/26	Cash	PURCHASE	1,000.00000	ENSCO INTL INC	46.6392	-$46,705.15	
10/26	Cash	PURCHASE	550.00000	GLOBALSANTAFE CORP	44.0272	-$24,253.91	
10/26	Cash	PURCHASE	1,000.00000	NORFOLK SOUTHERN CORP	38.9200	-$38,985.85	
10/26	Cash	PURCHASE	500.00000	YELLOW ROADWAY CORP	43.7100	-$21,890.95	$302,581.78
10/31	Cash	DIVIDEND		EVERGREEN MONEY MKT FD CL A 103105 302,581		$464.81	$303,046.59

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These activities are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
10/01			BEGINNING BALANCE	$74,954.80
10/03	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$1,450.00
10/03	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$910.00
10/17	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$5,300.06
10/18	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$462.50
10/19	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A [redacted]	$267,918.24
10/26	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$81,148.76

Money Fund/Bank Deposit Sweep Activity continued

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary

Date	Account Type	Transaction	Description	Amount
10/31	Cash	REINVST DIV/INT	EVERGREEN MONEY MKT FD CL A	$464.81
10/31	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$118,062.46
10/31			ENDING BALANCE	$300,046.59

[redacted]

Investment Account

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No
067 / FZ	/ FZ99	/ [redacted]

November 1 - November 30, 2005

Your Investment Broker:
[illegible]
2905 MAPLE AVENUE
DALLAS, TEXAS 75201
214-954-1177

Investment Objective/Risk Tolerance: GROWTH&INCOME + AGGRESSIVE

Portfolio Summary

Portfolio Assets

	Value on Oct 31	Value on Nov 30	Est. Ann. Income	% Total Assets
Cash and Money Market	$303,046.59	$5,537.61	1,357.36	0.23
Stocks and Options	$1,891,331.50	$2,295,535.00	27,320.70	95.45
Preferreds, Fixed Rate Cap Secs	$104,800.00	$104,000.00	5,500.00	4.32
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities/Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$2,299,178.09**	**$2,405,072.61**	**34,178.06**	**100%**
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$2,299,178.09**	**$2,405,072.61**		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update this section. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Oct 31	Value/Cost on Nov 30
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements/Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Gain/Loss Summary

Realized Gain/Loss	This Period	Year to Date
Short-term	$0.00	$212,752.54
Long-term	$0.00	$8,037.86
Total Realized Gain/Loss	**$0.00**	**$220,790.40**

* ACH and Wire Transfer activity that occurred prior to July 1 is included in the YTD total for ATM and Check/Card Activity. ACH and Wire Transfer activity from July 1 forward is included in Other Activity.

Asset Allocation (Portfolio Assets)

- Stocks and Options
- Preferreds/Fixed Rate Cap Secs
- Other

Cash Activity Summary

	Credits	Debits	Year to Date
Total Cash and Money Market Funds on Oct 31:			$303,046.59
Securities Purchased	$0.00	-$298,990.70	-$1,928,706.64
Securities Sold and Redeemed	$0.00	$0.00	$834,099.39
Deposits and Withdrawals	$0.00	$0.00	$1,493,641.61
Withdrawals by Check	$0.00	$0.00	-$19,623.78
ATM and Check/Card Activity *	$0.00	$0.00	$0.00
Dividends	$1,230.00	$0.00	$18,512.50
Interest	$0.00	$0.00	$2,406.25
Money Market Income	$251.72	$0.00	$3,233.47
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$950.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	$0.00	$0.00
Other Activity *	$0.00	$0.00	-$500,000.00
Total Activity	$1,481.72	-$298,990.70	
Total Cash and Money Market Funds on Nov 30:			$5,537.61

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $20,919.15

Tax-exempt income and other income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

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Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

November 1 - November 30, 2005

Portfolio Assets

This section includes estimated or unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information provided by the account owner is not verified by First Clearing, LLC and should not be relied upon for legal or tax purposes. Bonds purchased at a premium or OID (original issue discount) will be carried at the original cost basis. Factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Until cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average. To update your cost information or provide omitted cost information, contact Your Investment Broker. Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the interest Period dates shown. The annualized estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors. FCC is not responsible for the accuracy of security estimated annual income and yields.

Cash and Money Market Funds

[illegible]	Current market value	Est. ann. income	Est current yield(%)
[illegible]	$39,859.30		
[illegible] MONEY MKT FD CL A Interest Period 11/01/05 - 11/30/05	$45,396.91	$1,357.36	2.99
Total Cash and Money Market Funds	**$5,537.61**		

Current how your Securities are performing? The "Unrealized Gain/Loss" column tells you how much each stock has increased or decreased in value since you purchased it (in some cases, this is based on cost data supplied by your [illegible] outside source. What may not be complete). Revisions to this information (because of corporate mergers, tenders, and other reorganizations, for example) may be necessary from time to time. To update your cost information for reported omitted [illegible] contact Your Investment Broker.

Stocks and Options

Stocks

[illegible]	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann Income	Est. Ann Yield(%)
[illegible] SANTA [illegible]	[illegible]								
Acquired 02/24/04		500	35.42	$17,745.95	66.1800	$33,090.00	$15,344.05	$2,720.00	1.20
Acquired [illegible]		500	37.27	$18,670.95		$33,090.00	$14,419.05		
Acquired [illegible]		500	44.11	$22,090.95		$33,090.00	$10,999.05		
Acquired [illegible]		500	55.00	$27,535.95		$33,090.00	$5,554.05		
Acquired 04/04/05		300	53.77	$16,156.15		$19,854.00	$3,697.85		
Acquired [illegible]		1,100	50.07	$55,146.95		$72,798.00	$17,649.05		
Total		3,400		$157,348.90	66.1800	$225,012.00	$67,663.10	$2,720.00	1.21
CANADIAN NAT RY CO Acquired [illegible]	CNI	500	79.64	$39,859.30	79.8600	[redacted],930.00	$70.70	$500.00	1.25
[illegible] Acquired [illegible]	[illegible]	500	14.27	$7,133.23	25.0000	$12,500.00	$5,366.77	N/A	N/A
Acquired [illegible]		500	11.98	$5,988.52		$12,500.00	$6,511.48		

FIRST DALLAS SECURITIES
2403 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

ACCOUNT STATEMENT

November 1 - November 30, 2005

Stocks and Options

Stocks Continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann Income	Est Ann Yield (%)
[illegible]		1,000	18.40	$18,465.95		$25,000.00	$6,534.05		
Total		2,000		$31,587.70	25.0000	$50,000.00	$18,412.30	N/A	N/A
CHARLES & COLVARD LTD	CHCH								
Acquired 11/17/05		1,000	25.96	$26,033.95	26.9600	$26,960.00	$926.05	N/A	N/A
CHESAPEAKE ENERGY CORP	CHK								
Acquired [illegible]		500	16.56	$8,315.95	28.9500	$14,475.00	$6,159.05	$400.00	0.69
Acquired [illegible]		700	19.23	$13,508.95		$20,265.00	$6,756.05		
Acquired [illegible]		800	19.24	$15,440.00		$23,160.00	$7,720.00		
Total		2,000		$37,264.90	28.9500	$57,900.00	$20,635.10	$400.00	0.69
CHEVRON CORPORATION	CVX								
Acquired [illegible]		300	58.45	$17,558.95	57.3100	$17,193.00	-$365.95	$1,800.00	3.14
Acquired [illegible]		700	53.43	$37,448.95		$40,117.00	$2,668.05		
Total		1,000		$55,007.90	57.3100	$57,310.00	$2,302.10	$1,800.00	3.14
COMMUNIPHAR METALS (2)	CMC								
Acquired [illegible]		1,000	16.71	$16,750.95	35.1000	$35,100.00	$18,349.05	$720.00	0.68
Acquired [illegible]		1,000	17.98	$18,018.90		$35,100.00	$17,081.10		
Acquired [illegible]		400	18.81	$7,536.00		$14,040.00	$6,504.00		
Acquired [illegible]		600	18.84	$11,330.95		$21,060.00	$9,729.05		
Total		3,000		$53,636.80	35.1000	$105,300.00	$51,663.20	$720.00	0.68
CONOCOPHILLIPS	COP								
Acquired [illegible]		400	42.88	$17,172.95	60.5100	$24,204.00	$7,031.05	$2,480.00	2.04
Acquired [illegible]		1,600	52.63	$84,261.95		$96,816.00	$12,554.05		
Total		2,000		$101,434.90	60.5100	$121,020.00	$19,585.10	$2,480.00	2.05
DESCO NORTH REAL ESTATE REIT EQUITIES TRUST	CES								
Acquired [illegible]		1,000	18.24	$18,305.95	20.7700	$20,770.00	$2,464.05	$1,500.00	7.22
Acquired [illegible]		400	19.18	$7,701.95		$8,308.00	$606.05		
Acquired [illegible]		600	19.19	$11,550.00		$12,462.00	$912.00		
Acquired [illegible]		800	20.61	$16,541.95		$16,616.00	$74.05		
Acquired [illegible]		200	20.52	$4,136.00		$4,154.00	$18.00		
Total		3,000		$58,235.85	20.7700	$62,310.00	$4,074.15	$4,500.00	7.22
DNB FINANCIAL INC	DNB								
Acquired [illegible]		1,000	46.63	$46,705.15	47.3600	[illegible]	$654.85	$100.00	0.21
EATON CORPORATION	EAT								
Acquired [illegible]		200	48.92	$9,801.95	52.0400	$10,408.00	$606.05	$1,600.00	3.07
Acquired [illegible]		200	48.90	$9,792.00		$10,408.00	$616.00		

3206

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No
067 / FZ / FZ99 / [redacted]

November 1 - November 30, 2005

Stocks and Options

Stocks continued

[illegible]	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann Income	Est. Ann Yield (%)
[illegible]		600	48.01	$29,382.00		$31,224.00	$1,842.00		
		1,000		$48,975.95	52.0400	$52,040.00	$3,064.05	$1,600.00	3.07
[illegible]	[illegible]								
[illegible]		1,000	50.11	$50,175.95	58.0300	$58,030.00	$7,854.05	$1,740.00	1.99
[illegible]		500	56.08	$28,075.95		$29,015.00	$939.05		
[illegible]		1,500		$78,251.90	58.0300	$87,045.00	$8,793.10	$1,740.00	2.00
[illegible]	[illegible]								
[illegible]		550	44.02	$24,253.91	45.3600	$24,948.00	$694.09	$330.00	1.32
[illegible]	[illegible]								
[illegible]		500	34.85	$17,457.97	63.6500	$31,825.00	$14,367.03	$500.00	0.78
[illegible]		500	42.54	$21,306.95		$31,825.00	$10,519.05		
[illegible]		1,000		$38,763.92	63.6500	$63,650.00	$24,886.08	$500.00	0.79
[illegible]	[illegible]								
[illegible]		500	34.79	$17,430.95	41.7800	$20,890.00	$3,459.05	$800.00	0.95
[illegible]		500	40.02	$20,045.95		$20,890.00	$844.05		
[illegible]		500	39.62	$19,850.15		$20,890.00	$1,039.85		
[illegible]		500	37.32	$18,695.95		$20,890.00	$2,194.05		
[illegible]		2,000		$76,023.00	41.7800	$83,560.00	$7,537.00	$800.00	0.96
[illegible]	[illegible]								
[illegible]		500	53.40	$26,735.95	54.5700	$27,285.00	$549.05	$30.00	0.10
[illegible]	[illegible]	10,000	3.08*	$30,852.56	2.6900	$26,900.00	-$3,952.56	N/A	N/A
[illegible]	[illegible]								
[illegible]		200	56.51	$11,319.95	60.9600	$12,192.00	$872.05	N/A	N/A
[illegible]		300	62.50	$18,773.95		$18,288.00	-$485.95		
[illegible]		500		$30,093.90	60.9600	$30,480.00	$386.10	N/A	N/A
[illegible]	[illegible]								
[illegible]		300	25.30	$7,613.95	33.8000	$10,140.00	$2,526.05	$230.70	1.36
[illegible]		200	25.78	$5,173.95		$6,760.00	$1,586.05		
[illegible]		500		$12,787.90	33.8000	$16,900.00	$4,112.10	$230.70	1.37
[illegible]	[illegible]								
[illegible]		500	83.24	$41,655.95	122.6500	$61,325.00	$19,669.05	$1,080.00	0.58
[illegible]		500	98.44	$49,255.95		$61,325.00	$12,069.05		

3207

FIRST DALLAS SECURITIES
2405 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ99	/ [redacted]

November 1 - November 30, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann Income	Est. Ann Yield (%)
Acquired 11/02/05		500	111.24	$55,655.95		$61,325.00	$5,669.05		
Total		1,500	.....	$146,567.85	122.6500	$183,975.00	$37,407.15	$1,080.00	0.59
LIFE TIME FITNESS INC	LTM								
Acquired 09/27/05		1,000	32.65	$32,715.95	38.4300	$38,430.00	$5,714.05	N/A	N/A
Acquired 09/30/05		200	34.94	$7,005.95		$7,686.00	$680.05		
Acquired 09/30/05		200	34.95	$7,002.00		$7,686.00	$684.00		
Acquired 09/30/05		600	35.00	$21,036.00		$23,058.00	$2,022.00		
Total		2,000		$67,759.90	38.4300	$76,860.00	$9,100.10	N/A	N/A
LUFKIN INDS INC	LUFK								
Acquired 08/01/05		200	48.91	$9,799.95	44.7500	$8,950.00	-$849.95	$440.00	0.98
Acquired 08/30/05		300	47.91	$14,396.95		$13,425.00	-$971.95		
Acquired 08/16/05		500	48.03	$24,050.95		$22,375.00	-$1,675.95		
Total		1,000		$48,247.85	44.7500	$44,750.00	-$3,497.85	$440.00	0.98
NEW PLAN EXCELL RLTY TR INC.	NXL								
Acquired 06/03/04		200	24.59	$4,935.95	23.8800	$4,776.00	-$159.95	$1,250.00	5.23
Acquired 08/03/04		800	24.80	$19,728.00		$19,104.00	-$624.00		
Total		1,000		$24,663.95	23.8800	$23,880.00	-$783.95	$1,250.00	5.23
NORFOLK SOUTHERN CORP	NSC								
Acquired 10/26/05		1,000	38.92	$38,985.95	44.2400	$44,240.00	$5,254.05	$520.00	1.17
PALM HBR HOMES INC	PHHM								
Acquired 08/31/05		1,000	18.49	$18,561.15	18.8300	$18,830.00	$268.85	N/A	N/A
Acquired 11/02/05		153	18.89	$2,905.30		$2,880.99	-$24.31		
Acquired 11/02/05		700	18.90	$13,272.00		$13,181.00	-$91.00		
Acquired 11/02/05		100	18.83	$1,899.00		$1,883.00	-$16.00		
Acquired 11/02/05		47	18.94	$893.00		$885.01	-$7.99		
Total		2,000		$37,530.45	18.8300	$37,660.00	$129.55	N/A	N/A
ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	RMCF								
Acquired 08/10/04		980	7.35	$7,257.95	15.6000	$15,288.00	$8,030.05	$1,120.00	1.70
Acquired 08/10/04		120.40000	7.34	$889.24		$1,878.24	$989.00		
Acquired 08/10/04		140	7.35	$1,035.00		$2,184.00	$1,149.00		
Acquired 08/10/04		859.60000	7.84	$6,606.84		[illegible]9.76	$6,803.12		
Acquired [illegible]		566.66666	16.46	$9,360.16		$8,840.00	-$520.16		
Acquired [illegible]		1,333.33334	16.80	$22,465.95		$20,800.00	-$1,665.95		
Total		4,000		$47,614.94	15.6000	$62,400.00	$14,785.06	$1,120.00	1.79

3208

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

November 1 - November 30, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
TEREX CORPORATION NEW	TEX								
Acquired 07/21/04		400	32.82	$13,157.95	61.6200	$24,648.00	$11,490.05	N/A	N/A
Acquired 10/21/04		100	32.83	$3,289.00		$6,162.00	$2,873.00		
Acquired 07/02/04		500	37.06	$18,565.95		$30,810.00	$12,244.05		
Acquired 10/26/04		500	33.39	$16,730.95		$30,810.00	$14,079.05		
Acquired 04/20/05		500	40.50	$20,285.95		$30,810.00	$10,524.05		
Acquired 11/02/05		500	55.80	$27,836.95		$30,810.00	$2,874.05		
Total		2,500		$99,865.75	61.6200	$154,050.00	$54,084.25	N/A	N/A
TEXAS INDUSTRIES INC	TXI								
Acquired 03/26/05		500	41.51	$20,752.72	49.8800	$24,940.00	$4,187.28	$300.00	0.60
Acquired 05/05/05		500	34.84	$17,422.43		$24,940.00	$7,517.57		
Total		1,000		$38,175.15	49.8800	$49,880.00	$11,704.85	$300.00	0.60
TEXAS INSTRUMENTS INC	TXN								
Acquired 11/02/04		700	23.94	$16,805.95	32.4800	$22,736.00	$5,930.05	$120.00	0.36
Acquired 04/20/05		300	33.99	$10,220.95		$9,744.00	-$476.95		
Total		1,000		$27,026.90	32.4800	$32,480.00	$5,453.10	$120.00	0.37
THE TORO CO A	TTC								
Acquired 11/18/05		1,000	47.78	$47,845.95	41.5700	$41,570.00	-$6,275.95	$1,000.00	2.40
TRANSOCEAN INC	RIG								
Acquired 11/02/05		1,000	58.81	$58,876.35	63.8400	$63,840.00	$4,963.65	$120.00	0.18
UNITED STATES STEEL CORP	X								
Acquired 03/15/05		400	56.74	$22,725.95	47.6000	$19,040.00	-$3,685.95	$1,200.00	6.30
Acquired 03/29/05		600	60.52	$36,414.37		$28,560.00	-$7,854.37		
Acquired 04/18/05		300	44.45	$13,358.95		$14,280.00	$921.05		
Acquired 05/04/05		700	44.30	$31,057.95		$33,320.00	$2,262.05		
Acquired 07/07/05		1,000	35.84	$35,905.95		$47,600.00	$11,694.05		
Total		3,000		$139,463.17	47.6000	$142,800.00	$3,336.83	$1,200.00	0.84
VALERO ENERGY INC	VLO								
Acquired 06/07/05		1,000	18.94	$19,005.95	18.5100	$18,510.00	-$495.95	$1,720.00	4.64
Acquired 08/19/05		1,000	18.73	$18,795.95		$18,510.00	-$285.95		
Total		2,000		$37,801.90	18.5100	$37,020.00	-$781.90	$1,720.00	4.65
YELLOW ROADWAY CORP	YELL								
Acquired 09/13/01		500	45.76	$22,916.40	47.1100	[redacted],555.00	$638.60	N/A	N/A
Acquired 10/21/01		500	47.95	$24,010.95		$23,555.00	-$455.95		
Acquired 11/29/05		500	43.71	$21,890.95		$23,555.00	$1,664.05		
Acquired 11/02/05		200	47.55	$9,527.95		$9,422.00	-$105.95		

3209

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No
067 / FZ	/ FZ99	/ [redacted]

November 1 - November 30, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
Acquired 11/02/05		300	47.56	$14,286.00		$14,133.00	-$153.00		
Total		2,000		$92,632.25	47.1100	$94,220.00	$1,587.75	N/A	N/A
Total Stocks				$1,927,012.65		$2,295,535.00	$368,522.35	$27,320.70	1.19
Total Stocks and Options				$1,927,012.65		$2,295,535.00	$368,522.35	$27,320.70	1.19

** Because you have more than 5 tax lots, we are showing the average cost per share.

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
TEXAS INDUSTRIES 5.5% CONV PFD DUE 6/2/28 CALLABLE 6/05/07	TXIS								
Acquired 07/14/04		500	47.15	$23,610.95	52.0000	$26,000.00	$2,389.05	$5,500.00	5.28
Acquired 05/05/05		500	45.95	$23,010.95		$26,000.00	$2,989.05		
Acquired 07/07/05		1,000	48.93	$49,001.65		$52,000.00	$2,998.35		
Total		2,000		$95,623.55	52.0000	$104,000.00	$8,376.45	$5,500.00	5.29
Total Preferreds/Fixed Rate Cap Securities				$95,623.55		$104,000.00	$8,376.45	$5,500.00	5.29

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
11/01				BEGINNING BALANCE			$303,048.59
11/02	Cash	PURCHASE	500.00000	LEGG MASON INC	111.2400	-$55,855.95	
11/02	Cash	PURCHASE	700.00000	PALM HBR HOMES INC	18.9000	-$13,272.00	
11/02	Cash	PURCHASE	153.00000	PALM HBR HOMES INC	18.8900	-$2,905.30	
11/02	Cash	PURCHASE	100.00000	PALM HBR HOMES INC [redacted]	18.9300	-$1,899.00	
11/02	Cash	PURCHASE	47.00000	PALM HBR HOMES INC	18.9400	-$890.00	
11/02	Cash	PURCHASE	500.00000	TEREX CORPORATION NEW	55.8000	-$27,935.05	

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

November 1 - November 30, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
11/02	Cash	PURCHASE	1,000.00000	TODCO CL A	47.7800	-$47,845.95	
11/02	Cash	PURCHASE	1,000.00000	TRANSOCEAN INC	58.8104	-$58,876.35	
11/02	Cash	PURCHASE	300.00000	YELLOW ROADWAY CORP	47.5600	-$14,286.00	
11/02	Cash	PURCHASE	200.00000	YELLOW ROADWAY CORP	47.5500	-$9,527.95	$ 69,949.14
11/11	Cash	PURCHASE	1,000.00000	CHARLES & COLVARD LTD	25.9680	-$26,033.95	$ 43,915.19
11/15	Cash	DIVIDEND		CRESCENT REAL ESTATE REIT EQUITIES TRUST 111505 3,000		$1,125.00	$ 45,040.19
11/21	Cash	DIVIDEND		TEXAS INSTRUMENTS INC 112105 1,000		$30.00	$ 45,070.19
11/25	Cash	DIVIDEND		TEXAS INDUSTRIES INC 112505 1,000		$75.00	$ 45,145.19
11/28	Cash	PURCHASE	500.00000	CANADIAN NATL RY CO	79.6467	-$39,859.30	$ 5,285.89
11/30	Cash	DIVIDEND		EVERGREEN MONEY MKT FD CL A 113005 45,146		$251.72	$ 5,537.61

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
11/01			BEGINNING BALANCE	$303,046.50
11/07	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$233,097.45
11/16	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$24,908.95
11/22	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$30.00
11/29	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$75.00
11/30	Cash	REINVST DIVANT	EVERGREEN MONEY MKT FD CL A	$251.72

3211

FIRST DALLAS SECURITIES
2005 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ99	/ [redacted]

November 1 - November 30, 2005

Money Fund/Bank Deposit Sweep Activity continued

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
11/30			ENDING BALANCE	$45,306.81

Investment Account

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ99	/ [redacted]

December 1 - December 31, 2005

Your Investment Broker:
[illegible]

Investment Objective/Risk Tolerance: LONG TERM GROWTH & INCOME

Portfolio Summary

Portfolio Assets

	Value on Nov 30	Value on Dec 31	Est Ann Income	% Total Assets
Cash and Money Market	$5,537.61	$46,782.42	1,428.84	1.94
Stocks and Options	$2,295,535.00	$2,262,109.50	26,720.55	93.77
Preferreds/Fixed Rate Cap Secs	$104,000.00	$103,400.00	5,500.00	4.29
Fixed Income Securities	$0.00	$0.00	0.00	
Open End Mutual Funds	$0.00	$0.00	0.00	
Closed End Mutual Funds	$0.00	$0.00	0.00	
Managed Futures/Hedge Funds	$0.00	$0.00	0.00	
Annuities-Insurance	$0.00	$0.00	0.00	
Unit Investment Trusts	$0.00	$0.00	0.00	
Total Assets	**$2,405,072.61**	**$2,412,291.92**	**33,649.39**	**100%**
Margin Balance	$0.00	$0.00	0.00	
Net Portfolio Value	**$2,405,072.61**	**$2,412,291.92**		

Other Assets

These positions reflect purchases made through us or information supplied to us; they are displayed for informational purposes only. If you no longer own any of these investments, please tell us so we can update our records. These assets are not included in the net portfolio value, and are not protected by SIPC.

	Value/Cost on Nov 30	Value/Cost on Dec 31
Direct Investments	$0.00	$0.00
Special Products	$0.00	$0.00
Repurchase Agreements-Bonds Borrowed	$0.00	$0.00
Total Other Assets	**$0.00**	**$0.00**

Gain/Loss Summary

Realized Gain/Loss	This Period	Year to Date
Short-term	- $19,006.92	$193,745.62
Long-term	$0.00	$8,037.86
Total Realized Gain/Loss	**- $19,006.92**	**$201,783.48**

* ACH and Wire Transfer activity that occurred prior to July 1 is included in the YTD total for ATM and CheckCard Activity. ACH and Wire Transfer activity from July 1 forward is included in Other Activity.

Asset Allocation (Portfolio Assets)

- Stocks and Options
- Preferreds/Fixed Rate Cap Secs
- Other

Cash Activity Summary

Total Cash and Money Market Funds on Nov 30: $5,537.61

	Checks	Debits	Year to Date
Securities Purchased	$0.00	- $100,488.35	- $1,929,194.99
Securities Sold and Redeemed	$136,227.20	$0.00	$970,326.59
Deposits and Withdrawals	$0.00	$0.00	$1,493,641.61
Withdrawals by Check	$0.00	$0.00	- $19,623.78
ATM and CheckCard Activity *	$0.00	$0.00	$0.00
Dividends	$4,099.38	$0.00	$22,612.28
Interest	$1,375.00	$0.00	$3,781.25
Money Market Income	$47.61	$0.00	$3,281.08
Short-term Distributions	$0.00	$0.00	$0.00
Long-term Distributions	$0.00	$0.00	$0.00
Return of Principal	$0.00	$0.00	$0.00
Partnership Distributions	$0.00	$0.00	$950.00
Interest Charged	$0.00	$0.00	$0.00
Withholding	$0.00	- $16.03	- $16.03
Other Activity *	$0.00	$0.00	- $500,000.00
Total Activity	$141,749.19	- $100,504.38	
Total Cash and Money Market Funds on Dec 31:			**$46,782.42**

Tax-Exempt Income Year-to-Date: $0.00
Other Income Year-to-Date: $26,393.53

Tax-exempt income and other income year-to-date totals are taken from the tax reporting system. They may not match back to the activity summary due to reclassification activity. Money market income can be either taxable or tax exempt and is not reflected in either of these totals. The YTD Money market income is displayed in the Cash Activity Summary. (Money market income from FDIC funds is shown in the Interest line of the Cash Activity Summary, not the Money market income line.) The Cash Activity Summary is based on the activity that has been shown on this statement, not what will be reported to you on your forms 1099. This statement is not a tax document, and should be used for informational purposes only.

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

December 1 - December 31, 2005

Portfolio Assets

[illegible] estimated for unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the gain/loss information may not be displayed and section [illegible] may not reflect a complete portfolio. Cost basis information provided by the account owner is not verified by First Clearing, LLC and should not be relied upon for legal or tax purposes. Bonds purchased at a [illegible] will be carried at the original cost basis. Factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for [illegible] and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non weighted average. To [illegible] information or provide omitted cost information, contact Your Investment Broker. Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates [illegible]. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, [illegible] the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors. FCC is not [illegible] for the accuracy of the [illegible] estimated annual income and yields.

Cash and Money Market Funds

	Current market value	Est. ann. income	Est current yield(%)
[illegible]	$2,408.35		
[illegible] MONEY MKT [illegible] A	$44,374.07	$1,428.84	3.22
[illegible]			
Total Cash and Money Market Funds	**$46,782.42**		

[illegible] securities are purchased, the "Unrealized Gain/Loss" column tells you how much each stock has increased or decreased in value since you purchased it (in some cases, this is based on cost data supplied by you [illegible] which may not be complete). Revisions to this information (because of corporate mergers, tenders, and other reorganizations, for example) may be necessary from time to time. To update your cost [illegible] information, contact Your Investment Broker.

Stocks and Options

Stocks

[illegible]	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est Ann Yield (%)
[illegible] WESTON NUTRITION SANTA [illegible]	[illegible]								
[illegible]		500	35.42	$17,745.95	70.8200	$35,410.00	$17,664.05	$2,720.00	1.12
[illegible]		500	37.27	$18,670.95		$35,410.00	$16,739.05		
[illegible]		500	44.11	$22,090.95		$35,410.00	$13,319.05		
[illegible]		500	55.00	$27,535.95		$35,410.00	$7,874.05		
[illegible]		300	53.77	$16,156.15		$21,246.00	$5,089.85		
[illegible]		1,100	50.07	$55,148.95		$77,902.00	$22,753.05		
[illegible]		3,400		$157,348.90	70.8200	$240,788.00	$83,439.10	$2,720.00	1.13
[illegible]	[illegible]								
[illegible]		500	79.64	$39,859.30	79.9900	[redacted] $39,995.00	$135.70	$858.00	1.07
[illegible]		400	79.35	$31,769.95		$31,996.00	$226.05		
[illegible]		100	79.38	$7,944.00		$7,999.00	$55.00		
[illegible]		1,000		$79,573.25	79.9900	$79,990.00	$416.75	$858.00	1.07

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75200

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No
067 / FZ / FZ99 / [redacted]

ACCOUNT STATEMENT

December 1 - December 31, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est Ann Income	Est Ann Yield(%)
[illegible]	[illegible]								
Acquired [illegible]		500	14.27	$7,133.23	30.2500	$15,125.00	$7,991.77	N/A	N/A
Acquired [illegible]		500	11.98	$5,888.52		$15,125.00	$9,136.48		
Acquired [illegible]		1,000	18.40	$18,465.05		$30,250.00	$11,784.05		
Total		2,000		$31,587.70	30.2500	$60,500.00	$28,912.30	N/A	N/A
[illegible] LTD	[illegible]								
Acquired [illegible]		1,000	25.96	$26,033.95	20.2000	$20,200.00	-$5,833.95	N/A	N/A
CHESAPEAKE ENERGY CORP	CHK								
Acquired 10/24/03		500	16.56	$8,315.95	31.7300	$15,865.00	$7,549.05	$400.00	0.63
Acquired 10/24/03		700	19.23	$13,508.95		$22,211.00	$8,702.05		
Acquired 05/04/05		800	19.24	$15,440.00		$25,384.00	$9,944.00		
Total		2,000		$37,264.90	31.7300	$63,460.00	$26,195.10	$400.00	0.63
CHEVRON CORPORATION	CVX								
Acquired 10/24/03		300	58.45	$17,558.95	56.7700	$17,031.00	-$527.95	$1,800.00	3.17
Acquired 05/05/05		700	53.43	$37,448.95		$39,739.00	$2,290.05		
Total		1,000		$55,007.90	56.7700	$56,770.00	$1,762.10	$1,800.00	3.17
COMMERCIAL METALS CO	CMC								
Acquired [illegible]		1,000	16.71	$16,750.95	37.5400	$37,540.00	$20,789.05	$720.00	0.63
Acquired [illegible]		1,000	17.98	$18,018.90		$37,540.00	$19,521.10		
Acquired [illegible]		400	18.81	$7,536.00		$15,016.00	$7,480.00		
Acquired [illegible]		600	18.84	$11,330.95		$22,524.00	$11,193.05		
Total		3,000		$53,636.80	37.5400	$112,620.00	$58,983.20	$720.00	0.64
CONOCOPHILLIPS	COP								
Acquired 12/05/03		400	42.88	$17,172.95	58.1800	$23,272.00	$6,099.05	$2,480.00	2.13
Acquired 05/05/05		1,600	52.63	$84,261.95		$93,088.00	$8,826.05		
Total		2,000		$101,434.90	58.1800	$116,360.00	$14,925.10	$2,480.00	2.13
CRESCENT REAL ESTATE EQUITIES TRUST	CEI								
Acquired 12/01/03		1,000	18.24	$18,305.95	19.8200	$19,820.00	$1,514.05	$4,500.00	7.56
Acquired 08/16/05		400	19.18	$7,701.95		$7,928.00	$226.05		
Acquired 08/16/05		600	19.19	$11,550.00		$11,892.00	$342.00		
Acquired 09/13/05		800	20.61	$16,541.95		$15,856.00	-$685.95		
Acquired 09/13/05		200	20.62	$4,136.00		$3,964.00	-$172.00		
Total		3,000		$58,235.85	19.8200	[redacted]	$1,224.15	$4,500.00	7.57
DENSO CORP INC	DSV								
Acquired 10/29/96		1,000	46.63	$46,705.15	44.3500	$44,350.00	-$2,355.15	$100.00	0.22

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]

December 1 - December 31, 2005

Stocks and Options

Stocks continued

Transaction	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
[illegible]	[illegible]								
[illegible]		200	48.92	$9,801.95	53.1400	$10,628.00	$826.05	$1,600.00	3.01
[illegible]		200	48.00	$9,792.00		$10,628.00	$836.00		
[illegible]		600	48.91	$29,382.00		$31,884.00	$2,502.00		
Total		1,000		$48,975.95	53.1400	$53,140.00	$4,164.05	$1,600.00	3.01
[illegible]	[illegible]								
[illegible]		1,000	50.11	$50,175.95	56.1700	$56,170.00	$5,994.05	$1,740.00	2.06
[illegible]		500	56.08	$28,075.95		$28,085.00	$9.05		
[illegible]		1,500		$78,251.90	56.1700	$84,255.00	$6,003.10	$1,740.00	2.07
[illegible]	[illegible]								
[illegible]		550	44.02	$24,253.91	48.1500	$26,482.50	$2,228.59	$405.00	1.86
[illegible]	[illegible]								
[illegible]		500	34.85	$17,457.97	61.9600	$30,980.00	$13,522.03	$500.00	0.80
[illegible]		500	42.54	$21,305.95		$30,980.00	$9,674.05		
Total		1,000		$38,763.92	61.9600	$61,960.00	$23,196.08	$500.00	0.81
[illegible]	[illegible]								
[illegible]		500	34.79	$17,430.95	40.4800	$20,240.00	$2,809.05	$800.00	0.98
[illegible]		500	40.02	$20,045.95		$20,240.00	$194.05		
[illegible]		500	39.62	$19,850.15		$20,240.00	$389.85		
[illegible]		500	37.32	$18,695.95		$20,240.00	$1,544.05		
Total		2,000		$76,023.00	40.4800	$80,960.00	$4,937.00	$800.00	0.99
INTERNATIONAL SPEEDWAY CORP CL A	ISCA								
Acquired 07/09/04		500	53.40	$26,735.95	47.9000	$23,950.00	-$2,785.95	$60.00	0.12
Acquired 12/27/05		500	47.58	$23,828.45		$23,950.00	$121.55		
Total		1,000		$50,564.40	47.9000	$47,900.00	-$2,664.40	$60.00	0.13
INTRUSION INC (NEW)	NTZ	10,000	3.08**	$30,852.56	2.0970	$20,970.00	-$9,882.56	N/A	N/A
ISHARES FTSE XINHUA CHINA 25 INDEX FUND	FXI								
Acquired 12/13/05		200	56.51	$11,319.95	61.6200	$12,324.00	$1,004.05	$626.20	2.03
Acquired 12/30/05		300	62.50	$18,773.95		$18,486.00	-$287.95		
Total		500		$30,093.90	61.6200	$30,810.00	$716.10	$626.20	2.03
[illegible]	[illegible]								
[illegible]		300	25.30	$7,613.95	33.3700	$10,011.00	$2,397.05	$291.35	1.74

3217

FIRST DALLAS SECURITIES
2405 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No.
067 / FZ / FZ99 / [redacted]8

ACCOUNT STATEMENT

December 1 - December 31, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est Ann Income	Est Ann Yield (%)
Acquired 08/10/05		200	25.78	$5,173.95		$6,674.00	$1,500.05		
Total		500		$12,787.90	33.3700	$16,685.00	$3,897.10	$291.35	1.75
LEGG MASON INC	LM								
Acquired 05/20/05		500	83.24	$41,655.95	119.6900	$59,845.00	$18,189.05	$1,080.00	0.60
Acquired 06/27/05		500	98.44	$49,255.95		$59,845.00	$10,589.05		
Acquired 11/02/05		500	111.24	$55,655.95		$59,845.00	$4,189.05		
Total		1,500		$146,567.85	119.6900	$179,535.00	$32,967.15	$1,080.00	0.60
LIFE TIME FITNESS INC	LTM								
Acquired 06/22/05		1,000	32.65	$32,715.95	38.0900	$38,090.00	$5,374.05	N/A	N/A
Acquired 09/06/05		200	34.94	$7,005.95		$7,618.00	$612.05		
Acquired 09/09/05		200	34.95	$7,002.00		$7,618.00	$616.00		
Acquired 09/09/05		600	35.00	$21,036.00		$22,854.00	$1,818.00		
Total		2,000		$67,759.90	38.0900	$76,180.00	$8,420.10	N/A	N/A
NEW PLAN EXCELLITY TR INC	NXL								
Acquired 08/03/04		200	24.59	$4,935.95	23.1800	$4,636.00	- $299.95	$1,250.00	5.39
Acquired 08/03/04		800	24.60	$19,728.00		$18,544.00	- $1,184.00		
Total		1,000		$24,663.95	23.1800	$23,180.00	- $1,483.95	$1,250.00	5.39
NATI CITY SOUTHERN CORP	NSC								
Acquired 10/26/05		1,000	38.92	$38,985.95	44.8300	$44,830.00	$5,844.05	$520.00	1.15
NAUTILUS FITNESS INC	NFHM								
Acquired 08/31/05		1,000	18.49	$18,561.15	18.8000	$18,800.00	$238.85	N/A	N/A
Acquired 11/02/05		153	18.89	$2,908.30		$2,876.40	- $28.00		
Acquired 11/02/05		700	18.90	$13,272.00		$13,160.00	- $112.00		
Acquired 11/02/05		100	18.93	$1,899.00		$1,880.00	- $19.00		
Acquired 11/02/05		47	18.94	$893.00		$883.60	- $9.40		
Total		2,000		$37,530.45	18.8000	$37,600.00	$69.55	N/A	N/A
ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW)	RMCF								
Acquired 08/10/04		980	7.35	$7,257.95	16.2760	$15,950.48	$8,692.53	$1,120.00	1.72
Acquired 08/10/04		120.40000	7.34	$889.24		$1,959.63	$1,070.39		
Acquired 08/10/04		140	7.35	$1,035.00		[redacted] 64	$1,243.64		
Acquired 08/10/04		859.60000	7.64	$6,508.64		$13,893.85	$7,384.21		
Acquired 08/10/04		566.65666	16.46	$9,360.16		$9,223.07	- $137.09		
Acquired 08/10/04		1,333.33334	16.80	$22,465.95		$21,701.33	- $764.62		
Total		4,000		$47,614.94	16.2760	$65,104.00	$17,489.06	$1,120.00	1.72

3218

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
SWANSON COMPANIES INC	HDL								
Acquired 12/21/05		1,000	36.88	$36,945.95	35.6400	$35,640.00	- $1,305.95	N/A	N/A
TYCO CORPORATION NEW	TYX								
Acquired 07/21/04		400	32.82	$13,157.95	59.4000	$23,760.00	$10,602.05	N/A	N/A
Acquired 07/21/04		100	32.83	$3,289.00		$5,940.00	$2,651.00		
Acquired 07/22/04		500	37.06	$18,565.95		$29,700.00	$11,134.05		
Acquired 10/26/04		500	33.39	$16,730.95		$29,700.00	$12,969.05		
Acquired 04/20/05		500	40.50	$20,285.95		$29,700.00	$9,414.05		
Acquired 11/03/05		500	55.80	$27,935.95		$29,700.00	$1,764.05		
Total		2,500		$99,965.75	59.4000	$148,500.00	$48,534.25	N/A	N/A
UNITED STATES TOBACCO INC	UST								
Acquired 11/05/05		500	41.51	$20,752.72	49.8400	$24,920.00	$4,167.28	$300.00	0.60
Acquired 11/05/05		500	34.84	$17,422.43		$24,920.00	$7,497.57		
Total		1,000		$38,175.15	49.8400	$49,840.00	$11,664.85	$300.00	0.60
TEXAS INSTRUMENTS INC	TXN								
Acquired 11/09/04		700	23.94	$16,805.95	32.0700	$22,449.00	$5,643.05	$120.00	0.37
Acquired 11/09/05		300	33.99	$10,220.95		$9,621.00	- $599.95		
Total		1,000		$27,026.90	32.0700	$32,070.00	$5,043.10	$120.00	0.37
TRANSOCEAN INC	RIG								
Acquired 11/09/05		1,000	58.81	$58,876.35	69.6900	$69,690.00	$10,813.65	$120.00	0.17
UNITED STATES STEEL CORP	X								
Acquired 04/18/05		300	44.45	$13,358.95	48.0700	$14,421.00	$1,062.05	$800.00	0.83
Acquired 05/23/05		700	44.30	$31,057.95		$33,649.00	$2,591.05		
Acquired 07/07/05		1,000	35.84	$36,905.95		$48,070.00	$12,164.05		
Total		2,000		$80,322.85	48.0700	$96,140.00	$15,817.15	$800.00	0.83
XCEL ENERGY INC	XEL								
Acquired 06/07/05		1,000	18.94	$19,005.95	18.4600	$18,460.00	- $545.95	$1,720.00	4.65
Acquired 06/16/05		1,000	18.73	$18,795.95		$18,460.00	- $335.95		
Total		2,000		$37,801.90	18.4600	$36,920.00	- $881.90	$1,720.00	4.66
YELLOW ROADWAY CORP	YELL								
Acquired 05/13/04		500	45.76	$22,916.40	44.6100	$22,305.00	- $611.40	N/A	N/A
Acquired 10/21/04		500	47.95	$24,010.95		$22,305.00	- $1,705.95		
Acquired 10/26/05		500	43.71	$21,890.95		$22,305.00	$414.05		
Acquired 11/02/05		200	47.55	$9,527.95		$8,922.00	- $605.95		
Acquired 11/09/05		300	47.56	$14,286.00		$13,383.00	- $903.00		
Total		2,000		$92,632.25	44.6100	$89,220.00	- $3,412.25	N/A	N/A

3219

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No
067 / FZ / FZ99 / [redacted]

December 1 - December 31, 2005

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann Income	Est. Ann Yield (%)
Total Stocks				**$1,872,266.88**		**$2,262,109.50**	**$389,842.62**	**$26,720.55**	**1.18**
Total Stocks and Options				**$1,872,266.88**		**$2,262,109.50**	**$389,842.62**	**$26,720.55**	**1.18**

** Because you have more than one tax lots, we are showing the average cost per share

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann Income	Est. Ann Yield (%)
TEXAS INDUSTRIES 5.5% CONV PFD DUE [illegible]	TXI5								
[illegible]		500	47.15	$23,610.95	51.7000	$25,850.00	$2,239.05	$5,500.00	5.31
[illegible]		500	45.95	$23,010.95		$25,850.00	$2,839.05		
[illegible]		1,000	48.93	$49,001.65		$51,700.00	$2,698.35		
[illegible]		2,000		$95,623.55	51.7000	$103,400.00	$7,776.45	$5,500.00	5.32
Total Preferreds Fixed Rate Cap Securities				**$95,623.55**		**$103,400.00**	**$7,776.45**	**$5,500.00**	**5.32**

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market Sweep Fund Balance
12/01				BEGINNING BALANCE			$ 5,537.61
12/01	Cash	DIVIDEND		CONOCOPHILLIPS 120105 2,000		$620.00	$ 6,157.61
12/09	Cash	DIVIDEND		EXXON MOBIL CORP 120905 1,500		$435.00	
12/09	Cash	DIVIDEND		LUFKIN INDS INC 120905 1,000 [redacted]		$110.00	$ 6,702.61
12/12	Cash	DIVIDEND		CHEVRON CORPORATION 121205 1,000		$450.00	

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Rep / Account No
067 / FZ / FZ99 / [redacted]

December 1 - December 31, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
12/12	Cash	DIVIDEND		EXELON CORPORATION 121005 1,000 AS OF 12/10/05		$400.00	
12/12	Cash	DIVIDEND		NORFOLK SOUTHERN CORP 121005 1,000 AS OF 12/10/05		$130.00	
12/12	Cash	DIVIDEND		UNITED STATES STEEL CORP 121005 3,000 AS OF 12/10/05		$300.00	$ 7,982.61
12/15	Cash	DIVIDEND		HOME DEPOT INC 121505 2,000		$200.00	$ 8,182.61
12/16	Cash	DIVIDEND		ROCKY MOUNTAIN CHOCOLATE FACTORY INC (NEW) 121605 4,000		$280.00	$ 8,462.61
12/20	Cash	SALE	- 1,000.00000	UNITED STATES STEEL CORP	44.9901	$44,922.26	$ 53,384.87
12/21	Cash	SALE	- 1,000.00000	TODCO CL A	40.3000	$40,232.36	
12/21	Cash	PURCHASE	1,000.00000	ROWAN COMPANIES INC	36.8800	-$36,945.95	$ 56,671.28
12/22	Cash	DIVIDEND		HALLIBURTON COMPANY 122205 1,000		$125.00	$ 56,796.28
12/27	Cash	SALE	- 1,000.00000	LUFKIN INDS INC	51.1401	$51,072.58	
12/27	Cash	PURCHASE	400.00000	CANADIAN NATL RY CO	79.3500	-$31,769.95	
12/27	Cash	PURCHASE	100.00000	CANADIAN NATL RY CO	79.3800	-$7,944.00	
12/27	Cash	PURCHASE	500.00000	INTERNATIONAL SPEEDWAY CORP CL A	47.5850	-$23,828.45	$ 44,326.46
12/30	Cash	DIVIDEND		CANADIAN NATL RY CO 123005 500		$106.85	
12/30	Cash	DIVIDEND		ENSCO INTL INC 123005 1,000 [redacted]		$25.00	
12/30	Cash	DIVIDEND		ISHARES FTSE/XINHUA CHINA 25 INDEX FUND 123005 500		$625.18	

3221

FIRST DALLAS SECURITIES
2905 MAPLE AVENUE
DALLAS, TEXAS 75201

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

ACCOUNT STATEMENT

Sub / Branch	/ Rep	/ Account No.
067 / FZ	/ FZ99	/ [redacted]

December 1 - December 31, 2005

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
12/30	Cash	DIVIDEND		ISHARES MSCI BRAZIL INDEX 123005 500		$291.35	
12/30	Cash	INTEREST		TEXAS INDUSTRIES 5.5% CONV PFD DUE 6/2/29 CALLABLE 6/05/01 123005 2,000		$1,375.00	
12/30	Cash	DIVIDEND		EVERGREEN MONEY MKT FD CL A 123005 44,326		$47.61	
12/30	Cash	WITHHOLDING		FRGN W/H @ SOURCE		-$16.03	$46,782.42

Money Fund/Bank Deposit Sweep Activity

This section displays transfers into and out of your money market/bank deposit sweep account. Transactions included here are Transfer To, Transfer From and Reinvested Dividends and Interest. These amounts are not included in the Cash Activity Summary.

Date	Account Type	Transaction	Description	Amount
12/01			BEGINNING BALANCE	$45,306.91
12/01	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$39,859.30
12/06	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$620.00
12/13	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$1,825.00
12/20	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$480.00
12/27	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$45,047.26
12/28	Cash	TRANSFER TO	EVERGREEN MONEY MKT FD CL A	$3,286.41
12/30	Cash	REINVST DIV/INT	EVERGREEN MONEY MKT FD CL A	$47.61
12/30	Cash	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A	-$12,469.82
12/31			ENDING BALANCE [redacted]	$44,374.07

MARKEN INTEREST LTD
A TEXAS LIMITED PARTNERSHIP

Sub / Branch / Hap / Account No
067 / FZ / FZ99 / [redacted]

December 1 - December 31, 2005

Realized Gain/Loss

The following section details security positions you closed this month through such transactions as sales, buys-to-close, redemptions, transfers, corporate actions, etc. If we have the acquisition date, close date, and cost information, the realized gain/loss will be calculated. The realized gains and losses for municipal bond positions purchased at a premium do not reflect the amortization of that premium. Capital gain distributions from mutual funds are not included. Please contact your tax advisor to determine the tax consequences of your securities transactions.

Realized Gain/Loss Summary

	This Period Gain	This Period Loss	This Period Net	Year to Date Gain	Year to Date Loss	Year to Date Net
Short-term	$ 2,824.73	-$ 21,831.65	-$19,006.92	$ 233,012.15	-$ 39,266.53	$193,745.62
Long-term	$ 0.00	$ 0.00	$0.00	$ 10,057.06	-$ 2,019.20	$8,037.86
Total Realized Gain/Loss	**$ 2,824.73**	**-$ 21,831.65**	**-$19,006.92**	**$ 243,069.21**	**-$ 41,285.73**	**$201,783.48**

Short-term

Security	Quantity	Date Acquired	Acquisition Cost	Close Date	Proceeds	Gain/Loss
[illegible] INC	200.0000	08/01/05	$9,799.95	12/27/05	$10,214.51	$414.56
[illegible] INC	300.0000	08/09/05	$14,398.95	12/27/05	$15,321.77	$924.82
[illegible] INC	500.0000	08/16/05	$24,050.95	12/27/05	$25,536.30	$1,485.35
[illegible] A	1,000.0000	11/02/05	$47,845.95	12/21/05	$40,232.36	-$7,613.59
UNITED STATES STEEL CORP	400.0000	02/16/05	$22,725.95	12/20/05	$17,968.90	-$4,757.05
UNITED STATES STEEL CORP	600.0000	02/25/05	$36,414.37	12/20/05	$26,953.36	-$9,461.01
Total Short-term						**-$19,006.92**

3223

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Marlon Interests Ltd.
Kenny Marchant & GP
Trading Portfolio
PC2 Partner Account

Statement Period
December 31, 2004 to January 31, 2005

Account Summary

	12/31/04 Value	01/31/05 Value
Cash & Money Market Funds	148,196.21	
Equities	148,198.10	
Total Account Value	296,394.31	
Net Account Value	296,394.31	

Change In Account Value

	Cash/MMkt Margin/Short	Investments	Total Account
Beginning Balance	148,196.21	148,198.10	296,394.31
Funds Deposited			
Income Activity	244.17		244.17
Securities Sold/Matured	305,487.52	(305,487.52)	
Securities Received			
Funds Withdrawn	(292,091.94)		(292,091.94)
Margin Interest			
Securities Purchased	(161,835.98)	161,835.98	
Securities Delivered			
Change In Value of Securities		(4,548.54)	(4,548.54)
Ending Balance			

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on January 31, 2005

Money Market Fund	
U.S. Government Fund	1.39%
Municipal Money Market Fund	1.25%
	0.89%

Annual Percentage Yield for Bank Insured Funds on January 31, 2005

PC² Bank Insured Funds 1.50%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

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3224

SIPC

CUSTOMER STATEMENT

Account Nu[redacted]
Marlen Inter[redacted]
Kenny Marchant Sr GP
Trading Portfolio
PC2 Partner Account

Statement Period	Page
December 31, 2004 to January 31, 2005	2 of 4

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
01/04	Taxable Dividend	UNITED PARCEL SERVICE INC CL B UPS on 185.000000 PD:2005-01-04	Cash	UPS	51.80
01/05	Taxable Dividend	QUALCOMM INC QCOM on 450.000000 PD:2005-01-05	Cash	QCOM	31.50
01/05	Taxable Dividend	HEWLETT-PACKARD CO HPQ on 675.000000 PD:2005-01-05	Cash	HPQ	54.00
01/11	Taxable Dividend	OMI CORPORATION OMM on 750.000000 PD:2005-01-11	Cash	OMM	52.50
01/19	Credit Interest	18 Days AvgBal $1483.23 AvgRate 1.189	Cash		54.37
Total Income Activity					244.17

Securities Sold/Matured

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
01/05	Sell	OMI CORPORATION	Cash	OMM	(200.000)	16.450	3,289.92
01/05	Sell	OMI CORPORATION	Cash	OMM	(200.000)	16.450	3,289.92
01/05	Sell	OMI CORPORATION	Cash	OMM	(100.000)	16.450	1,644.96
01/06	Sell	OMI CORPORATION	Cash	OMM	(250.000)	16.480	4,118.40
01/06	Sell	HEWLETT-PACKARD CO	Cash	HPQ	(675.000)	21.061	14,212.34
01/11	Sell	ADVANCED MICRO DEVICES INC	Cash	AMD	(750.000)	15.651	11,734.36
01/13	Sell	WELLPOINT INC	Cash	WLP	(125.000)	121.750	15,214.75
01/13	Sell	VALERO ENERGY CORP	Cash	VLO	(350.000)	47.111	16,484.88
01/13	Sell	UNITED PARCEL SERVICE INC CL B	Cash	UPS	(185.000)	76.330	14,117.09
01/13	Sell	TYLER TECHNOLOGIES INC	Cash	TYL	(2,500.000)	7.810	19,520.86
01/13	Sell	REGAL BELOIT CORP	Cash	RBC	(500.000)	29.530	14,791.01
01/13	Sell	QUALCOMM INC	Cash	QCOM	(450.000)	42.371	19,062.91
01/13	Sell	PATTERSON COS INC	Cash	PDCO	(350.000)	44.450	15,553.49
01/13	Sell	HUMANA INCORPORATED	Cash	HUM	(100.000)	32.040	3,200.39
01/13	Sell	HUMANA INCORPORATED	Cash	HUM	(100.000)	32.030	3,202.89
01/13	Sell	HUMANA INCORPORATED	Cash	HUM	(200.000)	32.033	6,406.39
01/13	Sell	HUMANA INCORPORATED	Cash	HUM	(100.000)	32.033	3,203.19
01/13	Sell	DELL INC	Cash	DELL	(375.000)	40.641	15,236.45
01/13	Sell	CYTYC CORP COM	Cash	CYTC	(100.000)	25.410	2,540.92
01/13	Sell	CYTYC CORP COM	Cash	CYTC	(100.000)	25.410	2,540.92
01/13	Sell	CYTYC CORP COM	Cash	CYTC	(100.000)	25.410	2,540.92
01/13	Sell	CYTYC CORP COM	Cash	CYTC	(100.000)	25.410	2,540.92

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3225

SIPC **CUSTOMER STATEMENT**

Account Numb[redacted]
Marlan Interest
Kenny Marchant 3/ GP
Trading Portfolio
PC2 Partner Account

Statement Period
December 31, 2004 to January 31, 2005

Account Activity

Securities Sold/Matured (Continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
01/13	Sell	CYTYC CORP COM	Cash	CYTC	(200.000)	25.410	5,078.33
01/13	Sell	COGNOS INC ISIN #CA18244C1095	Cash	COGN	(350.000)	43.000	15,046.00
01/13	Sell	COACH INC	Cash	COH	(250.000)	55.410	13,848.54
01/13	Sell	CHATTEM INCORPORATED	Cash	CHTT	(460.000)	33.710	15,165.50
01/13	Sell	CAREMARK RX INCORPORATED	Cash	CMX	(400.000)	41.746	16,683.87
01/13	Sell	CACI INTERNATIONAL INC CL A	Cash	CAI	(225.000)	61.560	13,847.04
01/13	Sell	BANK OF AMERICA CORPORATION	Cash	BAC	(325.000)	44.841	14,568.41
01/13	Sell	AFFILIATED COMPUTER SERVICES CL A	Cash	ACS	(300.000)	55.990	16,793.95
Total Securities Sold/Matured							305,487.32

Funds Withdrawn

Date	Transaction	Description	Account Type	Transaction Number	Amount
01/19	Journal	to 481472319 loa mp	Cash		(150,000.00)
01/19	Journal	to 481457337 loa mp	Cash		(142,091.94)
Total Funds Withdrawn					(292,091.94)

Securities Purchased

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
01/06	Buy	WELLPOINT INC	Cash	WLP	125.000	114.990	(14,377.25)
01/06	Buy	VALERO ENERGY CORP	Cash	VLO	350.000	43.448	(15,210.18)
01/06	Buy	REGAL BELOIT CORP	Cash	RBC	500.000	28.300	(14,153.50)
01/06	Buy	PATTERSON COS INC	Cash	PDCO	95.000	42.900	(4,075.50)
01/06	Buy	PATTERSON COS INC	Cash	PDCO	50.000	42.900	(2,145.00)
01/06	Buy	PATTERSON COS INC	Cash	PDCO	205.000	42.900	(8,795.00)
01/06	Buy	HUMANA INCORPORATED	Cash	HUM	200.000	29.840	(5,971.50)
01/06	Buy	HUMANA INCORPORATED	Cash	HUM	100.000	29.840	(2,984.00)
01/06	Buy	HUMANA INCORPORATED	Cash	HUM	200.000	29.840	(5,968.00)
01/06	Buy	DELL INC	Cash	DELL	375.000	40.499	(15,190.55)
01/06	Buy	CYTYC CORP COM	Cash	CYTC	600.000	25.350	(15,213.50)
01/06	Buy	COGNOS INC ISIN #CA18244C1095	Cash	COGN	350.000	42.060	(14,731.50)
01/06	Buy	COACH INC	Cash	COH	250.000	55.230	(13,823.50)
01/06	Buy	CHATTEM INCORPORATED	Cash	CHTT	450.000	32.830	(14,822.00)

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SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Market Interests Ltd.
Kenny Merchant Sr GP
Trading Portfolio
PC2 Partner Account

Statement Period
December 31, 2004 to January 31, 2005

Account Activity

Securities Purchased (Continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
01/06	Buy	CACI INTERNATIONAL INC CL A	Cash	CAI	226.000	63.860	(14,372.00)
Total Securities Purchased							(161,835.96)

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	54.37		54.37	
Dividends-Ordinary	189.80		189.80	
Total Income	244.17		244.17	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds	305,487.52	305,487.52

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At June 24, 2004, the Company had net capital of $110,848,000, or approximately 21% of aggregate debit balances, which is $100,410,000 in excess of its minimum net capital requirement of $10,438,000 at that date. The audited statement of financial condition, available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-328-2174, is provided pursuant to NASD Conduct Rule 2280 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site

End of Statement

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3227

SIPC

CUSTOMER STATEMENT

Account Number [redacted]
Marlan Interests Inc.
Attention Kenny Marchant Sr

Statement Period
January 31, 2005 to February 28, 2005

Account Summary

	01/31/05 Value	02/28/05 Value
Cash & Money Market Funds		720.42
Total Account Value		720.42
Net Account Value		720.42

Change in Account Value

	Cash/MMkt Margin/Short	Investments	Total Account
Beginning Balance			
Funds Deposited	720.42		720.42
Income Activity			
Securities Sold/Matured			
Securities Received			
Funds Withdrawn			
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities			
Ending Balance	720.42		720.42

Account Holdings

Cash & Money Market Funds 100.00%

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on February 28, 2005

Money Market Fund	1.52%
U.S. Government Fund	1.48%
Municipal Money Market Fund	0.94%

Annual Percentage Yield for Bank Insured Funds on February 28, 2005

PC² Bank Insured Funds	1.60%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

3228

SIPC

CUSTOMER STATEMENT

Account Num[redacted]
Market Inve[redacted]
Attention Kenny Marchant Sr

Statement Period
January 31, 2005 to February 28, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value
Cash & Money Market Funds					
Credit Interest	Credit				720.42
Total Cash & Money Market Funds					720.42

End of Account Positions

Account Activity

Funds Deposited

Date	Transaction	Description	Account Type	Amount
02/10	Journal	ADV FEE ADJ 1/19-3/31/05 FG	Cash	720.42
Total Funds Deposited				720.42

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest			54.37	
Dividends-Ordinary			189.80	
Total Income			244.17	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds		305,487.52

[redacted]

3229

SIPC **CUSTOMER STATEMENT**

Account Numbe[redacted]
Marton Interest Inc.
Attention Kenny Marchant Sr

Statement Period	Page
January 31, 2006 to February 28, 2005	3 of 3

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At June 24, 2004, the Company had net capital of $110,948,000, or approximately 21% of aggregate debit balances, which is $100,410,000 in excess of its minimum net capital requirement of $10,538,000 at that date. The audited statement of financial condition, available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-326-2174, is provided pursuant to NASD Conduct Rule 2260 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site

End of Statement

SIPC CUSTOMER STATEMENT

3231

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Marken Interests [redacted]
Attention Kenny Marchant Sr

Statement Period
February 28, 2005 to March 31, 2005

Page
1 of 2

Account Summary

	02/28/05 Value	03 /31/05 Value
Cash & Money Market Funds	720.42	720.42
Total Account Value	720.42	720.42
Net Account Value	720.42	720.42

Change in Account Value

	Cash/MMkt Margin/Short	Investments	Total Account
Beginning Balance	720.42		720.42
Funds Deposited			
Income Activity			
Securities Sold/Matured			
Securities Received			
Funds Withdrawn			
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities			
Ending Balance	720.42		720.42

Account Holdings

Cash & Money Market Funds 100.00%

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on March 31, 2005

Money Market Fund	1.77%
U.S. Government Fund	1.65%
Municipal Money Market Fund	1.23%

Annual Percentage Yield for Bank Insured Funds on March 31, 2005

PC² Bank Insured Funds	1.93%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

3232

SIPC

CUSTOMER STATEMENT

Account H[redacted]
Merken Intern[redacted]
Attention Kenny Marchant Sr

Statement Period
February 28, 2005 to March 31, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value
Cash & Money Market Funds					
Credit Interest	Credit				720.42
Total Cash & Money Market Funds					720.42

End of Account Positions

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest			54.27	
Dividends-Ordinary			189.90	
Total Income			244.17	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds		303,487.32

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At December 31, 2004, the Company had net capital of $114,728,000, or approximately 22.8% of aggregate debit balances, which was $104,675,000 in excess of its minimum net capital requirement of $10,053,000 at that date. The unaudited statement of financial condition as of December 31, 2004 and the audited statement of financial condition as of June 28, 2004 are available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-326-2174, and are provided pursuant to NASD Conduct Rule 2260 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site.

End of Statement

[redacted]

3233

SIPC

CUSTOMER STATEMENT

Account Number [redacted]
Marlon Interest
Attention Kenny Marchant Sr

Statement Period
March 31, 2005 to May 31, 2005

Account Summary

	03/31/05 Value	05/31/05 Value
Cash & Money Market Funds	720.42	721.74
Total Account Value	720.42	721.74
Net Account Value	720.42	721.74

Change in Account Value

	Cash/MMkt Margin/Short	Investments	Total Account
Beginning Balance	720.42		720.42
Funds Deposited	721.08		721.08
Income Activity	1.32		1.32
Securities Sold/Matured			
Securities Received			
Funds Withdrawn	(721.08)		(721.08)
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities			
Ending Balance	721.74		721.74

Account Holdings

Cash & Money Market Funds 100.00%

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on May 31, 2005

Money Market Fund	2.07%
U.S. Government Fund	1.99%
Municipal Money Market Fund	1.91%

Annual Percentage Yield for Bank Insured Funds on May 31, 2005

PC² Bank Insured Funds	2.00%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

3234

SIPC

CUSTOMER STATEMENT

Account Number:491464737
Marken Interests Inc.
Attention Kenny Marchand Sr

Statement Period
March 31, 2005 to May 31, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value
Cash & Money Market Funds					
Dreyfus 896 General MMKT Cl B	MMkt				721.74
Total Cash & Money Market Funds					721.74

End of Account Positions

Account Activity

Funds Deposited

Date	Transaction	Description	Account Type	Amount
05/18	Journal	PUR DREYFUS GEN KB	MMkt	721.08
Total Funds Deposited				721.08

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
05/05	Credit Interest	6 Days AvgBal 720.42 AvgRate 1.700	Cash		0.20
05/18	Taxable Mny Div	Accumulated Earnings Posted	MMkt		0.46
05/31	Taxable Mny Div	Accumulated Earnings Posted	MMkt		0.66
Total Income Activity					1.32

Funds Withdrawn

Date	Transaction	Description	Account Type	Transaction Number	Amount
05/18	Journal	LIQ BANK INSURED FUNDS KB	MMkt		(721.08)
Total Funds Withdrawn					(721.08)

End of Account Activity

[redacted]

3235

SIPC

CUSTOMER STATEMENT

Account Num[redacted]
Marlon Interest[redacted]
Attention Kenny Marchant Jr

Statement Period
March 31, 2005 to May 31, 2005

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest				
Dividends-Ordinary	0.20		54.57	
Money Market	1.12		189.80	
Total Income			1.12	
	1.32		245.49	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds		305,487.32

Money Market Account Summary

Date	Description	Amount	Balance
05/02/05	Beginning Balance		720.62
05/05/05	PUR BANK INSURED FUNDS KB	720.62	1,441.70
05/16/05	PUR DREYFUS GEN KB	721.08	1,442.16
05/16/05	Accumulated Earnings Posted	0.46	721.08
05/31/05	LIQ BANK INSURED FUNDS KB	(721.08)	721.74
	Accumulated Earnings Posted	0.66	

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End of Statement

3236

SIPC

CUSTOMER STATEMENT

SBPC

CUSTOMER STATEMENT

Account Numb[redacted]
Market Interest [redacted]
Attention Kenny Merchant Sr

Statement Period
May 31, 2005 to June 30, 2005

Page
1 of 3

Account Summary

	05/31/05 Value	06/30/05 Value
Cash & Money Market Funds	721.74	
Total Account Value	721.74	
Net Account Value	721.74	

Change in Account Value

	Cash/Mkt Margin/Short	Investments	Total Account
Beginning Balance	721.74		721.74
Funds Deposited			
Income Activity	0.50		0.50
Securities Sold/Matured			
Securities Received			
Funds Withdrawn	(722.24)		(722.24)
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities			
Ending Balance			

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on June 30, 2005

Money Market Fund	2.24%
U.S. Government Fund	2.10%
Municipal Money Market Fund	1.37%

Annual Percentage Yield for Bank Insured Funds on June 30, 2005

PC² Bank Insured Funds 2.35%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

SIPC

CUSTOMER STATEMENT

Account Number: 491468737
Marken Interests Inc.
Attention Kenny Marchant Sr

Statement Period
May 31, 2005 to June 30, 2005

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
06/13	Taxable Mny Dv	Accumulated Earnings Posted	MMkt		0.50
06/13	Taxable Mny Dv	Accumulated Earnings Posted	MMkt		0.04
06/14	Taxable Mny Dv	REV MMKT INT	MMkt		(0.04)
Total Income Activity					0.50

Funds Withdrawn

Date	Transaction	Description	Account Type	Transaction Number	Amount
06/13	Cash Disbursement	Check Request	Cash		(722.24)
Total Funds Withdrawn					(722.24)

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest			54.57	
Dividends-Ordinary			189.40	
Money Market	0.50		1.52	
Total Income	0.50		245.99	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds		305,447.52

[redacted]

3239

SIPC

CUSTOMER STATEMENT

Account Number [redacted]
Market Interest [redacted]
Attention Kenny Marchant Sr

Statement Period
May 31, 2005 to June 30, 2005

Other Important Information

Money Market Account Summary

Date	Description	Amount	Balance
05/31/05	Beginning Balance		721.74
06/13/05	Accumulated Earnings Posted	0.04	721.78
06/13/05	Accumulated Earnings Posted	0.50	722.28
06/14/05	REV MMKT INT	(0.04)	722.24
06/14/05	$722.24 sweep out of 696	(722.24)	

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End of Statement

SIPC CUSTOMER STATEMENT

3241

SIPC

CUSTOMER STATEMENT

Account No [redacted]
Marlon Interest Ltd
Attn: Kenny Marchant, GP

Statement Period
December 31, 2004 to March 31, 2005

Account Summary

	12/31/04 Value	03/31/05 Value
Cash & Money Market Funds	420.10	420.10
Equities		100,000.00
Total Account Value	420.10	100,420.10
Net Account Value	420.10	100,420.10

Change in Account Value

	Cash/MMkt Margin/Short	Investments	Total Account
Beginning Balance	420.10		420.10
Funds Deposited			
Income Activity			
Securities Sold/Matured			
Securities Received			
Funds Withdrawn			
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		100,000.00	100,000.00
Ending Balance	420.10	100,000.00	100,420.10

Account Holdings

- Cash & Money Market Funds 0.42%
- Equities 99.58%

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on March 31, 2005

Money Market Fund	1.77%
U.S. Government Fund	1.65%
Municipal Money Market Fund	1.20%

Annual Percentage Yield for Bank Insured Funds on March 31, 2005

PC² Bank Insured Funds	1.93%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

[redacted]

3242

SIPC **CUSTOMER STATEMENT**

Account No. [redacted]
Marken Interests Ltd
Attn Kenny Marchant, GP

Statement Period
December 31, 2004 to March 31, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value
Cash & Money Market Funds					
Credit Interest	Credit				420.10
Total Cash & Money Market Funds					420.10
Equities					
FIRST METROPLEX CAPITAL INC	Cash	FMPX	10,000.000	10.000	100,000.00
Total Equities					100,000.00

End of Account Positions

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At December 31, 2004, the Company had net capital of $114,728,000, or approximately 22.8% of aggregate debit balances, which was $104,675,000 in excess of its minimum net capital requirement of $10,053,000 at that date. The unaudited statement of financial condition as of December 31, 2004 and the audited statement of financial condition as of June 25, 2004 are available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-326-2174, and are provided pursuant to NASD Conduct Rule 2260 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site.

End of Statement

[redacted]

3243

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Marken Interest[redacted]
Attn Kenny Marchant, GP

Statement Period
March 31, 2005 to June 30, 2005

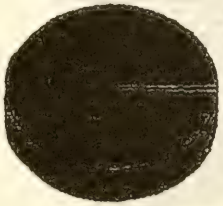
Account Summary

	03/31/05 Value	06/30/05 Value
Cash & Money Market Funds	420.10	420.10
Equities	100,000.00	100,000.00
Total Account Value	100,420.10	100,420.10
Net Account Value	100,420.10	100,420.10

Change in Account Value

	Cash/Mkt Margin/Short	Investments	Total Account
Beginning Balance	420.10	100,000.00	100,420.10
Funds Deposited			
Income Activity			
Securities Sold/Matured			
Securities Received			
Funds Withdrawn			
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities			
Ending Balance	420.10	100,000.00	100,420.10

Account Holdings



- Cash & Money Market Funds 0.42%
- Equities 99.58%

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on June 30, 2005

Money Market Fund	2.24%
U.S. Government Fund	2.10%
Municipal Money Market Fund	1.37%

Annual Percentage Yield for Bank Insured Funds on June 30, 2005

PC² Bank Insured Funds	2.35%

For questions concerning the PC² Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

[redacted]

3244

SIPC

CUSTOMER STATEMENT

Account Nu[redacted]
Marken Inter[redacted]
Attn: Kenny Marchant, GP

Statement Period
March 31, 2005 to June 30, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				420.10	N/A	N/A
Total Cash & Money Market Funds					420.10		
Equities							
FIRST METROPLEX CAPITAL INC	Cash	FMPX	10,000.000	10.000	100,000.00	100,000.00	
Total Equities					100,000.00	100,000.00	

End of Account Positions

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At December 31, 2004, the Company had net capital of $114,726,000, or approximately 22.8% of aggregate debit balances, which was $104,675,000 in excess of its minimum net capital requirement of $10,051,000 at that date. The unaudited statement of financial condition as of December 31, 2004 and the audited statement of financial condition as of June 25, 2004 are available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-326-2174, and are provided pursuant to NASD Conduct Rule 2280 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site.

End of Statement

[redacted]

3245

SIPC

CUSTOMER STATEMENT

Account Num[redacted]
Marken Interna[redacted] Ltd
Attn: Kenny Marchant, GP

Statement Period
June 30, 2005 to September 30, 2005

Account Summary

	06/30/05 Value	09/30/05 Value
Cash & Money Market Funds	420.10	420.10
Equities	100,000.00	100,000.00
Total Account Value	100,420.10	100,420.10
Net Account Value	100,420.10	100,420.10

Change in Account Value

	Cash/Mkt Margin/Short	Investments	Total Account
Beginning Balance	420.10	100,000.00	100,420.10
Funds Deposited			
Income Activity			
Securities Sold/Matured			
Securities Received			
Funds Withdrawn			
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities			
Ending Balance	420.10	100,000.00	100,420.10

Account Holdings

- PC2 Bank Insured Funds 42.70%
- Cash & Money Market Funds 0.42%
- Equities 99.58%

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on September 30, 2005

Money Market Fund	2.78%
U.S. Government Fund	2.70%
Municipal Money Market Fund	1.72%

Annual Percentage Yield for Bank Insured Funds on September 30, 2005

PC² Bank Insured Funds	2.93%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

3246

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Markan Interests Ltd
Attn Kenny Marchant, GP

Statement Period
June 30, 2005 to September 30, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				420.10	N/A	N/A
Total Cash & Money Market Funds					420.10		
Equities							
FIRST METROPLEX CAPITAL INC	Cash	FMPX	10,000.000	10.000	100,000.00	100,000.00	
FIRST METROPLEX CAP INC -WTS EXP 11/2/2007	Cash	FMPXW	2,000.000			N/A	N/A
Total Equities					100,000.00		

End of Account Positions

Account Activity

Securities Received

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Amount
09/22	Stock Receipt	FIRST METROPLEX CAP INC -WTS EXP 11/2/2007 batch 636 ms	Cash	FMPXW	2,000.000	
Total Securities Received						

End of Account Activity

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the *maintenance of* minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At December 31, 2004, the Company had net capital of $114,728,000, or *approximately* 22.6% of aggregate debit balances, which was $104,675,000 in excess of its minimum net capital requirement of $10,053,000 at that date. The unaudited statement of financial condition as of December 31, 2004 and the *audited statement of financial condition* as of June 25, 2004 are available *on the Company's web site at* www.swst.com, or by dialing our toll-free request line, 800-326-2174, and are provided pursuant to NASD Conduct Rule 2280 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure *Program Hotline is* 800-289-9999, and the NASD web site is www.nasd.com. *An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web* site.

End of Statement

3247

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Marion Interest[redacted]
Attn Kenny Merchant, GP

Statement Period
September 30, 2005 to December 30, 2005

Page
1 of 3

Account Summary

	09/30/05 Value	12/30/05 Value
Cash & Money Market Funds	420.10	422.38
Equities	100,000.00	55,500.00
Total Account Value	100,420.10	55,922.38
Net Account Value	100,420.10	55,922.38

Change in Account Value

	Cash/MMkt Margin/Short	Investments	Total Account
Beginning Balance	420.10	100,000.00	100,420.10
Funds Deposited			
Income Activity	2.28		2.28
Securities Sold/Matured			
Securities Received			
Funds Withdrawn			
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		(44,500.00)	(44,500.00)
Ending Balance	422.38	55,500.00	55,922.38

Account Holdings

- Cash & Money Market Funds 0.76%
- Equities 99.24%

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on December 30, 2005

Money Market Fund	3.35%
U.S. Government Fund	3.26%
Municipal Money Market Fund	2.45%

Annual Percentage Yield for Bank Insured Funds on December 30, 2005

PC² Bank Insured Funds 3.49%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

[redacted]

[redacted]

3248

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Marken Interests Ltd
Attn Kenny Marchant, GP

Statement Period
September 30, 2005 to December 30, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				422.38	N/A	N/A
Total Cash & Money Market Funds					422.38		
Equities							
FIRST METROPLEX CAPITAL INC	Cash	FMPX	10,000.000	5.500	55,000.00	100,000.00	(45,000.00)
FIRST METROPLEX CAP INC -WTS EXP 11/2/2007	Cash	FMPXW	2,000.000	0.250	500.00	N/A	N/A
Total Equities					55,500.00		

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
11/29	Credit Interest	32 Days AvgBal 420.10 AvgRate 2.931	Cash		1.09
12/30	Credit Interest	32 Days AvgBal 421.19 AvgRate 3.172	Cash		1.19
Total Income Activity					2.28

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	2.28		2.28	
Total Income	2.28		2.28	

[redacted]

3249

SIPC **CUSTOMER STATEMENT**

Account Name: [redacted]
Maritan Interest Ltd
Attn: Kenny Menchaca, GP

Statement Period	Page
September 30, 2005 to December 30, 2005	3 of 3

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At June 24, 2005, the Company had net capital of $103,054,000, or approximately 22.7% of aggregate debit balances, which was $99,539,000 in excess of its minimum net capital requirement of $3,515,000 at that date. The audited statement of financial condition as of June 24, 2005 is available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-323-2174, and is provided pursuant to NASD Conduct Rule 2260 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site.

End of Statement

SIPC

CUSTOMER STATEMENT

3251

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Marchant

Statement Period
December 31, 2004 to January 31, 2005

Account Summary

	12/31/04 Value	01/31/05 Value
Cash & Money Market Funds	73,504.00	240.00
Equities	479,753.90	
Total Account Value	553,257.90	240.00
Net Account Value	553,257.90	240.00

Change in Account Value

	Cash/Mkt Margin/Short	Investments	Total Account
Beginning Balance	73,504.00	479,753.90	553,257.90
Funds Deposited			
Income Activity	769.98		769.98
Securities Sold/Matured	523,268.37	(523,268.37)	
Securities Received			
Funds Withdrawn	(535,484.90)		(535,484.90)
Margin Interest			
Securities Purchased	(81,837.48)	81,837.48	
Securities Delivered			
Change in Value of Securities		(18,302.99)	(18,302.99)
Ending Balance	240.00		240.00

Account Holdings

- Cash & Money Market Funds 100.00%
- Equities 0.00%

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on January 31, 2005

Money Market Fund	1.39%
U.S. Government Fund	1.25%
Municipal Money Market Fund	0.69%

Annual Percentage Yield for Bank Insured Funds on January 31, 2005

PC² Bank Insured Funds	1.50%

For questions concerning the PC² Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

3252

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Kenny Marchant

Statement Period
December 31, 2004 to January 31, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value
Cash & Money Market Funds					
Credit Interest	Credit				240.00
Total Cash & Money Market Funds					**240.00**

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
01/03	Taxable Dividend	WAL-MART STORES INC WMT on 400.000000 PD:2005-01-03	Cash	WMT	52.00
01/03	Taxable Dividend	PEPSICO INCORPORATED PEP on 325.000000 PD:2005-01-03	Cash	PEP	74.75
01/03	Taxable Dividend	MBNA CORP KRB on 500.000000 PD:2005-01-01	Cash	KRB	60.00
01/03	Taxable Dividend	AUTOMATIC DATA PROCESSING INC ADP on 400.000000 PD:2005-01-01	Cash	ADP	62.00
01/03	Taxable Dividend	ALLSTATE CORPORATION ALL on 400.000000 PD:2005-01-03	Cash	ALL	112.00
01/14	Taxable Dividend	FRANKLIN RESOURCES INC BEN on 250.000000 PD:2005-01-14	Cash	BEN	25.00
01/18	Taxable Dividend	OCCIDENTAL PETROLEUM CORP OXY on 425.000000 PD:2005-01-15	Cash	OXY	116.88
[illegible]	[illegible]	18 Days AvgBal 46019.88 AvgRate 1.189	Cash		27.36
[illegible]	Taxable Dividend	GENERAL ELECTRIC COMPANY GE on 750.000000 PD:2005-01-25	Cash	GE	185.00
01/28	Taxable Dividend	SYSCO CORPORATION SYY on 500.000000 PD:2005-01-28	Cash	SYY	75.00
Total Income Activity					**789.99**

Securities Sold/Matured

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
01/13	Sell	INGERSOLL RAND CO LTD BERMUDA CL A	Cash	IR	(200.000)	75.830	14,972.42
01/13	Sell	ZIMMER HOLDINGS INC	Cash	ZMH	(200.000)	78.974	15,593.27

[redacted]

3253

Account Numb[redacted]
Kenny Marchant

Statement Period
December 31, 2004 to January 31, 2005

Account Activity

Securities Sold/Matured (Continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
01/13	Sell	XANSER CORP	Cash	XNR	(400.000)	2.870	1,133.81
01/13	Sell	XANSER CORP	Cash	XNR	(1,000.000)	2.830	2,853.77
01/13	Sell	XANSER CORP	Cash	XNR	(1,200.000)	2.900	3,432.89
01/13	Sell	XANSER CORP	Cash	XNR	(1,400.000)	2.870	3,967.64
01/13	Sell	WELLS FARGO & CO	Cash	WFC	(250.000)	60.831	15,013.70
01/13	Sell	WALGREEN CO	Cash	WAG	(275.000)	41.820	11,302.05
01/13	Sell	WALGREEN CO	Cash	WAG	(200.000)	41.821	8,218.38
01/13	Sell	TEVA PHARMACEUTICAL INDS ADR	Cash	TEVA	(100.000)	28.560	2,822.18
01/13	Sell	TEVA PHARMACEUTICAL INDS ADR	Cash	TEVA	(400.000)	28.591	11,289.56
01/13	Sell	SYSCO CORPORATION	Cash	SYY	(500.000)	36.680	18,096.77
01/13	Sell	STAPLES INC (RETAIL & DELIVERY)	Cash	SPLS	(450.000)	32.481	14,429.76
01/13	Sell	PROCTER & GAMBLE COMPANY	Cash	PG	(300.000)	55.650	16,482.26
01/13	Sell	PEPSICO INCORPORATED	Cash	PEP	(325.000)	53.121	17,044.52
01/13	Sell	OCCIDENTAL PETROLEUM CORP	Cash	OXY	(225.000)	57.260	12,722.04
01/13	Sell	OCCIDENTAL PETROLEUM CORP	Cash	OXY	(200.000)	57.261	11,305.17
01/13	Sell	NOKIA CORP-SPON ADR	Margin	NOK	(250.000)	15.080	3,722.75
01/13	Sell	NOKIA CORP-SPON ADR	Margin	NOK	(500.000)	15.081	7,442.49
01/13	Sell	NIKE INCORPORATED CLASS B	Margin	NKE	(175.000)	88.440	15,278.53
01/13	Sell	NGP CAPITAL RESOURCES COMPANY	Cash	NGPC	(800.000)	14.650	11,573.11
01/13	Sell	NGP CAPITAL RESOURCES COMPANY	Cash	NGPC	(100.000)	14.650	1,447.62
01/13	Sell	NGP CAPITAL RESOURCES COMPANY	Cash	NGPC	(100.000)	14.651	1,444.22
01/13	Sell	MICROSOFT CORPORATION	Cash	MSFT	(750.000)	26.541	19,726.83
01/13	Sell	MBNA CORP	Cash	KRB	(300.000)	27.350	8,102.17
01/13	Sell	MBNA CORP	Cash	KRB	(200.000)	27.351	5,398.14
01/13	Sell	JOHNSON & JOHNSON	Cash	JNJ	(200.000)	62.761	12,389.41
01/13	Sell	JOHNSON & JOHNSON	Cash	JNJ	(200.000)	62.750	12,392.71
01/13	Sell	INTL BUSINESS MACHINES INC	Cash	IBM	(250.000)	94.682	23,370.44
01/13	Sell	GREY WOLF INC.	Cash	GW	(100.000)	4.810	471.47
01/13	Sell	GREY WOLF INC.	Cash	GW	(2,400.000)	4.810	11,309.32
01/13	Sell	GENERAL ELECTRIC COMPANY	Cash	GE	(550.000)	35.410	19,231.42
01/13	Sell	GENERAL ELECTRIC COMPANY	Cash	GE	(200.000)	35.411	6,989.94
01/13	Sell	FRANKLIN RESOURCES INC	Cash	BEN	(250.000)	68.770	16,973.52
01/13	Sell	FORTUNE BRANDS INC.	Cash	FO	(200.000)	78.310	15,057.22
01/13	Sell	EXXON-MOBIL CORPORATION	Cash	XOM	(375.000)	50.820	18,855.89
01/13	Sell	E*TRADE FINANCIAL CORP formerly E*TRADE GROUP	Cash	ET	(500.000)	13.250	6,541.87
01/13	Sell	E*TRADE FINANCIAL CORP formerly E*TRADE GROUP	Cash	ET	(500.000)	13.251	6,538.95
01/13	Sell	EMC CORPORATION	Cash	EMC	(800.000)	13.630	10,767.34
01/13	Sell	EMC CORPORATION	Cash	EMC	(200.000)	13.631	2,688.53
01/13	Sell	DEAN FOODS CO NEW	Cash	DF	(487.000)	32.820	15,827.61
01/13	Sell	CITIGROUP INC	Cash	C	(200.000)	47.870	9,454.01

[redacted]

3254

Account Activity

Securities Sold/Matured (Continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
01/13	Sell	CITIGROUP INC	Cash	C	(200.000)	47.871	9,450.71
01/13	Sell	CISCO SYSTEMS INC	Cash	CSCO	(1,000.000)	18.640	18,600.38
01/13	Sell	AUTOMATIC DATA PROCESSING INC*	Cash	ADP	(200.000)	41.630	8,261.14
01/13	Sell	AUTOMATIC DATA PROCESSING INC*	Cash	ADP	(200.000)	41.631	8,257.84
01/13	Sell	ANHEUSER BUSCH COS INC	Cash	BUD	(300.000)	49.201	14,571.87
01/13	Sell	ALLSTATE CORPORATION	Cash	ALL	(400.000)	51.585	20,371.82
Total Securities Sold/Matured							523,288.37

Funds Withdrawn

Date	Transaction	Description	Account Type	Transaction Number	Amount
01/19	Journal	to 491451669 loa mp	Cash		(135,000.00)
01/19	Journal	to 491468285 loa mp	Cash		(135,000.00)
01/19	Journal	to 491463275 loa mp	Cash		(135,000.00)
01/19	Journal	to 491454787 loa mp	Cash		(130,484.90)
Total Funds Withdrawn					(535,484.90)

Securities Purchased

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
01/06	Buy	INGERSOLL RAND CO LTD BERMUDA CL A	Cash	IR	200.000	78.490	(15,571.84)
01/06	Buy	WELLS FARGO & CO	Cash	WFC	250.000	62.039	(15,817.61)
01/06	Buy	STAPLES INC (RETAIL & DELIVERY)	Cash	SPLS	450.000	32.199	(14,796.49)
01/06	Buy	NIKE INCORPORATED CLASS B	Margin	NKE	175.000	87.870	(15,651.52)
Total Securities Purchased							(61,837.44)

End of Account Activity

[redacted]

3255

SIPC

CUSTOMER STATEMENT

Account Number [redacted]
Kenny Marchant

Statement Period
December 31, 2004 to January 31, 2005

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	27.36		27.36	
Dividends-Ordinary	742.63		742.63	
Total Income	769.99		769.99	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds	$23,288.37	$23,288.37

Other Important Information

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At June 24, 2004, the Company had net capital of $110,948,000, or approximately 21% of aggregate debit balances, which is $100,410,000 in excess of its minimum net capital requirement of $10,538,000 at that date. The audited statement of financial condition, available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-326-2174, is provided pursuant to NASD Conduct Rule 2260 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site

End of Statement

3256

SIPC CUSTOMER STATEMENT

3257

CUSTOMER STATEMENT

Account Number [redacted]
Kenny Merchant

Statement Period
January 31, 2005 to March 31, 2005

Account Summary

	01/31/05 Value	03/31/05 Value
Cash & Money Market Funds	240.00	240.00
Total Account Value	240.00	240.00
Net Account Value	240.00	240.00

Change in Account Value

	Cash/Margin/Short	Investments	Total Account
Beginning Balance	240.00		240.00
Funds Deposited			
Income Activity			
Securities Sold/Matured			
Securities Received			
Funds Withdrawn			
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities			
Ending Balance	240.00		240.00

Account Holdings

Cash & Money Market Funds 100.00%

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on March 31, 2005

Money Market Fund	1.77%
U.S. Government Fund	1.65%
Municipal Money Market Fund	1.23%

Annual Percentage Yield for Bank Insured Funds on March 31, 2005

PC³ Bank Insured Funds 1.93%

For questions concerning the PC³ Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

SIPC

CUSTOMER STATEMENT

Account Nu[redacted]
Kenny Marchant

Statement Period
January 31, 2005 to March 31, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value
Cash & Money Market Funds					
Credit Interest	Credit				240.00
Total Cash & Money Market Funds					240.00

End of Account Positions

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest			27.36	
Dividends-Ordinary			742.63	
Total Income			769.99	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds		823,289.37

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's *Uniform Net Capital Rule* (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At December 31, 2004, the Company had net capital of $114,728,000, or approximately 22.8% of aggregate debit balances, which was $104,675,000 in excess of its minimum net capital requirement of $10,053,000 at that date. The unaudited statement of financial condition as of December 31, 2004 and the audited statement of financial condition as of June 28, 2004 are available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-326-2174, and are provided pursuant to NASD Conduct Rule 2260 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site.

End of Statement

[redacted]

3259

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Marken Interests Ltd.
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
December 31, 2004 to January 31, 2005

Account Summary

	12/31/04 Value	01/31/05 Value
Cash & Money Market Funds	37,922.30	80,594.60
Equities	400,320.15	345,428.00
Total Account Value	438,242.45	426,022.60
Net Account Value	438,242.45	426,022.60

Change in Account Value

	Cash/Money Margin/Short	Investments	Total Account
Beginning Balance	37,922.30	400,320.15	438,242.45
Funds Deposited			
Income Activity	133.91		133.91
Securities Sold/Matured	43,889.06	(43,889.06)	
Securities Received			
Funds Withdrawn	(1,350.67)		(1,350.67)
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		(11,003.09)	(11,003.09)
Ending Balance	80,594.60	345,428.00	426,022.60

Account Holdings

- Cash & Money Market Funds 18.92%
- Equities 81.08%

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on January 31, 2005

Money Market Fund	1.39%
U.S. Government Fund	1.25%
Municipal Money Market Fund	0.89%

Annual Percentage Yield for Bank Insured Funds on January 31, 2005

PC² Bank Insured Funds	1.50%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

[redacted]

3260

SIPC CUSTOMER STATEMENT

Account Nu[redacted]
Barken Interests Ltd.
Jenny Marchant Sr., GP
TTF Portfolio
PC2 Partner Account

Statement Period
December 31, 2004 to January 31, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				80,594.60	N/A	N/A
Total Cash & Money Market Funds					80,594.60		
Equities							
ISHARES DOW JONES US TRANSPORTATION INDEX FUND	Cash	IYT	105.000	64.710	6,794.55	6,025.56	768.99
ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	540.000	81.400	43,956.00	44,202.50	(246.50)
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	320.000	157.200	50,304.00	44,464.30	5,839.70
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	390.000	110.160	42,962.40	36,597.20	6,365.20
ISHARES RUSSELL MIDCP INDEX GRW	Cash	IWP	295.000	82.250	24,263.75	22,228.80	2,034.95
ISHARES NASDAQ BIOTECHNOLOGY	Cash	IBB	85.000	71.240	6,055.40	6,105.65	(50.25)
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,130.000	65.210	73,687.30	66,097.20	7,590.10
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,100.000	47.480	52,228.00	51,527.50	700.50
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	90.000	185.450	16,690.50	14,546.60	2,143.90
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	245.000	64.400	15,778.00	14,427.51	1,350.49
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	120.000	54.880	6,585.60	6,150.55	435.05
ISHARES DJ US BASIC MATERIAL SECTOR	Cash	IYM	125.000	48.980	6,122.50	6,119.12	3.38
Total Equities					349,428.00	318,492.49	26,935.51

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
01/06	Taxable Dividend	ISHARES TRUST LEHMAN 1-3 YR SHY on 540.000000 PD:2005-01-06	Cash	SHY	83.09
01/28	Credit Interest	28 Days AvgBal 54132.89 AvgRate 1.207	Cash		50.82
Total Income Activity					133.91

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SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Marken Interest[redacted]
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
December 31, 2004 to January 31, 2005

Account Activity

Securities Sold/Matured

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
01/04	Sell	ISHARES DJ US TECH SECT INDEX	Cash	IYW	(140.000)	46.950	6,570.74
01/04	Sell	ISHARES GOLDMAN SACHS SEMICONDUCTOR INDEX FD	Cash	IGW	(115.000)	50.980	5,858.06
01/05	Sell	ISHARES DJ US BASIC MATERIAL SECTOR	Cash	IYM	(125.000)	47.910	5,985.10
01/20	Sell	ISHARES DOW JONES US TRANSPORTATION INDEX FUND	Cash	IYT	(110.000)	83.310	8,960.37
01/21	Sell	ISHARES DJ US TECH SECT INDEX	Cash	IYW	(135.000)	44.980	6,068.80
01/21	Sell	ISHARES NASDAQ BIOTECHNOLOGY	Cash	IBB	(85.000)	71.000	6,031.30
01/24	Sell	ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	(120.000)	53.480	6,413.89
Total Securities Sold/Matured							43,889.06

Funds Withdrawn

Date	Transaction	Description	Account Type	Transaction Number	Amount
01/19	Journal	ADV FEE 12/31/2004-3/31/2005	Cash		(1,350.67)
Total Funds Withdrawn					(1,350.67)

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	50.82		50.82	
Dividends-Ordinary	83.09		83.09	
Total Income	133.91		133.91	

[redacted]

Other Important Information

Other Tax Information

	Current Period	Year to Date
Sales Proceeds	43,589.06	43,589.06

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
05/06/04	Sell	ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	270.000	69.570	18,783.90
05/06/04	Sell	ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	270.000	73.670	19,890.90
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	550.000	40.470	22,258.50
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	550.000	42.850	23,567.50
12/01/04	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	120.000	56.380	6,765.60
12/01/04	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	125.000	59.700	7,462.50
12/01/04	Sell	ISHARES DJ US BASIC MATERIAL	Cash	IYM	125.000	46.000	5,750.00
12/01/04	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	45.000	163.520	7,358.40
12/01/04	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	45.000	173.140	7,791.30
12/01/04	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	565.000	55.510	31,363.15
12/01/04	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	565.000	58.770	33,205.05
12/01/04	Sell	ISHARES DOW JONES US TRANSPORTATION	Cash	IYT	105.000	61.500	6,457.50
12/01/04	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	160.000	133.660	21,385.60
12/01/04	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	160.000	141.530	22,644.80
12/01/04	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	145.000	69.600	10,092.00
12/01/04	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	150.000	73.690	11,053.50
12/01/04	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	195.000	93.540	18,240.30
12/01/04	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	195.000	99.050	19,314.75
12/02/04	Sell	ISHARES NASDAQ BIOTECHNOLOGY	Cash	IBB	85.000	69.000	5,865.00

[redacted]

3263

SIPC

CUSTOMER STATEMENT

Account Number [redacted]
Marken Interests
Kenny Merchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
December 31, 2004 to January 31, 2005

Open Orders (continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
12/15/04	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	120.000	51.500	6,180.00
Total Open Orders							305,430.25

Other Important Information

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End of Statement

SIPC

CUSTOMER STATEMENT

Account Number [redacted]
Marken Interests Ltd
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
January 31, 2005 to February 28, 2005

Account Summary

	01/31/05 Value	02/28/05 Value
Cash & Money Market Funds	80,594.60	86,631.54
Equities	345,428.00	347,709.60
Total Account Value	426,022.60	434,341.14
Net Account Value	426,022.60	434,341.14

Change in Account Value

	Cash/MMkt Margin/Short	Investments	Total Account
Beginning Balance	80,594.60	345,428.00	426,022.60
Funds Deposited	-		
Income Activity	175.83		175.83
Securities Sold/Matured	5,861.31	(5,861.31)	
Securities Received			
Funds Withdrawn			
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		8,142.91	8,142.91
Ending Balance	86,631.54	347,709.60	434,341.14

Account Holdings

- Cash & Money Market Funds 19.95%
- Equities 80.05%

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on February 28, 2005

Money Market Fund	1.52%
U.S. Government Fund	1.48%
Municipal Money Market Fund	0.94%

Annual Percentage Yield for Bank Insured Funds on February 28, 2005

PC² Bank Insured Funds	1.60%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

3266

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				86,631.54	N/A	N/A
Total Cash & Money Market Funds					86,631.54		
Equities							
ISHARES DOW JONES US TRANSPORTATION INDEX FUND	Cash	IYT	105.000	69.870	7,021.35	6,025.56	995.79
ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	540.000	81.020	43,750.80	44,202.50	(451.70)
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	320.000	163.150	52,208.00	44,464.30	7,743.70
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	390.000	114.000	44,460.00	36,597.20	7,862.80
ISHARES RUSSELL MIDCP INDEX GRW	Cash	IWP	295.000	84.350	24,883.25	22,228.80	2,654.45
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,130.000	67.440	76,207.20	66,097.20	10,110.00
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,100.000	48.050	52,855.00	51,527.50	1,327.50
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	90.000	189.300	17,037.00	14,546.60	2,490.40
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	245.000	55.290	15,996.05	14,427.51	1,568.54
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	120.000	55.580	6,667.20	6,150.55	516.65
ISHARES DJ US BASIC MATERIAL SECTOR	Cash	IYM	125.000	52.990	6,623.75	6,119.12	504.63
Total Equities					347,709.60	312,386.84	35,322.76

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
02/07	Taxable Dividend	ISHARES TRUST LEHMAN 1-3 YR SHY on 540.000000 PD:2005-02-07	Cash	SHY	92.17
02/25	Credit Interest	28 Days AvgBal 80667.14 AvgRate 1.330	Cash		81.46
Total Income Activity					173.63

[redacted]

3267

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Marken Interests Ltd.
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
January 31, 2005 to February 28, 2005

Account Activity

Securities Sold/Matured

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
02/23	Sell	ISHARES NASDAQ BIOTECHNOLOGY	Cash	IBB	(85.000)	69.000	5,861.31
Total Securities Sold/Matured							5,861.31

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	83.46		134.28	
Dividends-Ordinary	92.17		175.26	
Total Income	175.63		309.54	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds	5,861.31	49,750.37

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
05/06/04	Sell	ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	270.000	73.670	19,890.90
05/06/04	Sell	ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	270.000	69.570	18,783.90
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	550.000	40.470	22,258.50
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	550.000	42.850	23,567.50
12/01/04	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	45.000	163.520	7,358.40

[redacted]

3268

Account Numb[redacted]
Merkan Interests Ltd.
Kenny Merchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
January 31, 2005 to February 28, 2005

Other Important Information

Open Orders (continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
12/01/04	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	45.000	173.140	7,791.30
12/01/04	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	125.000	59.700	7,462.50
12/01/04	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	120.000	56.380	6,765.60
12/01/04	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	565.000	55.510	31,363.15
12/01/04	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	566.000	58.770	33,206.05
12/01/04	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	160.000	141.530	22,644.80
12/01/04	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	160.000	133.660	21,385.60
12/01/04	Sell	ISHARES DOW JONES US TRANSPORTATION	Cash	IYT	105.000	61.500	6,457.50
12/01/04	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	195.000	93.640	18,240.30
12/01/04	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	195.000	99.050	19,314.75
12/01/04	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	145.000	89.800	10,092.00
12/01/04	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	150.000	73.690	11,053.50
12/15/04	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	120.000	51.500	6,180.00
02/07/05	Sell	ISHARES DJ US BASIC MATERIAL	Cash	IYM	125.000	47.500	5,937.50
Total Open Orders							299,732.75

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At June 24, 2004, the Company had net capital of $110,846,000, or approximately 21% of aggregate debit balances, which is $100,410,000 in excess of its minimum net capital requirement of $10,536,000 at that date. The audited statement of financial condition, available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-326-2174, is provided pursuant to NASD Conduct Rule 2280 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site

End of Statement

[redacted]

3269

SIPC

CUSTOMER STATEMENT

Account Num[redacted]
Marken Interest[redacted]
Kenny Merchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
February 28, 2005 to March 31, 2005

Account Summary

	02/28/05 Value	03/31/05 Value
Cash & Money Market Funds	86,631.54	88,000.80
Equities	347,709.60	340,810.90
Total Account Value	434,341.14	428,811.70
Net Account Value	434,341.14	428,811.70

Change In Account Value

	Cash/Money Margin/Short	Investments	Total Account
Beginning Balance	86,631.54	347,709.60	434,341.14
Funds Deposited			
Income Activity	1,369.26		1,369.26
Securities Sold/Matured			
Securities Received			
Funds Withdrawn			
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		(6,898.70)	(6,898.70)
Ending Balance	88,000.80	340,810.90	428,811.70

Account Holdings

Cash & Money Market Funds 20.52%
Equities 79.48%

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on March 31, 2005

Money Market Fund	1.77%
U.S. Government Fund	1.65%
Municipal Money Market Fund	1.23%

Annual Percentage Yield for Bank Insured Funds on March 31, 2005

PC² Bank Insured Funds	1.93%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

Account Num[redacted]
Marken Interna[redacted]
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
February 28, 2005 to March 31, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				88,000.80	N/A	N/A
Total Cash & Money Market Funds					88,000.80		
Equities							
ISHARES DOW JONES US TRANSPORTATION INDEX FUND	Cash	IYT	105.000	66.490	6,981.45	6,025.56	955.89
ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	540.000	80.870	43,669.80	44,202.50	(532.70)
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	320.000	158.870	50,838.40	44,464.30	6,374.10
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	390.000	112.870	44,019.30	36,597.20	7,422.10
ISHARES RUSSELL MIDCP INDEX GRW	Cash	IWP	295.000	82.910	24,458.45	22,228.80	2,229.65
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,130.000	65.810	74,366.30	66,097.20	8,268.10
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,100.000	46.870	51,557.00	51,527.50	29.50
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	90.000	184.250	16,582.50	14,546.60	2,035.90
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	245.000	62.580	15,332.10	14,427.51	904.59
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	120.000	55.180	6,621.60	6,150.55	471.05
ISHARES DJ US BASIC MATERIAL SECTOR	Cash	IYM	125.000	51.080	6,385.00	6,119.12	265.88
Total Equities					340,810.90	312,386.84	28,424.06

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
03/07	Taxable Dividend	ISHARES TRUST LEHMAN 1-3 YR SHY on 540.000000 PD:2005-03-07	Cash	SHY	88.05
03/30	Credit Interest	33 Days AvgBal 86340.35 AvgRate 1.461	Cash		115.50
03/31	Taxable Dividend	ISHARES DJ US BASIC MATERIAL SECTOR IYM on 125.000000 PD:2005-03-31	Cash	IYM	14.06
03/31	Taxable Dividend	ISHARES DJ US INDUSTRIAL SECTOR IYJ on 120.000000 PD:2005-03-31	Cash	IYJ	17.12

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3271

SIPC

CUSTOMER STATEMENT

Account Num[redacted]
Marken Intere[redacted]
Kenny Merchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
February 28, 2005 to March 31, 2005

Account Activity

Income Activity (Continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
03/31	Taxable Dividend	ISHARES RUSSELL 2000 GROWTH FD IWO on 246.000000 PD:2005-03-31	Cash	IWO	23.42
03/31	Taxable Dividend	ISHARES RUSSELL 2000 VAL IND IWN on 90.000000 PD:2005-03-31	Cash	IWN	88.32
03/31	Taxable Dividend	ISHARES RUSSELL 1000 GROWTH INDEX FUND IWF on 1100.000000 PD:2005-03-31	Cash	IWF	152.01
03/31	Taxable Dividend	ISHARES RUSSELL 1000 VALUE INDEX FUND IWD on 1130.000000 PD:2005-03-31	Cash	IWD	592.16
03/31	Taxable Dividend	ISHARES RUSSELL MDCP INDEX GRW IWP on 295.000000 PD:2005-03-31	Cash	IWP	29.96
03/31	Taxable Dividend	ISHARES RUSSELL MIDCAP VALUE IWS on 350.000000 PD:2005-03-31	Cash	IWS	234.69
03/31	Taxable Dividend	ISHARES DOW JONES US TRANSPORTATION INDEX FUND IYT on 105.000000 PD:2005-03-31	Cash	IYT	13.88
Total Income Activity					1,349.26

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	115.60		249.88	
Dividends-Ordinary	1,253.66		1,429.92	
Total Income	1,369.26		1,679.80	

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Account Hurst [redacted]
Marken Interests Ltd.
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
February 28, 2005 to March 31, 2005

Other Important Information

Other Tax Information

	Current Period	Year to Date
Sales Proceeds		48,750.37

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
05/06/04	Sell	ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	270.000	73.670	19,890.90
05/06/04	Sell	ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	270.000	69.570	18,783.90
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	550.000	42.850	23,567.50
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	550.000	40.470	22,258.50
12/01/04	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	45.000	173.140	7,791.30
12/01/04	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	125.000	59.700	7,462.50
12/01/04	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	120.000	55.380	6,765.60
12/01/04	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	45.000	163.520	7,358.40
12/15/04	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	120.000	51.500	6,180.00
03/02/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	195.000	103.550	20,192.25
03/02/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	150.000	76.730	11,509.50
03/02/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	145.000	72.470	10,508.15
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	565.000	57.690	32,594.85
03/02/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	195.000	97.800	19,071.00
03/02/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	160.000	146.940	23,510.40
03/02/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	160.000	138.780	22,204.80
03/02/05	Sell	ISHARES DOW JONES US TRANSPORTATION	Cash	IYT	105.000	63.000	6,615.00
03/02/05	Sell	ISHARES DJ US BASIC MATERIAL	Cash	IYM	125.000	49.000	6,125.00

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3273

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CUSTOMER STATEMENT

Account Num[redacted]
Marlen Intern[redacted]
Kenny Merchant Sr., GP
ETF Portfolio
PC2 Partner Accounts

Statement Period
February 28, 2005 to March 31, 2005

Other Important Information

Open Orders (continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	585.000	61.080	34,510.20
Total Open Orders							306,899.73

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End of Statement

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Marlon Investments Ltd.
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
March 31, 2005 to April 29, 2005

Account Summary

	03/31/05 Value	04/29/05 Value
Cash & Money Market Funds	88,000.80	114,847.35
Equities	340,810.90	305,197.60
Total Account Value	428,811.70	420,044.95
Net Account Value	428,811.70	420,044.95

Change in Account Value

	Cash/MMF Margin/Short	Investments	Total Account
Beginning Balance	88,000.80	340,810.90	428,811.70
Funds Deposited			
Income Activity	229.75		229.75
Securities Sold/Matured	27,953.17	(27,953.17)	
Securities Received			
Funds Withdrawn	(1,336.37)		(1,336.37)
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		(7,660.13)	(7,660.13)
Ending Balance	114,847.35	305,197.60	420,044.95

Account Holdings

- Cash & Money Market Funds 27.34%
- Equities 72.66%

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on April 29, 2005

Money Market Fund	1.92%
U.S. Government Fund	1.83%
Municipal Money Market Fund	1.90%

Annual Percentage Yield for Bank Insured Funds on April 29, 2005

PC² Bank Insured Funds	2.02%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

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Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				107,070.68	N/A	N/A
Cash	Cash				7,776.67	N/A	N/A
Total Cash & Money Market Funds					114,847.35		
Equities							
ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	540.000	81.100	43,794.00	44,202.50	(408.50)
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	320.000	156.300	50,016.00	44,464.30	5,551.70
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	390.000	110.100	42,939.00	36,597.20	6,341.80
ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	295.000	79.650	23,487.25	22,226.80	1,238.45
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,130.000	64.670	73,077.10	66,097.20	6,979.90
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,100.000	46.010	50,611.00	51,527.50	(916.50)
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	45.000	174.650	7,859.25	7,273.30	585.95
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	120.000	58.800	7,056.00	6,989.76	66.24
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	120.000	63.150	6,378.00	6,150.55	227.45
Total Equities					305,197.60	285,531.11	19,666.49

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
04/07	Taxable Dividend	ISHARES TRUST LEHMAN 1-3 YR SHY on 540.000000 PD:2005-04-07	Cash	SHY	102.60
04/28	Credit Interest	29 Days AvgBal 84675.15 AvgRate 1.569	Cash		127.15
Total Income Activity					229.75

Securities Sold/Matured

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
04/13	Sell	ISHARES DJ US BASIC MATERIAL SECTOR	Cash	IYM	(125.000)	48.970	6,117.48

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3277

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CUSTOMER STATEMENT

Account Number [redacted]
Marken Interests Ltd.
Kenny Merchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
March 31, 2005 to April 29, 2005

Account Activity

Securities Sold/Matured (Continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
04/14	Sell	ISHARES DOW JONES US TRANSPORTATION INDEX FUND	Cash	IYT	(105.000)	62.820	6,602.62
04/15	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	(125.000)	59.680	7,458.19
04/28	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	(45.000)	172.900	7,778.67
Total Securities Sold/Matured							27,953.17

Funds Withdrawn

Date	Transaction	Description	Account Type	Transaction Number	Amount
04/19	Journal	ADV FEE 491459907 2005-03-31-2005-06-30	Cash		(1,338.37)
Total Funds Withdrawn					(1,338.37)

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	127.15		377.03	
Dividends-Ordinary	102.60		1,531.52	
Total Income	229.75		1,908.55	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds	27,953.17	77,703.54

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3278

Other Important Information

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
05/06/04	Sell	ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	270.000	73.670	19,890.90
05/06/04	Sell	ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	270.000	69.670	18,783.90
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	550.000	42.850	23,567.50
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	550.000	40.470	22,258.50
12/01/04	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	45.000	163.520	7,358.40
12/01/04	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	120.000	66.380	8,786.60
12/15/04	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	120.000	51.500	6,180.00
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	565.000	61.080	34,510.20
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	565.000	57.690	32,594.85
03/02/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	160.000	138.780	22,204.80
03/02/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	160.000	146.940	23,510.40
03/02/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	195.000	103.550	20,192.25
03/02/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	195.000	97.800	19,071.00
03/02/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	150.000	78.730	11,809.50
03/02/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	145.000	72.470	10,508.15
Total Open Orders							278,903.95

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End of Statement

3279

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Marken Interests Ltd.
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
April 29, 2005 to May 31, 2005

Page
1 of 4

Account Summary

	04/29/05 Value	05/31/05 Value
Cash & Money Market Funds	114,847.35	115,119.15
Equities	305,197.60	313,482.05
Total Account Value	420,044.95	428,601.20
Net Account Value	420,044.95	428,601.20

Change in Account Value

	Cash/Money Margin/Short	Investments	Total Account
Beginning Balance	114,847.35	305,197.60	420,044.95
Funds Deposited			
Income Activity	271.80		271.80
Securities Sold/Matured			
Securities Received			
Funds Withdrawn			
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		8,284.45	8,284.45
Ending Balance	115,119.15	313,482.05	428,601.20

Account Holdings

- Cash & Money Market Funds 26.86%
- Equities 73.14%

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on May 31, 2005

Money Market Fund	2.07%
U.S. Government Fund	1.99%
Municipal Money Market Fund	1.91%

Annual Percentage Yield for Bank Insured Funds on May 31, 2005

PC² Bank Insured Funds	2.00%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

3280

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Account Nu[redacted]
Marken Interests Ltd.
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
April 29, 2005 to May 31, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				115,119.15	N/A	N/A
Total Cash & Money Market Funds					115,119.15		
Equities							
ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	540.000	81.230	43,864.20	44,202.50	(338.30)
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	320.000	154.950	49,584.00	44,464.30	5,119.70
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	390.000	114.750	44,752.50	36,597.20	8,155.30
ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	296.000	84.300	24,952.50	22,229.80	2,639.70
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,130.000	66.210	74,817.30	66,097.20	8,720.10
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,100.000	48.310	53,141.00	51,527.50	1,613.50
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	45.000	185.150	8,331.75	7,273.30	1,058.45
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	120.000	62.900	7,548.00	6,983.76	564.24
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	120.000	54.790	6,574.80	6,150.55	424.25
Total Equities					313,482.05	285,531.11	27,950.94

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
05/09	Taxable Dividend	ISHARES TRUST LEHMAN 1-3 YR SHY on 540.000000 PD:2005-05-06	Cash	SHY	102.60
05/27	Credit Interest	29 Days AvgBal 113852.54 AvgRate 1.845	Cash		169.20
Total Income Activity					271.80

End of Account Activity

[redacted]

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CUSTOMER STATEMENT

Account Numb[redacted]
Marken Interests Ltd.
Kenny Merchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
April 29, 2005 to May 31, 2005

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	169.20		548.23	
Dividends-Ordinary	102.60		1,634.12	
Total Income	271.80		2,180.35	

Other Tax Information

Sales Proceeds	Current Period	Year to Date
		77,103.54

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	550.000	42.850	23,567.50
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	550.000	40.470	22,258.50
12/01/04	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	45.000	163.520	7,358.40
12/01/04	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	120.000	58.380	6,785.60
12/15/04	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	120.000	51.500	6,180.00
03/02/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	195.000	103.550	20,192.25
03/02/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	150.000	76.730	11,509.50
03/02/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	160.000	138.780	22,204.80
03/02/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	145.000	72.470	10,508.15
03/02/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	160.000	146.940	23,510.40
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	565.000	57.890	32,594.85
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	585.000	61.080	34,510.20
03/02/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	195.000	97.800	19,071.00
Total Open Orders							240,231.15

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3282

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At December 31, 2004, the Company had net capital of $114,728,000, or approximately 22.8% of aggregate debit balances, which was $104,675,000 in excess of its minimum net capital requirement of $10,053,000 at that date. The unaudited statement of financial condition as of December 31, 2004 and the audited statement of financial condition as of June 25, 2004 are available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-326-2174, and are provided pursuant to NASD Conduct Rule 2260 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site.

End of Statement

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3283

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CUSTOMER STATEMENT

Account Numb[redacted]
Marken Internat
Kenny Merchant Br., GP
ETF Portfolio
PC2 Partner Account

Statement Period
May 31, 2005 to June 30, 2005

Account Summary

	05/31/05 Value	06/30/05 Value
Cash & Money Market Funds	115,119.15	80,797.05
Equities	313,482.05	351,271.30
Total Account Value	428,601.20	432,068.35
Net Account Value	428,601.20	432,068.35

Change in Account Value

	Cash/Mkt Margin/Short	Investments	Total Account
Beginning Balance	115,119.15	313,482.05	428,601.20
Funds Deposited			
Income Activity	1,064.31		1,064.31
Securities Sold/Matured	10,977.84	(10,977.84)	
Securities Received			
Funds Withdrawn			
Margin Interest			
Securities Purchased	(46,364.25)	46,364.25	
Securities Delivered			
Change in Value of Securities		2,402.84	2,402.84
Ending Balance	80,797.05	351,271.30	432,068.35

Account Holdings

- Cash & Money Market Funds 18.70%
- Equities 81.30%

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on June 30, 2005

Money Market Fund	2.24%
U.S. Government Fund	2.10%
Municipal Money Market Fund	1.37%

Annual Percentage Yield for Bank Insured Funds on June 30, 2005

PC² Bank Insured Funds	2.35%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

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erkan Interests Ltd.
enny Merchant Sr., GP
TF Portfolio
C2 Partner Account

Statement Period
May 31, 2005 to June 30, 2005

Account Positions

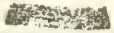
	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
ash & Money Market Funds							
Credit Interest	Credit				80,797.05	N/A	N/A
otal Cash & Money Market Funds					80,797.05		
quities							
ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	540.000	81.240	43,869.60	44,202.50	(332.90)
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	960.000	52.390	50,294.40	44,484.20	5,830.10
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	390.000	118.090	46,055.10	38,597.20	8,457.90
ISHARES RUSSELL MIDCP INDEX GRW	Cash	IWP	295.000	85.850	25,266.75	22,228.80	3,037.95
ISHARES GOLDMAN SACHS SEMICONDUCTOR INDEX FD	Cash	IGW	70.000	52.720	3,690.40	3,763.20	(72.80)
ISHARES GOLDMAN SACHS NETWORKING INDEX FD	Cash	IGN	135.000	27.220	3,674.70	3,748.40	(73.70)
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,130.000	66.640	75,303.20	66,097.20	9,206.00
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,100.000	48.000	52,800.00	51,527.50	1,272.50
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	135.000	64.300	8,680.50	7,273.30	1,407.20
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	120.000	64.830	7,779.60	6,989.76	789.84
ISHARES DJ US UTILITY SECTOR	Cash	IDU	155.000	77.360	11,988.25	11,592.85	396.40
ISHARES DJ US REAL ESTATE	Cash	IYR	60.000	63.600	3,816.00	3,752.60	63.40
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	120.000	53.690	6,442.80	6,150.55	292.25
ISHARES DJ US HEALTHCARE SECTOR	Cash	IYH	190.000	61.100	11,609.00	11,722.70	(113.70)
Total Equities					351,271.30	320,110.86	31,160.44

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
06/07	Taxable Dividend	ISHARES TRUST LEHMAN 1-3 YR SHY on 540.000000 PD:2005-06-07	Cash	SHY	102.38
06/24	Taxable Dividend	ISHARES DJ US HEALTHCARE SECTOR IYH on 190.000000 PD:2005-06-24	Cash	IYH	22.55



3285

SIPC CUSTOMER STATEMENT

Account Numbe[redacted]
Marken Interests Ltd.
Kenny Merchant Sr., GP
ETF Portfolio
PCJ Partner Account

Statement Period
May 31, 2005 to June 30, 2005

Account Activity

Income Activity (Continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
06/24	Taxable Dividend	ISHARES DJ US INDUSTRIAL SECTOR IYJ on 120.000000 PD:2005-06-24	Cash	IYJ	7.78
06/24	Taxable Dividend	ISHARES DJ US REAL ESTATE IYR on 60.000000 PD:2005-06-24	Cash	IYR	38.57
06/24	Taxable Dividend	ISHARES DJ US UTILITY SECTOR IDU on 155.000000 PD:2005-06-24	Cash	IDU	83.86
06/24	Taxable Dividend	ISHARES RUSSELL 2000 GROWTH FD IWO on 120.000000 PD:2005-06-24	Cash	IWO	9.09
06/24	Taxable Dividend	ISHARES RUSSELL 2000 VAL IND IWN on 135.000000 PD:2005-06-24	Cash	IWN	28.97
06/24	Taxable Dividend	ISHARES RUSSELL 1000 GROWTH INDEX FUND IWF on 1100.000000 PD:2005-06-24	Cash	IWF	90.19
06/24	Taxable Dividend	ISHARES RUSSELL 1000 VALUE INDEX FUND IWD on 1130.000000 PD:2005-06-24	Cash	IWD	318.90
06/24	Taxable Dividend	ISHARES RUSSELL MDCP INDEX GRW IWP on 295.000000 PD:2005-06-24	Cash	IWP	18.83
06/24	Taxable Dividend	ISHARES RUSSELL MIDCAP VALUE IWS on 390.000000 PD:2005-06-24	Cash	IWS	186.35
06/24	Taxable Dividend	ISHARES DOW JONES US TRANSPORTATION INDEX FUND IYT on 180.000000 PD:2005-06-24	Cash	IYT	24.04
06/29	Credit Interest	33 Days Avg[Bal 8254.83 AvgRate 2.044	Cash		154.84
Total Income Activity					1,064.31

Securities Sold/Matured

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
06/27	Sell	ISHARES DOW JONES US TRANSPORTATION INDEX FUND	Cash	IYT	(180.000)	61.010	10,977.84
Total Securities Sold/Matured							10,977.84

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[redacted] CUSTOMER STATEMENT

Account Nu[redacted]
Marken Interests Ltd.
Kenny Marchant Sr., CP
ETF Portfolio
PC2 Partner Account

Statement Period
May 31, 2005 to June 30, 2005

Account Activity

Securities Received

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Amount
06/09	Stock Split	ISHARES DJ US REAL ESTATE IYR on 30.000000 PD:2005-06-08	Cash	IYR	30.000	
06/09	Stock Split	ISHARES RUSSELL 2000 VAL IND IWN on 45.000000 PD:2005-06-08	Cash	IWN	90.000	
06/09	Stock Split	ISHARES MSCI EAFE INDEX FUND EFA on 320.000000 PD:2005-06-08	Cash	EFA	640.000	
Total Securities Received						

Securities Purchased

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
06/01	Buy	ISHARES DJ US HEALTHCARE SECTOR	Cash	IYH	190.000	61.680	(11,722.70)
06/01	Buy	ISHARES DJ US UTILITY SECTOR	Cash	IDU	155.000	74.770	(11,592.85)
06/01	Buy	ISHARES DOW JONES US TRANSPORTATION INDEX FUND	Cash	IYT	180.000	65.450	(11,781.50)
06/06	Buy	ISHARES DJ US REAL ESTATE	Cash	IYR	30.000	124.970	(3,752.60)
06/06	Buy	ISHARES GOLDMAN SACHS NETWORKING INDEX FD	Cash	IGN	135.000	27.740	(3,749.40)
06/06	Buy	ISHARES GOLDMAN SACHS SEMICONDUCTOR INDEX FD	Cash	IGW	70.000	53.710	(3,759.20)
Total Securities Purchased							(46,354.25)

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	154.84		701.07	
Dividends-Ordinary	909.47		2,543.59	
Total Income	1,064.31		3,244.66	

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SIPC

CUSTOMER STATEMENT

Account Number [redacted]
Markan Interests Ltd.
Kenny Marchent Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
May 31, 2005 to June 30, 2005

Other Important Information

Other Tax Information

	Current Period	Year to Date
Sales Proceeds	10,877.84	96,881.38

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	550.000	42.850	23,567.50
11/15/04	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	550.000	40.470	22,258.50
12/01/04	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	120.000	58.380	6,765.60
12/15/04	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	120.000	51.500	6,180.00
03/02/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	195.000	103.550	20,192.25
03/02/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	150.000	76.730	11,509.50
03/02/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	145.000	72.470	10,508.15
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	565.000	57.690	32,594.85
03/02/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	196.000	97.800	19,071.00
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	565.000	61.060	34,510.20
06/01/05	Sell	ISHARES DJ US HEALTHCARE	Cash	IYH	190.000	57.500	10,925.00
06/09/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	480.000	46.260	22,204.80
06/09/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	480.000	48.980	23,510.40
06/18/05	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	135.000	57.870	7,812.45
06/20/05	Sell	ISHARES DJ US REAL ESTATE	Cash	IYR	60.000	60.000	3,600.00
06/23/05	Sell	ISHARES DJ US UTILITY SECTOR	Cash	IDU	155.000	71.000	11,005.00
06/23/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGW	70.000	51.500	3,605.00
06/23/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGN	135.000	26.500	3,577.50
Total Open Orders							273,337.70

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3288

ccount Numb [redacted]
arkan Interests Ltd.
anny Merchant Sr., GP
TF Portfolio
C2 Partner Account

outhwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of inimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in ule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At December 31, 004, the Company had net capital of $114,728,000, or approximately 22.8% of aggregate debit balances, which was $104,678,000 in excess of its minimum net capital equirement of $10,050,000 at that date. The unaudited statement of financial condition as of December 31, 2004 and the audited statement of financial condition as of une 28, 2004 are available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-329-2174, and are provided pursuant to NASD Conduct Rule 2280 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web ite.

End of Statement

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Marken Interests Ltd.
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
June 30, 2005 to July 29, 2005

Page
1 of 6

Account Summary

	06/30/05 Value	07/29/05 Value
Cash & Money Market Funds	80,797.05	4,196.94
Equities	351,271.30	440,595.55
Total Account Value	432,068.35	444,792.49
Net Account Value	432,068.35	444,792.49

Change in Account Value

	Cash/Money Market/Short	Investments	Total Account
Beginning Balance	80,797.05	351,271.30	432,068.35
Funds Deposited			
Income Activity	197.30		197.30
Securities Sold/Matured			
Securities Received			
Funds Withdrawn	(1,981.31)		(1,981.31)
Margin Interest			
Securities Purchased	(75,436.10)	75,436.10	
Securities Delivered			
Change in Value of Securities		13,888.15	13,888.15
Ending Balance	4,196.94	440,595.55	444,792.49

Account Holdings

- Cash & Money Market Funds 0.94%
- Equities 99.06%

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Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on July 29, 2005

Money Market Fund	2.39%
U.S. Government Fund	2.23%
Municipal Money Market Fund	1.40%

Annual Percentage Yield for Bank Insured Funds on July 29, 2005

PC² Bank Insured Funds	2.48%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

SIPC

CUSTOMER STATEMENT

Account Num[redacted]
Marken Interna
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
June 30, 2005 to July 29, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				4,196.94	N/A	N/A
Total Cash & Money Market Funds					4,196.94		
Equities							
ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	540.000	80.750	43,605.00	44,202.50	(597.50)
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	1,240.000	53.960	66,910.40	59,453.40	7,457.00
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	360.000	123.710	48,246.90	36,597.20	11,649.70
ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	620.000	90.700	47,164.00	42,351.80	4,812.20
ISHARES GOLDMAN SACHS SEMICONDUCTOR INDEX FD	Cash	IGW	70.000	58.840	4,118.80	3,763.20	355.60
ISHARES GOLDMAN SACHS NETWORKING INDEX FD	Cash	IGN	135.000	30.000	4,050.00	3,748.40	301.60
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,130.000	68.700	77,631.00	66,097.20	11,533.80
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,500.000	50.440	75,660.00	71,467.00	4,193.00
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	285.000	68.180	19,431.30	17,386.80	2,044.50
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	270.000	69.600	18,792.00	17,260.76	1,531.24
ISHARES DJ US UTILITY SECTOR	Cash	IDU	155.000	78.970	12,240.35	11,592.85	647.50
ISHARES DJ US REAL ESTATE	Cash	IYR	60.000	67.800	4,068.00	3,752.60	315.40
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	120.000	56.120	6,734.40	6,150.55	583.85
ISHARES DJ US HEALTHCARE SECTOR	Cash	IYH	190.000	62.860	11,943.40	11,722.70	220.70
Total Equities					440,595.55	395,546.96	43,048.59

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
07/08	Taxable Dividend	ISHARES TRUST LEHMAN 1-3 YR SHY on 540.000000 PD:2005-07-08	Cash	SHY	100.63
07/28	Credit Interest	29 Days AvgBal 53871.70 AvgRate 2.228	Cash		96.67
Total Income Activity					197.30

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CUSTOMER STATEMENT

Account Numb[redacted]
Marken Interests Ltd.
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
June 30, 2005 to July 29, 2005

Account Activity

Funds Withdrawn

Date	Transaction	Description	Account Type	Transaction Number	Amount
07/07	Journal	ADV FEE 491459907 2005-06-30-2005-09-30	Cash		(1,361.31)
Total Funds Withdrawn					(1,361.31)

Securities Purchased

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
07/14	Buy	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	150.000	68.460	(10,271.00)
07/14	Buy	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	150.000	67.400	(10,113.50)
07/14	Buy	ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	400.000	49.840	(19,939.50)
07/14	Buy	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	225.000	89.420	(20,123.00)
07/14	Buy	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	280.000	53.520	(14,989.10)
Total Securities Purchased							(75,436.10)

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	96.57		797.74	
Dividends-Ordinary	100.63		2,644.22	
Total Income	197.20		3,441.96	

Other Tax Information

Sales Proceeds	Current Period	Year to Date
		88,861.36

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3292

Account Num[redacted]
Marken Interests Ltd.
Kenny Merchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
June 30, 2005 to July 29, 2005

Other Important Information

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
12/15/04	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	120.000	51.500	6,160.00
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	565.000	57.890	32,694.85
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	565.000	61.080	34,510.20
06/01/05	Sell	ISHARES DJ US HEALTHCARE	Cash	IYH	190.000	57.500	10,925.00
06/23/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGN	135.000	26.500	3,577.50
07/08/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	195.000	107.700	21,001.50
07/08/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	195.000	101.720	19,835.40
07/11/05	Sell	ISHARES DJ US REAL ESTATE	Cash	IYR	60.000	61.500	3,690.00
07/11/05	Sell	ISHARES DJ US UTILITY SECTOR	Cash	IDU	155.000	73.500	11,392.50
07/11/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGW	70.000	52.500	3,675.00
07/14/05	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	750.000	44.370	33,277.50
07/14/05	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	750.000	41.910	31,432.50
07/14/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	260.000	79.790	20,745.40
07/14/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	260.000	75.380	19,593.60
07/14/05	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	135.000	61.340	8,280.90
07/14/05	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	140.000	60.460	8,464.40
07/14/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	620.000	48.980	30,367.60
07/14/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	620.000	46.260	28,681.20
Total Open Orders							**328,225.05**

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3293

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Market Interest[redacted]
Kenny Merchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
June 30, 2005 to July 29, 2005

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At December 31, 2004, the Company had net capital of $114,728,000, or approximately 22.8% of aggregate debit balances, which was $104,675,000 in excess of its minimum net capital requirement of $10,053,000 at that date. The unaudited statement of financial condition as of December 31, 2004 and the audited statement of financial condition as of June 25, 2004 are available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-328-2174, and are provided pursuant to NASD Conduct Rule 2280 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site.

End of Statement

SIPC CUSTOMER STATEMENT

3295

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Marken Interna[redacted]
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
July 29, 2005 to August 31, 2005

Account Summary

	07/29/05 Value	08/31/05 Value
Cash & Money Market Funds	4,198.94	8,115.59
Equities	440,595.55	436,638.75
Total Account Value	444,792.49	444,754.34
Net Account Value	444,792.49	444,754.34

Change in Account Value

	Cash/Money Margin/Short	Investments	Total Account
Beginning Balance	4,198.94	440,595.55	444,792.49
Funds Deposited			
Income Activity	112.31		112.31
Securities Sold/Matured	3,806.34	(3,806.34)	
Securities Received			
Funds Withdrawn			
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		(150.46)	(150.46)
Ending Balance	8,115.59	436,638.75	444,754.34

Account Holdings

- Cash & Money Market Funds 1.82%
- Equities 98.18%

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on August 31, 2005

Money Market Fund	2.67%
U.S. Government Fund	2.48%
Municipal Money Market Fund	1.53%

Annual Percentage Yield for Bank Insured Funds on August 31, 2005

PC² Bank Insured Funds 2.66%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

3296

SIPC

CUSTOMER STATEMENT

Account Num[redacted]
Marken Interests Ltd.
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
July 29, 2005 to August 31, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				8,115.59	N/A	N/A
Total Cash & Money Market Funds					8,115.59		
Equities							
ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	540.000	81.100	43,794.00	44,202.50	(408.50)
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	1,240.000	56.030	69,477.20	59,453.40	10,023.80
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	380.000	122.980	47,962.20	36,597.20	11,365.00
ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	520.000	90.420	47,018.40	42,351.80	4,666.60
ISHARES GOLDMAN SACHS SEMICONDUCTOR INDEX FD	Cash	IGW	70.000	58.950	4,126.50	3,763.20	363.30
ISHARES GOLDMAN SACHS NETWORKING INDEX FD	Cash	IGN	135.000	30.360	4,098.60	3,748.40	350.20
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,130.000	68.360	77,246.80	66,097.20	11,149.60
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,500.000	49.700	74,550.00	71,467.00	3,083.00
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	285.000	66.580	18,975.30	17,386.80	1,588.50
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	270.000	68.530	18,503.10	17,260.76	1,242.34
ISHARES DJ US UTILITY SECTOR	Cash	IDU	165.000	79.650	12,345.75	11,592.85	752.90
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	120.000	55.280	6,633.60	6,150.55	483.05
ISHARES DJ US HEALTHCARE SECTOR	Cash	IYH	190.000	62.870	11,907.30	11,722.70	184.60
Total Equities					436,638.75	391,794.36	44,844.39

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
08/05	Taxable Dividend	ISHARES TRUST LEHMAN 1-3 YR SHY on 540.000000 PD:2005-08-05	Cash	SHY	97.88
08/30	Credit Interest	33 Days AvgBal 5580.93 AvgRate 2.392	Cash		14.43
Total Income Activity					112.31

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3297

SIPC CUSTOMER STATEMENT

Account Num[redacted]
Merken Interests Ltd.
Kenny Merchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
July 29, 2005 to August 31, 2005

Account Activity

Securities Sold/Matured

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
08/08	Sell	ISHARES DJ US REAL ESTATE	Cash	IYR	(50.000)	63.600	3,806.34
Total Securities Sold/Matured							3,806.34

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	14.43		812.17	
Dividends-Ordinary	97.88		2,742.10	
Total Income	112.31		3,554.27	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds	3,806.34	82,487.72

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	565.000	61.080	34,510.20
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	565.000	57.890	32,694.85
07/05/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	195.000	107.700	21,001.50
07/06/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	195.000	101.720	19,835.40
07/11/05	Sell	ISHARES DJ US UTILITY SECTOR	Cash	IDU	155.000	73.800	11,392.50

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3298

Account Nu[redacted]
Marken Interests Ltd.
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
July 29, 2005 to August 31, 2005

Other Important Information

Open Orders (continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
07/14/05	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	750.000	44.370	33,277.50
07/14/05	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	750.000	41.910	31,432.50
07/14/05	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	135.000	61.340	8,280.90
07/14/05	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	140.000	60.460	8,464.40
07/14/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	620.000	48.980	30,367.60
07/14/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	620.000	46.260	28,681.20
07/14/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	260.000	79.790	20,745.40
07/14/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	260.000	75.360	19,593.60
08/02/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGN	135.000	28.500	3,847.50
08/02/05	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	120.000	52.500	6,300.00
08/02/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGW	70.000	56.000	3,920.00
08/02/05	Sell	ISHARES DJ US HEALTHCARE	Cash	IYH	190.000	59.000	11,210.00
Total Open Orders							325,435.05

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At December 31, 2004, the Company had net capital of $114,728,000, or approximately 22.8% of aggregate debit balances, which was $104,675,000 in excess of its minimum net capital requirement of $10,053,000 at that date. The unaudited statement of financial condition as of December 31, 2004 and the audited statement of financial condition as of June 25, 2004 are available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-326-2174, and are provided pursuant to NASD Conduct Rule 2280 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site.

End of Statement

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3299

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Marken Intersal
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
August 31, 2005 to September 30, 2005

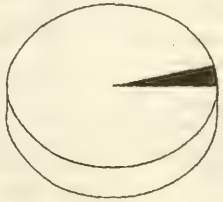
Account Summary

	08/31/05 Value	09/30/05 Value
Cash & Money Market Funds	8,115.59	9,376.12
Equities	436,638.75	440,797.40
Total Account Value	444,754.34	450,173.52
Net Account Value	444,754.34	450,173.52

Change in Account Value

	Cash/MMkt Margin/Short	Investments	Total Account
Beginning Balance	8,115.59	436,638.75	444,754.34
Funds Deposited			
Income Activity	1,260.53		1,260.53
Securities Sold/Matured			
Securities Received			
Funds Withdrawn			
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		4,158.65	4,158.65
Ending Balance	9,376.12	440,797.40	450,173.52

Account Holdings



- PC2 Bank Insured Funds 2.16%
- Cash & Money Market Funds 2.08%
- Equities 97.92%

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on September 30, 2005

Money Market Fund	2.78%
U.S. Government Fund	2.70%
Municipal Money Market Fund	1.72%

Annual Percentage Yield for Bank Insured Funds on September 30, 2005

PC² Bank Insured Funds	2.93%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

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3300

SIPC CUSTOMER STATEMENT

Account N[redacted]
Marken Interests Ltd.
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
August 31, 2005 to September 30, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				9,374.08	N/A	N/A
Cash	Cash				2.04	N/A	N/A
Total Cash & Money Market Funds					9,376.12		
Equities							
ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	540.000	80.630	43,540.20	44,202.50	(662.30)
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	1,240.000	58.100	72,044.00	59,453.40	12,590.60
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	390.000	123.850	48,301.50	36,597.20	11,704.30
ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	520.000	91.160	47,403.20	42,351.80	5,051.40
ISHARES GOLDMAN SACHS SEMICONDUCTOR INDEX FD	Cash	IGW	70.000	59.260	4,148.20	3,763.20	385.00
ISHARES GOLDMAN SACHS NETWORKING INDEX FD	Cash	IGN	135.000	31.290	4,224.15	3,748.40	475.75
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,130.000	68.840	77,789.20	66,097.20	11,692.00
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,500.000	49.830	74,745.00	71,467.00	3,278.00
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	285.000	65.930	18,790.05	17,386.80	1,403.25
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	270.000	68.800	18,576.00	17,260.76	1,315.24
ISHARES DJ US UTILITY SECTOR	Cash	IDU	155.000	82.140	12,731.70	11,592.85	1,138.85
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	120.000	55.750	6,690.00	6,150.55	539.45
ISHARES DJ US HEALTHCARE SECTOR	Cash	IYH	190.000	62.180	11,814.20	11,722.70	91.50
Total Equities					440,797.40	391,794.36	49,003.04

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
09/08	Taxable Dividend	ISHARES TRUST LEHMAN 1-3 YR SHY on 540.000000 PD:2005-09-08	Cash	SHY	123.27
09/29	Taxable Dividend	ISHARES DJ US HEALTHCARE SECTOR IYH on 190.000000 PD:2005-09-29	Cash	IYH	20.04

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SIPC

CUSTOMER STATEMENT

Account Num[redacted]
Marken Interests Ltd.
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
August 31, 2005 to September 30, 2005

Account Activity

Income Activity (Continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
09/29	Taxable Dividend	ISHARES DJ US INDUSTRIAL SECTOR IYJ on 120.000000 PD:2005-09-29	Cash	IYJ	15.58
09/29	Taxable Dividend	ISHARES DJ US UTILITY SECTOR IDU on 155.000000 PD:2005-09-29	Cash	IDU	82.65
09/29	Taxable Dividend	ISHARES RUSSELL 2000 GROWTH FD IWO on 270.000000 PD:2005-09-29	Cash	IWO	20.18
09/29	Taxable Dividend	ISHARES RUSSELL 2000 VAL IND IWN on 285.000000 PD:2005-09-29	Cash	IWN	78.57
09/29	Taxable Dividend	ISHARES RUSSELL 1000 GROWTH INDEX FUND IWF on 1500.000000 PD:2005-09-29	Cash	IWF	148.69
09/29	Taxable Dividend	ISHARES RUSSELL 1000 VALUE INDEX FUND IWD on 1130.000000 PD:2005-09-29	Cash	IWD	481.57
09/29	Taxable Dividend	ISHARES RUSSELL MDCP INDEX GRW IWP on 520.000000 PD:2005-09-29	Cash	IWP	60.51
09/29	Taxable Dividend	ISHARES RUSSELL MIDCAP VALUE IWS on 390.000000 PD:2005-09-29	Cash	IWS	210.01
09/29	Credit Interest	30 Days AvgBal 8239.14 AvgRate 2.537	Cash		17.42
09/30	Taxable Dividend	ISHARES GOLDMAN SACHS SEMICONDUCTOR INDEX FD IGW on 70.000000 PD:2005-09-30	Cash	IGW	2.04
Total Income Activity					1,260.53

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	17.42		829.59	
Dividends-Ordinary	1,243.11		3,985.21	
Total Income	1,260.53		4,814.80	

[redacted]

Account Nu[redacted]
Merken Interv[redacted]
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
August 31, 2005 to September 30, 2005

Other Important Information

Other Tax Information

	Current Period	Year to Date
Sales Proceeds		92,487.72

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	565.000	57.690	32,594.85
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	565.000	61.080	34,510.20
07/11/05	Sell	ISHARES DJ US UTILITY SECTOR	Cash	IDU	155.000	73.500	11,382.50
07/14/05	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	750.000	44.370	33,277.50
07/14/05	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	750.000	41.910	31,432.50
07/14/05	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	140.000	60.460	8,464.40
07/14/05	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	135.000	61.340	8,280.90
07/14/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	260.000	79.790	20,745.40
07/14/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	260.000	75.360	19,593.60
08/02/05	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	120.000	52.500	6,300.00
08/02/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGW	70.000	56.000	3,920.00
08/02/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGN	135.000	28.500	3,847.50
08/02/05	Sell	ISHARES DJ US HEALTHCARE	Cash	IYH	190.000	59.000	11,210.00
09/07/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	620.000	48.960	30,355.20
09/07/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	195.000	111.770	21,795.15
09/07/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	620.000	51.840	32,140.80
09/07/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	195.000	105.560	20,584.20
Total Open Orders							330,444.70

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3303

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Marken Interests Ltd.
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
August 31, 2005 to September 30, 2005

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At December 31, 2004, the Company had net capital of $114,728,000, or approximately 22.8% of aggregate debit balances, which was $104,675,000 in excess of its minimum net capital requirement of $10,053,000 at that date. The unaudited statement of financial condition as of December 31, 2004 and the audited statement of financial condition as of June 25, 2004 are available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-328-2174, and are provided pursuant to NASD Conduct Rule 2280 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site.

End of Statement

Southwest Securities, Inc. Privacy Policy

At Southwest Securities, Inc. (Southwest), we are committed to protecting your privacy and the confidentiality of your personal and financial information. The measures we take to keep your personal information private and secure are outlined below.

How We Protect Confidentiality

Southwest uses procedural, physical and electronic system safeguards to store and secure information about you in compliance with federal standards. Our systems protect your information from unauthorized access, alteration, and destruction. Access is permitted only to those individuals within our organization who need the information to perform their job responsibilities.

When we enter into agreements with other companies to provide services to us or to make products and services available to you, we include a confidentiality clause. Under such an agreement, these companies may receive information about you, but they may only use it for the intended purpose - to benefit you.

Persons Covered by the Privacy Policy

The Southwest Privacy Policy applies to anyone who is a current or former Southwest brokerage client or who registers with one of our services or promotional offers. We provide you with a copy of this policy when you open an account, and we send you annual notifications thereafter. If we change our policy regarding the sharing of information, we will notify you in advance and give you the opportunity to "opt out" of such disclosure.

How We Obtain Information About You

In the normal course of business, we collect, retain and use information about you to serve your financial needs, administer your account(s) and inform you of products and services that may be of interest. This data, known as non-public personal information, may be collected from several sources, including: applications and other forms you file with us (e.g., name, assets, income), records of transactions with us, our affiliates, non-affiliated third parties and others (e.g., credit report). Because we strive to provide you with the best possible service, the accuracy and completeness of your personal information is important to us. We ask that you review your information regularly to ensure that it is correct. Please contact your account representative or Southwest directly if you need to correct or update your personal information.

Sharing Information-With Whom and Why

Southwest does not sell your personal information to anyone. We restrict the types of information we share and the types of entities with whom we share it. The primary reason for sharing information about you is to increase your convenience in transacting business with us and to give you more financial service choices.

We do not disclose your personal information to non-affiliated third parties, unless one of the following exceptions applies: We disclose personal information to service providers that assist us in processing your transactions or servicing your account(s). An example would be the company that prints and mails your account statement. We disclose or report personal information in limited circumstances when we believe in good faith that disclosure is required or permitted under law. For example, we would provide information in cooperation with securities regulators or law enforcement authorities, to resolve consumer disputes, or to perform credit evaluations and authenticate checks.

Southwest Securities, Inc. has multiple affiliates, including SWS Financial Services, Inc., SWS Capital Corporation, Southwest Securities Bank and Southwest Insurance Agency. These companies, along with Southwest Securities, Inc., are wholly owned subsidiaries of SWS Group, Inc., a financial holding company whose stock is listed on the New York Stock Exchange under the ticker symbol SWS. Since the affiliates are all part of one corporate family, they work with one another and may work together to service your financial needs. The sharing of your information among our affiliates enables us to serve you more efficiently and makes it more convenient for you to do business with us. We are permitted by law to share information with our affiliates about your account history and your experiences with us. All of our affiliates follow similar privacy policies.

Internet Security

We do not retrieve account or personal information from visitors who browse the public (pre-logon) areas of our Web sites. Clients who have the ability to access their accounts online are required to log on with their user name and individually selected password. Your password is known solely to you, the client, and should never be shared with anyone. You may change your password as often as you wish.

When you access your account online, it is through a Secure Socket Layer-encrypted session between your browser and our servers. To ensure the highest level of security, we have installed VeriSign Global Server IDs on each server. We will continue to enhance security procedures as new technologies become available. Each time you access your account please don't forget to log off when you are finished. This will prevent someone else from accessing your account if you leave your computer and your session hasn't automatically shut down. Southwest employees use information about you to respond to your needs and to provide you with information about specific products in which you may have an interest. We instruct our employees to use strict standards of care in handling the personal, confidential information of customers and remind them on a regular basis of their obligations with regard to the confidentiality of customer information.

Option to Opt Out and Change Notices

If for any reason at any time in the future, we find it necessary to disclose any of your personal information in a way that is inconsistent with this policy, we will give you advance notice of the proposed change and the opportunity to opt out of such disclosure. For your reference, this policy has been posted to our Web site at www.swst.com. If you have questions or concerns, please contact us via email at info@swst.com.

Special Statement to Customers Maintaining Margin Accounts

Securities purchased on margin are the firm's collateral for the loan to you. If the securities in your account decline in value, so does the value of the collateral supporting your loan and, as a result, the firm can take action, such as issue a margin call and/or sell securities or other assets in any of your accounts held with the member, in order to maintain the required equity in the account. It is important that you fully understand the risks involved in the trading securities on margin. These risks include the following:

You can lose more funds than you deposit in the margin account.

The firm can force the sale of securities or other assets in your account(s).

The firm can sell your securities or other assets without contacting you.

You are not entitled to choose which securities or other assets in your account(s) are liquidated or sold to meet a margin call.

The firm can increase its house maintenance margin requirements at any time and is not required to provide you advance written notice.

You are not entitled to an extension of time on a margin call.

3305

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Market Interest [redacted]
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
September 30, 2005 to October 31, 2005

Account Summary

	09/30/05 Value	10/31/05 Value
Cash & Money Market Funds	9,376.12	23,397.95
Equities	440,797.40	413,091.65
Total Account Value	450,173.52	436,489.60
Net Account Value	450,173.52	436,489.60

Change in Account Value

	Cash/MMkt Margin/Short	Investments	Total Account
Beginning Balance	9,376.12	440,797.40	450,173.52
Funds Deposited			
Income Activity	153.78		153.78
Securities Sold/Matured	15,286.41	(15,286.41)	
Securities Received			
Funds Withdrawn	(1,418.36)		(1,418.36)
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		(12,419.34)	(12,419.34)
Ending Balance	23,397.95	413,091.65	436,489.60

Account Holdings

- Cash & Money Market Funds 5.36%
- Equities 94.64%

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on October 31, 2005

Money Market Fund	2.90%
U.S. Government Fund	2.85%
Municipal Money Market Fund	1.78%

Annual Percentage Yield for Bank Insured Funds on October 31, 2005

PC² Bank Insured Funds	2.93%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

3306

SIPC

CUSTOMER STATEMENT

Account Num[redacted]
Marken Intere[redacted]
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
September 30, 2005 to October 31, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				23,397.95	N/A	N/A
Total Cash & Money Market Funds					23,397.95		
Equities							
ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	540.000	80.330	43,378.20	44,202.50	(824.30)
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	1,240.000	56.250	69,750.00	59,453.40	10,296.60
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	390.000	119.940	46,776.60	36,597.20	10,179.40
ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	520.000	88.400	45,968.00	42,351.80	3,616.20
ISHARES GOLDMAN SACHS NETWORKING INDEX FD	Cash	IGN	135.000	29.720	4,012.20	3,748.40	263.80
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,130.000	66.830	75,517.90	66,097.20	9,420.70
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,500.000	49.140	73,710.00	71,467.00	2,243.00
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	285.000	63.750	18,168.75	17,386.80	781.95
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	270.000	65.700	17,739.00	17,260.76	478.24
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	120.000	54.990	6,598.80	6,150.55	448.25
ISHARES DJ US HEALTHCARE SECTOR	Cash	IYH	190.000	60.380	11,472.20	11,722.70	(250.50)
Total Equities					413,091.65	378,438.31	34,653.34

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
10/07	Taxable Dividend	ISHARES TRUST-LEHMAN 1-3 YR SHY on 540.000000 PD:2005-10-07	Cash	SHY	126.38
10/28	Credit Interest	29 Days AvgBal 12368.78 AvgRate 2.750	Cash		27.40
Total Income Activity					153.78

[redacted]

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Marken Interest[redacted]
Kenny Merchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
September 30, 2005 to October 31, 2005

Account Activity

Securities Sold/Matured

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
10/10	Sell	ISHARES GOLDMAN SACHS SEMICONDUCTOR INDEX FD	Cash	IGW	(70.000)	55.980	3,914.94
10/20	Sell	ISHARES DJ US UTILITY SECTOR	Cash	IDU	(155.000)	73.390	11,371.47
Total Securities Sold/Matured							15,286.41

Funds Withdrawn

Date	Transaction	Description	Account Type	Transaction Number	Amount
10/11	Journal	ADV FEE 491459907 2005-09-30-2005-12-31	Cash		(1,418.36)
Total Funds Withdrawn					(1,418.36)

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	27.40		856.99	
Dividends-Ordinary	126.38		4,111.59	
Total Income	153.78		4,968.58	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds	15,286.41	107,774.13

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3308

SIPC

CUSTOMER STATEMENT

Account Nu[redacted]
Marken Interests Ltd.
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
September 30, 2005 to October 31, 2005

Other Important Information

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	565.000	61.060	34,510.20
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	565.000	57.690	32,594.85
07/14/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	260.000	79.790	20,745.40
07/14/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	260.000	75.360	19,593.60
07/14/05	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	135.000	61.340	8,280.90
07/14/05	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	750.000	44.370	33,277.50
07/14/05	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	140.000	60.460	8,464.40
07/14/05	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	750.000	41.910	31,432.50
08/02/05	Sell	ISHARES DJ US HEALTHCARE	Cash	IYH	190.000	59.000	11,210.00
08/02/05	Sell	ISHARES DJ US INDUSTRIAL	Cash	IYJ	120.000	52.500	6,300.00
08/02/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGN	135.000	28.500	3,847.50
09/07/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	195.000	105.560	20,584.20
09/07/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	620.000	48.960	30,355.20
09/07/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	620.000	51.840	32,140.80
09/07/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	195.000	111.770	21,795.15
Total Open Orders							315,132.20

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At June 24, 2005, the Company had net capital of $108,054,000, or approximately 22.7% of aggregate debit balances, which was $88,536,000 in excess of its minimum net capital requirement of $9,518,000 at that date. The audited statement of financial condition as of June 24, 2005 is available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-326-2174, and is provided pursuant to NASD Conduct Rule 2260 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site.

End of Statement

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3309

SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Marken Interest[redacted]
Kenny Merchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
October 31, 2005 to November 30, 2005

Page
1 of 4

Account Summary

	10/31/05 Value	11/30/05 Value
Cash & Money Market Funds	23,397.95	909.45
Equities	413,091.65	384,791.20
Total Account Value	436,489.60	385,700.65
Net Account Value	436,489.60	385,700.65

Change in Account Value

	Cash/Money Margin/Short	Investments	Total Account
Beginning Balance	23,397.95	413,091.65	436,489.60
Funds Deposited			
Income Activity	162.42		162.42
Securities Sold/Matured	43,349.08	(43,349.08)	
Securities Received			
Funds Withdrawn	(66,000.00)		(66,000.00)
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		15,048.83	15,048.83
Ending Balance	909.45	384,791.20	385,700.65

Account Holdings

- Cash & Money Market Funds 0.24%
- Equities 99.76%

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on November 30, 2005

Money Market Fund	3.11%
U.S. Government Fund	3.01%
Municipal Money Market Fund	2.03%

Annual Percentage Yield for Bank Insured Funds on November 30, 2005

PC² Bank Insured Funds	3.26%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

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SIPC

CUSTOMER STATEMENT

Account No. [redacted]
Marken Inter[redacted]
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
October 31, 2005 to November 30, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value	Average Cost Basis	Unrealized Gain/(Loss)
Cash & Money Market Funds							
Credit Interest	Credit				909.45	N/A	N/A
Total Cash & Money Market Funds					909.45		
Equities							
ISHARES MSCI EAFE INDEX FUND	Cash	EFA	1,240.000	57.550	71,362.00	59,453.40	11,908.60
ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	390.000	124.440	48,531.60	36,697.20	11,834.40
ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	520.000	93.200	48,464.00	42,351.80	6,112.20
ISHARES GOLDMAN SACHS NETWORKING INDEX FD	Cash	IGN	135.000	31.550	4,259.25	3,748.40	510.85
ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	1,130.000	69.380	78,399.40	66,097.20	12,302.20
ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	1,500.000	51.430	77,145.00	71,467.00	5,678.00
ISHARES RUSSELL 2000 VAL IND	Cash	IWN	285.000	66.950	19,080.75	17,386.80	1,693.95
ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	270.000	69.960	18,889.20	17,260.76	1,628.44
ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	120.000	58.220	6,986.40	6,150.55	835.85
ISHARES DJ US HEALTHCARE SECTOR	Cash	IYH	190.000	61.440	11,673.60	11,722.70	(49.10)
Total Equities					384,791.20	332,235.81	52,555.39

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
11/07	Taxable Dividend	ISHARES TRUST LEHMAN 1-3 YR SHY on 540.000000 PD:2005-11-07	Cash	SHY	125.34
11/29	Credit Interest	32 Days AvgBal 14231.58 AvgRate 2.931	Cash		37.08
Total Income Activity					162.42

Securities Sold/Matured

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
11/17	Sell	ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	(100.000)	80.282	8,027.86

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3311

SIPC

CUSTOMER STATEMENT

Account Num[redacted]
Marken Interest[redacted]
Kenny Merchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
October 31, 2005 to November 30, 2005

Account Activity

Securities Sold/Matured (Continued)

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
11/17	Sell	ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	(100.000)	80.280	8,027.66
11/17	Sell	ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	(100.000)	80.280	8,027.66
11/17	Sell	ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	(40.000)	80.280	3,211.07
11/17	Sell	ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	(60.000)	80.280	4,816.60
11/17	Sell	ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	(40.000)	80.280	3,211.07
11/17	Sell	ISHARES TRUST LEHMAN 1-3 YR	Cash	SHY	(100.000)	80.310	8,027.16
Total Securities Sold/Matured							43,349.08

Funds Withdrawn

Date	Transaction	Description	Account Type	Transaction Number	Amount
11/17	Cash Disbursement	Check Request	Cash		(23,000.00)
11/22	Cash Disbursement	Check Request	Cash		(43,000.00)
Total Funds Withdrawn					(66,000.00)

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	37.08		894.07	
Dividends-Ordinary	125.34		4,236.93	
Total Income	162.42		5,131.00	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds	43,349.08	151,123.21

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3312

SIPC

CUSTOMER STATEMENT

Account Nu[redacted]
Marken Inte[redacted]
Kenny Marchant Sr., GP
ETF Portfolio
PC2 Partner Account

Statement Period
October 31, 2005 to November 30, 2005

Other Important Information

Open Orders

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	565.000	57.690	32,594.85
03/02/05	Sell	ISHARES RUSSELL 1000 VALUE	Cash	IWD	565.000	61.080	34,510.20
07/14/05	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	140.000	60.460	8,464.40
07/14/05	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	135.000	61.340	8,280.90
08/02/05	Sell	ISHARES GOLDMAN SACHS	Cash	IGN	135.000	28.500	3,847.50
09/07/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	620.000	48.960	30,355.20
09/07/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	195.000	111.770	21,795.15
09/07/05	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	195.000	105.580	20,584.20
09/07/05	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	620.000	51.840	32,140.80
11/18/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	260.000	83.130	21,613.80
11/18/05	Sell	ISHARES RUSSELL MDCP INDEX GRW	Cash	IWP	260.000	78.510	20,412.60
11/18/05	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	750.000	46.000	34,500.00
11/18/05	Sell	ISHARES RUSSELL 1000 GROWTH	Cash	IWF	750.000	43.440	32,580.00
Total Open Orders							301,679.60

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At June 24, 2005, the Company had net capital of $108,054,000; or approximately 22.7% of aggregate debit balances, which was $88,536,000 in excess of its minimum net capital requirement of $3,518,000 at that date. The audited statement of financial condition as of June 24, 2005 is available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-326-2174, and is provided pursuant to NASD Conduct Rule 2280 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site.

End of Statement

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3313

SIPC CUSTOMER STATEMENT

Account Numb[redacted]
Marken Interests Ltd
Attention Kenny Marchant Sr

Statement Period
November 30, 2005 to December 30, 2005

Page
1 of 3

Account Summary

	11/30/05 Value	12/30/05 Value
Cash & Money Market Funds	909.45	174.30
Equities	384,791.20	
Total Account Value	385,700.65	174.30
Net Account Value	385,700.65	174.30

Change in Account Value

	Cash/Money Market Margin/Short	Investments	Total Account
Beginning Balance	909.45	384,791.20	385,700.65
Funds Deposited			
Income Activity	174.30		174.30
Securities Sold/Matured	391,064.36	(391,064.36)	
Securities Received			
Funds Withdrawn	(391,973.61)		(391,973.61)
Margin Interest			
Securities Purchased			
Securities Delivered			
Change in Value of Securities		6,273.16	6,273.16
Ending Balance	174.30		174.30

Account Holdings

- Cash & Money Market Funds 100.00%
- Equities 0.00%

[redacted]

Messages

Average 7 Day Yield for Dreyfus Money Market Funds Class B on December 30, 2005

Money Market Fund	3.35%
U.S. Government Fund	3.26%
Municipal Money Market Fund	2.45%

Annual Percentage Yield for Bank Insured Funds on December 30, 2005

PC² Bank Insured Funds 3.49%

For questions concerning the PC2 Bank Insured Funds (Southwest Securities' new FDIC cash management account), contact your Financial Advisor today. (Not available for all accounts)

3314

SIPC

CUSTOMER STATEMENT

Account Num[redacted]
Marken Interests Ltd
Attention Kenny Marchant Sr

Statement Period
November 30, 2005 to December 30, 2005

Account Positions

	Account Type	Symbol/ Cusip	Quantity Long/Short	Current Price	Current Value
Cash & Money Market Funds					
Credit Interest	Credit				174.30
Total Cash & Money Market Funds					174.30

End of Account Positions

Account Activity

Income Activity

Date	Transaction	Description	Account Type	Symbol/ Cusip	Amount
12/30	Credit Interest	28 Days AvgBal 70742.37 AvgRate 3.158	Cash		174.30
Total Income Activity					174.30

Securities Sold/Matured

Date	Transaction	Description	Account Type	Symbol/ Cusip	Quantity	Price	Amount
12/20	Sell	ISHARES DJ US HEALTHCARE SECTOR	Cash	IYH	(190.000)	63.495	12,060.01
12/20	Sell	ISHARES DJ US INDUSTRIAL SECTOR	Cash	IYJ	(120.000)	58.575	7,025.19
12/20	Sell	ISHARES RUSSELL 2000 GROWTH FD	Cash	IWO	(270.000)	70.052	18,909.85
12/20	Sell	ISHARES RUSSELL 2000 VAL IND	Cash	IWN	(285.000)	66.801	19,034.04
12/20	Sell	ISHARES RUSSELL 1000 GROWTH INDEX FUND	Cash	IWF	(1,500.000)	51.764	77,639.25
12/20	Sell	ISHARES RUSSELL 1000 VALUE INDEX FUND	Cash	IWD	(1,130.000)	70.194	79,312.40
12/20	Sell	ISHARES GOLDMAN SACHS NETWORKING INDEX FD	Cash	IGN	(135.000)	31.346	4,228.03
12/20	Sell	ISHARES RUSSELL MIDCP INDEX GRW	Cash	IWP	(520.000)	94.448	48,108.27
12/20	Sell	ISHARES RUSSELL MIDCAP VALUE	Cash	IWS	(390.000)	125.706	48,018.83
12/20	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	(500.000)	60.270	30,130.24
12/20	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	(40.000)	60.270	2,410.70
12/20	Sell	ISHARES MSCI EAFE INDEX FUND	Cash	EFA	(700.000)	60.272	42,188.64
Total Securities Sold/Matured							391,064.36

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SIPC

CUSTOMER STATEMENT

Account Numb[redacted]
Marlan Interest [redacted]
Attention Kenny Marchant Sr

Statement Period
November 30, 2005 to December 30, 2005

Account Activity

Funds Withdrawn

Date	Transaction	Description	Account Type	Transaction Number	Amount
12/28	Branch Check Disbursement	481459907-8 73741	Cash		(291,973.81)
Total Funds Withdrawn					(291,973.81)

End of Account Activity

Other Important Information

Income Summary

Type of Income	Current Period Taxable	Current Period Nontaxable	Year to Date Taxable	Year to Date Nontaxable
Interest	174.30		1,068.37	
Dividends-Ordinary			4,236.93	
Total Income	174.30		5,305.30	

Other Tax Information

	Current Period	Year to Date
Sales Proceeds	291,044.34	542,167.37

Southwest Securities, Inc. (the "Company") is subject to the Securities and Exchange Commission's Uniform Net Capital Rule (the "Rule"), which requires the maintenance of minimum net capital. The Company has elected to use the alternative method, permitted by the rule, which requires that it maintain minimum net capital, as defined in Rule 15c3-1 under the 1934 Act, equal to the greater of $1,000,000 or 2% of aggregate debit balances, as defined in Rule 15c3-3 under the 1934 Act. At June 24, 2005, the Company had net capital of $108,054,000, or approximately 22.7% of aggregate debit balances, which was $98,538,000 in excess of its minimum net capital requirement of $9,518,000 at that date. The audited statement of financial condition as of June 24, 2005 is available on the Company's web site at www.swst.com, or by dialing our toll-free request line, 800-326-2174, and is provided pursuant to NASD Conduct Rule 2290 (Investor Education and Protection). The toll-free NASD Regulation and Disclosure Program Hotline is 800-289-9999, and the NASD web site is www.nasd.com. An investor brochure that includes information describing the Public Disclosure Program can be obtained by calling the toll-free number or visiting the web site.

End of Statement

3316

SIPC CUSTOMER STATEMENT

3317

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Continued in House Document 109-128, Volume 3 of 4